

# Seasonal hysteresis of surface urban heat islands

Gabriele Manoli<sup>a,1</sup>, Simone Faticchi<sup>b,c</sup>, Elie Bou-Zeid<sup>d</sup>, and Gabriel Katul<sup>e</sup>

<sup>a</sup>Department of Civil, Environmental and Geomatic Engineering, University College London, London WC1E 6BT, UK; <sup>b</sup>Institute of Environmental Engineering, ETH Zurich, Zurich, Switzerland; <sup>c</sup>Department of Civil and Environmental Engineering, National University of Singapore, Singapore; <sup>d</sup>Department of Civil and Environmental Engineering, Princeton University, Princeton, NJ 08544, USA; <sup>e</sup>Nicholas School of the Environment, Duke University, Durham, NC 27708, USA

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1 **Temporal dynamics of urban warming have been extensively studied**  
2 **at the diurnal scale but the impact of background climate on the**  
3 **observed seasonality of surface urban heat islands (SUHIs) remains**  
4 **largely unexplored. On seasonal timescales, the intensity of urban-**  
5 **rural surface temperature differences ( $\Delta T_s$ ) exhibits distinctive hys-**  
6 **teretic cycles whose shape and looping direction varies across cli-**  
7 **matic zones. These observations highlight possible delays under-**  
8 **lying the dynamics of the coupled urban-biosphere system. How-**  
9 **ever, a general argument explaining the observed hysteretic patterns**  
10 **remains elusive. A coarse-grained model of SUHI coupled with a**  
11 **stochastic soil water balance is developed to demonstrate that the**  
12 **time lags between radiation forcing, air temperature, and rainfall gen-**  
13 **erate a rate-dependent hysteresis, explaining the observed seasonal**  
14 **variations of  $\Delta T_s$ . If solar radiation is in-phase with water availabil-**  
15 **ity, summer conditions cause strong SUHI intensities due to high ru-**  
16 **ral evaporative cooling. Conversely, cities in seasonally dry regions**  
17 **where evapotranspiration is out-of-phase with radiation show a sum-**  
18 **meritime “oasis effect” controlled by background climate and vege-**  
19 **tation properties. These seasonal patterns of warming and cooling**  
20 **have significant implications for heat mitigation strategies as urban**  
21 **green spaces can reduce  $\Delta T_s$  during summertime, while potentially**  
22 **negative effects of albedo management during winter are mitigated**  
23 **by the seasonality of solar radiation.**

Cities | Hysteresis | Seasonality | Surface Temperature | Urban Heat Island

1 **U**rbane areas are generally warmer than the surrounding  
2 rural land, a phenomenon called Urban Heat Island (UHI)  
3 effect. This urban-induced warming, recognized as early as  
4 1818 by Luke Howard (1), is one of the most evident signa-  
5 tures of humans’ alteration of the Earth surface boundary  
6 layer. Given their implications for energy demand (2), climate  
7 adaptation policies (3), public health (4), and heat-related  
8 mortality (5, 6), UHIs have been widely studied over the  
9 past decades considering both air and surface temperature  
10 observations (e.g. 7–18). Air UHIs are most intense during  
11 nighttime, based on air temperature measurements above or  
12 below the roof level, whereas surface UHIs (hereafter referred  
13 to as SUHIs), as derived from remotely sensed skin surface tem-  
14 peratures, generally reach peak values during daytime (19–22).  
15 While the drivers of urban warming and its diurnal evolution  
16 are reasonably known both in terms of air and surface temper-  
17 ature dynamics (e.g. 8, 22–25), remote sensing observations  
18 have revealed seasonal hysteretic patterns of SUHIs that re-  
19 main largely unexplained (26). The hysteresis between the  
20 intensity of SUHIs (defined as daytime urban-rural surface  
21 temperature differences,  $\Delta T_s$ ) and background land surface  
22 temperature ( $T_s$ ) has been demonstrated by a comprehensive  
23 statistical analysis of European cities (26) and confirmed by  
24 numerical simulations for the Greater London area (27). The  
25 directionality of hysteresis is found to be clockwise (Fig. 1C),  
26 but different climatic regions exhibit distinctive hysteretic

patterns with  $\Delta T_s$  either increasing or decreasing with  $T_s$  de-  
pending on whether cities are located in a temperate and wet  
climate or a Mediterranean seasonally dry region (see Fig. 1).  
It is hypothesized here that this phenomenon is the result of  
time lags between the surface energy budget of cities, which  
is largely controlled by solar radiation, and the energy/water  
fluxes of rural areas, regulated by regional climate and vege-  
tation seasonality (26–28). However, previous attempts to verify  
such hypothesis have been unsuccessful (26). This failure  
may be partly due to the daunting complexity of the coupled  
urban-biosphere system (16, 18, 29), with the emergence of  
hysteresis as one of its signatures (25, 30, 31).

Hysteresis has been observed in a variety of environmental  
processes. These include plant physiological responses to mete-  
orological conditions (e.g. 32–35), catchment-scale dynamics of  
soil moisture, evapotranspiration, streamflow and solute trans-  
port (e.g. 36–39), wetting and drying of porous media (e.g.  
40), soil and ecosystem carbon fluxes (e.g. 41–43), and the  
diurnal cycle of surface energy fluxes (e.g. 44, 45). In these  
contexts, the looping patterns are generally associated with  
time lags between a forcing and its effects on the system. Such  
a phenomenon is referred to as “rate-dependent” hysteresis  
because the system has a limited memory of the past and the  
hysteresis disappears in finite time if the forcing variability  
is suppressed. In contrast a “rate-independent” system has a  
persistent memory and hysteresis need not fade if the forcing  
term is removed. A prototypical model of rate-dependent  
hysteresis is given by an input-output system that transforms  
a sinusoidal input time series  $X(t)$  into a delayed output  $Y(t)$

## Significance Statement

Urban heat islands represent a major threat to public health with implications for energy consumption and climate adaptation policies. A ubiquitous feature in the seasonality of surface urban heat islands (SUHIs) is distinctive hysteretic cycles between urban and rural surface temperature that still awaits a general explanation. A coarse-grained model of SUHI serving as a minimalist representation of urban-rural seasonal dynamics based on urban scaling laws and mass/energy conservation principles is proposed to explore this hysteretic behavior. It is shown that such hysteresis is controlled by time lags between energy (radiation/temperature) and water (rainfall) availability. The model largely explains the observed seasonal patterns in amplitude and looping direction and provides general guidance for SUHI reduction across cities and climates.

Author contributions: GM designed the study, conducted the analysis and wrote the manuscript with input from SF, GK, and EBZ. All authors contributed to editing the manuscript.

