



## 32 **Abstract**

33 Aggregation, including the formation of fibrils, poses significant challenges for the  
34 development of therapeutic peptides. To develop stable peptide formulations, some  
35 understanding of the mechanisms underpinning the fibrillation process is required. A thioflavin  
36 T fluorescence assay was first used to determine the fibrillation profile of a GLP-1-like peptide  
37 (G48) at conditions being considered to formulate the peptide. G48 concentrations ranged  
38 from 0 – 600  $\mu$ M and three pH values (pH 3.7, 7.4 and 8.5) were evaluated. Kinetic data  
39 demonstrate that G48 displays a pH-dependent aggregation profile. At pH 3.7, which is below  
40 the isoelectric point of G48 ( $pI \sim 5$ ), kinetics representative of amorphous aggregates forming  
41 via a nucleation-independent mechanism were seen. At pH 7.4 and 8.5 ( $pH > pI$ ) typical  
42 nucleation-dependent aggregation kinetics were observed. The weight concentration of  $\beta$ -  
43 sheet rich aggregates ( $FL_{max}$ ) correlated inversely with net charge, so lower  $FL_{max}$  values were  
44 observed at pH 3.7 and 8.5 than at pH 7.4. Incorporation of a non-ionic surfactant (polysorbate  
45 80) into the peptide solution suppressed the fibrillation of G48 at all pH values and maintained  
46 the native peptide conformation, whereas a phenolic co-formulant (ferulic acid) had minimal  
47 effects on fibril growth. Peptide fibrillation, which can occur within a range of formulation  
48 concentrations and pH values, can hence be inhibited by the judicious use of excipients.

49

## 50 1 Introduction

51 Peptides serve many bio-functional roles and are widely used in medicine. There are over 70  
52 peptides used clinically and a further 150 in clinical development [1]. One field in which peptide  
53 therapeutics have been successfully employed is endocrinology, particularly for the treatment  
54 of diabetes. Two such examples are insulin and glucagon-like peptide (GLP-1) agonists.

55 It is well established that compared to low molecular weight chemical entities, peptides  
56 face a greater number of challenges in drug development. One major issue is aggregation  
57 arising from the non-covalent association of individual molecules [2]. Peptides are capable of  
58 undergoing a specific type of aggregation leading to the formation of highly ordered  $\beta$ -sheet  
59 rich structures called fibrils [3]. While nature appears to have a handful of cases where fibrils  
60 play a functional role (such as mediation of binding to a host protein (Curlin, *E. coli*)) [4], in  
61 humans fibril growth is mostly implicated in morbidity such as Alzheimer's disease [5],  
62 amyloidosis [6], and nephropathy [7]. Reports have shown that the injection of preformed fibrils  
63 could potentially hasten amyloidosis [8]. Clinically used peptides generally have a shelf-life of  
64 two years, and only by understanding the mechanisms behind the fibrillation process can we  
65 develop formulations capable of maintaining stability throughout manufacturing, handling and  
66 patient usage. Moreover, an understanding of the mechanistic basics of fibril formation can  
67 inform early pre-formulation research.

68 The most widely accepted mechanism for the formation of peptide fibrils is nucleation-  
69 dependent polymerisation, which can be broken down into three phases: (i) the lag phase, an  
70 initial period resulting in the formation of primary nuclei and barely detectable aggregates; ii)  
71 an exponential growth phase, during which secondary nuclei formation occurs and a high  
72 proportion of detectable  $\beta$ -sheet aggregates are generated; iii) a plateau phase where  
73 monomeric peptide become depleted and equilibrium between monomeric peptide and fibrils  
74 is reached [9-11]. Thioflavin T (ThT) is used as a probe for this process, because its increase  
75 in fluorescence upon binding to fibrils [12] allows the kinetics of their formation to be monitored.  
76 Myriad factors influence fibrillation propensity including peptide concentration, temperature,  
77 agitation and pH [2, 13]. Given the ionisable nature of peptides, the promotion of aggregation  
78 by changes in solution pH are particularly important [14, 15].

79 The majority of fibrillation studies focus on  $\beta$ -amyloid, and aside from insulin very few  
80 reports focus on providing insights into the fibril formation of clinically relevant peptides. With  
81 regard to formulation approaches for stabilising therapeutic peptides, there exist even fewer  
82 reports [16]. Nonetheless, formulation approaches are generally the most preferable approach  
83 for stabilising proteins and peptides as they obviate the need for further sequence modification  
84 or conjugation [17], which may alter the efficacy of the drug [2]. Two examples of excipients

85 which are used to stabilise biotherapeutics are (i) phenols in insulin [18] and (ii) surfactants in  
86 antibody formulations [19].

87 Kinetic monitoring with ThT across a range of concentrations (0-600  $\mu$ M) was used in  
88 this study to quantify fibril formation by a GLP-1-like peptide of pharmaceutical interest (G48)  
89 at different pH values. The G48 fibrils were then characterised by circular dichroism (CD),  
90 reverse phase high performance liquid chromatography (RP-HPLC), dynamic light scattering  
91 (DLS), atomic force microscopy (AFM) and transmission electron microscopy (TEM). A  
92 mechanism for the pH-dependent alteration in fibril kinetics for G48 is proposed. In addition,  
93 the influence of phenol (ferulic acid, FA) and surfactant (polysorbate 80, PS80) excipients on  
94 G48 fibrillation was also investigated.

