

1 **Altered Hippocampal-Prefrontal Neural Dynamics in Mouse Models of Down Syndrome**

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3 **Pishan Chang^{1,2}**

4 **Daniel Bush³**

5 **Stephanie Schorge¹**

6 **Mark Good⁴**

7 **Tara Canonica⁴**

8 **Nathanael Shing¹**

9 **Suzanna Noy²**

10 **Frances K. Wiseman²**

11 **Neil Burgess³**

12 **Victor L.J. Tybulewicz^{5, 6, 7}**

13 **Matthew C. Walker^{1, 7, 8}**

14 **Elizabeth M.C. Fisher^{2, 7}**

15 **1** Department of Clinical and Experimental Epilepsy, UCL Queen Square Institute of Neurology,
16 London, WC1N 3BG, UK

17 **2** Department of Neuromuscular Diseases, UCL Queen Square Institute of Neurology, London,
18 WC1N 3BG, UK

19 **3** UCL Institute of Cognitive Neuroscience, UCL Queen Square Institute of Neurology,
20 University College London, WC1N 3AZ, UK

21 **4** School of Psychology (College of Biomedical and Life Sciences), Cardiff University, Cardiff,
22 CF10 3AT, UK

23 **5** Francis Crick Institute, London, NW1 1AT, UK

24 **6** Department of Medicine, Imperial College, London, W12 0NN, UK

25 **7** These authors contributed equally

26 **8** Lead contact: m.walker@ucl.ac.uk

27 **Summary**

28 Altered neural dynamics in medial prefrontal cortex (mPFC) and hippocampus may contribute
29 to cognitive impairments in the complex chromosomal disorder, Down Syndrome (DS). Here,
30 we demonstrate non-overlapping behavioural differences associated with distinct
31 abnormalities in hippocampal and mPFC electrophysiology during a canonical spatial working
32 memory task in three partially trisomic mouse models of DS (Dp1Tyb, Dp10Yey, Dp17Yey) that
33 together cover all regions of homology with human chromosome 21 (Hsa21). Dp1Tyb mice
34 showed slower decision-making (unrelated to the gene dose of *DYRK1A*, which has been
35 implicated in DS cognitive dysfunction) and altered theta dynamics (reduced frequency,
36 increased hippocampal-mPFC coherence, increased modulation of hippocampal high
37 gamma); Dp10Yey mice showed impaired alternation performance and reduced theta
38 modulation of hippocampal low gamma; while Dp17Yey mice were not significantly different
39 from wild-type. These results link specific hippocampal and mPFC circuit dysfunctions to
40 cognitive deficits in DS models and, importantly, map them to discrete regions of Hsa21.

41

42 **Keywords:** Down syndrome, Trisomy 21, hippocampus, prefrontal cortex, memory, executive
43 function, theta, gamma, functional connectivity

44 Introduction

45 Down syndrome (DS) is a complex cognitive disorder arising from trisomy of human
46 chromosome 21 (Hsa21) with an incidence of ~ 1 in 800 live births worldwide (de Graaf et al.,
47 2015). The current global population of people with DS is estimated at 6 million (Hanney et
48 al., 2012) and prevalence is rising, primarily due to an increase in maternal age (a major risk
49 factor for DS) and increased life expectancy in people with DS resulting from reduced infant
50 mortality rates and improved access to healthcare (Loane et al., 2012; Sherman et al., 2007;
51 Wu and Morris, 2013). DS is characterised by intellectual disability (Grieco et al., 2015; Lott
52 and Dierssen, 2010) and prominent impairments in planning, decision-making, and memory
53 function (Clark et al., 2017; Grieco et al., 2015; Lanfranchi et al., 2010; Lavenex et al., 2015;
54 Pennington et al., 2003; Rowe et al., 2006) which likely arise from functional abnormalities of
55 hippocampus and medial prefrontal cortex (mPFC; Anderson et al., 2013; Lott and Dierssen,
56 2010; Nadel, 2003; Nelson et al., 2005; Pennington et al., 2003; Rowe et al., 2006; Ruiz-Mejias
57 et al., 2016). Increased dosage of single genes in Hsa21, such as *Dyrk1A*, have been proposed
58 to account for many of the alterations in neural development and abnormal phenotypes
59 associated with DS, and thus to be targets for therapy development (Duchon and Heralut,
60 2016).

61 Activity in the hippocampus and mPFC can be characterised by oscillations in the theta and
62 gamma bands. Hippocampal theta oscillations are associated with translational movement
63 (Bohbot et al., 2017; O'Keefe and Nadel, 1978) and mnemonic function (Fell and Axmacher,
64 2011; Guderian et al., 2009; Winson, 1978) across species, and can modulate synaptic
65 plasticity (Hasselmo et al., 2002). Moreover, hippocampal theta modulates the amplitude of
66 concomitant gamma oscillations both locally and across the neocortex (Buzsáki and Chrobak,
67 1995; Canolty et al., 2006; Sirota et al., 2008), and task-related increases in phase-amplitude
68 coupling are associated with successful memory encoding (Tort et al., 2009). In humans, theta
69 oscillations in mPFC are observed during working memory maintenance (Griesmayr et al.,
70 2010; Raghavachari et al., 2001) and long-term memory retrieval (Kaplan et al., 2014;
71 Klimesch et al., 2001), while increases in theta coherence between hippocampus and mPFC
72 are associated with planning and decision-making across species (Benchenane et al., 2010;
73 Guitart-Masip et al., 2013; Jones and Wilson, 2005; Siapas et al., 2005; Young and
74 McNaughton, 2009).

75 To further elucidate the neural mechanisms underlying cognitive deficits associated with DS,
76 we studied three chromosome engineered mouse models that each exhibit trisomy for one
77 region of orthology with human chromosome Hsa21 – referred to here as the Dp1Tyb,
78 Dp10Yey and Dp17Yey strains (full nomenclature given in STAR Methods; Lana-Elola et al.,
79 2016; Yu et al., 2010a). In combination, these three mouse strains are triplicated for almost
80 all the genes on Hsa21. We hypothesised that these trisomic mice might exhibit distinct
81 cognitive impairments, corresponding to distinct alterations in oscillatory activity patterns
82 within hippocampus and mPFC (Anderson et al., 2013; Ruiz-Mejias et al., 2016). Hence, we
83 carried out simultaneous local field potential (LFP) recordings from those regions while mice
84 performed a canonical test of spatial working memory - the spontaneous alternation task -
85 which, importantly, can dissociate mnemonic function (i.e. alternation success; Deacon and
86 Rawlins, 2006; Sarnyai et al., 2000; Wenk, 2001) from planning and decision-making processes
87 (i.e. trial latency; Bizon et al., 2012; Pioli et al., 2014).

88 Here, we demonstrate that distinct behavioural impairments associated with DS are exhibited
89 by animals with different regions of homology and, crucially, that these impairments are

90 associated with distinct alterations in neural dynamics across hippocampus and mPFC.
91 Moreover, reducing the ‘dose’ of *Dyrk1a* – a gene which has been suggested to be critically
92 important for neural function in DS (Arron et al., 2006; Fotaki et al., 2002; Guimera et al.,
93 1999; Hämmerle, et al., 2008; Park et al., 2009; Tejedor and Hämmerle, 2010) - was not
94 sufficient to rescue the observed differences in behaviour, supporting the hypothesis that
95 cognitive impairments in DS do not necessarily map to single genes. By taking an unbiased
96 approach to the gene content of these partially trisomic mice, and by combining behavioural
97 and electrophysiological methodologies, we have therefore identified critical circuit
98 dysfunction in DS models that paves the way for future determination of key dosage-sensitive
99 gene combinations underlying cognitive phenotypes in this complex chromosomal disorder.

100

101 **Results**

102 **Impaired Spatial Working Memory in Dp10Yey Mice and Decision-Making in Dp1Tyb Mice**

103 Impairments in planning, decision making, and memory function have a significant impact on
104 the lives of people with DS. In order to dissect the mechanisms underlying these cognitive
105 deficits, we studied three mouse lines that are triplicated for the three mouse chromosome
106 regions syntenic to Hsa21. The Dp1Tyb mouse strain has a 23Mb duplication of the Hsa21-
107 syntenic region of Mmu16 which contains 148 coding genes with orthologues on Hsa21 (Lana-
108 Elola et al., 2016); the Dp10Yey strain is duplicated for the Hsa21-syntenic region of Mmu10,
109 which encodes 39 Hsa21 protein coding genes; and the Dp17Yey line is duplicated for the
110 Hsa21-syntenic region of Mmu17 which encodes 19 protein coding genes (Yu et al., 2010a).
111 Together, these mice make up a ‘mapping panel’, such that phenotypes found in any one
112 strain are likely to arise from having an additional (i.e. third) copy of the specific Hsa21
113 orthologues within that strain.

114 We began by comparing cognitive function in male Dp1Tyb, Dp17Yey and Dp10Yey mice at 3
115 months of age with age- and sex- matched wild-type (WT) littermate control cohorts using a
116 canonical spatial alternation task (Figure 1A-C; see Figure S1A-C for further details and Table
117 S1 for trial and animal numbers). Importantly, this task can assay both mnemonic function (by
118 examining the propensity to spontaneously alternate between goal arms on successive trials)
119 and decision making (by examining the time taken to choose and enter a goal arm).
120 Intriguingly, we found that distinct functional deficits were exhibited by each mutant mouse
121 strain, suggesting that trisomy of discrete Hsa21 orthologues can have divergent effects on
122 cognitive function.

123 First, we found that Dp10Yey mice exhibited alternation rates that were significantly lower
124 than their WT littermates and did not differ from chance (Figure 1E). In contrast, alternation
125 rates in Dp1Tyb and Dp17Yey mice did not differ significantly from those of WT mice and were
126 significantly above chance in both strains (Figure 1D,F; see Figure S1D for further details), with
127 no difference in alternation rates between WT cohorts (Figure S2A). These results suggest
128 that Dp10Yey mice have impaired memory function.

129 Second, we examined trial latencies, defined as the time taken to make a final crossing of the
130 decision point prior to turning into the goal arm (see STAR Methods). We found that these
131 were significantly greater in Dp1Tyb mice compared to their WT littermates (Figure 1G); while
132 no differences were observed between Dp10Yey or Dp17Yey mice and their respective
133 control groups (Figure 1H,I) or between any of the WT cohorts (Figure S2B). Importantly, the

134 increased trial latency exhibited by Dp1Tyb mice could not simply be accounted for by motor
135 impairments, independent of decision making processes, as we found no differences in
136 average running speed between any mutant mouse group and their WT littermates.
137 Conversely, Dp1Tyb mice spent a significantly greater proportion of each trial immobile, prior
138 to making a decision, with no differences between either of the other mutant mouse strains
139 and their control groups (Figure S3). In sum, these results suggest that decision-making
140 processes are disrupted in Dp1Tyb mice, despite relatively intact mnemonic function, while
141 Dp10Yey and Dp17Yey mice are spared.

142 Finally, previous studies of transgenic mouse models of DS have led to the proposal that the
143 overexpression of *Dyrk1a* (and thus an increased dosage of the DYRK1A protein) makes a
144 critical contribution to neurological and behavioural abnormalities by shifting the
145 excitation/inhibition balance towards inhibition, for example (Ruiz-Mejias et al., 2016;
146 Souchet et al., 2014). The *Dyrk1a* gene maps to the Mmu16 region of Hsa21 and so is
147 duplicated within the Dp1Tyb strain. To assess the behavioural consequences of altering the
148 copy number of *Dyrk1a* in Dp1Tyb mice, we crossed Dp1Tyb animals with those carrying a
149 disrupted *Dyrk1a* gene to generate Dp1Tyb**Dyrk1a*KO mice that are still duplicated for
150 Hsa21-orthologous coding genes on Mmu16, but have only 2 functional copies of *Dyrk1a*.
151 Interestingly, these Dp1Tyb**Dyrk1a*KO mice exhibited both a similar alternation rate to
152 Dp1Tyb mice and a similarly prolonged decision-making (latency) phenotype (Figure S4).
153 Thus, reduction of the *Dyrk1a* copy number from three to two did not rescue the increased
154 trial latency exhibited by Dp1Tyb mice. This finding indicates that triplication of *Dyrk1a* is not
155 necessary to produce the decision-making deficit in Dp1Tyb mice, which must therefore arise
156 from other gene(s) in this region of Hsa21 homology.

157

158 **Reduced Theta Frequency in Dp1Tyb Mice**

159 Successful memory encoding and retrieval are associated with increased theta power in both
160 hippocampus (Fell and Axmacher, 2011; Guderian et al., 2009; Winson, 1978) and mPFC
161 (Griesmayr et al., 2010; Kaplan et al., 2014; Klimesch et al., 2001; Raghavachari et al., 2001)
162 across species. Furthermore, a reduction in hippocampal theta frequency has been directly
163 linked to impaired spatial memory performance in a rodent model of temporal lobe epilepsy
164 (Richard et al., 2013). Hence, we next analysed LFP recordings from hippocampus and mPFC
165 during spatial alternation in the T-maze (see Figure S5 for details of electrode placement).
166 Initially, we focused our analyses on a 10s window centred on the time at which animals
167 crossed the decision point, averaged across periods of memory encoding and retrieval from
168 the sample and choice runs, respectively (see STAR Methods for further details).

169 As expected, average power spectra from the mPFC (Figure 2A-C), and hippocampus (Figure
170 2D-F) across all animals showed a prominent peak in the 6-12Hz theta band during this period.
171 Interestingly, although theta power did not differ between mouse lines, we found that theta
172 frequency in both the mPFC (Figure 2A) and hippocampus (Figure 2D) was consistently lower
173 in Dp1Tyb mice compared to WT controls. In contrast, no difference in theta frequency was
174 observed in either region in Dp10Yey or Dp17Yey mice compared to their control cohorts
175 (Figure 2B-C, E-F), or between WT cohorts (Figure S2C,D).

176 To establish whether the reduction in theta frequency observed in Dp1Tyb mice arose simply
177 from the increased time that those animals spent immobile, we subsequently restricted our
178 analysis to periods of movement only (see STAR Methods). Consistent with the results above,

179 theta frequency in both the hippocampus and mPFC of Dp1Tyb mice was still significantly
180 lower than WT controls when periods of immobility were excluded. Moreover, this resulted
181 from a reduction in the intercept, but not the slope, of the running speed v theta frequency
182 relationship in both regions (Figure S6A-D). Intriguingly, no differences in theta power or
183 frequency were observed during the 100s habituation phase, prior to the start of the task
184 (Figure S7), suggesting that the reduction in theta frequency observed in Dp1Tyb mice was
185 task-dependent. However, we did not record tracking data during the habituation phase, and
186 so cannot rule out the possibility that differences in movement statistics between cohorts can
187 account for these results. In sum, these data suggest that Dp1Tyb mice, which exhibit slower
188 decision making, also show a general slowing of theta band oscillations across hippocampal
189 and medial prefrontal regions during spatial alternation, independent of running speed.

190 Next, we looked for differences in theta power and frequency between the first and second
191 (sample and choice) runs, which are associated with memory encoding and retrieval,
192 respectively. We identified a significant increase in hippocampal and medial prefrontal theta
193 power during the second run in both Dp10Yey and WT, Dp17Yey and WT cohorts (i.e. a main
194 effect, independent of genotype), and a significant run x genotype interaction on
195 hippocampal theta power in the Dp1Tyb and WT cohort (Figure S8). Subsequent analysis
196 indicated that this interaction was driven by Dp1Tyb animals showing reduced hippocampal
197 theta power on the second run, while their WT control animals showed increased theta power
198 during the same period, consistent with the other groups. The observed increase in theta
199 power during the choice run is consistent with the involvement of theta oscillations in
200 memory retrieval (Kaplan et al., 2014; Klimesch et al., 2001; Winson et al., 1978), and it is
201 interesting to note that only Dp1Tyb mice did not show this effect, alongside the reduction in
202 theta frequency described above.