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<sup>1</sup>To whom correspondence should be addressed. E-mail: g.manoli@ucl.ac.uk

56 given by

$$57 \quad X(t) = \mu_X + A_X \cdot \sin[\omega \cdot (t + \phi_X)]; \quad [1]$$

$$58 \quad Y(t) = \mu_Y + A_Y \cdot \sin[\omega \cdot (t + \phi_X + \Delta\phi)]; \quad [2]$$

59 where  $t$  is time,  $\omega$  is the frequency,  $\phi_X$  is the input phase shift  
60 and  $\Delta\phi$  is the input-output time lag. In the context of the  
61 analysis here,  $X$  and  $Y$  are conceptual representations of back-  
62 ground surface temperature and SUHI intensity, respectively.  
63 By defining normalized variables  $X_n(t) = (X(t) - \mu_X)/A_X$   
64 and  $Y_n(t) = (Y(t) - \mu_Y)/A_Y$ , and setting  $\omega = 1$  and  $\phi_X = 0$   
65 without loss in generality, Eqs. 1-2 can be expressed as a rela-  
66 tion between input (or forcing)  $X_n(t)$  and output (or response)  
67  $Y_n(t)$  that are lagged in time by  $\Delta\phi/\omega$  using (e.g. 34)

$$68 \quad Y_n = X_n \cos(\Delta\phi) + \cos[\arcsin(X_n)] \sin(\Delta\phi), \quad [3]$$

69 where the mathematical origin of the hysteresis is the term  
70  $\arcsin(X_n)$  that can take on the same value for two distinct  
71 values of  $X_n$  except when  $\Delta\phi = 0$  (leading to  $Y_n = X_n$  and  
72 the loop collapses to a line). As a bridge to systems with  
73 storage (for heat, water, electric charge, etc...), Eq. 3 can be  
74 expressed as a first-order linear non-homogeneous ordinary  
75 differential equation (ODE) (e.g. 34)

$$76 \quad \frac{1}{\csc(\Delta\phi)} \frac{dY_n(t)}{dt} - Y_n(t) \cos(\Delta\phi) = -X_n(t), \quad [4]$$

77 where storage or capacitive effects allow  $dY_n(t)/dt$  to exist,  
78 and as before, a  $\Delta\phi = 0$  leads to  $\csc(\Delta\phi) \rightarrow \infty$ ,  $\cos(\Delta\phi) \rightarrow 1$   
79 and  $Y_n(t) = X_n(t)$ . The rate-dependency of the hysteresis  
80 is evident in this type of representation because when the  
81 “forcing” term  $X_n(t)$  is removed (and the ODE becomes ho-  
82 mogenous),  $Y_n(t)$  does not exhibit loops and decays rapidly  
83 (or exponentially) in time to its zero equilibrium value. Hence,  
84 the hysteresis in Eqs. 1-2 or its equivalent form in Eq. 4 is said  
85 to be “rate-dependent”. As shown in Fig. 1D-E, the conceptual  
86 model in Eqs. 1-2 produces hysteretic curves that resemble the  
87 observed seasonal patterns of  $\Delta T_s$  versus  $T_s$ , thus supporting  
88 the hypothesis that a phase shift mechanism can be at the  
89 basis of SUHI seasonality. However, the factors causing these  
90 hysteretic phenomena remain to be explored and motivate the  
91 work here.

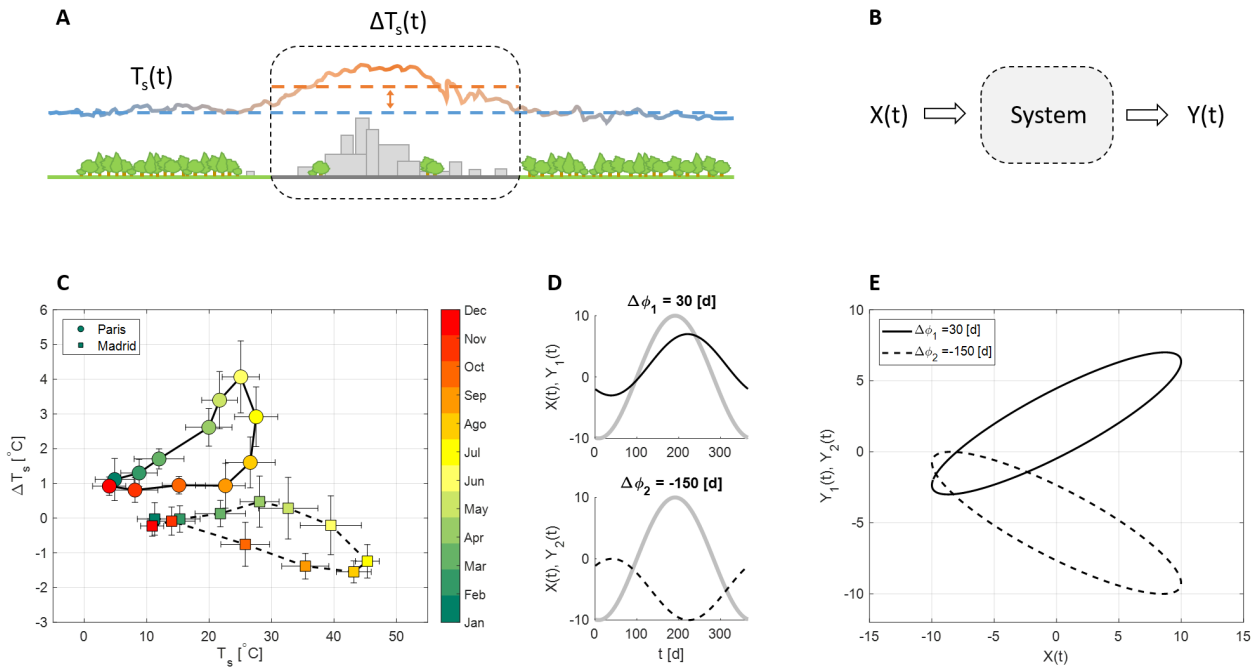
92 Here, this knowledge gap is bridged by combining concepts  
93 from statistical physics with urban scaling laws and basic  
94 energy conservation principles. Specifically, a stochastic soil  
95 moisture balance is coupled with a coarse-grained SUHI model  
96 to demonstrate that the manifold of observed hysteretic sea-  
97 sonal patterns is encoded in the time lags between energy  
98 availability (radiation/temperature) and water availability  
99 (rainfall). The urban and rural energy balances are combined  
100 to arrive at expressions linking  $\Delta T_s$  to  $T_s$  for differing radiative  
101 load and precipitation. The objective is not to provide a de-  
102 tailed simulation of urban microclimate, which is a prerogative  
103 of urban climate models (e.g. 46) and detailed urban energy  
104 budget schemes (e.g. 47–50). Rather, the aim is to describe  
105 the temporal variability of urban-biosphere interactions in the  
106 most general terms so as to disentangle the key drivers of  
107 city-scale warming and propose general guidelines for heat  
108 mitigation strategies under different background climates (16).