95

## 96 **2 Materials and methods**

### 97 **2.1 Materials**

98 Peptide G48 is a linear peptide composed of 29 amino acid (AA) residues with a molecular  
99 weight (MW) of  $\sim$  3.5 kDa and an isoelectric point (PI) of  $\sim$ 5 (estimated based on ionisable  
100 groups). G48 was provided by AstraZeneca (Cambridge, UK) with  $>$ 98 % purity determined  
101 by RP-HPLC analysis. Thioflavin T (ThT), trisaminoethane (Tris), sodium hydroxide (NaOH),  
102 hydrochloric acid (HCl), ferulic acid (FA), trifluoroacetic acid (TFA), HPLC-grade acetonitrile  
103 and water were obtained from Fisher Scientific (Loughborough, UK). Sodium azide ( $\text{NaN}_3$ ),  
104 sodium acetate and polysorbate 80 (PS80) were purchased from Sigma Aldrich (Gillingham,  
105 UK).

### 106 **2.2 Aggregation kinetics**

107 Stock solutions of G48 (1.2 mM) were prepared in two different buffers at pH values ranging  
108 from 3.7 to 8.5: i) pH 3.7 (acetate, 20 mM) and ii) pH 7.4 and pH 8.5 (Tris, 20 mM). From the  
109 stock solutions, serial dilutions gave a range of G48 concentrations (600, 300, 150, 75, and  
110 37.5  $\mu$ M). Along with ThT (50  $\mu$ M) and 0.01 %  $\text{NaN}_3$  (preservative) at the appropriate pH,  
111 samples of the peptide were added to a Greiner Bio black 96 well plate with transparent bottom  
112 (Kremsmünster, Germany). All solutions were initially filtered with a 0.22  $\mu$ m filter before use  
113 and the pH of individual wells was confirmed with a calibrated Hannah pH meter (Leighton  
114 Buzzard, UK) at the start and end of the kinetic study. The plates were sealed with aluminium  
115 foil to prevent evaporation and then incubated at 37  $^{\circ}$ C. At excitation and emission  
116 wavelengths of 440 nm and 480 nm respectively, fluorescence readings were recorded from  
117 the bottom of the plates every 30 mins on a Spectramax m2e plate reader (San Jose,  
118 California, USA) over four days, with 300 s of agitation at 250 RPM applied before each  
119 reading. Data collection was done with automatic cut-off and low photomultiplier tube (PMT)

120 gain. In addition, seeding experiments were carried out by sonicating preformed aggregates  
121 at the appropriate pH for 350 s, inoculating these at 5 % vol/vol into G48 solutions (150 µM)  
122 and then repeating the experiments described above. Three replicate experiments were  
123 conducted at each pH value. The aggregation kinetics were determined by fitting a Boltzman  
124 sigmoidal function onto the data set, and using the following equations:

$$125 \quad y = \frac{A_2 - A_1}{1 + e^{\frac{t-t_0}{dx}}} + A_1$$

126 **Equation 1**

$$127 \quad t_{lag} = t_0 - 2dx$$

128 **Equation 2**

129 Where A1 and A2 represent the initial and maximum fluorescence ( $FL_{max}$ ),  $t_0$  denotes  
130 the time taken to reach half of the maximum fluorescence,  $1/dx$  is the fibril growth rate  
131 constant, and  $t_{lag}$  the lag time.

### 132 **2.3 Formation of fibrils**

133 Stock solutions of G48 (600 µM) prepared as above were stored in glass sample tubes at 37  
134 °C and agitated at 250 RPM for the same duration as the kinetic study. Following this, the  
135 fibrillated samples were stored at -24 °C for further characterisation.

### 136 **2.4 Fibrillation inhibition study**

137 G48, ThT and  $NaN_3$  were incubated in a 96 well plate as above, but additionally with the  
138 presence of PS80 and/or FA. Wells excluding PS80 or FA acted as positive controls while  
139 buffer replaced G48 in the negative control. The final G48 concentration was maintained at  
140 300 µM while the final concentrations of PS80 and FA were 25 µM and 3 mM respectively.  
141 Due to its poor aqueous solubility (0.7 mg/mL), FA was initially dissolved in DMSO (10 mg/mL)  
142 before being diluted with the appropriate buffer. To examine the formation of fibrils, the same  
143 samples were stored in glass tubes at 37 °C and agitated at 250 RPM for the same duration  
144 as the kinetic study but without ThT and  $NaN_3$ . Following this, the samples were stored at  
145 -24 °C for further characterisation.

### 146 **2.5 Circular dichroism**

147 CD spectra of G48 were acquired on a Chirascan Plus spectrometer (Applied Photophysics,  
148 Leatherhead, UK). UV and CD analyses were conducted in the regions of 400 – 230 nm and  
149 260 – 200 nm with 10 mm and 0.5 mm Quartz Suprasil rectangular cells (Starna Scientific Ltd,  
150 Ilford, UK), respectively. During the experiments, the instrument was flushed continuously with  
151 nitrogen gas. Measurements were taken at 1 nm spectral bandwidth, 1 nm stepwise and 1 s  
152 accumulation time per point. All measurements were carried out at 23 °C and both the UV and  
153 CD spectra were buffer corrected. Light scattering correction was applied to the peptide near-

154 UV absorption spectrum prior to sample concentration determination. The far-UV CD spectra  
155 were normalised for concentration and pathlength, and are presented in terms of mean residue  
156 ellipticity (MRE, deg.cm<sup>2</sup>.dmol<sup>-1</sup>):

$$MRE = \frac{MRW * \theta_{obs}}{10 * l * C}$$

158 **Equation 3**

159  
160 Where MRW is the mean residue weight,  $\Theta_{obs}$  represents the ellipticity in millidegrees  
161 and  $l$  and  $C$  are the cell pathlength and peptide concentration, respectively. Data processing  
162 was performed using APL Prodata Viewer (Leatherhead, UK). The Bestsel secondary  
163 structure prediction analysis tool was used to determine the percentage distribution of  
164 secondary structure [20].