203

204 **Altered Hippocampal Phase-Amplitude Coupling in Dp1Tyb and Dp10Yey Mice**

205 Coherence between the phase of theta oscillations and the amplitude of concurrent gamma
206 band oscillations is prevalent in the rodent hippocampus (Bragin et al., 1995; Colgin et al.,
207 2009) and across human neocortex (Canolty et al., 2006). In addition, theta-gamma phase-
208 amplitude coupling (PAC) has been implicated in successful memory function (Lisman, 2005;
209 Tort et al., 2009). Hence, we asked whether the three DS mouse lines exhibited abnormal PAC
210 that might be associated with the observed differences in behaviour. Average cross-frequency
211 coherence images across all animals revealed two distinct PAC peaks in the hippocampal LFP:
212 one between 6-12Hz theta and 60-120Hz 'low gamma' (LG) oscillations; and another between
213 6-12Hz theta and 140-160Hz 'high gamma' (HG) oscillations (Figure S9A), while theta phase
214 modulation of LG or HG amplitude was entirely absent in mPFC (Figure S9B).

215 Interestingly, subsequent analyses indicated that the magnitude of hippocampal PAC in each
216 pair of frequency bands also differed between specific DS models and WT controls. First, we
217 found that theta-HG PAC was significantly increased in the Dp1Tyb group – which exhibited
218 slowed decision making - relative to WT controls, but not in any other mouse strain (Figure
219 3A). Secondly, we found that theta-LG PAC was significantly reduced in the Dp10Yey group –
220 which showed impaired spatial alternation - relative to WT controls, but not in any other
221 strain (Figure 3B). There was no alteration in hippocampal PAC across any pair of frequency
222 bands in Dp17Yey animals, which also exhibit no significant differences in behaviour
223 compared to their WT control group (Figure 3C), and no differences in theta-LG or theta-HG

224 PAC between WT cohorts (Figure S2E,F). Importantly, the apparent increase in lower theta
225 band coupling with LG amplitude exhibited by Dp1Tyb and Dp17Yey animals, concomitant
226 with a decrease in higher theta band coupling with LG amplitude (Figure 3A,C), was simply
227 due to differences in peak theta frequency between groups (Figure S10). In addition, we found
228 no evidence for a difference in LG or HG power between mutant mice and their WT controls
229 (Figure S11).

230 To confirm that the increased theta-HG PAC observed in Dp1Tyb mice did not arise from
231 differences in movement statistics, we subsequently removed any effect of average time
232 spent immobile on average theta-HG PAC values across animals in both mutant and control
233 groups by linear regression, and then compared the residual values between groups. This
234 analysis confirmed that the increased theta-HG PAC in hippocampus exhibited by Dp1Tyb
235 mice was independent of differences in movement statistics (Figure S6E,F). In sum, these data
236 distinguish changes in hippocampal theta phase modulation of local high (Dp1Tyb) and low
237 (Dp10Yey) gamma amplitude in a manner that can be associated with increased trial latency
238 and impaired spatial alternation, respectively.

239

240 **Increased Hippocampal-mPFC Theta Coherence in Dp1Tyb Mice**

241 Planning, decision-making, memory encoding and retrieval processes are each associated
242 with increased functional connectivity between the hippocampus and mPFC in both rodents
243 (Benchenane et al., 2010, 2011; Jones and Wilson, 2005; Siapas et al., 2005; Young and
244 McNaughton, 2009) and humans (Guitart-Masip et al., 2013; Kaplan et al., 2014).
245 Interestingly, abnormalities in functional connectivity have also been implicated in various
246 neurodevelopmental disorders, including DS (Anderson et al., 2013; Vega et al., 2015). Hence,
247 we next examined theta and gamma band coherence between hippocampus and mPFC, with
248 the hypothesis that differences in functional connectivity between those regions might be
249 associated with the cognitive impairments observed in these DS mice.

250 First, we found that theta coherence between the hippocampus and mPFC was significantly
251 greater in Dp1Tyb mice compared to WT littermates (Figure 4A), while no such differences
252 were observed between Dp10Yey or Dp17Yey mice and their control groups (Figure 4B,C), or
253 between WT cohorts (Figure S2G). In addition, there were no differences in either low or high
254 gamma coherence between hippocampus and mPFC in any mutant mouse group compared
255 to their WT controls (Figure S12). To confirm that the increased theta coherence observed in
256 Dp1Tyb mice, compared to their WT littermates, did not simply arise due to the observed
257 differences in movement statistics, we again removed any effect of average time spent
258 immobile on theta coherence values across animals in both groups by linear regression, and
259 then compared the residual values between groups (Figure S6G,H). This confirmed that the
260 increased theta coherence exhibited by Dp1Tyb mice was independent of differences in
261 movement statistics.

262 To further characterise potential changes in functional connectivity across mouse lines, we
263 extracted the theta phase lag between hippocampus and mPFC in order to assess the
264 direction of communication between these regions (Figure 4D-F). In each group of animals,
265 we found that hippocampal theta oscillations led those in mPFC by ~ 1 radian, which is
266 equivalent to ~ 20 ms for a 6-12Hz theta oscillation, without any difference between strains.
267 Intriguingly, these results indicate that Dp1Tyb mice – which exhibit slowed planning and

268 decision-making behaviour during the spatial alternation task – showed increased theta-band
269 coherence between hippocampus and mPFC, without any differences in the direction of
270 communication between those regions. This indicates that the cognitive dysfunction
271 observed in Dp1Tyb animals is associated with an increased influence of hippocampal inputs
272 on medial prefrontal dynamics, rather than changes in the direction of information flow
273 between those regions per se.

274

275 **Behavioural and LFP Characteristics are preserved across the Lifespan in DS Mouse Models**

276 Finally, we asked whether the behavioural and LFP abnormalities observed in Dp1Tyb and
277 Dp10Yey mice persisted throughout life, or were specific to the adolescent period during
278 which they were initially tested (Foster et al., 2007). To this end, we repeated tests of
279 alternation behaviour and recorded LFP data from the same animals at six and nine months
280 of age, alongside age matched WT controls (see Figure S1C for further details, Table S3 for
281 animal and trial numbers). Importantly, we found that the differences in both behaviour and
282 neural dynamics described above remained stable throughout this long-term assessment
283 period.

284 First, we found that trial latency was significantly greater in Dp1Tyb mice compared to their
285 WT control group across all three time points (Figure 5A), and the observed reduction in both
286 hippocampal and mPFC peak theta frequency also persisted with age (Figure 5B,C). Similarly,
287 hippocampal theta-HG phase-amplitude coupling was significantly greater in Dp1Tyb mice
288 compared to WT at all ages (Figure 5D); and theta coherence between hippocampus and
289 mPFC remained significantly higher than WT across the lifespan (Figure 5E).

290 Second, we found that the impaired alternation rate observed in young Dp10Yey mice
291 persisted with age (Figure 5F). In contrast to WT mice, the alternation rate in Dp10Yey mice
292 was not different from chance at any time point. Similarly, hippocampal theta-LG phase-
293 amplitude coupling remained consistently lower in Dp10Yey mice relative to WT controls
294 (Figure 5G). In sum, these results suggest that the observed differences in behaviour and
295 neural dynamics between these DS mouse models and their WT control groups generally
296 remained stable throughout adulthood, suggesting that aging neither alleviated nor
297 worsened the phenotype in either strain.

298

299 **Discussion**

300 The present study reveals distinct cognitive deficits and electrophysiological differences in
301 three mouse models of DS which, combined, carry duplications covering all of the Hsa21-
302 orthologous regions. By taking an unbiased approach, we aimed to discover if cognitive
303 deficits resulting from triplication of genes/DNA elements in Hsa21 could be linked to
304 individual regions with different sequence contents. As a measure of cognitive function, we
305 used a canonical test of spatial working memory - spontaneous alternation in a T-maze
306 (Lalonde, 2002). This behavioural test probes both decision-making and mnemonic function,
307 based on the premise that mice have evolved an optimal strategy to explore their
308 environment that relies on memorising previous trajectories and then using that information
309 to plan future trajectories. Numerous cortical regions are implicated in successful

310 performance of this task, most notably the hippocampus and mPFC (Deacon and Rawlins,
311 2006; Lalonde, 2002; Sarnyai et al., 2000; Wenk, 2001).

312 Using this behavioural paradigm, we have shown that alternation deficits and
313 hippocampal/mPFC neural dysfunction segregate with different regions of homology in the
314 DS models. First, we found that spatial alternation, a putative index of mnemonic function,
315 was impaired in Dp10Yey mice. In contrast, trial latency, which provides an independent
316 measure of cognitive processing that includes decision-making, planning, goal-directed
317 behaviour, and attention (Bizon et al., 2012; Pioli et al., 2014), was prolonged in Dp1Tyb mice.
318 In addition, we have shown that Dp1Tyb mice have a lower peak frequency in the theta band
319 in hippocampus and mPFC, an increase in phase-amplitude coupling between theta and high
320 gamma in hippocampus, and a striking increase in theta phase coupling between mPFC and
321 hippocampus – each of which is independent of the observed differences in movement
322 statistics between those animals and their WT littermates. Conversely, Dp10Yey mice exhibit
323 decreased phase-amplitude coupling between theta and low gamma in the hippocampus;
324 while Dp17Yey mice did not show any significant behavioral deficits in spatial alternation, or
325 any alteration in the electrophysiology of hippocampus or mPFC. Crucially, the alterations in
326 behavior and neural dynamics observed in our mutant mice are also unlikely to arise from
327 differences in intrauterine environment, rearing or housing conditions, as we found no
328 differences between WT littermate groups either behaviorally or physiologically.

329 Previous studies that have interrogated hippocampal function in similar mutant mouse
330 populations (Aziz et al., 2018; Levenga et al., 2018) have found no impairments of mnemonic
331 function in Dp10Yey mice (Belichenko et al., 2015; Yu et al., 2010b). In contrast, we observed
332 decreased alternation rates in Dp10Yey mice suggestive of a spatial working memory deficit
333 (Deacon and Rawlins, 2006). These conflicting findings likely reflect subtle differences in the
334 behavioral tasks employed, which emphasize complementary aspects of neural processing
335 both within the hippocampus and among a wider network of functionally integrated brain
336 regions, and should be the subject of further investigation (D’Hooge and De Deyn, 2001;
337 Reisel et al., 2002; Sanderson and Bannerman, 2012). The behavioral phenotype observed
338 here was associated with a decrease in theta-gamma phase-amplitude coupling in the
339 hippocampus. It has been well established that working memory relies on the periodic
340 reactivation of encoded information by theta modulation of gamma oscillations in rodents
341 (Benchenane et al., 2011; Tort et al., 2008, 2010) and humans (Jensen and Lisman, 2005;
342 Leszczyński et al., 2015; Lisman and Idiart, 1995; Maris et al., 2011; Poch et al., 2011), and so
343 our finding of decreased gamma-theta coupling in Dp10Yey mice is consistent with their
344 behavioral phenotype, and indicates specific abnormalities of hippocampal circuitry in this
345 model. Our data may thus provide a functional basis for the memory problems evident in
346 people with Down syndrome (Grieco et al., 2015; Lanfranchi et al., 2010; Rowe et al., 2006).
347 Dp10Yey mice were generated to carry an internal duplication spanning the 39 Hsa21 protein-
348 coding orthologs mapping to Mmu10 and several of these genes, such as *ADAR2*, *S100B*, *CSTB*,
349 *PRMT2*, and *TRPM2*, have been shown to play a role in brain development and function, such
350 that aberrant gene dosage may be related to intellectual disability in DS (Block et al., 2015;
351 Gupta et al., 2016).

352 An unexpected finding of this study was the delayed decision-making observed in Dp1Tyb
353 mice with preserved memory function. Similar behavioral differences have also been found
354 in humans with DS, who exhibit markedly slower reaction times (Brunamonti et al., 2011; Inui
355 et al., 1995; Vicari et al., 2000). This impairment has been attributed to deficits in executive
356 function that involve information processing, attention, and inhibition (Grieco et al., 2015;
357 Rowe et al., 2006), resulting in difficulty prioritizing, staying engaged with a task, and
358 consistently responding in the same manner to certain situations (Costanzo et al., 2013; Rowe
359 et al., 2006). Importantly, we found that the increased trial latency observed in these animals
360 was associated with a reduction in theta frequency across both hippocampus and mPFC. It is
361 well established that theta frequency is correlated with running speed in rodents (Jeewajee
362 et al., 2008), and so a potential explanation for both of these findings is that Dp1Tyb mice
363 simply moved more slowly in general. However, although we found that Dp1Tyb mice spent
364 more time immobile – presumably, reflecting their inability to retain focus on the task - they
365 exhibited no differences in running speed compared to their WT littermates, and the
366 observed reduction in theta frequency was still present when we restricted our analyses to
367 movement periods only.

368 In addition, we found that the delayed decision-making in Dp1Tyb mice was associated with
369 increased hippocampal-mPFC theta coherence. Communication between mPFC and
370 hippocampus occurs through both direct projections and bidirectional pathways via
371 intermediaries in the thalamus, perirhinal and lateral entorhinal cortices (Thierry et al., 2000;
372 Varela et al., 2014; Zhong et al., 2006). It is well accepted that coherence of neuronal activity
373 across brain regions serves as a general mechanism for increasing effective communication
374 during memory and attention tasks. Hippocampal-prefrontal theta-band synchrony facilitates
375 hippocampal inputs to the mPFC and the integration of gamma-mediated cell assemblies in
376 mPFC (Colgin, 2011; Sirota et al., 2008). In addition, theta-band synchrony has frequently
377 been observed during the ‘deliberative’ phase of T-maze tasks in rodents (Benchenane et al.,
378 2010; Jones and Wilson, 2005; Lalonde, 2002), as well as during more conventional decision
379 making tasks in humans (Guitart-Masip et al., 2013). Our results therefore suggest that
380 Dp1Tyb mice engage in more prolonged or pronounced deliberation prior to choosing an arm
381 of the T-maze – although this does not necessarily lead to a poorer outcome, as those animals
382 tended to make the correct choice (i.e. exhibit spatial alternation) once a decision had been
383 made. Hence, our finding of increased hippocampal-mPFC theta coherence is consistent with
384 the observed behavioral phenotype. Widespread increases in low frequency coherence
385 between distributed brain networks, particularly including mPFC, are also observed in people
386 with DS, are more evident in DS than in patients with other neurological disorders, and are
387 inversely related to cognitive performance (Anderson et al., 2013).

388 Alternatively, it is possible that both the increased time spent immobile and increased
389 hippocampal-mPFC theta coherence exhibited by Dp1Tyb animals could be accounted for by
390 an increase in generalized anxiety (Adhikari et al., 2010). This interpretation is unlikely,
391 however, as a parallel study has found no evidence for differences in anxiety between Dp1Tyb
392 and WT mice on the elevated plus maze (Mark Good, personal communication). Moreover,
393 previous research has demonstrated that anxiogenic environments such as the elevated plus

394 maze produce increased theta coherence between mPFC and ventral, rather than dorsal,
395 hippocampus, in contrast to the results presented here.