## Results and discussion

99 **Seasonal dynamics.** We focus the analysis on five European  
100 cities (Paris, London, Milan, Madrid, and Nicosia) that are  
101 characterized by a wide range of climatic conditions and ex-  
102 hibit the aforementioned hysteretic behaviors observed in Eu-  
103 rope (26, 27). Specifically, cities in wet or relatively wet  
104 climates (Paris, London, and Milan) show a concave up hys-  
105 teresis characterized by peak SUHI in summer and  $\Delta T_s$  always  
106 positive, while cities in seasonally dry regions (Madrid and  
107 Nicosia) exhibit a concave down curve with peak SUHI in  
108 spring and  $\Delta T_s < 0$  during summer/autumn (see Fig. 1  
109 and results in the Supplementary Information, SI). As previously  
110 reported, annual and summer averages of  $\Delta T_s$  increase with  
111 increasing mean annual rainfall (15, 17, 18) and, while Nicosia  
112 and Madrid show summer  $\Delta T_s$  values that are lower than the  
113 annual mean, the opposite is observed in cities characterized by  
114 a wet climate (Fig. 2D). These trends are consistent for both  
115 daytime and mean-daily observations of SUHIs (see Fig. 2D  
116 and SI).

117 To test the hypothesis that hysteresis is the result of time  
118 lags between urban and rural dynamics, we have modeled  
119 background meteorological forcings (i.e. incoming shortwave  
120 radiation  $R_{sw}$ , air temperature  $T_a$ , wind speed  $W_s$ , and rain-  
121 fall frequency  $\lambda_R$ ) with sine functions  $\Gamma = \Gamma(t)$  character-  
122 ized by mean  $\mu_\Gamma$ , amplitude  $A_\Gamma$ , and phase  $\phi_\Gamma$  (see Methods). A  
123 well-established stochastic soil moisture balance (37, 51, 52)  
124 is employed to compute the seasonality of relative soil mois-  
125 ture (defined in standardized form by  $x$  which represents the  
126 degree of saturation in the rooting zone, see Methods), evapo-  
127 transpiration ( $ET$ ) and surface albedo ( $\alpha$ ) in the rural area.  
128 This probabilistic approach integrates information on rainfall  
129 daily stochasticity and seasonality to describe the “average”  
130 seasonal cycle of the different water fluxes (37). A coarse-  
131 grained SUHI model is then used to represent the changes  
132 in surface temperature caused by urbanization (18). Urban-  
133 rural surface temperature differences  $\Delta T_s$  are regulated by  
134 urban-induced changes in evapotranspiration ( $\Delta ET$ ), albedo  
135 ( $\Delta\alpha$ ), convection efficiency ( $\Delta r_a$ ), surface emissivity ( $\Delta\epsilon_s$ ),  
136 and anthropogenic heat ( $\Delta Q_{ah}$ ), which vary with background  
137 climate and city characteristics (see Methods and Fig. S1-S2  
138 in the SI for details).

139 Despite its simplicity, the model captures the major features  
140 of the observed seasonality of water and energy fluxes at the  
141 land surface (see Fig. S3-S7 in the SI) as well as the hysteretic  
142 behavior of  $\Delta T_s$  (Fig. 2B,C and Fig. S8-S9 in the SI). Model  
143 inferences suggest that magnitude and seasonality of SUHIs  
144 are largely controlled by urban-rural differences in evapotran-  
145 spiration, albedo and convection efficiency (8, 15, 18). In the  
146 analyzed wet climates, the SUHI intensity is determined by  
147  $\Delta ET$  (Fig. 2E and Fig. S10 in the SI) because rural  $ET$  is  
148 in-phase with radiation, it approaches potential evapotran-  
149 spiration ( $ET_{max}$ ) and maximizes  $\Delta T_s$  during summertime  
150 by cooling the natural environment (see results in the SI).  
151 Conversely, in the analyzed seasonally dry climates, rainfall is  
152 out-of-phase with radiation causing water stress and a sum-  
153 mertime decrease in rural  $ET$  that reduces  $\Delta ET$  and, as a  
154 consequence,  $\Delta T_s$  (Fig. 2F and Fig. S10 in the SI). Under  
155 dry conditions, soil moisture influences not only  $\Delta ET$  but  
156 also modifies surface albedo by modulating the dynamics of  
157 leaf area index,  $LAI$  (see panel E in Fig. S3-S7). When  $LAI$   
158 declines due to water stress,  $\Delta T_s$  reaches its minimum due to  
159