## 165 **2.6 Peptide quantification**

166 Peptide quantification was carried out by RP-HPLC on an Agilent 1100 instrument (Cheadle,  
167 UK) equipped with a Supelco Biowide C18 column (4.6 mm × 150 mm × 5 μm). Initially the  
168 samples were centrifuged at 14,000 RPM for 10 min, then the resulting supernatant was  
169 withdrawn and diluted with the relevant buffer before analysis. The eluents were HPLC grade  
170 (A) water with 0.1 % TFA and (B) acetonitrile with 0.1 % TFA. A gradient method was used as  
171 follows: 0-4 mins 30 % B, 4 – 5.5 mins linear gradient to 60 % B, 7.5 – 9.5 min linear gradient  
172 of B down to 30 %, followed by a 3.5 min washout period; the total run time was 13 min. The  
173 peptide content was determined by UV absorbance at 214 nm and a retention time of 7.9 min.

## 174 **2.7 Atomic force microscopy**

175 The fibrillated peptide samples (20 μL) were pipetted onto freshly cleaved SPI Supplies mica  
176 (West Chester, Pennsylvania, USA) and incubated for 15 min before being washed with HPLC  
177 grade water (100 μL) and allowed to dry. AFM analysis was performed with a Dimension  
178 FastScan AFM (Bruker, Coventry, UK) equipped with a cantilever operating between 20-100  
179 Hz. The images were analysed with the Bruker AutoMET software.

## 180 **2.8 Transmission electron microscopy**

181 Samples of the fibrillated peptide (10 μL) were spotted onto a SPI Supplies copper TEM grid  
182 and allowed to incubate for 30 minutes. Following this, 0.22 μm filtered 1 % uranyl formate  
183 solution was used as a staining agent. The samples were imaged on a Philips/FEI CM120 Bio  
184 Twin instrument (Hillsboro, Oregon, USA) operating at 60 kV.

## 185 **2.9 Dynamic light scattering**

186 Freshly prepared peptide or fibrillated G48 samples were diluted 1:100 with the corresponding  
187 pH buffer. Size measurements of the samples were performed on a Malvern Instruments

188 Zetasizer Nano ZS90 (Malvern, UK). Using a disposable UV cuvette (Brand, Wertheim,  
189 Germany), measurements were taken at a fixed scattering angle of 173 ° and temperature of  
190 25 °C, with 2 min equilibration before each run. The samples were measured in triplicate with  
191 12 runs per measurement. The fibrillated samples were not filtered further before  
192 measurement.

### 193 **3 Results and discussion**

#### 194 **3.1 G48 aggregation kinetics**

195 Peptide aggregates are associated with reduced efficacy and increased toxicity [6, 7]. It is well  
196 established that fibrillation is a multifactorial process with temperature, agitation, peptide  
197 concentration and other factors all playing a role [13]. Here, we have sought to understand the  
198 influence of pH and concentration on the aggregation mechanism of a therapeutically relevant  
199 29mer polypeptide, employing the widely used ThT fluorescence assay [12]. The ThT dye  
200 binds specifically to the  $\beta$ -sheet rich structures that are formed when peptides aggregate,  
201 which markedly increases the excitation (385  $\rightarrow$  450 nm) and emission maxima (445  $\rightarrow$  482  
202 nm) [12].

203 In insulin fibril growth studies, Fodera et al. [21] reported effectively no change in  
204 maximum fluorescence ( $FL_{max}$ ) at ThT concentrations ranging from 20-100  $\mu$ M. Thus, our  
205 study focused on a ThT concentration in the middle of that range. Temperature increases  
206 fibrillation rates [22], and therefore here we conducted experiments at the physiological  
207 temperature, to aid understanding of the propensity of the peptide to fibrillate when used by  
208 patients as a long acting depot formulation, for example. Agitation is also known to influence  
209 fibrillation by increasing fibril breakage and also increasing the air-water interface area [11,  
210 22]. Further, agitation provides a means of stress-testing realistic handling conditions for a  
211 peptide formulation. The conditions chosen here are likely to provide the required stress to  
212 promote fibril growth in a short timeframe, but are not extreme enough to cause a loss of  
213 secondary structure and immediately denature the peptide. Concentrations up to 600  $\mu$ M are  
214 being considered for the formulation of G48 and have therefore been explored. The pH values  
215 investigated were chosen to explore the fibrillation properties of G48 at acidic (pH 3.7),  
216 physiological (pH 7.4) and slightly basic pH conditions (pH 8.5). The acidic and basic  
217 conditions reflect pH values that are being examined to formulate G48. In order to ensure that  
218 the overall charge of the solutions was not altered by change in pH, at the end of the study,  
219 the pH of each well was tested and confirmed to be the same the starting pH.

220 The kinetic data obtained at all pH values (Figure 1, Table S1) depict typical sigmoidal  
221 curves attributable to peptide fibril growth [12]. At pH 3.7, despite exposure to aggregatory  
222 conditions for 99 hours, the  $FL_{max}$  values remain low. A closer look at the data (Figure 1A,

223 inset), show that  $FL_{max}$  correlates positively with concentration. At pH 7.4 and 8.5, a similar  
224 correlation in  $FL_{max}$  and concentration can also be seen (Figure 1D,G). It should be noted that  
225 at pH 3.7 carrying out the study for a longer period of time (8 days) did not result in the well-  
226 defined plateau seen at the other two pH values.

227

228

### Figure 1

229 The lag time (Figure 1B,E,H), although a period of minimal fluorescence signal,  
230 represents a period of stochastic formation of nuclei as well as fibril formation up to a  
231 detectable level [9, 13, 22]. Thus, when peptides are seeded with preformed fibril, a marked  
232 reduction in lag time ensues [11]. In nucleation-dependent polymerisation, it is expected that  
233 at higher concentrations the greater monomeric content should result in a reduction in lag time  
234 [9, 13, 22]. However, at pH 3.7 the plot of lag time and concentration (Figure 1B) reveals an  
235 increase in lag time with concentration, indicative of an off-pathway mechanism [15, 23] where  
236 at higher concentration there is a conversion of initially formed oligomers back to monomeric  
237 units, which results in a longer  $t_{lag}$ . Deva et al. [23] conducted a study at a similarly low pH (pH  
238 2) and propose a similar off-pathway mechanism. The reversal seen is attributable to the  
239 formation of amorphous aggregates rather than fully defined fibrils.