396 *Dyrk1A*, located on chromosome 21, is a major candidate protein-coding gene for several
397 aspects of DS and encodes a kinase involved in neurodevelopment (Arron et al., 2006; Fotaki
398 et al., 2002; Guimera et al., 1999; Hämmerle et al., 2008; Park et al., 2009; Tejedor and
399 Hämmerle, 2010). Overexpression of this gene in transgenic mice results in changes in
400 inhibitory circuits in the mPFC (Ruiz-Mejias et al., 2016; Souchet et al., 2014) and may result
401 in abnormal neural dynamics, particularly in the gamma band. Furthermore, *Dyrk1a*
402 overexpression in mice induces learning and memory impairments detectable in the Morris
403 water maze and Y-maze (Souchet et al., 2014). Here, we showed that reducing *Dyrk1a* to the
404 normal two copies in Dp1Tyb mice failed to rescue the prolonged decision-making we
405 observed in the spatial alternation task. Thus *Dyrk1a* overexpression is not required for this
406 phenotype, leading us to conclude that another gene or genes, when present in three copies
407 within the Dp1Tyb region, are involved in the abnormal decision-making behavior described
408 here. This is an important result that may, in part, explain why most of the current competitive
409 *Dyrk1a* inhibitors fail to pass the pre-clinical stage with respect to improvement of cognitive
410 impairments in DS (Neumann et al., 2018). Of the 148 protein coding genes within the region
411 duplicated in Dp1Tyb mice, a handful are candidates for further exploration.

412 We note that there may also be critical effects from dosage sensitivity of non-protein coding
413 elements on Hsa21 and our genetically unbiased approach will allow us to map to the DNA
414 region, not to simply focus on the relatively limited set of protein coding elements for which
415 we have functional information. Finding the genes (coding and non-coding) responsible for
416 the cognitive and electrophysiological phenotypes observed in these mice has the
417 translational potential to reveal important routes towards phenotype modifying therapies,
418 for example, by antisense oligomers, but our results indicate that targeting a single gene is
419 unlikely to be sufficient.

420 In summary, our study elucidates an important link between different regions of Hsa21,
421 cognitive deficits and both local and long-range neural circuit dysfunction. Importantly, our
422 results imply that specific cognitive deficits in Down syndrome may result from different
423 underlying genetic, functional and regional abnormalities. This has important implications for
424 understanding such cognitive deficits and indicates that therapies in Down syndrome will
425 likely need to target multiple processes.

426

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437

438 **Author contributions**

439 P.C. collected behavioural and electrophysiology data; P.C, D.B., N.S., analysed
440 electrophysiology data; P.C., N.S., S.N. analysed histology data; F.K.W., V.L.J.T., E.M.C.F.
441 provided mice for this study; S.S., M.G., T.C., N.B., M.C.W., E.M.C.F. helped direct the study;
442 all authors contributed to the manuscript which was primarily drafted by P.C., D.B, M.C.W.,
443 E.M.C.F.

444

445 **Declaration of Interests**

446 The authors declare no competing interests.

447 **Figure 1: Spatial Alternation Rate and Trial Latency in Mouse Models of DS**

448 **(A)** Schematic experimental procedure (see Figure S1A-C for further details). **(B)** Schematic
449 method for computing trial latency: the time between raising the door to release the animal
450 from the start area, to the point at which the mouse's nose crosses the 'decision point' (blue
451 dashed line) before entering a goal arm. **(C)** Example of one animal reaching the decision
452 point. **(D-F)** Alternation rate and **(G-I)** trial latency for each DS mouse model compared to
453 their wild-type (WT) control group, showing: (E) significant differences in alternation rate for
454 Dp10Yey vs WT mice ($t(14)=2.48$, $p<0.05$); and (G) significant differences in trial latency for
455 Dp1Tyb vs WT mice ($t(18)=5.97$, $p<0.001$); but no differences in either measure for Dp17Yey
456 vs WT mice. Chance alternation rate is shown as a black dotted line. Data are presented as
457 box-whisker plots indicating the median, 25th and 75th percentiles, minimum and maximum
458 values, with data for individual mice superimposed. Please refer to Table S1 for trial and
459 animal numbers, and Table S2 for full details of all statistical analyses.

460

461 **Figure 2: Theta Oscillations in mPFC and Hippocampus during Spontaneous Alternation**

462 Power spectra, mean theta power and peak theta frequency in **(A-C)** mPFC and **(D-F)**
463 hippocampus for **(A, D)** Dp1Tyb and WT; **(B, E)** Dp10Yey and WT; **(C, F)** Dp17Yey and WT
464 animals during spontaneous alternation in the T-maze. Grey rectangles indicate the 6-12Hz
465 theta band. There are no differences in theta power between mutant mice and WT groups in
466 either mPFC or hippocampus. However, peak theta frequency in Dp1Tyb animals is
467 significantly lower than WT in both: **(A)** mPFC (Dp1Tyb: $8.76\pm 0.26\text{Hz}$; WT: $9.08\pm 0.26\text{Hz}$;
468 Mann-Whitney $U=22.5$, $p<0.05$); and **(D)** hippocampus (Dp1Tyb: $8.63\pm 0.28\text{Hz}$; WT:
469 $9.02\pm 0.13\text{Hz}$; Mann-Whitney $U=13.5$, $p<0.005$), but no different in the other mutant mouse
470 groups compared to their control populations (Mann-Whitney U test, all $p>0.4$). Data are
471 presented as box-whisker plots indicating the median, 25th and 75th percentiles, minimum
472 and maximum values, with data for individual mice superimposed. Please refer to Table S2
473 for full details of all statistical analyses.

474

475 **Figure 3: Hippocampal Phase-amplitude Coupling during Spontaneous Alternation**

476 **(A-C)** Left: Comodulograms showing differences in hippocampal phase-amplitude coupling
477 between each mutant mouse group and WT, with warm colours indicating stronger coupling
478 in DS mice. These illustrate two prominent peaks – one between 6-12Hz theta phase and 60-
479 120Hz 'low gamma' (LG) amplitude, and another between 6-12Hz theta phase and 140-160Hz
480 'high gamma' (HG) amplitude (black dashed rectangles; see Figure S9 for further details).
481 Right: Theta-LG and theta-HG cross-frequency coherence values, normalized by the mean
482 value in the corresponding WT control cohort to facilitate comparison. **(A)** Dp1Tyb mice show
483 no difference in theta-LG coupling, but significantly greater theta-HG coupling, compared to
484 WT (Mann-Whitney $U=11.0$, $p<0.01$). **(B)** Conversely, Dp10Yey mice show significantly lower
485 theta-LG coupling (Mann-Whitney $U=8.0$, $p<0.05$), but no difference in theta-HG coupling,
486 compared to WT. **(C)** Finally, Dp17Yey mice show no difference in either theta-LG or theta-HG

487 compared to WT. Data are presented as box-whisker plots indicating the median, 25th and
488 75th percentiles, minimum and maximum values, with data for individual mice superimposed.
489 Please refer to Table S2 for full details of all statistical analyses.

490

491 **Figure 4: Hippocampal-medial prefrontal Phase Coupling during Spontaneous Alternation**

492 **(A-C)** Coherence spectra and mean theta band coherence illustrating hippocampal-mPFC
493 phase coupling during spontaneous alternation behaviour. Grey rectangles indicate the 6-
494 12Hz theta band. **(A)** Theta band coherence is significantly greater in Dp1Tyb mice compared
495 to WT (Mann-Whitney $U=11.0$, $p<0.005$), while there is no difference between either **(B)**
496 Dp10Yey and WT or **(C)** Dp17Yey and WT animals. **(D-F)** Circular mean phase offset between
497 mPFC and hippocampus for **(D)** Dp1Tyb and WT; **(E)** Dp10Yey and WT; and **(F)** Dp17yey and
498 WT animals. The radial axis shows relative frequency, and the polar axis indicates the circular
499 mean theta phase difference between mPFC and hippocampus. These results suggest that
500 hippocampal theta oscillations lead those in mPFC by ~ 1 radian (equivalent to ~ 20 ms at 9Hz)
501 in all mutant and WT mice, without any differences between groups (Watson-Williams test,
502 all $p>0.07$). Data are presented as box-whisker plots indicating the median, 25th and 75th
503 percentiles, minimum and maximum values, with data for individual mice superimposed.
504 Please refer to Table S2 for full details of all statistical analyses.

505

506 **Figure 5: Behavioural and Electrophysiological Data across the Lifespan**

507 Behavioural and LFP data at 3-4months (3m), 6-7 months (6m) and 9-10 months (9m) of age
508 in: **(A-E)** Dp1Tyb; and **(F,G)** Dp10Yey mice. **(A)** Trial latency remains significantly greater in
509 Dp1Tyb mice compared to WT throughout the lifespan (GLM, Type III tests $\chi^2(1)=56.1$,
510 $p<0.0001$). Similarly, peak theta frequency in both **(B)** mPFC (GLM, Type III $\chi^2(1)=6.84$, $p<0.01$)
511 and **(C)** hippocampus (GLM, Type III $\chi^2(1)=8.93$, $p<0.01$) is shifted to a significantly lower
512 frequency. **(D)** Hippocampal theta-HG phase-amplitude coupling (GLM, Type III $\chi^2(1)=14.2$,
513 $p<0.0001$) and **(E)** theta coherence between mPFC and hippocampus (GLM, Type III
514 $\chi^2(1)=29.6$, $p<0.0001$) are also increased in Dp1Tyb mice at all three time points, compared to
515 their WT control group. **(F)** Alternation rate remains significantly lower in Dp10Yey mice
516 compared to WT throughout the lifespan (GLM, Type III $\chi^2(1)=12.5$, $p<0.0001$), and does not
517 differ from chance level (black dashed line) at any age (Friedman's test, $\chi^2(5)=8.2$, $p>0.15$),
518 while the WT control group consistently perform above chance (Friedman's $\chi^2(5)=10.3$,
519 $p<0.05$). **(G)** Hippocampal theta-LG phase-amplitude coupling is also significantly lower in
520 Dp10Tyb mice at all three time points (GLM, Type III $\chi^2(1)=18.2$, $p<0.0001$). Data are
521 presented as box-whisker plots indicating the median, 25th and 75th percentiles, minimum
522 and maximum values, with data for individual mice superimposed. Please refer to Table S2
523 for full details of all statistical analyses.

524 **STAR METHODS**525 **LEAD CONTACT AND MATERIALS AVAILABILITY**

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Chemicals, Peptides, and Recombinant Proteins		
Cresyl Violet acetate	Sigma-Aldrich	C5042
Experimental Models: Organisms/Strains		
Dp1Tyb (Dp(16Lipi-Zbtb21)1TybEmcf)	This paper	NA
Dp10Yey (Dp(10Prmt2-Pdxk)1Yey)	This paper	NA
Dp17Yey (Dp(17Abcg1-Rrp1b)1Yey)	This paper	NA
Dp1Tyb*Dyrk1aKO	This paper	NA
Software and Algorithms		
LWDAQ Software	Open Source Instruments, Brandeis, Boston, USA	http://alignment.hep.brandeis.edu/Software/
Custom MATLAB scripts	This paper	NA
SPSS 24	Statistical Product and Service Solutions, IBM	https://www.ibm.com/analytics/spss-statistics-software
Prism	Graphpad	https://www.graphpad.com/scientific-software/prism/
ImageJ	US National Institutes of Health	https://imagej.nih.gov/ij/
ICY	BioImage Analysis Lab, Institut Pasteur	http://icy.bioimageanalysis.org/

526

527 Further information and requests for resources and reagents should be directed to and will
 528 be fulfilled by the Lead Contact, Matthew Walker (m.walker@ucl.ac.uk)

529 **EXPERIMENTAL MODEL AND SUBJECT DETAILS**

530 We examined four mouse strains with the following alleles, previously described in (Lana-
531 Elola et al., 2016; Yu et al., 2010a): C57BL/6J.129P2-Dp(16Lipi-Zbtb21)1TybEmcf/Nimr
532 (hereafter referred to as Dp1Tyb); B6;129S7-Dp(10Prmt2-Pdxk)2Yey/J (hereafter referred to
533 as Dp10Yey); B6.129S7 Dp(17Abcg1-Rrp1b)1Yey (hereafter referred to as Dp17Yey) and
534 B6.129P2-*Dyrk1a*^{tm1Mla}. Dp1Tyb, Dp10Yey, Dp17Yey animals were maintained within a facility
535 at University College London, whereas mice for the Dp1Tyb x *Dyrk1a*^{tm1Mla/+} intercross were
536 bred at the Francis Crick Institute, to generate Dp1Tyb**Dyrk1a*KO mice in which both alleles
537 were on the same chromosome following a genetic crossover. All strains were maintained in
538 separate colonies as hemizygous mutants backcrossed for over ten generations to C57BL/6J,
539 with age- matched WT littermates used as controls. All experiments were undertaken blind
540 to genotype, which was decoded after experimental analysis and reconfirmed using an
541 independent DNA sample isolated from post-mortem tail.

542 All experiments were performed in accordance with the United Kingdom Animal (Scientific
543 Procedures) Act 1986. Reporting is based on the ARRIVE Guidelines for Reporting Animal
544 Research developed by the National Centre for Replacement, Refinement and Reduction of
545 Animals in Research, London, United Kingdom. Mice were housed in controlled conditions in
546 accordance with guidance issued by the Medical Research Council in Responsibility in the Use
547 of Animals for Medical Research (1993) and all experiments were carried out under License
548 from the UK Home Office and with Local Ethical Review panel approval. Mice were housed in
549 individually ventilated cages (IVC) of 2-5 age-matched animals under controlled
550 environmental conditions (24–25°C; 50–60% humidity; 12 h light/dark cycle) with free access
551 to food and water.

552

553 **METHOD DETAILS**

554 **Surgical Preparation and Transmitter Implantation for Long-term Recording**

555 Mice were anaesthetised with 2.5-3 % isoflurane (Abbot, AbbVie Ltd., Maidenhead, UK) in
556 100% oxygen (flow rate of 1-1.5 litre/min) via gas anaesthesia mask (Model 906, David Kopf
557 Instruments Tujunga, CA, USA) from a recently calibrated vaporizer (Harvard Apparatus,
558 Cambridge, MA). Body temperature was maintained with a heat blanket during surgery. A
559 transmitter (A3028A, Open Source Instruments, Brandeis, Boston, USA; Chang et al., 2011)
560 was implanted subcutaneously with the depth recording electrodes (a 125µm diameter
561 teflon-insulated stainless steel electrode with 10kOhm impedance, Open Source Instruments,
562 Brandeis, Boston, USA) positioned in mPFC (1.8 mm anterior, 0.4 mm lateral, 1.5 mm ventral)
563 and dorsal hippocampus (1.85 mm posterior, 1.25 mm lateral, 1.45 mm ventral; Paxinos,
564 2012). The reference electrode was implanted over the cerebellum posterior to lambda. The
565 whole assembly was held in place with dental cement (Simplex Rapid, Acrylic Denture
566 Polymer, UK). Due to the relatively large diameter of the recording electrode, and prolonged
567 recording period, it is difficult to specify the precise region of dorsal hippocampus from which
568 recordings were made; it is likely that our measurements reflect field potentials summated
569 over a relatively large region. Nonetheless, we estimate that ~57% of recordings were made
570 from CA1 stratum radiatum, ~9% from CA1 stratum oriens, and ~34% from dentate gyrus. A

571 subcutaneous injection of bupivacaine and metacam was provided for post-surgical pain
572 management. At the end of surgery, enrofloxacin (5mg/kg, Baytril, Bayer health care) and pre-
573 warm saline (0.5-1 ml) were administered subcutaneously. The animals were placed in a
574 temperature controlled (25°C) recovery chamber until ambulatory and closely monitored at
575 least 1-2 hours before returning to their home cage to allow recovery for at least 14 days after
576 surgery.

577 The transmitter, which has no adverse effects (Chang et al., 2016), was chronically implanted
578 for longitudinal data recordings. During all recording sessions, continuous LFP recordings
579 were recorded (bandpass filter: 0.2 Hz to 160 Hz, 512Hz sampling rate with 16 bit resolution)
580 using LWDAQ Software (Open Source Instruments, Brandeis, Boston, USA). Animals were
581 carefully monitored daily and were euthanized at the end of experiment with pentobarbital
582 (25 mg/kg).