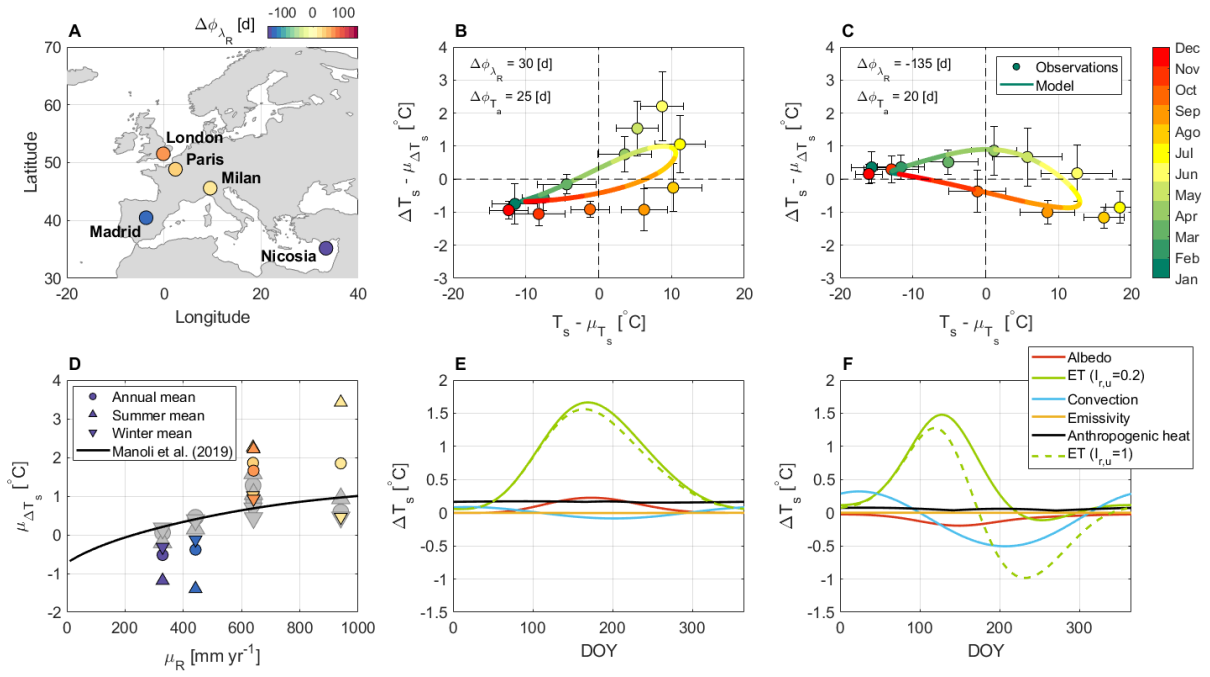
**Fig. 1.** (A) Conceptual representation of a daytime SUHI and (C) hysteresis behavior of  $\Delta T_s$  observed in Paris (circles) and Madrid (squares). Data are digitized from Zhou et al. (26) and represent daytime values retrieved at 13:30 local time. (B) An input-output system exhibiting hysteresis: (D) time series of input  $X$  (gray line), output  $Y_1$  (black solid line) and  $Y_2$  (black dashed line) associated with the phase shift  $\Delta\phi_1=30$  days, and  $\Delta\phi_2=-150$  days, respectively; (E) resulting hysteresis curves. The input-output system is described by Eqs. 1-2 with parameters  $\omega = \frac{2\pi}{365}$ ,  $\mu_X=0$ ,  $A_X=10$ ,  $\phi_X=100$ ,  $\mu_{Y_1}=2$ ,  $A_{Y_1}=5$ ,  $\mu_{Y_2}=-5$ ,  $A_{Y_2}=5$ .

170 a delicate balance between  $\Delta ET$ ,  $R_{sw}$ , and  $\Delta\alpha$  (Fig. 2F). In  
 171 such water limited ecosystems, rural vegetation is generally  
 172 of low stature and changes in convection efficiency also contribute  
 173 to cooling because cities can be more efficient than the surrounding  
 174 “smoother” surfaces in dissipating heat by convection (15, 53). This effect, however, could be city specific as  
 175 it depends on the three-dimensional structure of urban areas, the density of buildings and their mean height (e.g. 11, 54).  
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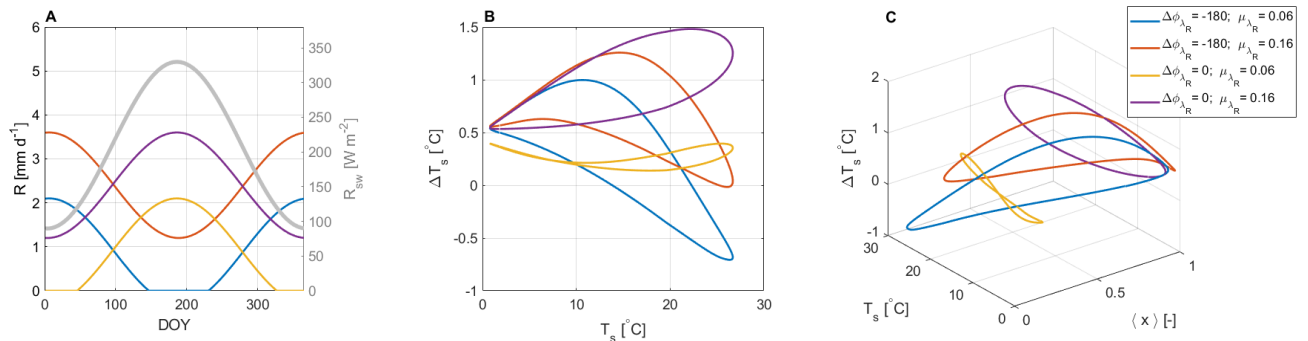
178 These results demonstrate that the shape of the hysteresis  
 179 cycle is fingerprinted in the time lags between incoming short-  
 180 wave radiation, temperature and rainfall. In wet climates,  $ET$   
 181 is not water limited and the hysteresis loop is mainly due  
 182 to the lag between radiation and temperature ( $\Delta\phi_{T_a}$ ), which  
 183 produces a concave up curve (see Fig. S11). In seasonally dry  
 184 regions, the shape of hysteresis is modified by the lag  $\Delta\phi_{\lambda_R}$   
 185 between radiation and rainfall, and the induced vegetation  
 186 water stress in the natural surrounding (Fig. 2-3) that gener-  
 187 ates a concave down loop. This confirms the conjecture of  
 188 a phase shift mechanism (26, 55) regulated by energy and  
 189 water availability. Both rainfall frequency  $\lambda_R$  and the lag  
 190  $\Delta\phi_{\lambda_R}$  contribute to shaping the  $T_s - \Delta T_s$  relation with the  
 191 former controlling the magnitude of warming and the latter  
 192 modifying the concavity of the hysteresis curve (Fig. 3 and  
 193 Fig. S12 in the SI). Unlike previous modeling results attributing  
 194 hysteresis to incoming shortwave radiation only (27), soil  
 195 moisture is shown to play a key role in the seasonality of  
 196 SUHIs in seasonally dry climates by linking rainfall variability  
 197 to urban-rural differences in evapotranspiration and albedo.  
 198 In wet regions, the impact of soil water availability is negligible  
 199 due to its limited variability, and hysteresis is mainly driven  
 200 by the time lag between radiation and temperature (all can  
 201 be independently inferred). As a consequence, model-based  
 202 results suggest that the observed hysteresis cycles are more

“stable” in wet rather than dry climates as perturbations in  
 the magnitude and seasonality of rainfall cause changes in  
 the timing of peak maximum and minimum  $\Delta T_s$  that are  
 larger in cities like Madrid and Nicosia compared to Paris and  
 London (see Fig. S13 in the SI). The important role of rural  
 soil moisture in regulating urban-rural temperature differences  
 was also detected on nocturnal UHIs, as their seasonality can  
 be explained by changes in the thermal effusivity (or admittance  
 (22)) of rural surfaces associated with seasonal variations in  
 soil moisture status (56, 57).  
 210  
 211  
 212