240 To confirm if G48 formed  $\beta$  sheet rich aggregates in a nucleation-dependent manner,  
241 the peptide was seeded with preformed fibrils. Figure 1F,I reveal a marked reduction in  $t_{1/2}$  at  
242 pH 7.4 (14.9 h vs 5.9 h) and 8.5 (22.8 h vs 4.1 h), which can be attributed to the acceleration  
243 of aggregation in the presence of nuclei from preformed fibrils. However, at pH 3.7 (Figure 1C)  
244 there was negligible increase in the fluorescence in the presence of pre-formed seeds. This,  
245 along with the  $t_{lag}$  plots, confirms that the aggregation of G48 at pH 3.7 was via an off-pathway.  
246 Deva et al described similar results on off-pathway kinetics where seeding abrogated  
247 fluorescence.

248 Theoretically, a peptide would aggregate maximally at its pI where the net charge is 0.  
249 The pI of G48 is ~5. At pH 3.7, G48 is estimated to have an overall positive net charge (+4).  
250 The low  $FL_{max}$  seen at pH 3.7 could be attributed to strong charge repulsion between peptide  
251 monomers and thence a reduced propensity for aggregation. Owczarz et al [24] have reported  
252 a similar relationship between net charge and fibril formation with the amphiphilic peptide  
253 RADA 16-I. However, insulin, which has a similar pI (5.4), has been reported to fibrillate readily  
254 at acidic pH [22]. This is attributed to the fact that insulin moves from an hexameric to a  
255 monomeric state at acidic pH, and as described above monomers are preferential for the  
256 propagation (i.e. growth phase) of fibrils. G48 does not form hexamers and at pH 3.7 its  
257 charged monomers (+4) in their native conformation appeared to repel one another, reducing  
258 aggregated content and thus  $FL_{max}$ . In the absence of any further variable besides pH the data



259 strongly suggest that electrostatics have a major influence [25] in the fibrillation propensity of  
260 G48.

261 At pH 7.4 the  $FL_{max}$  (Figure 1D) was higher than at pH 3.7, although a similar  
262 concentration dependent increase was observed. An inverse correlation ( $R^2 = 0.6$ ) was  
263 observed between lag time and peptide G48 concentration (Figure 1E). This aligns with the  
264 majority of the published literature on fibrillation and suggests a nucleation-dependent  
265 polymerisation process where monomer driven formation of nuclei determines the lag period,  
266 such that higher concentration results in shorter lag times [13, 22].

267 At pH 7.4 the peptide net charge of G48 is estimated to be -2, and therefore the extent  
268 of charge-charge repulsion between peptide monomers is reduced compared to those at pH  
269 3.7, resulting in a greater extent of fibril growth at pH 7.4 and thus higher  $FL_{max}$ . The  $FL_{max}$   
270 values attained from the ThT assay have been attributed to the weight concentration of  
271 aggregates present, thus a higher  $FL_{max}$  points towards higher aggregated content [26]. At the  
272 end of the study the wells containing G48 at pH 7.4 contained a cloudy suspension, consistent  
273 with extensive fibrillation since this can often result in precipitation [22]. At pH 8.5 the  $FL_{max}$   
274 (Figure 1G) values drop from those seen at pH 7.4 but are higher than  $FL_{max}$  values attained  
275 at pH 3.7. As with the lower two pH values, there is correlation between  $FL_{max}$  and peptide  
276 concentration. The lag time (Figure 1H) was inversely proportional to concentration ( $R^2 = 0.9$ ),  
277 and in general longer than that observed at pH 7.4. Once more, the trend support nucleation  
278 as the primary mode of fibril formation at pH 8.5. G48 is estimated to carry a net charge of -3  
279 at pH 8.5, increasing the electrostatic repulsion of G48 monomers compared to pH 7.4 (Figure  
280 1E). However, the net charge of G48 remained lower than at pH 3.7 (+4) and thus the charge  
281 repulsion appeared insufficient to give the low  $FL_{max}$  which was observed at pH 3.7.

282 Taken together, the kinetic data suggest that changes in the overall peptide net charge  
283 are capable of markedly influencing the fibrillation mechanism of G48. As with native GLP-1,  
284 at pH 3.7 [27], the imidazole in the His residue in G48 is protonated, whereas at pH 7.4 and  
285 pH 8.5 the His imidazole is deprotonated. The difference between pH 7.4 and 8.5 is that in the  
286 latter there is a further N terminus deprotonation. These electrostatic changes appear to  
287 influence the fibrillation kinetics of G48 considerably. Fibrillation (and thus  $FL_{max}$ ) is greatest  
288 at the pI of the peptide, and as the pH moves further away from this  $FL_{max}$  is reduced. An  
289 alternate theory could be that fibril growth is more likely to occur when the peptide possesses  
290 an overall negative charge. This does not, however, account for the difference in  $FL_{max}$   
291 observed when two different pH values (7.4 and 8.5) which both result in the peptide being  
292 negatively charged are investigated.