583

584 **Behavioural Testing: T-maze Spontaneous Alternation**

585 Cognitive function in male mice from each strain and associated age-matched WT controls
586 was assessed using the spontaneous alternation paradigm in an enclosed T-maze apparatus
587 (Deacon and Rawlins, 2006). The spontaneous T-maze protocol was chosen because it is
588 powerful enough to interrogate both cognitive and motor function and correlate behaviour
589 with changes in neural dynamics, while retaining a relatively simple design. Additionally, this
590 protocol provides clearly defined endpoints to facilitate data analysis, allowing us to
591 simultaneously examine working memory function and differences in movement within a
592 single paradigm, and associate differences in behaviour with alterations in neural circuitry
593 across the hippocampus and mPFC. Furthermore, the T-maze is less stressful than other
594 memory tests, such as the Morris water maze or Barnes maze (Harrison et al., 2009), and
595 permits EEG recording.

596 Animals were transferred to the testing room for 1-2 hours before each experiment to
597 habituate to the environment and achieve an optimal state of arousal. Each mouse was then
598 subjected to ~10 trials per session, and sessions were completed at 3, 6, and 9 months of age
599 (see Tables S1 and S3 for average trial numbers in each group).

600 During each trial, the animal was first placed in the start chamber for 100s while reference
601 phase LFP was recorded. Next, the guillotine door separating the start chamber from the
602 central arm was raised and the mouse was allowed to run and choose a goal arm. After making
603 a choice, the guillotine doors separating the central arm from each goal arm were slowly
604 lowered, such that the animal was confined in the chosen goal arm which it could then explore
605 for 30s. Next, the animal was transferred back to the start chamber, the guillotine door
606 separating the central arm from the goal arms was raised and, after another 100s delay period
607 in the start chamber, the guillotine door separating the start chamber from the central arm
608 was raised again to allow the mouse a choice between the two open goal arms. Importantly,
609 each trial included a free choice of goal arms on both the sample run and choice run (Figure
610 1A and Figure S1A, B).

611 Trials were marked as successful if the mouse chose different goal arms on each run, and
612 failures if the mouse chose the same goal arm on both runs. Alternation rate was defined as
613 the total proportion of successful trials for each animal during each session. Trial latency was
614 calculated as the time between the door isolating the start chamber being raised and the time

615 at which the animals nose reached the decision point (i.e. exiting the central arm of the T-
616 maze) immediately prior to the whole body completely entering the goal arm (indicative of a
617 choice being made; see Figure 1B). This ensures that ‘vicarious trial and error’ behaviour, in
618 which animals approach the decision point and look along either choice arm prior to making
619 a decision, is excluded. Trial data was discarded if the latency on either run exceeded 120s.

620 Movement statistics were extracted from video data that covered the central arm, decision
621 point, and initial stages of each goal arm, sampled at a rate of 25Hz, using the single mouse
622 tracker plugin for Icy in ImageJ (de Chaumont et al., 2012). Running speed values were
623 smoothed with a box car filter of 400ms width, and periods of immobility were defined as
624 time frames when the animal’s movement speed was lower than 2cm/s.

625

626 **Histology**

627 At the end of the experiment, the brain was removed and immediately immersed in 4%
628 paraformaldehyde for >24 hours before being transferred to 30% sucrose post-fixation
629 solution. Brain sections (40- μ m thick thickness) were cut using a microtome (Leica SM2000R,
630 Leica Microsystems Ltd., United Kingdom) and stained with cresyl violet to allow histological
631 location of the electrode track. This procedure allowed us to verify recording electrode
632 locations, and LFP data were only included in the study if electrode tips were located in mPFC
633 and dorsal hippocampus. In total, LFP data from just one animal was excluded because the
634 recording site was outside the target region (Figure S5).

635

636 **EEG Data Analysis**

637 ***LFP Pre-processing***

638 For our initial analyses, continuous LFP recordings from each region were segmented into 10s
639 epochs that lasted from 5s before to 5s after animals reached the decision point on each run
640 (plus 1s padding, subsequently discarded to account for potential edge effects). Each epoch
641 was visually inspected for artefacts prior to further analysis using custom written Matlab
642 (Mathworks, Natick MA) code (see Tables S1 and S3 for trial numbers across strains). Trial
643 latency and alternation rate data from trials excluded due to LFP artefacts were nonetheless
644 included in behavioural analyses.

645 For subsequent analyses in which the relationship between movement statistics and EEG
646 features were examined, continuous LFP recordings from each region were segmented to
647 match the available movement data (plus 1s padding, subsequently discarded to account for
648 potential edge effects). Any epochs that exhibited artefacts during visual inspection or for
649 which video data (and therefore movement statistics) was either incomplete or unavailable
650 were excluded from subsequent analysis.

651

652 ***Time-frequency Analysis***

653 After de-trending and de-meaning the LFP signal from each trial, time-frequency
654 decomposition was performed using a five cycle complex Morlet wavelet transform, with 1s
655 of data from the beginning and end of each epoch subsequently discarded to avoid edge
656 effects. Time-frequency representations were then averaged across this time window to

657 provide a power spectrum for each epoch, and each power spectrum was then normalised by
658 its integral to facilitate comparisons between animals. Finally, these normalised power values
659 were averaged across the 6-12Hz theta band to provide an index of theta power in each epoch
660 for statistical comparison.

661 In addition, to characterise the relationship between theta power and movement, we zero-
662 phase filtered each LFP signal in the 6-12Hz theta band using a 400th order finite impulse
663 response (FIR) filter, discarded 1s of data from the beginning and end of the signal to avoid
664 edge effects, extracted the analytic signal using the Hilbert transform, and then computed
665 dynamic power and frequency. Running speed data was up-sampled to match the power and
666 frequency time series, allowing us to compute average theta power during movement periods
667 only and to estimate the intercept and slope of the running speed v theta frequency
668 relationship in each animal using linear regression.

669

670 ***Phase-amplitude Coupling Analysis***

671 To assay phase–amplitude coupling in the hippocampal LFP signal, we first computed cross-
672 frequency coherence across a range of phase and amplitude frequencies following (Colgin et
673 al., 2009). To do so, we extracted the amplitude at each time point across a frequency range
674 of 20-160Hz from the Morlet wavelet transform described above, and then computed
675 coherence between the original LFP signal and each of these amplitude time series across a
676 phase frequency range of 2-40Hz using a window size of 1s and an overlap between
677 subsequent windows of 0.5s. These coherence spectra subsequently index phase-amplitude
678 coupling (PAC) between low frequency phase and high frequency amplitude, and can be
679 aggregated across amplitude frequencies to generate the cross-frequency coherence images
680 shown in Figure 3 and Figures S9 and S10.

681 Visual inspection of cross-frequency coherence images averaged across all animal groups
682 (shown in Figure S9) revealed that 6-12Hz theta phase modulated the amplitude of higher
683 frequency oscillations in two distinct bands, 60-120Hz (hereafter referred to as ‘low gamma’,
684 LG) and 140-160Hz (hereafter referred to as ‘high gamma’, HG). We subsequently
685 characterised the magnitude of theta-LG and theta-HG PAC in each epoch by zero-phase
686 filtering the LFP signal separately in the 6-12Hz theta, 60-120Hz LG and 140-160Hz HG bands
687 using a 400th order FIR filter, extracting the analytic signal in each band using the Hilbert
688 transform, and then computing the mean amplitude of the higher frequency oscillations in
689 each of 30 evenly distributed theta phase bins. The resulting vector length of each mean
690 amplitude distribution, computed using the circular statistics toolbox for Matlab (Berens,
691 2009), provides an index of theta-LG and theta-HG PAC in each epoch for statistical
692 comparison.

693

694 ***Phase Coupling Analysis***

695 To compute an index of theta phase coherence between LFP recordings from the
696 hippocampus and mPFC in each epoch, we first generated coherence spectra for each epoch
697 using a window size of 1s and an overlap between subsequent windows of 0.5s and then
698 averaged coherence values across the 6-12Hz theta range. In addition, to estimate the theta
699 phase lag between concurrent oscillations in these regions, we zero-phase filtered each LFP
700 signal in the 6-12Hz theta band using a 400th order FIR filter, discarded 1s of data from the

701 beginning and end of the signal to avoid edge effects, extracted the analytic signal using the
702 Hilbert transform, and then computed the circular mean theta phase difference between
703 regions across all time points within each epoch. This provides an indication of the time lag
704 between those signals in the 6-12Hz theta band (computed by dividing the phase difference
705 by the angular frequency at the centre of the theta band, i.e. 18π rad/s).

706

707 ***Correcting for Differences in Movement Statistics***

708 Where significant differences in movement statistics between groups existed, we
709 attempted to eliminate any potential confound on concomitant differences in theta
710 coherence and theta-gamma PAC by linear regression. Specifically, we extracted the
711 residual coherence or PAC values after regressing the amount of time spent immobile
712 against those parameters across all animals (mutant and WT), and then assessed the
713 difference in residual values between groups.

714

715 **QUANTIFICATION AND STATISTICAL ANALYSIS**

716 Detailed statistical analysis was performed using SPSS 24 (Statistical Product and Service
717 Solutions, IBM). All data are presented as mean \pm SEM. Comparisons of means were
718 performed using two-tailed Student's t test and one way ANOVA with Tukey post hoc test
719 if the data were normally distributed; Wilcoxon Signed test, Friedman's test, or Mann-
720 Whitney U-test if the data were not normally distributed (with the Shapiro-Wilk test and
721 Kolmogorov-Smirnov test with Lilliefors correction used to assess normality of the data
722 distributions). Generalized linear model (GLM) Type III tests followed by Bonferroni post
723 hoc tests were used for analysis of repeated measures longitudinal data. For circular (i.e.
724 phase lag) data, the Watson-Williams test was used to assess differences between groups
725 (Berens, 2009). Differences were considered statistically significant at $p < 0.05$. For full details
726 of all statistical analyses, please refer to Table S2.

727

728 **DATA AND CODE AVAILABILITY**

729 All software used in this study is available and listed in the Key Resources Table. This study
730 did not generate any unique datasets or code.