**SUHI mitigation.** Given the implications of urban warming on  
 energy consumption, climate adaptation policies, and public  
 health, understanding and controlling UHI intensities is a  
 leitmotif in the debate on sustainable development (59) and  
 mitigation strategies aimed at increasing urban albedo (e.g.  
 15, 23, 60) or increasing urban vegetation (e.g. 61, 62) and  
 irrigated green surfaces (63) are promoted worldwide. In this  
 context, the coarse-grained model developed here provides a  
 novel framework to assess the efficiency of city-scale SUHI  
 mitigation strategies across cities, seasons, and climates. The  
 model results suggest that increasing urban green cover ( $g_{c,u}$ )  
 reduces peak warming both in wet and seasonally dry climates.  
 When urban vegetation is irrigated, its contribution to cooling  
 becomes dominant in seasonally dry regions where rural areas  
 are water limited resulting in a drier regional atmosphere and  
 lower wet-bulb temperatures in the city (Fig. 2F and Fig. 4B),  
 while its benefits are minor in wet climates. In water scarce  
 regions, cities are cooler not warmer than the surrounding  
 (15, 18) and an increase in irrigated green spaces might  
 jeopardize scarce water resources when further improvements  
 in local micro-climate are desired. In these regions, albedo  
 management could be a valuable alternative to counteract  
 extreme temperatures (Fig. 4B). Future projections suggest



**Fig. 2.** (A) Location of selected European cities and observed/simulated seasonality of  $\Delta T_s$  in (B) Paris (wet climate) and (C) Madrid (seasonally dry climate). Data are digitized from (26). Given that simulated quantities represent monthly averages while data are observations at 13:30 local time (26), both observations and model results in panels B-C are rescaled using their respective annual averages of surface temperature  $\mu_{T_s}$  and SUHI intensity  $\mu_{\Delta T_s}$  (see SI for details). Mean annual, summer, and winter intensities of SUHIs are illustrated in panel D. Colored symbols in panel D show daytime observations of SUHI intensity (26) while gray symbols illustrate mean daily values retrieved from (58). The relation between mean annual rainfall  $\mu_R$  and SUHI intensity proposed by Manoli et al. (18) (summer-mean daily values for world cities) is shown for reference in panel D. Panels E and F illustrates the simulated partition of  $\Delta T_s$  in its main components for Paris and Madrid, respectively. The impact of urban irrigation ( $I_{r,u}=1$ ) on urban-rural changes in evapotranspiration is also shown (green dashed lines in panels E-F). When  $I_{r,u}=1$ ,  $ET$  from urban vegetation is equal to potential evapotranspiration. Marker colors in panels A and D indicate the assumed time lag  $\Delta\phi_{\lambda_R}$  while error bars in B and C indicate  $\pm 1$  std.



**Fig. 3.** Impact of different rainfall-radiation phase shifts  $\Delta\phi_{\lambda_R}$  [d] and mean rainfall frequencies  $\mu_{\lambda_R}$  [ $d^{-1}$ ] on the magnitude and seasonality of urban-rural surface temperature differences  $\Delta T_s$ : (A) simulated scenarios for radiation ( $R_{sw}$ ) and rainfall ( $R$ ), (B) simulated  $\Delta T_s$  as a function of background temperature  $T_s$ , and (C)  $\Delta T_s$  hysteresis cycles as a function of mean relative soil moisture  $\langle x \rangle$ . Simulations are performed using model parameters for the city of Madrid and the respective temperature-radiation time lag  $\Delta\phi_{T_a}$  (see SI).

236 that world cities will grow in size and shift towards warmer  
237 and drier conditions (64, 65). Hence, the efficiency of heat  
238 mitigation strategies should be evaluated with particular care  
239 to both present and future climate scenarios as well as future  
240 trajectories of urban development and adaptation.

241 These results confirm that background climate-vegetation  
242 conditions influence the magnitude of SUHIs (15) and the  
243 efficiency and suitability of urban cooling strategies (18, 66).  
244 Given the seasonality of vegetation, the cooling benefits of  
245 urban green spaces are the highest during summer, i.e. when  
246 mostly needed (61), but for dry climates this optimal timing of  
247 benefits requires irrigation. Albedo modifications (i.e. highly  
248 reflective surfaces) could promote winter cooling, thus reducing  
249 the positive effects of SUHIs during cold periods when urban  
250 warming decreases energy consumption and prevents health  
251 risks associated with extreme cold (67). Nevertheless, the work  
252 here shows that negative albedo effects are dampened by the  
253 seasonality of incoming solar radiation (see Fig. 4 and Fig. S14  
254 in the SI). This result is in line with observational and modeling  
255 evidence showing that the wintertime penalty of white roofs is  
256 negligible compared to the summer savings (68, 69) because  
257 days are shorter and the radiation load is lower.

258 **Limitations and perspectives.** While the proposed approach  
259 provides a new perspective on the seasonality of urban-induced  
260 changes in the surface energy balance, it focuses on remotely-  
261 sensed surface temperatures only and considers city-scale  
262 values, averaged in space and time over monthly timescale.  
263 Clearly, this is not sufficient to quantify thermal comfort and  
264 guide site-specific urban planning solutions. Such consider-  
265 ations require local air temperature, air humidity, and wind  
266 speed at the block/building scale from sub-hourly to interan-  
267 nual timescales (18, 50). In addition, temperature-related risks  
268 for public health largely depend on exposure and vulnerability,  
269 which vary with socio-economic conditions, travel patterns, as  
270 well as human adaptation and acclimatisation (e.g. 70, 71).  
271 Hence, the intensity of SUHI is a necessary but not sufficient  
272 metric to characterize heat stress. Mitigation efforts should  
273 also consider the overall climatic conditions experienced by  
274 citizens rather than excess urban-induced heat alone (72).  
275 Nevertheless, it is important to point out that the SUHI re-  
276 mains an important indicator for urban climate research as  
277 cities cannot control their background climate, but rather they  
278 can only influence the urban-induced perturbation from that  
279 background to improve their climatic conditions. The study  
280 of bulk urban properties and their interwoven relations with  
281 climate in terms of city-scale and monthly averages, as illus-  
282 trated here, can provide useful insights to define guidelines and  
283 orientate interventions for cities and conditions were specific  
284 studies are not available. As the science of cities begins to  
285 mature, general results in a “mean” sense are beginning to be  
286 uncovered, which is one of the main contributions here.