### 293 **3.2 Secondary structure of G48**

294 Peptides are optically active and capable of absorbing circular polarised light at certain  
295 wavelengths, the interpretation of which can provide details on the conformational state of the  
296 peptide [28]. Since CD can provide insight into the secondary structure of a peptide, it can be  
297 applied in understanding physical instabilities such as aggregation. The influence of pH on the  
298 secondary structure of G48 samples (600  $\mu$ M) was examined by CD. Before fibrillation (Figure  
299 2A), all the samples exhibited a classical  $\alpha$ -helix conformation with ellipticity minima at 224  
300 and 212 nm [28]. At pH 3.7 the minima around 212 nm is of slightly lower magnitude than at  
301 pH 7.4 and 8.5. Upon subjection to aggregatory conditions, across all pH values there was a  
302 shift in the ellipticity minima to  $\sim$ 218 nm (Figure 2B). This is attributable to twisted  $\beta$ -sheet  
303 content [28, 29]. Since the ThT fluorescence did not appear to increase upon seeding at pH  
304 3.7, CD spectra both for unseeded (Figure 2B) and seeded (Figure S1A) experiments were  
305 obtained and identical results are seen with and without the presence of pre-formed fibrils.

#### 306 **Figure 2**

307 The magnitude of absorbance at pH 7.4 is markedly lower than at the other two pH  
308 values (Figure 2B), which can be attributed to the loss of free peptide molecules in solution  
309 [30]. Although CD can sometimes show poor sensitivity toward  $\beta$ -sheets, the spectra are  
310 consistent with the physical observations in the ThT study (formation of a cloudy suspension).  
311 At pH 8.5 the CD spectra depict a transitioning conformation where the minima at 212 nm  
312 appears to be present but of low magnitude. Nonetheless, it is evident there is a difference in  
313 the CD spectra of the fibrillated and non-fibrillated samples of G48, showing a transformation  
314 from  $\alpha$ -helix to increasing  $\beta$ -sheet content.

315 To determine the proportion of  $\alpha$ -helix content present after subjection of G48 to  
316 aggregatory conditions, the CD data were analysed with the Bestsel secondary structure  
317 analysis tool [20]. This analysis corroborates a depletion in  $\alpha$ -helical content of G48 at all pH  
318 values studied, while  $\beta$ -sheet content either increased or stayed the same (Figure 2C). At pH  
319 7.4, while there was a considerable drop in  $\alpha$ -helical content upon fibril formation there was  
320 also a marked increase in other conformations including loops, turns and bends. It should be  
321 stressed that such analytical tools are theoretical and based on databases of peptides which  
322 may aggregate in very different ways to G48. The studies underpinning the calculations may  
323 have also been carried out at different conditions compared to the conditions utilised here.  
324 Nevertheless, such tools can provide some insight into the aggregation behaviour of peptides  
325 [20] and here supports our understanding of G48's aggregation profile.

### 326 **3.3 Aggregate morphology**

327 The data provided by kinetic studies and CD (Figure 1, Figure 2) suggest that the aggregation  
328 profile of G48 differed depending on the solution pH. To determine the morphology of the

329 aggregates which formed, AFM and TEM were utilised. At pH 3.7, by AFM (Figure 3A) there  
330 appear to be some underdeveloped short fibrils (< 50 nm) and amorphous aggregates can be  
331 seen throughout the sample. The TEM image (Figure 3B) depicts aggregates and oligomers,  
332 with few defined fibrils. At pH 7.4 there are clearly defined fibrils adjoined to each other in the  
333 AFM data (Figure 3C). The fibrils appear longer than those seen at pH 3.7 and have a rod-like  
334 morphology (~ 150 nm). There also appear to be multiple areas of amorphous aggregates,  
335 especially at the interconnection point between distinct fibrils. The TEM image (Figure 3D)  
336 also shows a mix of amorphous aggregates and short, defined, fibrils.

337

### Figure 3

338 At pH 8.5 (Figure 3E) there are a small number of long (~ 300 – 500 nm), well-defined  
339 rod-like fibrils in the AFM image, with little to no amorphous aggregate visible. TEM (Figure  
340 3F) depicts a similarly long fibril (yellow arrows), but wormlike protofibrils (green arrows) could  
341 also be seen distributed around the image. The AFM and TEM images corroborate the kinetic  
342 assay as well as the CD data, pointing towards formation of  $\beta$ -rich aggregates and fibrils at all  
343 three pH values, although the morphology of fibrils formed differed.

### 344 3.4 Soluble peptide quantification and size characterisation

345 The conversion of peptide monomers to aggregates is a nucleation process, and there is  
346 bound to be an increase in the size distribution of the peptide in solution as soluble aggregates  
347 form. DLS was used to confirm the presence of G48 aggregates. Although fibrils are linear  
348 and this technique assumes that the materials being investigated adopt a spherical shape [25,  
349 31], DLS is routinely used for characterising protein aggregates in the literature [32] and can  
350 provide a comparative insight into the formation process.

351 Figure 4A-C depicts volume distribution profiles, which represent the relative volumes  
352 of G48 monomers and aggregates within the sample. Before subjection to aggregatory  
353 conditions (Figure 4A-C, blue), the hydrodynamic radius ( $R_h$ ) of the peptide at pH 3.7, pH 7.4  
354 and pH 8.5 was  $0.69 \pm 0.12$ ,  $0.78 \pm 0.10$  and  $0.63 \pm 0.15$  nm respectively. Conversely, after  
355 fibrillation (Figure 4A-C, red), the  $R_h$  increased markedly at all pH values, to  $291 \pm 77$  nm (pH  
356 3.7)  $243 \pm 30$  nm (pH 7.4) and  $209 \pm 34$  nm (pH 8.5). At pH 3.7, the polydispersity index (PDI)  
357 was 0.4 whereas the PDI at the two higher pH values was close to 1. The increased  $R_h$  and  
358 PDI are attributable to G48 aggregation.