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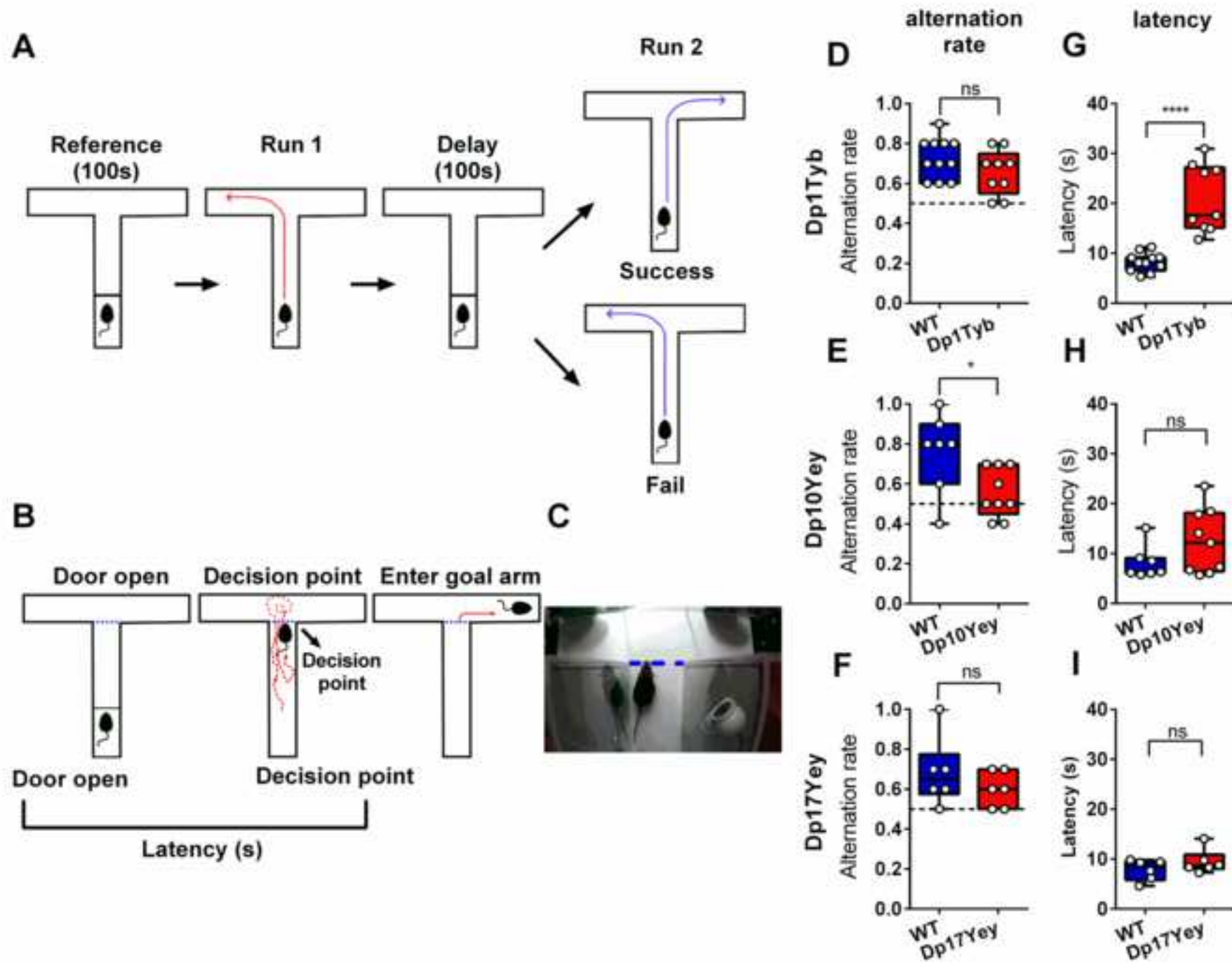
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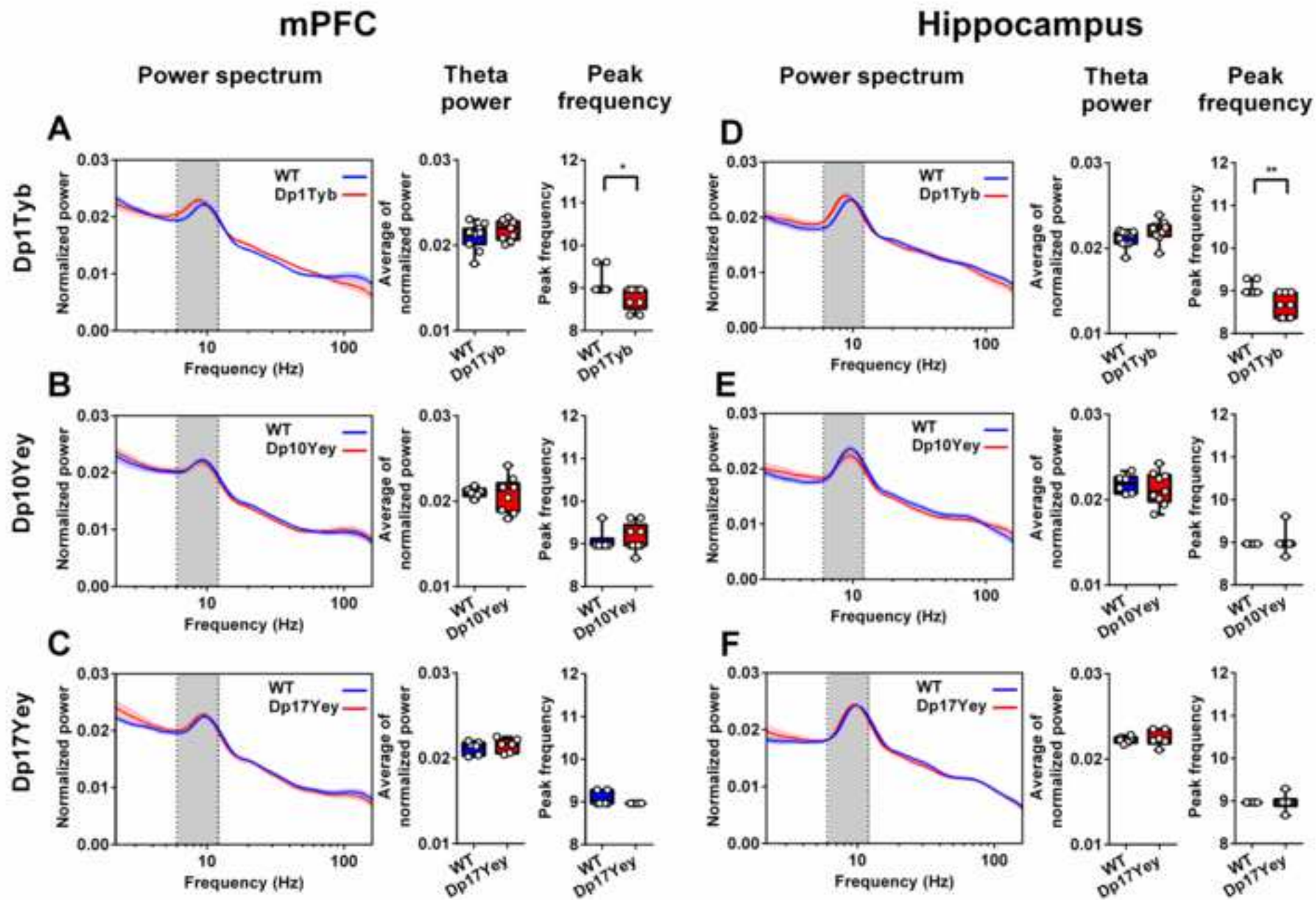
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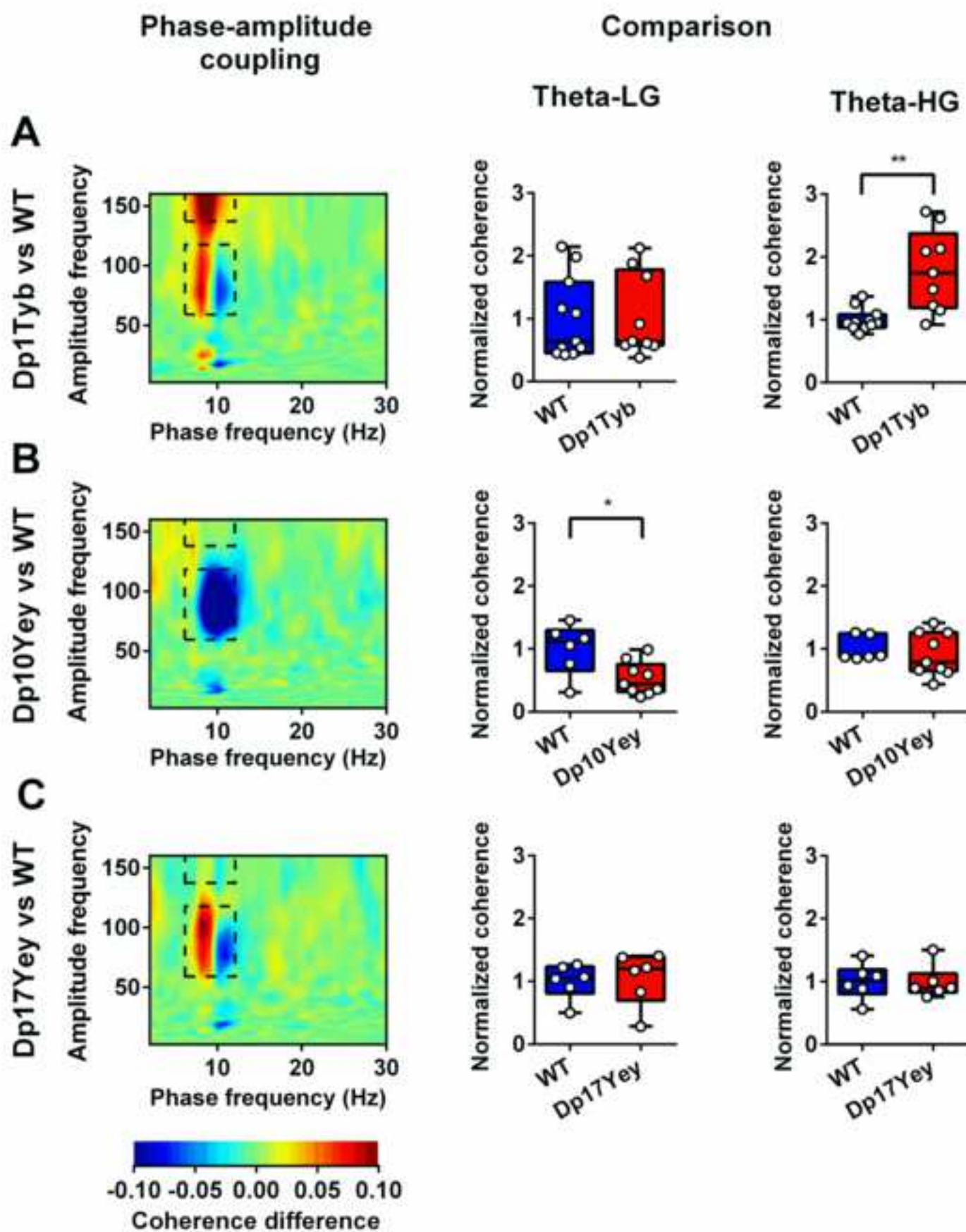
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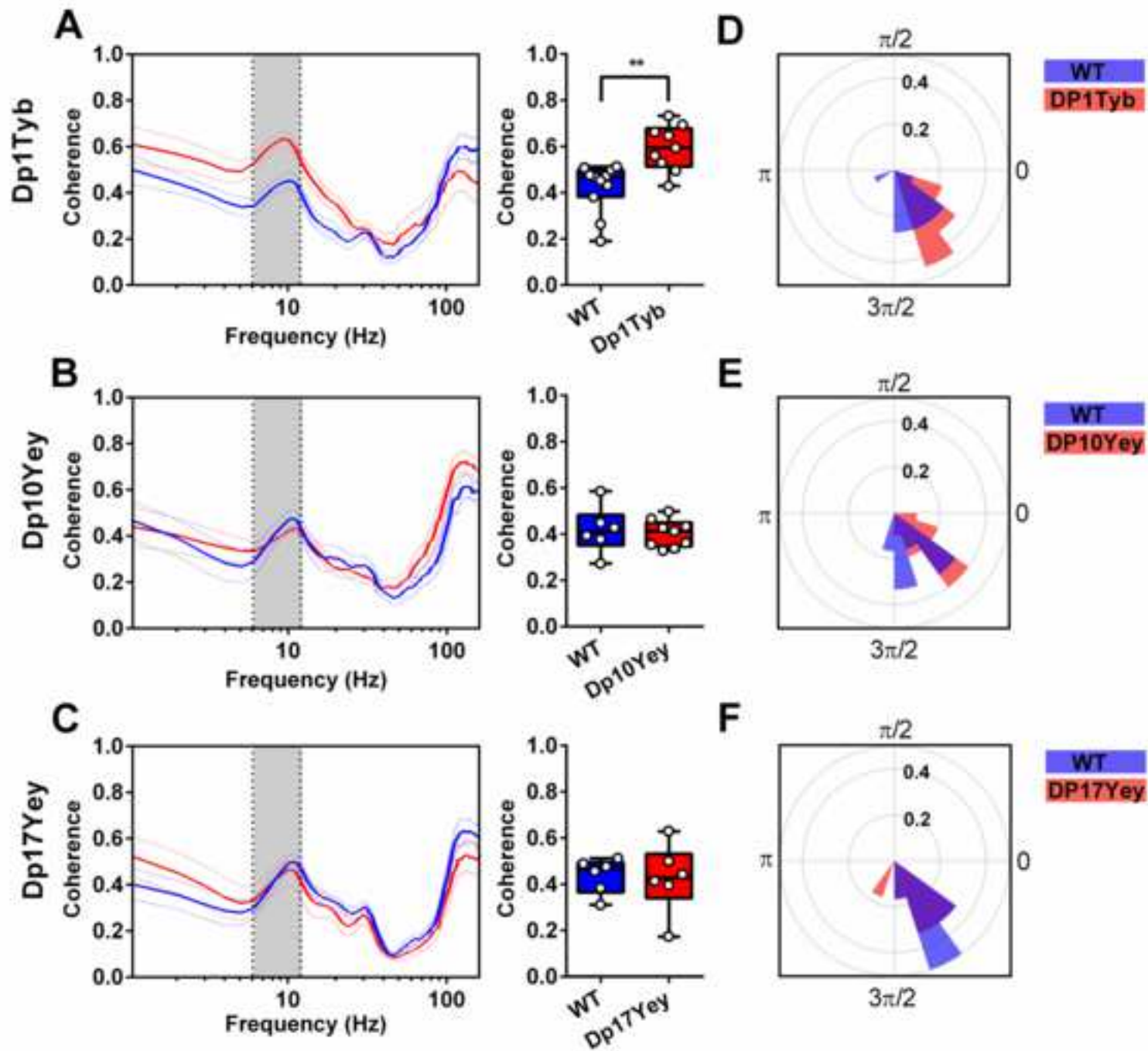
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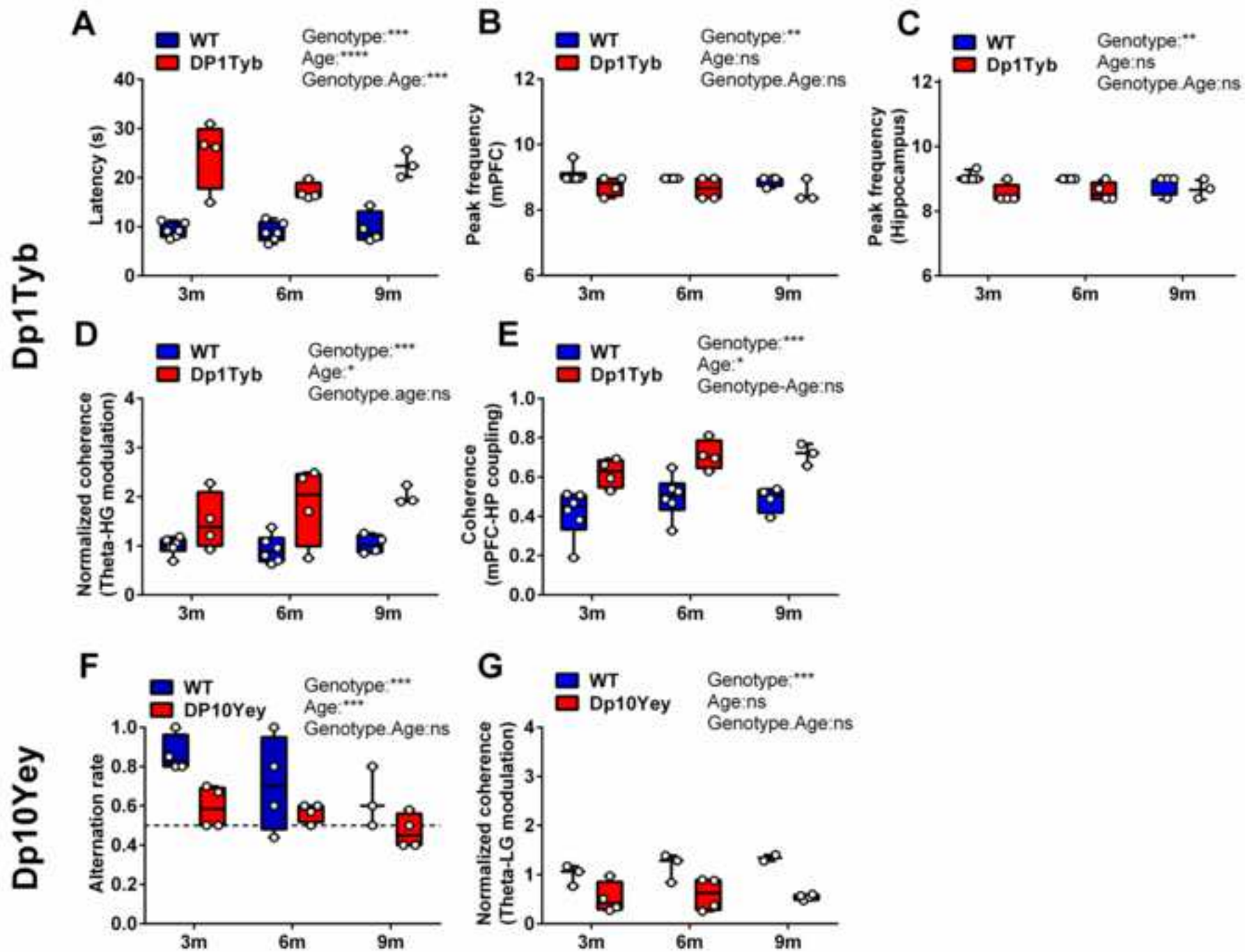
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Supplemental Information

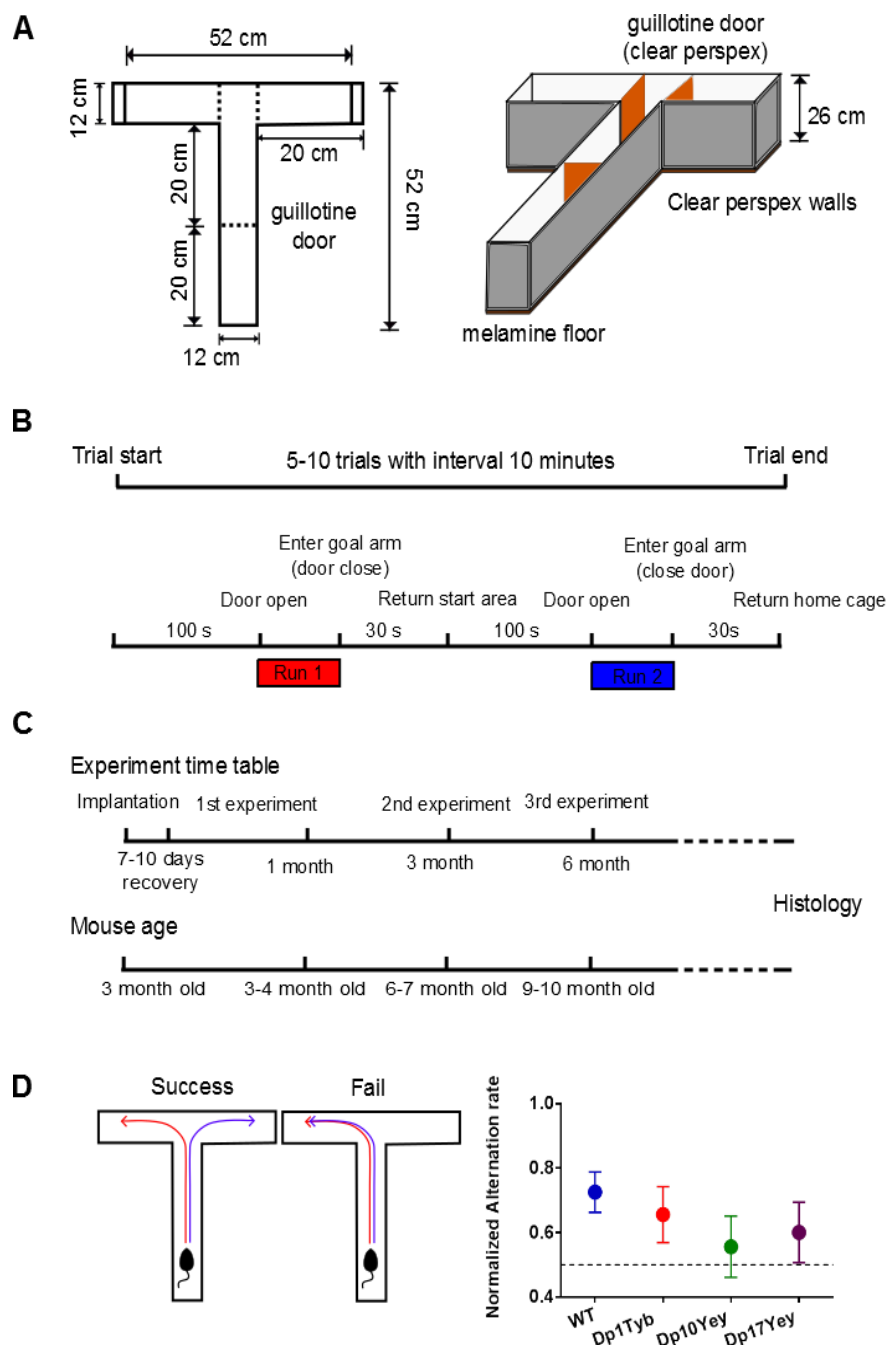


Figure S1: Further Details of the Behavioural Protocol

Experimental protocol for the T-maze spontaneous alternation task, showing: **(A)** schematic of the T-maze; **(B)** trial protocol for probing spontaneous alternation behaviour maze; and **(C)** time line for the longitudinal study. **(D)** Schematic of successful alternation performance (left) alongside mean alternation performance for each mutant mouse group and pooled wild-type (WT) littermates (right, error bars showing 95% confidence intervals). This illustrates that alternation rate in the Dp10Yey animals is significantly different from WT and, importantly, not significantly greater than chance ($Z = -1.39$, $p = 0.11$). Please refer to Supplemental Table 2 for full details of all statistical analyses. Related to Figure 1