## 287 **Broader impact**

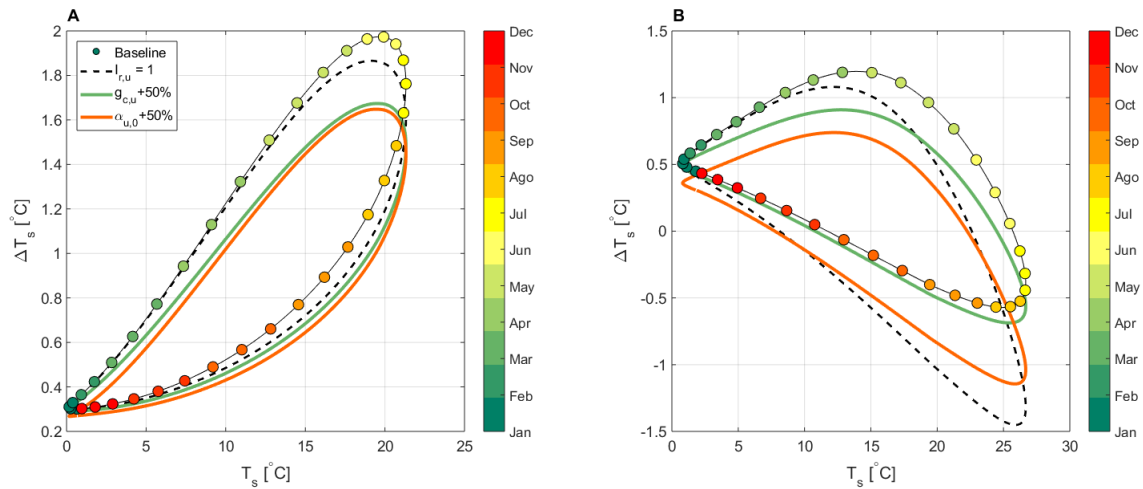
288 This study demonstrates that background climate-vegetation  
289 characteristics impact not only the mean intensity of urban  
290 warming (15, 55) but also its seasonality. Many studies in  
291 the literature overlook the seasonal variability of SUHIs as  
292 the adverse impacts of urban warming are often considered to  
293 peak during summertime (13, 14). As a consequence, SUHI  
294 intensities across climatic gradients are generally reported as

295 annual (e.g. 15) or summer/winter (e.g. 18) averages. Recently,  
296 however, it has been shown that the largest  $\Delta T_s$  values occur in  
297 spring rather than summer for many cities in China (55). Sim-  
298 ilarly, many urban areas in India experience negative SUHIs  
299 during the pre-monsoon summer because of reduced rural  
300 evapotranspiration (*ET*) (73). Conversely, rural cooling is en-  
301 hanced when the surrounding landscapes are highly irrigated  
302 (16) and during the wet season (74) when urban-rural *ET* dif-  
303 ferences are the highest. These results are consistent with the  
304 hysteretic patterns analyzed and explained here using a coarse-  
305 grained model. In general, the intensity of UHIs (both in terms  
306 of air and surface temperatures) is directly linked to local pre-  
307 cipitation (15, 17, 18, 28) and “urban cool islands” generally  
308 occur in seasonally dry climates (15, 16, 73, 75) where sparse  
309 natural vegetation generates barren surfaces that have lower  
310 *ET*, sometimes lower albedo, and are less efficient in dissipat-  
311 ing heat than three-dimensional urban fabrics (15, 73, 76).  
312 Urban irrigation can significantly contribute to cooling during  
313 summertime (73), but model results here suggest that urban  
314 “oasis” effects exist because of a combination of urban-rural  
315 characteristics rather than urban *ET* alone (75).

316 In conclusion, seasonal SUHIs are characterized by distinc-  
317 tive temporal dynamics associated with climate-vegetation  
318 conditions varying across seasons and water availability gra-  
319 dients. At the city scale, these mechanisms can be described  
320 by water and energy conservation principles, thus confirming  
321 that concepts and methods from ecohydrology and complex  
322 systems science can be bridged to quantify urban-induced  
323 changes in local climate (18, 77). Our results provide evidence  
324 for the hypothesis of an urban-rural phase shift mechanism (26)  
325 generated by radiation, temperature, and rainfall seasonality  
326 and causing the observed hysteretic behavior of  $\Delta T_s$ . In wet  
327 regions, the phase shift between radiation and temperature  
328 largely explains the seasonality of SUHIs, while in dry climates,  
329 hysteresis is further controlled by the intra-annual variability  
330 of rainfall and its impact on soil moisture, background evapo-  
331 transpiration, and albedo. For this reason, in dry climates the  
332 shape of the hysteretic curve is more susceptible to changes in  
333 the rainfall regime than in wet regions. Future modifications  
334 of background temperature and rainfall intensity/seasonality  
335 associated with global climate change may alter the current  
336 seasonality of SUHIs (78, 79) and further research is needed  
337 in this direction. Regarding SUHI mitigation, we have shown  
338 that the efficiency of different strategies vary across seasons  
339 and climate regions. Urban planning can exploit the shape of  
340 the hysteretic curve (4) as it encodes much of the processes  
341 that impact seasonal SUHI intensities. This analysis is in-  
342 tended to complement and not replace city-specific studies  
343 that are needed to design local-scale heat mitigation strate-  
344 gies and avoid negative impacts on potentially scarce water  
345 resources (63).

## 346 **Materials and Methods**

347  
348 **SUHIs and background climate.** Observations of SUHIs for the  
349 cities of Paris, London, Milan, Madrid, and Nicosia are digi-  
350 tized from Zhou et al. (26, 55). Data represent mean monthly  
351 values of daytime SUHI intensity retrieved at 13:30 local time  
352 from 2006 to 2011. Monthly mean daily values of SUHIs calcu-  
353 lated from daytime and nighttime observations (58) (available at  
354 <https://yceo.users.earthengine.app/view/uhimap> for year 2001) are also  
355 presented for comparison (Fig. 2D and results in the SI). SUHI data



**Fig. 4.** Simulated seasonality of  $\Delta T_s$  in (A) Paris (wet climate), and (B) Madrid (seasonally dry climate) considering different heat mitigation strategies: urban irrigation (dashed line), 50% increase in urban green cover  $g_{c,u}$  (green line), and 50% increase in urban albedo  $\alpha_{u,0}$  (orange line). Markers indicate the baseline scenario. In the case of irrigation ( $I_{r,u}=1$ ), urban  $ET$  is equal to potential evapotranspiration.

are based on clear-sky conditions only so that the analysis is not representative of the full range of urban conditions experienced by cities, especially where cloudy days are frequent. Note also that seasonal variations in day length can introduce additional uncertainties in the estimated values of mean daily SUHIs as they are calculated by averaging daytime and nighttime observations (80).