359

### Figure 4

360 HPLC studies to quantify the presence of soluble monomeric peptide under  
361 aggregatory conditions (Figure 4D) reveal that at all pH values G48 depletes completely within  
362 72 h, with the fastest depletion occurring at pH 7.4. Within 24 h,  $94 \pm 1.2$  % of the soluble  
363 peptide in its monomeric form had depleted at pH 7.4, whereas there was a slower rate of  
364 depletion at pH 3.7 and pH 8.5. This is attributable to slower elongation of the fibrils. For the

365 pH 3.7 experiment, we observe depletion of peptide monomers both with and without the  
366 presence of pre-formed fibrils (Figure 4D and Figure S1B). The rate of depletion is more rapid  
367 in the former case, as would be intuitively expected. The HPLC and DLS data suggest that,  
368 irrespective of the pH, once aged G48 is converted from its monomeric form to aggregates.  
369 This raises significant challenges for the clinical use of G48.

### 370 **3.5 Fibrillation inhibition**

371 Having understood the influence of concentration and pH on the aggregation profile of  
372 G48, and recognised that its aggregation propensity could be a major barrier to clinical use, it  
373 became pertinent to design stable formulations which would not fibrillate during production,  
374 transport, storage or use. To avoid loss of activity, formulation approaches remain an attractive  
375 option to inhibit aggregation [2, 33]. Unfortunately there are limited reports in the literature  
376 exploring formulation aids specifically for prolonging the stability of clinically relevant peptides.

377 Two of the most widely discussed fibrillating peptides are  $\beta$ -amyloid and insulin, both  
378 of which have shown increased stability in the presence of phenols [16, 34, 35]. Regarding  
379 insulin, phenols together with  $Zn^{2+}$  are thought to promote the hexameric conformation and  
380 prevent this separating into the monomers which drive fibril growth [18, 36]. One phenolic  
381 molecule which has recently been shown to reduce fibrillation in insulin [16] and glucagon [37]  
382 formulations is ferulic acid (FA), an abundant natural molecule. In protein formulations,  
383 surfactants are also widely used to provide stability. Surfactants can be either ionic or non-  
384 ionic, but ionic surfactants are known denaturants of proteins [19]. The use of non-ionic  
385 surfactants such as polysorbate 80 (PS80) to reduce fibrillation in peptide formulations is  
386 sparsely described [37, 38].

387 ThT fluorescence studies were performed in the presence of FA or PS80 (Figure 5).  
388 At pH 3.7 (Figure 5A) the addition of FA to G48 increased  $FL_{max}$ , suggesting a higher level of  
389  $\beta$ -sheet content than with G48 only. Although the difference in  $FL_{max}$  was not excessive, this  
390 was unexpected [37]. A possible explanation may lie in the structural properties of FA. The  
391 ionisable carboxyl group ( $pK_a \sim 4$ ) is thought to play a role in the interaction of FA with peptides  
392 [16], and this being unionised at pH 3.7 may have affected FA interaction with G48.

### 393 **Figure 5**

394 PS80 completely suppresses any fibrillation at pH 3.7, and does so even when FA was  
395 present (Figure 5A). As PS80 is non-ionic, its mechanism for inhibiting fibril formation is not  
396 thought to be through binding interactions [39], but rather through reduction of the air-solution  
397 interface, which is a known culprit in peptide and protein aggregation [40]. PS80 is amphiphilic,  
398 and thus able to outcompete the peptide at these interfaces [41] and reduce fibril formation.

399 At pH 7.4, unlike at pH 3.7, adding FA to G48 reduced the  $FL_{max}$  (Figure 5B), although  
400 this reduction is marginal and fibrillation still occurs. With the addition of PS80, fibril growth is

401 completely halted. The same results were seen when PS80 is added to solutions containing  
402 FA. At pH 8.5 (Figure 5C) the addition of FA to G48 increased the lag time (to 55 + 0.1 h) but  
403 did not completely halt fibrillation, whereas with PS80 once again the data suggest no  
404 fibrillation occurred.

405 The peptide concentration used (300  $\mu$ M, 1 mg/mL) represents roughly twice the  
406 concentration of the most widely known GLP-1 formulation in the clinic (exenatide; 112  $\mu$ M, ~  
407 500  $\mu$ g/mL). This concentration for G48 was chosen in order mirror a realistic clinical product.  
408 Jayamani et al. [16] were able to suppress insulin fibril formation at a similar peptide:FA molar  
409 ratio as used in this study (1:10). Bakhtiani et al. [37] also report that FA eliminated fibril  
410 formation in glucagon (300  $\mu$ M), but their formulation included both FA (1 mM, 1:3) and PS80  
411 (500  $\mu$ M, ~1:2), and so it is unclear if FA alone was capable of eliminating glucagon fibrillation.  
412 PS80 concentrations of up to 1 mM have previously been used in albumin and antibody  
413 stability studies [42, 43]. Here, in an attempt to minimise the use of additives, much lower  
414 concentrations of PS80 were utilised (25  $\mu$ M, ~10:1) and it was observed that even at such  
415 low concentrations PS80 was capable of suppressing fibrillation.

416 Overall, in contrast to G48 alone (Figure 1 cf. Figure 5), the data confirm reduced  
417 fibrillation of the peptide when it is combined with PS80. In order for FA to play a similar role  
418 and completely halt fibrillation, a higher concentration may be needed.

### 419 **3.6 Soluble peptide quantification and secondary structure of G48** 420 **formulations**

421 Having demonstrated the ability to influence the kinetics of fibril growth, the role of FA and  
422 PS80 in maintaining the conformation of peptide G48 was investigated. Owing to the extensive  
423 absorbance of FA in the far-UV region (200 – 240 nm) [16], CD analysis was precluded as the  
424 data obtained suggest an obstruction of the G48 signal and were not meaningful. With PS80  
425 (Figure 6) the CD data are consistent with the maintenance of the  $\alpha$ -helical conformation under  
426 the same aggregatory conditions which cause G48 alone to fibrillate (Figure 2B), with ellipticity  
427 minima at 212 and 224 nm at all pH values. Interestingly, there appeared to be a slight  
428 increase in helicity at pH 3.7, evidenced by the more prominent minimum at 212 nm. The  
429 Bestsel tool also confirmed that the  $\alpha$ -helical conformation remained constant across all pH  
430 values (Figure 6C) in the presence of PS80. This corroborates with the kinetic data (Figure 5)  
431 which shows negligible  $FL_{max}$  in the presence of PS80. It is thought that PS80 outcompetes  
432 G48 at the air-water interface to suppress G48 fibril formation.