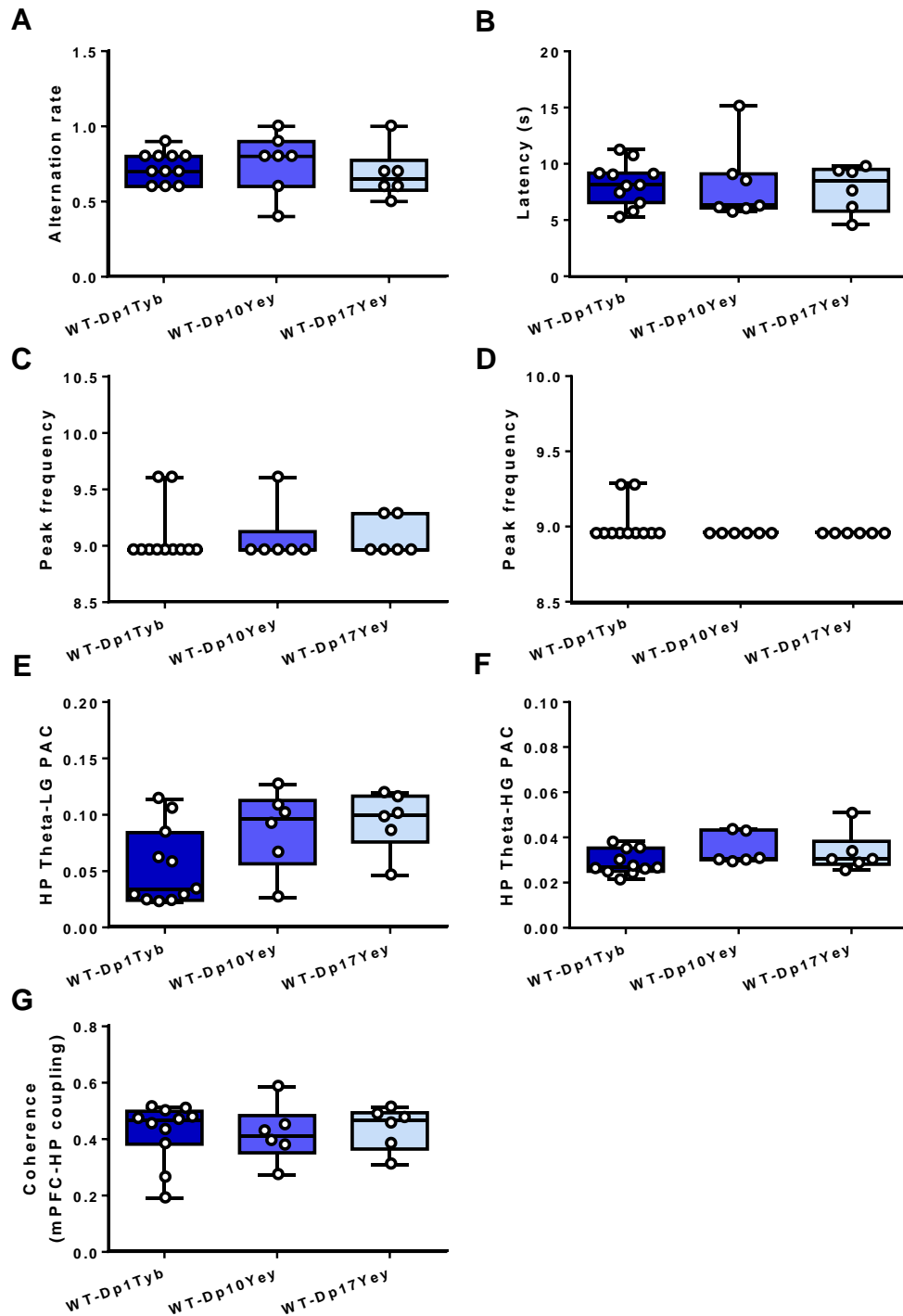


Figure S2: Comparison of Behavioural and Physiological Data across WT Groups

Comparison of **(A)** spatial alternation rate; **(B)** trial latency; peak theta frequency in **(C)** hippocampus and **(D)** medial prefrontal cortex (mPFC); **(E)** theta-low gamma and **(F)** theta-high gamma phase-amplitude coupling in the hippocampus; and **(G)** theta coherence between hippocampus and mPFC across WT cohorts. Data are presented as box-whisker plots indicating the median, 25th and 75th percentiles, minimum and maximum values, with data for individual mice superimposed. There are no significant differences between WT groups in any panel. Please refer to Supplemental Table 2 for full details of all statistical analyses. Related to Figure 1

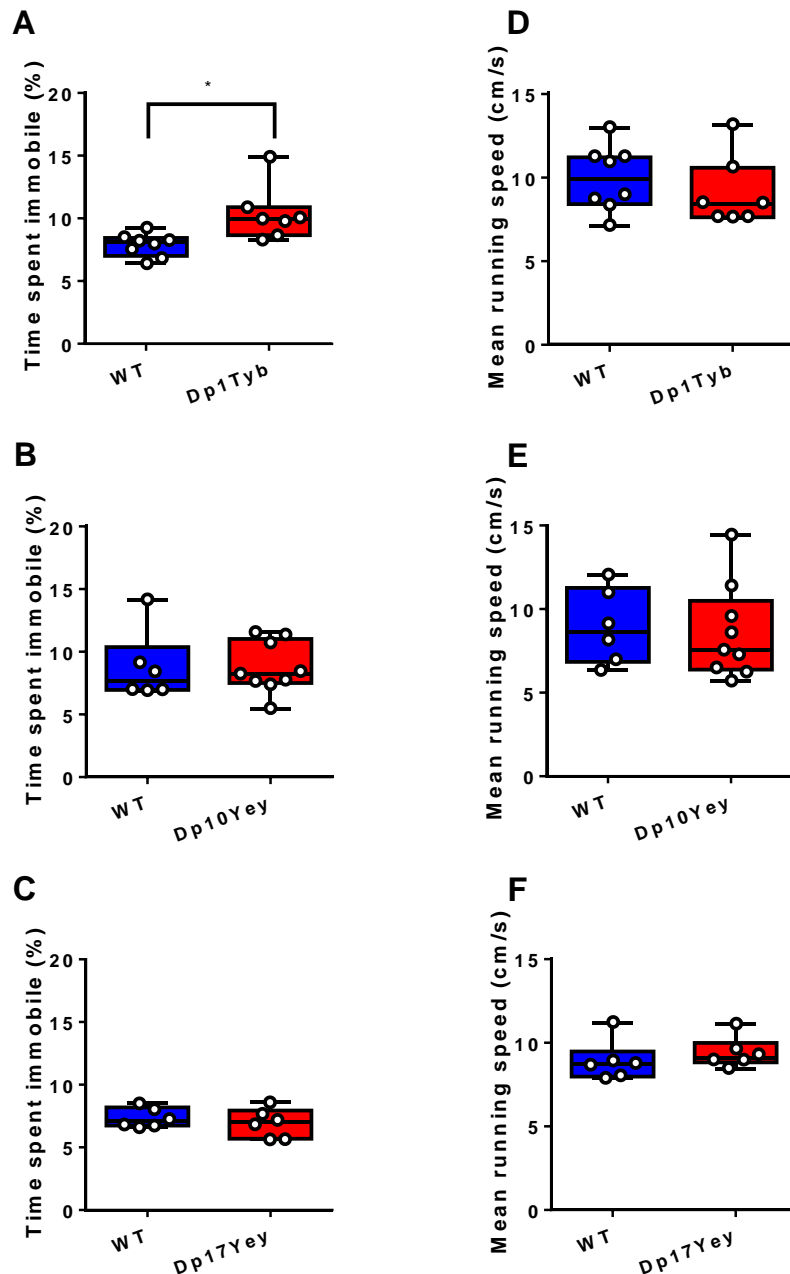


Figure S3: Comparison of Movement Statistics across Groups

(A-C) Relative period of each trial spent immobile (i.e. running speed <2cm/s); and (D-F) mean running speed during movement (i.e. running speed \geq 2cm/s) across all mutant mouse and WT control groups, averaged across both runs. These data illustrate that the increased trial latency observed in Dp1Tyb mice results from significantly more time spent immobile ($t(13)=2.46$, $p<0.05$) without any difference in mean running speed during movement ($t(13)=-0.49$, $p=0.63$). No differences in time spent immobile or mean running speed during movement were observed in any other group compared to their WT cohort (all $p>0.38$), or between any of the WT groups (both $p>0.24$). Please refer to Supplemental Table 2 for full details of all statistical analyses. Related to Figure 1

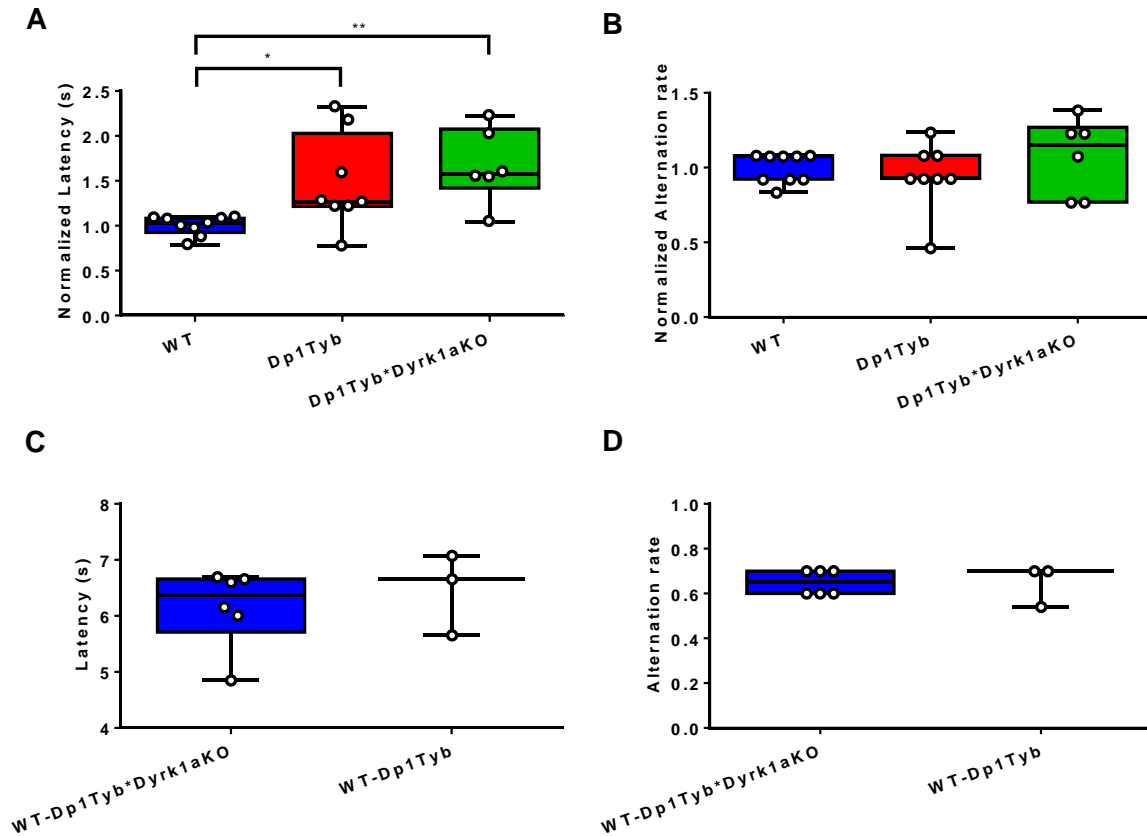


Figure S4: Behavioural Performance in Dp1Tyb and Dp1Tyb*Dyrk1aKO Mice

(A) Trial latency and (B) alternation rate averaged over 10 trials for Dp1Tyb and Dp1Tyb*Dyrk1aKO mice compared to their pooled wild-type (WT) control group. All data are normalized to the WT mean. Trial latency differs significantly between groups (One way ANOVA, $F(2,20)=6.21$, $p<0.0001$). Post-hoc Tukey HSD indicates that trial latency is significantly longer in Dp1Tyb and Dp1Tyb*Dyrk1aKO mice, compared to pooled WT ($p<0.05$ and $p<0.01$, respectively). (C) Comparison of trial latency and (D) alternation rate between WT cohorts, illustrating no significant differences in either case (Kruskal-Wallis test, both $p>0.58$). Data are presented as box-whisker plots indicating the median, 25th and 75th percentiles, minimum and maximum values, with data for individual mice superimposed. Please refer to Supplemental Table 2 for full details of all statistical analyses. Related to Figure 1