To illustrate phase-shifts, we purposely represented the seasonal variability of background climate as a sine function (e.g. 81, 82), i.e.  $\Gamma(t) = \mu_\Gamma + A_\Gamma \sin[\omega \cdot (t + \phi_\Gamma)]$ , where  $\Gamma$  is a stand-in variable for  $R_{sw}(t)$ ,  $T_a(t)$ ,  $W_s(t)$ , and  $\lambda_R(t)$ ,  $\mu_\Gamma$  is the variable mean,  $A_\Gamma$  is the amplitude,  $\phi_\Gamma$  is the phase shift and  $\omega = \frac{2\pi}{\tau}$  with period  $\tau=365$  days. The time lag between shortwave radiation and rainfall is defined as  $\Delta\phi_{\lambda_R} = \phi_{R_{sw}} - \phi_{\lambda_R}$ . Similarly, the time lag between radiation and temperature is  $\Delta\phi_{T_a} = \phi_{R_{sw}} - \phi_{T_a}$ . Sine functions for each city are fitted to monthly meteorological data retrieved from the Modern Era Retrospective-Analysis for Research and Applications (MERRA) (83). Consistent with prior studies (26), data for years 2006-2011 are used. Rainfall seasonality does not always follow clear sinusoidal trends and the selected sine functions should be considered as prototypical examples of intra-annual variability rather than matching exactly site-specific conditions. Also, the focus here is on two contrasting climatic conditions defined as “wet” and “seasonally dry” to highlight the occurrence of distinctive hysteretic patterns. The former indicates continental/temperate regions with summer rainfall and vegetation in well-watered conditions throughout the year or for a large part of it, while the latter indicates Mediterranean climates characterized by dry summers and prolonged water-limitation to evapotranspiration. However, more complex seasonal dynamics occur on continental scales (26) where bimodal rainfall patterns (84) and agriculture/irrigation in rural areas (16) may alter the hysteretic cycles illustrated here. Also, hysteresis is likely to disappear in aseasonal tropical regions where rainfall and temperature are relatively constant throughout the year. Land surface diagnostics by MERRA are then employed to assess the accuracy of simulated background albedo, leaf area index, and evapotranspiration (see SI). Mean monthly surface temperature is estimated from air temperature assuming a linear relation, i.e.  $T_s(T_a) = a_T \cdot T_a + b_T$  (18).

**Mathematical model.** The mathematical model is structured as follows. First a stochastic soil moisture balance is solved on daily time scales to describe the seasonal dynamics of soil water, evapotranspiration, leaf area index, and surface albedo in rural areas. Then, urban-induced changes in surface temperature  $\Delta T_s$  are estimated by means of a coarse-grained SUHI model that accounts for urban-rural changes in surface albedo, emissivity, evapotranspiration, convection efficiency, and anthropogenic heat. This framework provides a fully coupled description of the urban-rural system linking rainfall statistics and soil moisture dynamics to seasonal SUHI variations via changes in ET and albedo. Further details on the

model development are now presented.

**Stochastic soil water balance.** At daily timescales, the degree of saturation ( $s$ ) dynamics can be described by a dominant balance between intermittent rainfall pulses ( $R$ ), evapotranspiration ( $ET$ ), leakage and runoff ( $LQ$ ) (51, 52), i.e.

$$nZ_r \frac{ds(t)}{dt} = R(t) - ET(s(t), t) - LQ(s(t), t); \quad [5]$$

where  $n$  is soil porosity and  $Z_r$  the rooting depth. On daily time scales, rainfall  $R$  [ $\text{mm d}^{-1}$ ] can be modeled as a marked Poisson process with frequency  $\lambda_R$  [ $\text{d}^{-1}$ ] and events characterized by a random rainfall depth with exponential distribution of mean  $a$  [ $\text{mm}$ ]. Given the stochastic nature of rainfall, Eq. 5 requires a solution in probabilistic terms (37, 51, 52). Defining a standardized relative soil moisture  $x(t) = (s(t) - s_w)/(s_1 - s_w)$ , where  $s_w$  is the wilting point and  $s_1$  is a threshold around field capacity, considering temporal averages  $\langle x(t) \rangle$  taken from an ensemble of stochastic rainfall realizations, and neglecting daily fluctuations around the mean, Eq. 5 can be rewritten as:

$$\frac{d\langle x(t) \rangle}{dt} = \frac{\lambda_R(t)}{\gamma(t)} - k(t)\langle x(t) \rangle - \frac{\lambda_R(t)}{\gamma(t)} e^{-\gamma(t)(1-\langle x(t) \rangle)}; \quad [6]$$

where  $\gamma(t) = \frac{w_0}{a(t)}$  is the soil storage index,  $k(t) = \frac{ET_{max}(t)}{w_0}$  is the normalized potential evapotranspiration, and  $w_0 = nZ_r(s_1 - s_w)$  is the maximum plant-available soil water storage. Potential evapotranspiration  $ET_{max}$  is computed from monthly mean shortwave radiation  $R_{sw}$  [ $\text{MJ m}^{-2} \text{d}^{-1}$ ] and air temperature  $T_a$  [ $^{\circ}\text{C}$ ] with the Turc model (85, 86), i.e.  $ET_{max}(t) = 0.013 \cdot \frac{T_a(t)}{T_a(t)+15} (23.89 \cdot R_{sw}(t) + 50)$  [ $\text{mm d}^{-1}$ ].

Eq. 6 is a nonlinear ODE that can be solved numerically starting from an initial condition  $\langle x(t_0) \rangle$  once the model parameters are known. To ensure a consistent value of the initial condition, a spin-up simulation of 5 years is run, thus eliminating any influence of  $\langle x(t_0) \rangle$  on the simulated seasonal patterns. To keep the model simple, a constant rainfall depth per event  $a(t)=15$  mm is employed and only the number of events is assumed to vary among cities (i.e.,  $\lambda_R$ ). The value of  $a$  is consistent with the assumption of  $\gamma=5.5$  employed in the literature (37, 51).

**Coarse-grained SUHI model.** The intensity of SUHIs can be derived from the surface energy balance considering urbanization as a first-order perturbation to the rural base state. Specifically, following the derivation by Manoli et al. (18), urban-rural surface temperature differences  $\Delta T_s$  can be expressed as:

$$\Delta T_s(t) = \frac{1}{f_s(t) - \frac{\gamma}{a_T} f_a(t)} \Delta S(t); \quad [7]$$

where  $f_s$  and  $f_a$  [ $\text{W m}^{-2} \text{K}^{-1}$ ] are energy redistribution factors associated with surface and air temperature, respectively (87),  $\Delta S$  [ $\text{W m}^{-2}$ ] is the differential energy forcing due to urban-induced changes in the surface energy balance and  $\gamma$  and  $a_T$  are parameters accounting for the coupling between  $T_s$  and  $T_a$ . The  $\Delta T_s$  in Eq. 7 represent mean daily values of SUHI intensity as daytime/nighttime conditions are smoothed over on monthly timescales and heat storage effects are neglected (18).