### 433 **Figure 6**

434 In the absence of stabilisers to inhibit fibril formation, there was complete loss of  
435 soluble monomeric G48 in solution within 72 h at all pH values (Figure 4D). When PS80 was  
436 included, there was negligible to no depletion of monomeric G48 in solution at all three pH

437 values over the same time period (Figure 7A). This corroborates the lack of fibril formation  
438 shown by the combination of CD and ThT assay data. On inclusion of FA as an additive in the  
439 peptide solution, there was a gradual but incomplete reduction in soluble monomeric peptide  
440 according to the HPLC data (Figure 7B). The data hence suggest that FA was capable of  
441 inhibiting fibrillation but to a lesser extent than PS80. DLS experiments were additionally  
442 performed, but the size distribution of G48 with PS80 before and after aggregation remained  
443 the same and is suggestive of the presence of micelles rather aggregates. As such, the data  
444 have been excluded.

### 445 **Figure 7**

#### 446 **3.7 Excipient influence on G48 aggregate morphology**

447 The influence of the two formulation additives on inhibiting G48 fibrillation was investigated  
448 further by AFM. At all three pH values no fibrillar structures can be seen when PS80 is added  
449 to the G48 solution, although a few small non-uniform aggregates appear to be present (Figure  
450 8A, C and E). These images are in dramatic contrast to those observed in the absence of the  
451 surfactant (Figure 3). When FA was added to a solution of G48, well-defined fibrils (500 nm)  
452 were formed at pH 3.7 (Figure 8B), although there appeared to be lower amounts of  
453 amorphous aggregates in comparison to G48 solutions in the absence of FA (Figure 3A). At  
454 pH 7.4 multiple short rope-like fibrillar structures (100 nm) can be seen as well as some  
455 amorphous aggregates (Figure 8D), while at pH 8.5 (Figure 8F) there are a mixture of fibrillar  
456 twists which entangle with the amorphous aggregates. The images obtained from AFM  
457 corroborate the kinetic and CD data (Figures 5 and 6).

### 458 **Figure 8**

459  
460

## 461 **4 Conclusion**

462 Our data demonstrate that by formulation with excipients it is possible to inhibit the pH-  
463 dependent aggregation profile exhibited by peptide G48. At pH 3.7, below the isoelectric point  
464 of G48 ( $pI \sim 5$ ), an off-pathway mechanism for aggregation occurred leading to the formation  
465 of amorphous aggregates. At  $pH > pI$  (pH 7.4 and 8.5) a typical nucleation-dependent  
466 polymerisation mechanism was observed, resulting in the formation of well-defined fibrils. In  
467 addition, owing to charge repulsion, the weight concentration of the fibrils ( $FL_{max}$ ) correlated  
468 with the net charge such that pH values resulting in a higher net charge (pH 3.7 and 8.5)  
469 tended to result in reduced fibril growth. While higher net charge demonstrably played a role  
470 in the fibrillation kinetics of G48, it may not always be feasible to attain even higher charges  
471 to fully suppress fibrillation by charge repulsion, due to these demanding extreme pH values

472 that are unsuitable for clinical use. Another factor which promotes fibrillation is the air-water  
473 interface. Consequently, utilising excipients that can efficiently occupy the air-water interface  
474 is a more appropriate route to ensure more complete solubilisation of the peptide. Co-  
475 formulating G48 with the non-ionic surfactant polysorbate 80 (PS80) completely prevented  
476 fibril formation while a phenolic co-formulant (ferulic acid) was capable of reducing but not fully  
477 suppressing G48 fibrillation.

478 In the formulation of peptides for clinical use, an understanding of the mechanisms  
479 underpinning physical instabilities such as peptide fibrillation are required to help identify  
480 appropriate strategies to maintain peptide stability. In this case, the data demonstrate that G48  
481 fibrillates at neutral and slightly basic pH values but the presence of a co-formulant such as  
482 PS80 can completely halt its aggregation.

483

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488 for her contribution towards the fluorescence assays.

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## 608 **Figure Legends**

609

610 Figure 1 The aggregation kinetics of G48 at (A,B,C) pH 3.7, (D,E,F) pH 7.4 and (G,H,I) pH  
611 8.5, as investigated by ThT fluorescence assay. On the left hand side (A,D,G), the change in  
612 fluorescence with time is plotted for concentrations of G48 ranging from 0  $\mu\text{M}$  – 600  $\mu\text{M}$ . The  
613 middle panel (B,E,H) shows the lag time obtained by fitting a sigmoidal equation (Equation 1,  
614 2) to the raw data. On the right hand panel (C,F,I), the changes in fluorescence when G48  
615 (150  $\mu\text{M}$ ) is seeded with pre-formed fibrils. The data represent triplicate measurements as  
616 mean  $\pm$  S.D.

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618 Figure 2 Far-UV CD spectra of peptide G48 at pH 3.7, 7.4 and 8.5. Measurements recorded  
619 (A) before and (B) after fibrillation, and (C) a breakdown of conformation type as determined  
620 with the Bestsel secondary structure analysis tool [20]. The experiments were carried out at  
621 23  $^{\circ}\text{C}$ .