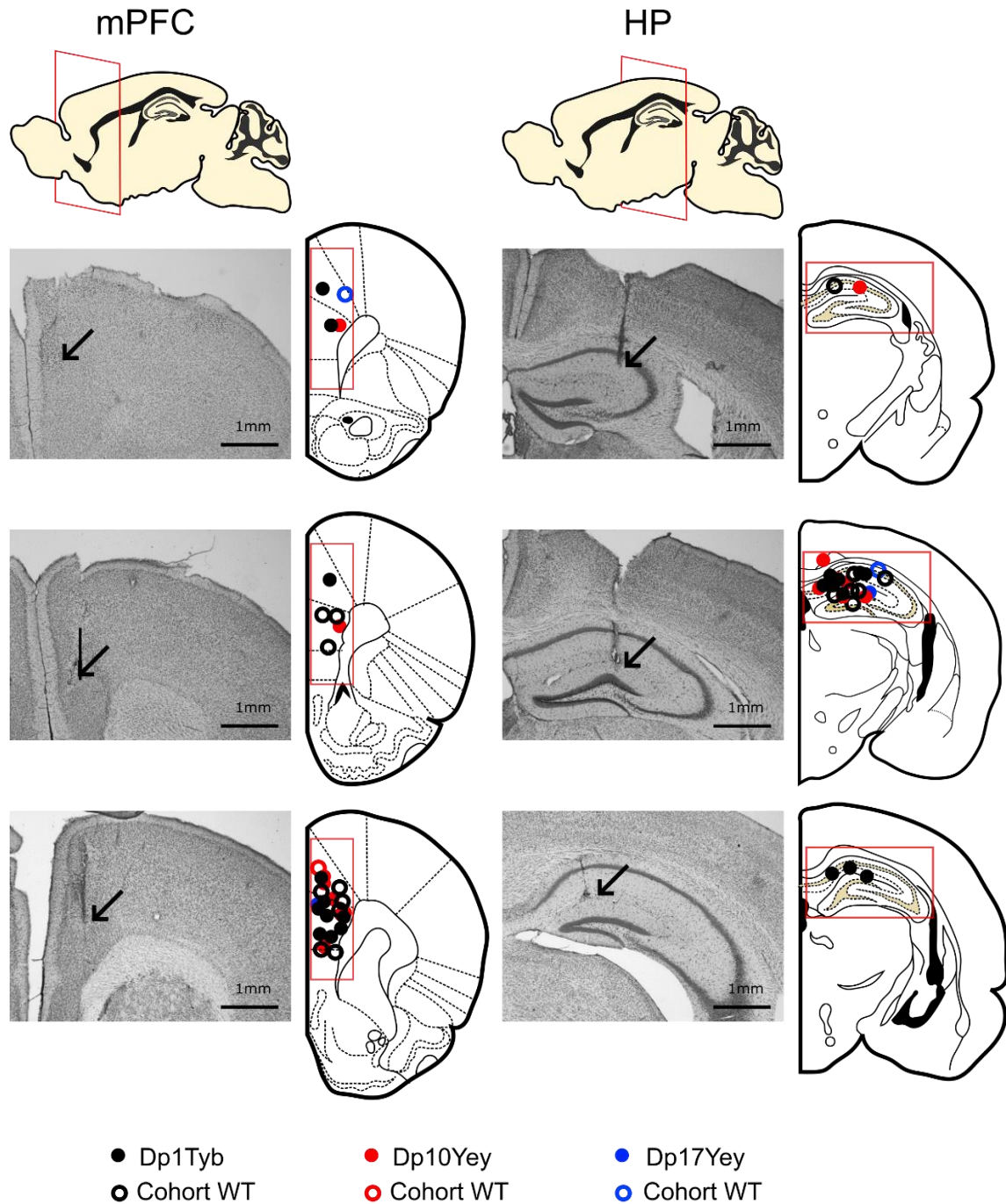


Figure S5: Histology

Coronal sections of Nissl stained brains showing typical locations of recording electrode tips in medial prefrontal cortex and dorsal hippocampus. Arrows indicate the tip of each recording electrode. LFP data were only included in the study if electrode tips were located in mPFC (indicated by coloured rectangle) and dorsal hippocampus (HP, also indicated by coloured rectangle). Related to Figure 2

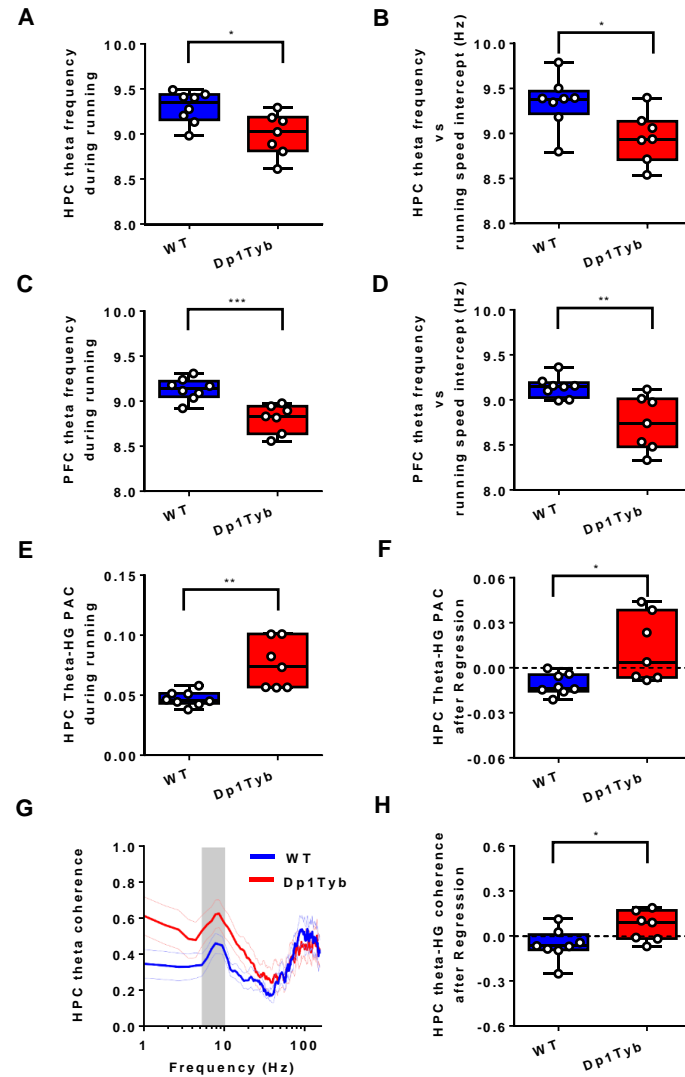


Figure S6: Details of Dp1Tyb Analyses Controlling for Movement Statistics

(A,C) Average theta frequency and **(B,D)** intercept of the running speed v theta frequency relationship during movement for Dp1Tyb and WT groups in **(A,B)** hippocampus (HPC) and **(C,D)** medial prefrontal cortex (mPFC). Theta frequency during movement is significantly lower in Dp1Tyb animals in both HPC ($t(13)=-2.80$, $p<0.05$) and mPFC ($t(13)=-4.52$, $p<0.001$), due to a reduction in the intercept (HPC: $t(13)=-2.68$, $p<0.05$; mPFC: $t(13)=-3.45$, $p<0.01$) but not the slope (both $p>0.24$, data not shown) of the running speed v theta frequency relationship. **(E)** Average theta-HG PAC in HPC is significantly higher in Dp1Tyb animals when analyses are restricted to movement periods only ($t(13)=3.12$, $p<0.01$). **(F)** Moreover, the influence of average time immobile on theta-HG PAC is removed by linear regression across animals, the difference between groups is still significant ($t(13)=2.88$, $p<0.05$). **(G)** Average theta coherence between HPC and mPFC is significantly higher in Dp1Tyb animals when analyses are restricted to movement periods only ($t(13)=2.44$, $p<0.05$). **(H)** Moreover, if the influence of average time immobile on theta coherence is removed by linear regression across animals, the difference between groups is still significant ($t(13)=2.36$, $p<0.05$). Please refer to Supplemental Table 2 for full details of all statistical analyses. Related to Figure 2

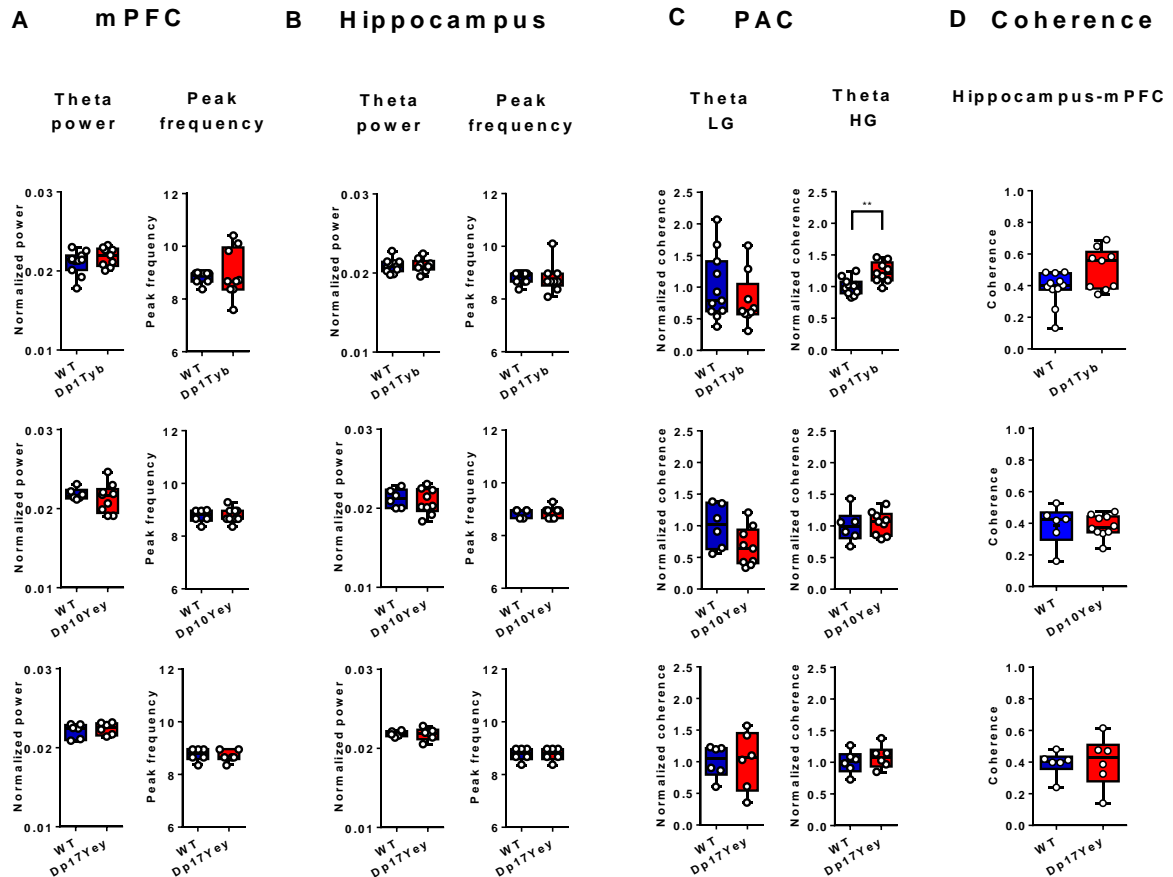


Figure S7: Habituation Phase Analyses

Comparison of average theta power and peak frequency in the **(A)** mPFC and **(B)** hippocampus, as well as **(C)** theta coherence between regions, across mutant and WT groups. There are no significant differences between any mutant mouse cohort and their WT control group in any of these parameters. Data are presented as box-whisker plots indicating the median, 25th and 75th percentiles, minimum and maximum values, with data for individual mice superimposed. Please refer to Supplemental Table 2 for full details of all statistical analyses. Related to Figures 2, 3 and 4

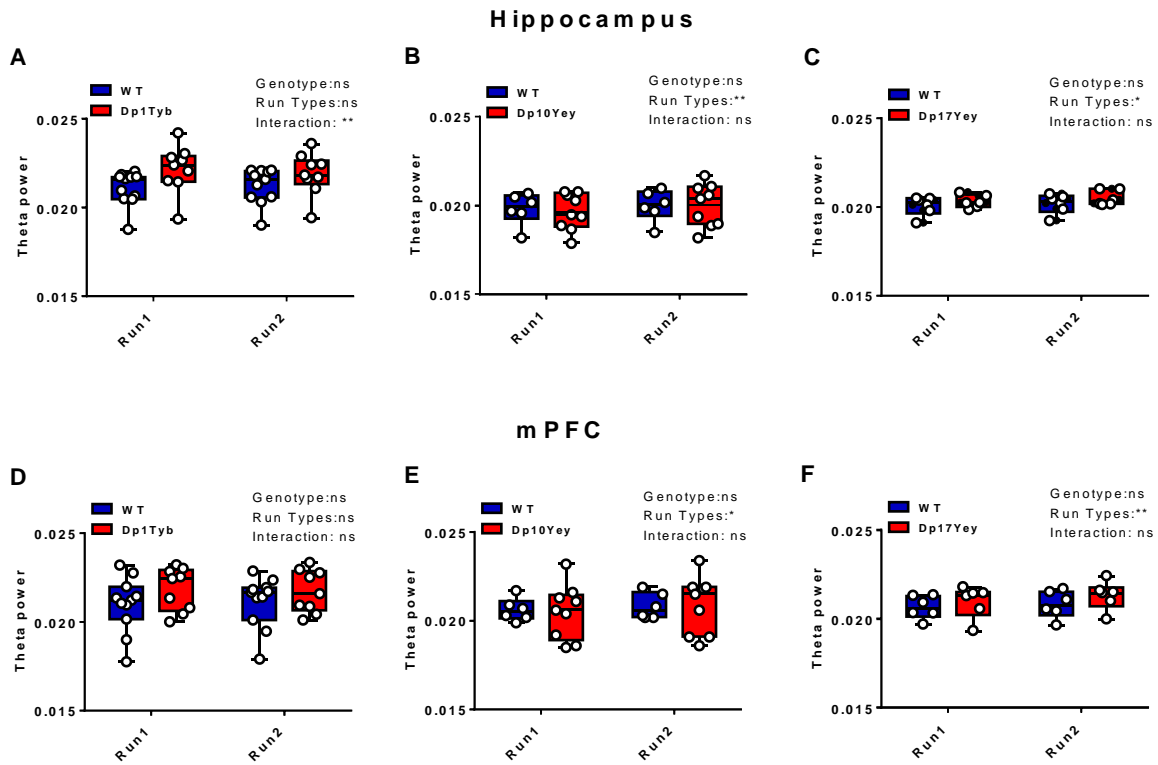


Figure S8: Comparison of Theta Power Between Runs

Average theta power during the first (sample) and second (choice) run on the T-maze in the **(A-C)** hippocampus and **(D-F)** mPFC in **(A,D)** Dp1Tyb and WT; **(B,E)** Dp10Yey and WT; and **(C,F)** Dp17Yey and WT animals. Two-way ANOVAs with levels of run (first v second) and genotype (mutant v WT) show a main effect of run on hippocampal theta power in the Dp10Yey and WT ($F(1,14)=6.95$, $p<0.05$), Dp17Yey and WT ($F(1,10)=8.35$, $p<0.05$) cohorts; and a run x genotype interaction in the Dp1Tyb and WT cohort ($F(1,18)=8.86$, $p<0.05$). The same analysis also reveals a main effect of run on mPFC theta power in the Dp10Yey and WT ($F(1,14)=7.67$, $p<0.05$), Dp17Yey and WT ($F(1,10)=17.56$, $p<0.05$) cohorts; but no run x genotype interaction in the Dp1Tyb and WT cohort in this case ($F(1,18)=2.88$, $p>0.05$). Data are presented as box-whisker plots indicating the median, 25th and 75th percentiles, minimum and maximum values, with data for individual mice superimposed. Please refer to Supplemental Table 2 for full details of all statistical analyses. Related to Figure 2