To directly link evapotranspiration to incoming solar radiation, the model presented by Manoli et al. (18) is modified by neglecting changes in  $ET$  due to  $\Delta T_s$  (87), i.e.  $ET(T_s + \Delta T_s) = ET(T_s) + \frac{dET}{dT_s} \Delta T_s + \dots \simeq ET(T_s)$ . Under this assumption, the terms in Eq. 7 become:

$$\Delta S(t) = -R_{sw}(t)\Delta\alpha(t) + \sigma(\varepsilon_a T_a(t)^4 - T_s(t)^4)\Delta\varepsilon_s - \lambda\Delta ET(t) + \rho c_p \frac{T_s(t) - T_a(t)}{r_a(t)^2} \Delta r_a(t) + \Delta Q_{ah}(t) \quad [8]$$

and

$$f_s(t) = \frac{\rho c_p}{r_a(t)} + 4\sigma\varepsilon_s T_s(t)^3; \quad [9]$$

$$f_a(t) = \frac{\rho c_p}{r_a(t)} + 4\sigma\varepsilon_s \varepsilon_a T_a(t)^3; \quad [10]$$

where  $\Delta$  is a perturbation reflecting urban-rural differences,  $\sigma$  is the Stefan-Boltzmann constant,  $\varepsilon_s$  and  $\varepsilon_a$  are the land surface and atmosphere emissivities, respectively,  $\rho$  is the mean air density,  $r_a$  is the aerodynamic resistance,  $c_p$  is the specific heat of air at constant pressure, and  $\Delta Q_{ah} \sim Q_{ah,u}$ , with  $Q_{ah,u}$  the anthropogenic heat flux from the urban surface.

A description of the different terms in Eq. 8 is provided below while model parameters are listed in the SI (Table S1). Results presented in the SI demonstrate that the assumption of a negligible  $ET - \Delta T_s$  feedback is reasonable at the city and monthly scale and the modified model version is capable of reproducing the global  $\Delta T_s$  patterns reported elsewhere (18).

Changes in albedo are computed as  $\Delta\alpha(t) = \alpha_u(t) - \alpha(t)$ , where  $\alpha_u$  is the mean urban albedo. To account for the impact of vegetation on (snow-free) rural albedo, Rechid et al. (88) proposed the following relation:

$$\alpha(t) = \alpha_{soil} e^{-0.5 \cdot LAI(t)} + \alpha_{canopy} \cdot (1 - e^{-0.5 \cdot LAI(t)}); \quad [11]$$

where  $LAI$  is the leaf area index assumed to vary linearly with  $ET$ , i.e.  $LAI(t) = a_1 + a_2 \cdot ET(t)$ , where  $a_1$  and  $a_2$  are model parameters. Although simplistic, this approach provides reasonable estimates of albedo seasonal dynamics (see Fig. S3-S7 in the SI) and is considered adequate for the purpose of this study. The urban albedo is computed as:

$$\alpha_u(t) = (1 - g_{c,u}) \cdot \alpha_{u,0} + g_{c,u} \cdot \alpha_{gc}(t); \quad [12]$$

where  $g_{c,u}$  is the urban green cover and  $\alpha_{u,0}$  is the average albedo of the urban surface. The albedo of the urban green cover,  $\alpha_{gc}$ , is computed using Eq. 11 but considering the  $LAI$  and  $ET$  of urban vegetation.

Rural evapotranspiration is calculated as  $ET(t) = \langle x(t) \rangle ET_{max}(t)$  and urban-rural changes in  $ET$  are computed as  $\Delta ET(t) = g_{c,u} ET_u(t) - g_c ET(t)$  where  $g_c \sim 1$  is the rural green cover and evapotranspiration by urban vegetation is defined as:

$$ET_u(t) = ET(t) + I_{r,u} \cdot (ET_{max}(t) - ET(t)); \quad [13]$$

with  $I_{r,u}$  an irrigation index varying between 0 (natural conditions) and 1 (no water supply limitations so that  $ET_u = ET_{max}$ ).

Urban emissivity ( $\varepsilon_{s,u}$ ) and aerodynamic resistance ( $r_{a,u}$ ) are calculated according to Manoli et al. (18). The mean building height ( $h_{c,u}$ ) of each city is computed considering the scaling of  $h_{c,u}$  with population  $N$  (89) and then employed to estimate the aerodynamic resistance,  $r_{a,u}$ , the sky view factor  $v_{sky}$ , and  $\varepsilon_{s,u}$ . To account for the effect of building density on surface roughness, the parameterization proposed by Macdonald et al. (90) is used in the calculation of  $r_{a,u}$ . The rural aerodynamic resistance is computed considering an average vegetation height  $h_c$  that varies depending on climate and well established height-based parameterizations for roughness (18).

The anthropogenic heat flux generated by urban areas is known to increase with population density  $\rho_N = N/A_u$  (e.g. 91–94), i.e.  $Q_{ah,u} \sim q_{ah,u}(T_{a,u}) \cdot \rho_N$ , where  $A_u$  is the urban area,  $T_{a,u}$  the urban air temperature, and  $q_{ah,u}$  accounts for metabolic, vehicle, and building heat emission rates. Assuming that  $T_{a,u} \sim T_a$ , seasonal variations in heat emissions (e.g. associated with variations in the energy demand of buildings) are modeled as  $q_{ah,u}(T_a) = a_{q0} + a_{q1} CDD(T_a) + a_{q2} HDD(T_a)$  (94, 95) where  $CDD = H[T_a - T^*] \cdot (T_a - T^*)$  and  $HDD = H[T^* - T_a] \cdot (T^* - T_a)$  are the cooling and heating degree days, respectively,  $T^*$  is the base temperature,  $H[\cdot]$  is the Heaviside step function, and  $a_{q0}$ ,  $a_{q1}$ , and  $a_{q2}$  are model parameters (94). Note that the calculation of  $q_{ah,u}$  on daily timescales might underestimate the actual anthropogenic flux. However, the focus here is on city-scale averages that includes low density residential zones and model results are consistent with literature values for suburban areas (see SI).

**Code availability.** The MATLAB code (<https://www.mathworks.com/products/matlab.html>) of the coarse-grained SUHI model is available on Code Ocean (<https://doi.org/10.24433/CO.9808462.v1>).

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