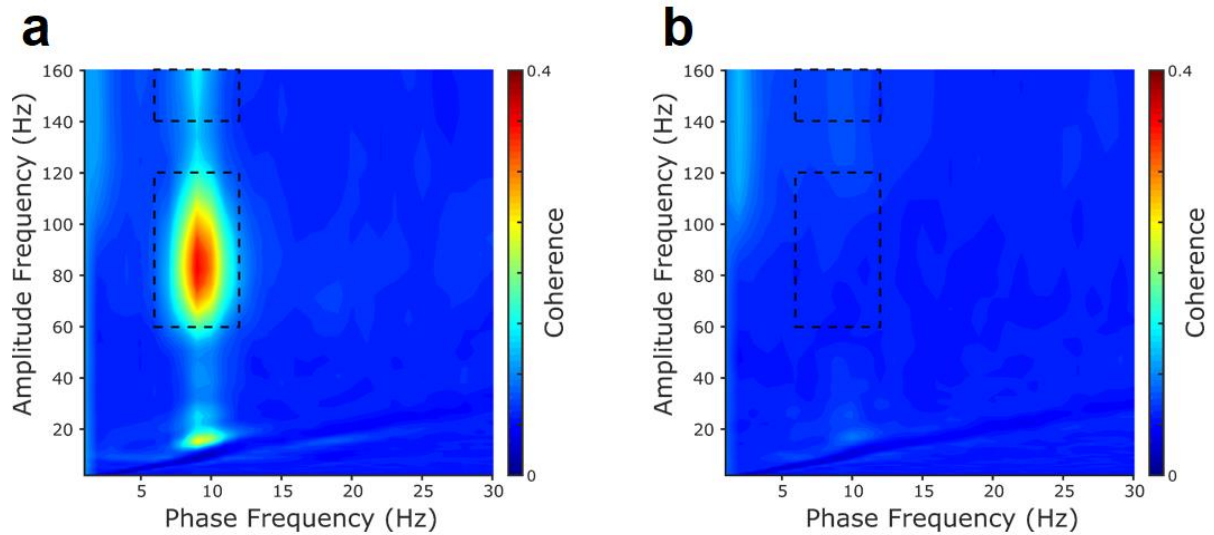


Figure S9: Average Phase-amplitude Comodulograms across all Animals

Phase-amplitude comodulograms, averaged across all DS model and WT control groups at 3 months of age for **(A)** hippocampus and **(B)** mPFC. Visual inspection reveals strong peaks between 6-12Hz theta phase and both 60-120Hz 'low gamma' (LG) and 140-160Hz 'high gamma' (HG) rhythms in the hippocampus, with no strong phase-amplitude coupling apparent in the mPFC (see Figure 3 for details of comparisons between groups). Related to Figure 3

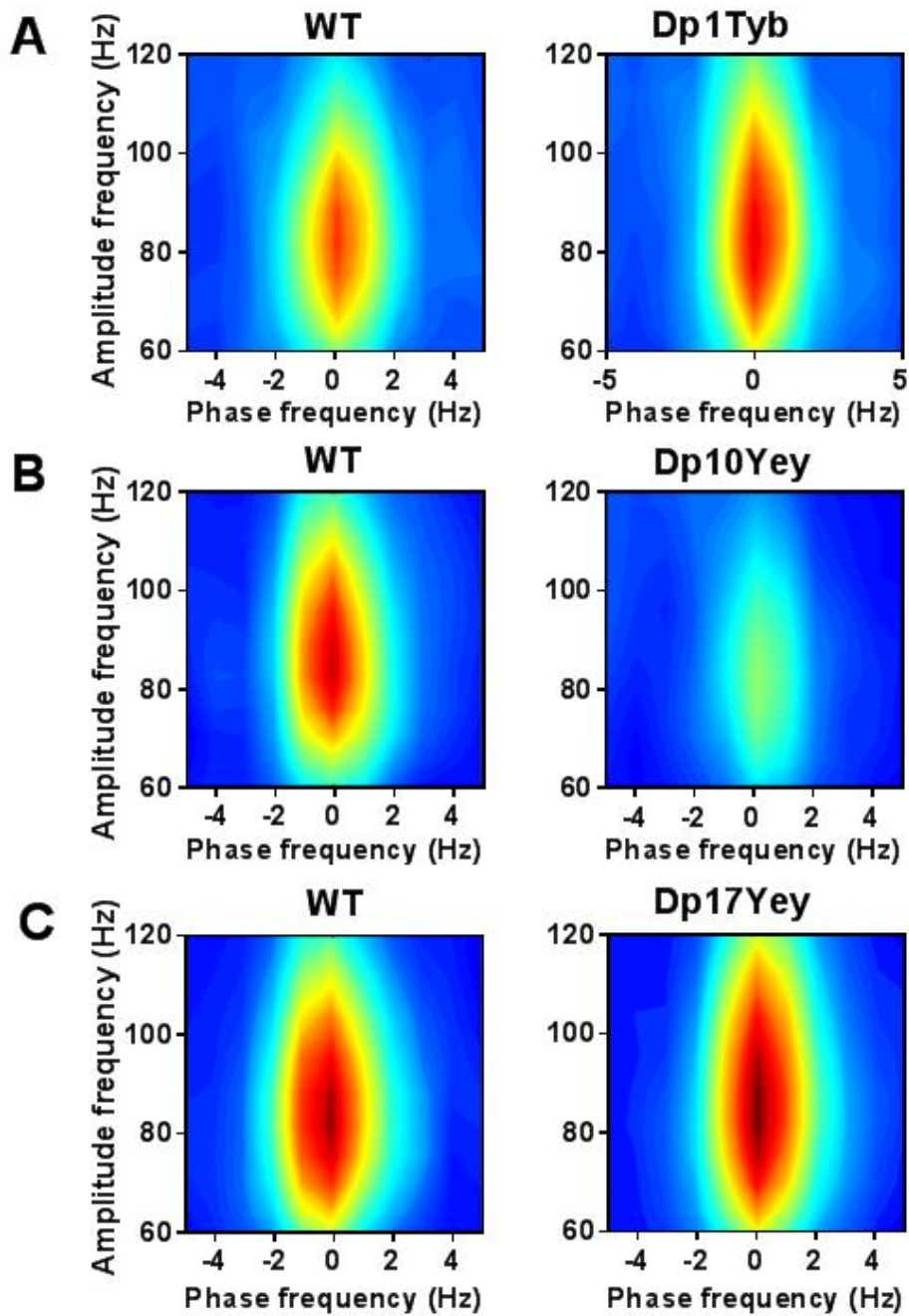


Figure S10: Theta-Low Gamma Phase-amplitude Comodulograms

Comodulograms showing hippocampal phase-amplitude coupling between 6-12Hz theta phase and 60-120Hz 'low gamma' (LG) amplitude separately for the **(A)** Dp1Tyb and WT; **(B)** Dp10Yey and WT; **(C)** Dp17Yey and WT groups. Theta phase frequencies have been aligned to the peak theta frequency in each animal, to facilitate comparison, and colour axes have been matched across groups to illustrate the relative strength of theta-LG phase-amplitude coupling. Related to Figure 3

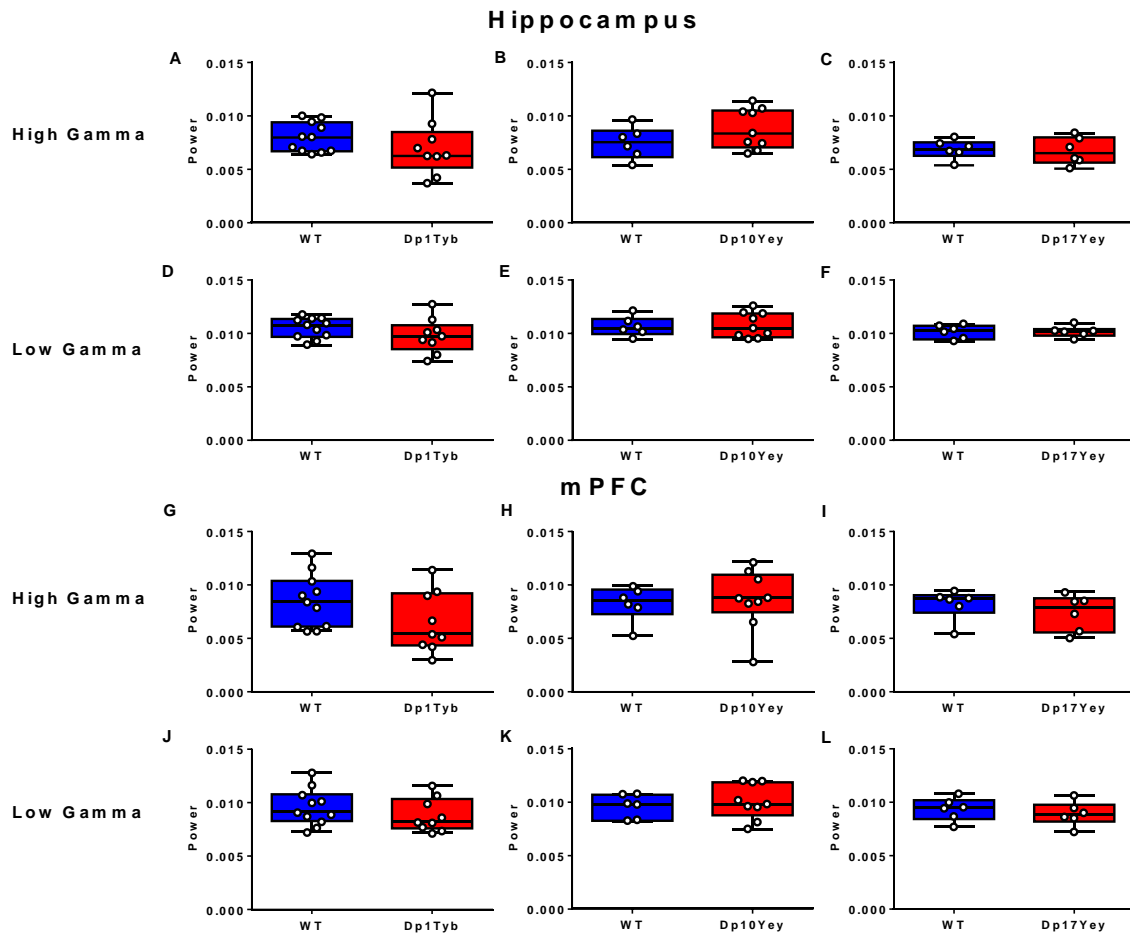


Figure S11: Comparison of Gamma Power between Groups

Average power in the low (60-120Hz) and high (120-140Hz) gamma bands in **(A-F)** hippocampus and **(G-I)** mPFC for each mutant mouse and control group during spontaneous alternation on the T-maze, which show no significant differences in any instance. Data are presented as box-whisker plots indicating the median, 25th and 75th percentiles, minimum and maximum values, with data for individual mice superimposed. Please refer to Supplemental Table 2 for full details of all statistical analyses. Related to Figures 2, 4

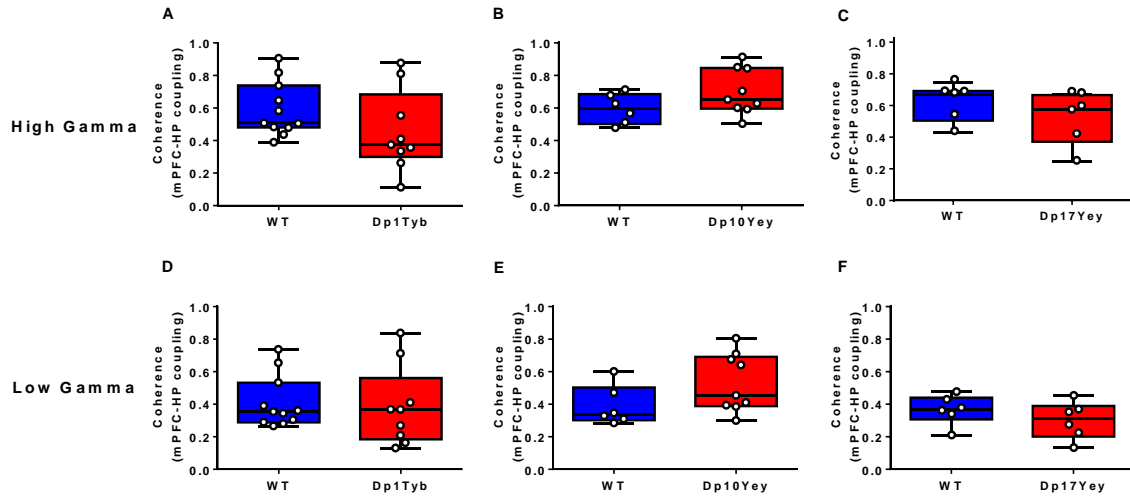


Figure S12: Comparison of Gamma Coherence between Groups

Coherence between the hippocampus (HP) and mPFC in the **(A-C)** high- and **(D-F)** low- gamma frequency bands for each mutant mouse and WT control group, which show no significant differences in any instance. Data are presented as box-whisker plots indicating the median, 25th and 75th percentiles, minimum and maximum values, with data for individual mice superimposed. Please refer to Supplemental Table 2 for full details of all statistical analyses. Related to Figure 4

Table S1: Summary of Trial and Animal Numbers at 3 months of Age

Related to Figures 1-4

Summary							
	Dp1Tyb	WT	Dp10Yey	WT	Dp17Yey	WT	Mean
n	9	11	10	6	6	6	8
All Trials (Mean)	9.33	8.64	8.70	7.83	10.17	9.83	9.08
All Trials (SD)	2.00	2.38	2.11	2.79	0.41	0.41	1.68
Artefact Trials (Mean)	2.00	1.73	1.80	0.50	1.00	0.33	1.23
Artefact Trials (SD)	2.74	2.33	2.66	1.22	0.89	0.82	1.78
Trials Included (Mean)	7.33	6.91	6.90	7.33	9.17	9.50	7.86
Trials Included (SD)	2.69	2.30	3.63	2.58	0.98	0.84	2.17

Table S3: Summary of Trial and Animal numbers for the Longitudinal Study

Related to Figure 5

3 months							
	Dp1Tyb	WT	Dp10Yey	WT	Dp17Yey	WT	Mean
n	4	6	5	3	6	5	4.83
All Trials (Mean)	8.75	8.17	9.40	6.67	10.17	9.80	8.83
All Trials (SD)	1.89	2.48	0.89	3.51	0.41	0.45	1.61
Artefact Trials (Mean)	2.50	1.17	2.00	0.00	1.00	0.40	1.18
Artefact Trials (SD)	3.32	2.40	3.39	0.00	0.89	0.89	1.82
Included Trials (Mean)	6.25	7.00	7.40	6.67	9.17	9.40	7.65
Included Trials (SD)	2.87	2.61	4.22	3.51	0.98	0.89	2.51

6 months							
	Dp1Tyb	WT	Dp10Yey	WT	Dp17Yey	WT	Mean
n	4	6	5	3	6	5	4.83
All Trials (Mean)	12.50	10.83	10.00	10.33	10.00	10.00	10.61
All Trials (SD)	4.36	1.17	0.00	0.58	0.00	0.00	1.02
Artefact Trials (Mean)	0.00	0.33	0.00	0.00	0.67	0.20	0.20
Artefact Trials (SD)	0.00	0.52	0.00	0.00	0.82	0.45	0.30
Included Trials (Mean)	12.50	10.50	10.00	10.33	9.33	9.80	10.41
Included Trials (SD)	4.36	1.52	0.00	0.58	0.82	0.45	1.29

9 months							
	Dp1Tyb	WT	Dp10Yey	WT	Dp17Yey	WT	Mean
n	3	4	4	2	6	5	4
All Trials (Mean)	10.33	12.25	10.25	10.00	10.17	10.40	10.57
All Trials (SD)	0.58	5.19	2.06	0.00	0.41	0.55	1.46
Artefact Trials (Mean)	0.00	0.25	0.00	0.50	0.33	0.00	0.18
Artefact Trials (SD)	0.00	0.50	0.00	0.71	0.52	0.00	0.29
Included Trials (Mean)	10.33	12.00	10.25	9.50	9.83	10.40	10.39
Included Trials (SD)	0.58	4.69	2.06	0.71	0.75	0.55	1.56



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Supplemental Videos and Spreadsheets
Table S2.xlsx