622

623 Figure 3 G48 fibrils formed after exposure to aggregatory conditions for four days at pH (A,B)  
624 3.7, (C,D) 7.4 and (E,F) 8.5 and imaged by (left hand side) AFM and (right hand side) TEM.  
625 The scale bar represents 100 nm and arrows are included in the TEM images to guide the  
626 reader.

627

628 Figure 4 DLS measurements of G48 (blue) before and (red) after fibrillation at pH (A) 3.7 (B)  
629 7.4 and (C) 8.5. The data of triplicate measurements are given as mean  $\pm$  SD and are  
630 presented as volume distribution. (D) The percentage of soluble peptide (mean  $\pm$  SD)  
631 remaining after aggregation for 72 hours.

632

633 Figure 5 The aggregation kinetics of G48 (300  $\mu\text{M}$ ) with PS80 (25  $\mu\text{M}$ ) and/or FA (3 mM) acting  
634 as co-formulants: (A) pH 3.7, (B) 7.4, (C) 8.5. The data are given as the mean  $\pm$  SD of three  
635 independent ThT fluorescence experiments.

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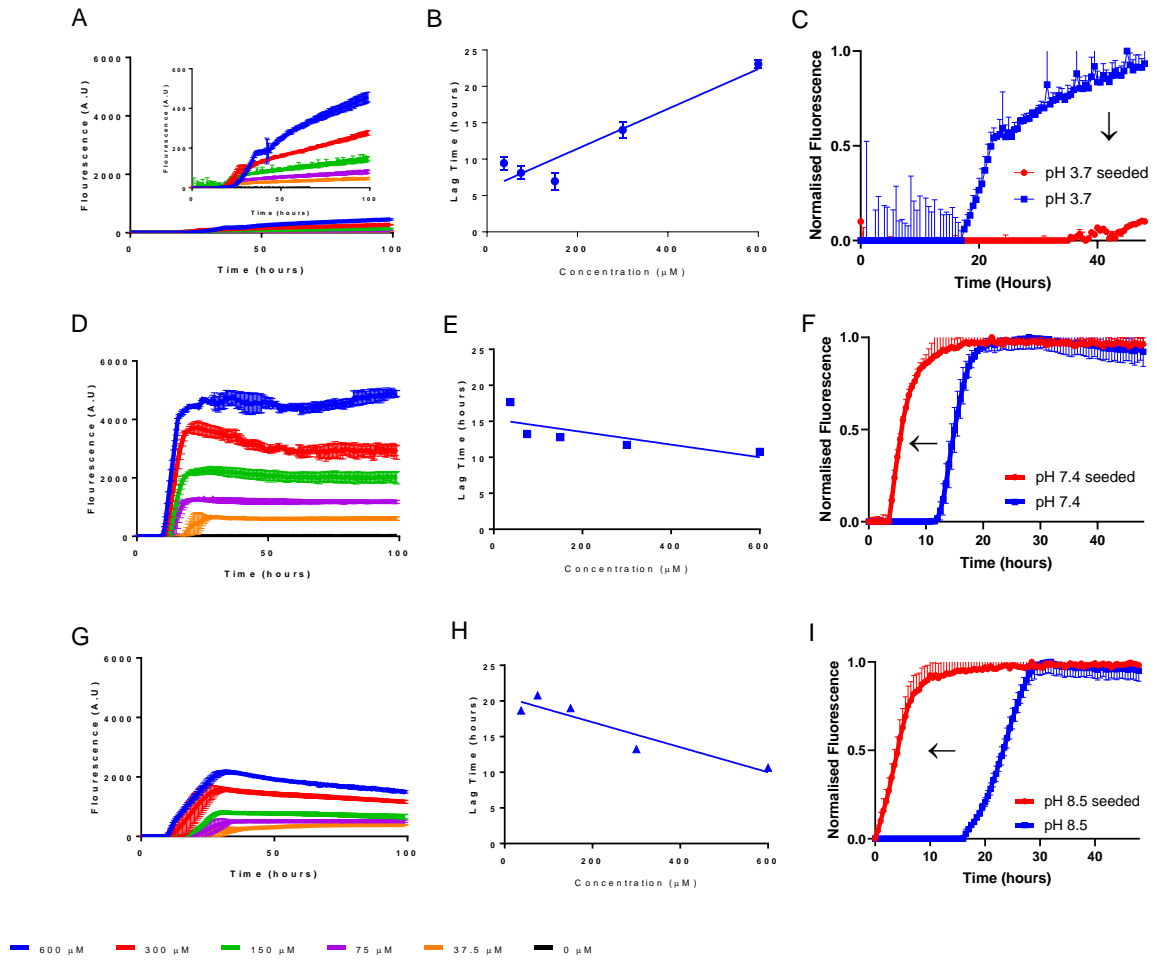
637 Figure 6 Far UV-CD spectra of peptide G48 formulated with PS80 at pH 3.7, 7.4 and 8.4.  
638 Measurements recorded (A) before and (B) after exposure to similar aggregatory conditions  
639 (see Figure 1), and (C) the percentage of  $\alpha$ -helical content as determined by Bestsel  
640 secondary structure analysis. The experiments were carried out at 23  $^{\circ}\text{C}$ . The far UV-CD  
641 spectra of G48 with FA were not recorded as the magnitude of absorption from FA at the far  
642 UV region resulted in artefacts.

643

644 Figure 7 Quantification of soluble monomeric G48 formulated with (A) PS80 and (B) FA at pH  
645 3.7, 7.4 and 8.5. The measurements were carried out by HPLC and the data represent the  
646 mean  $\pm$  SD of triplicate experiments.

647 Figure 8 AFM images of G48 samples formulated with PS80 at (A) pH 3.7, (C) pH 7.4, (E) pH  
648 8.5 and FA at (B) pH 3.7 (D) pH 7.4 and (E) pH 8.5. The samples were aged for four days  
649 under similar aggregatory conditions. The scale bar represents 400 nm.

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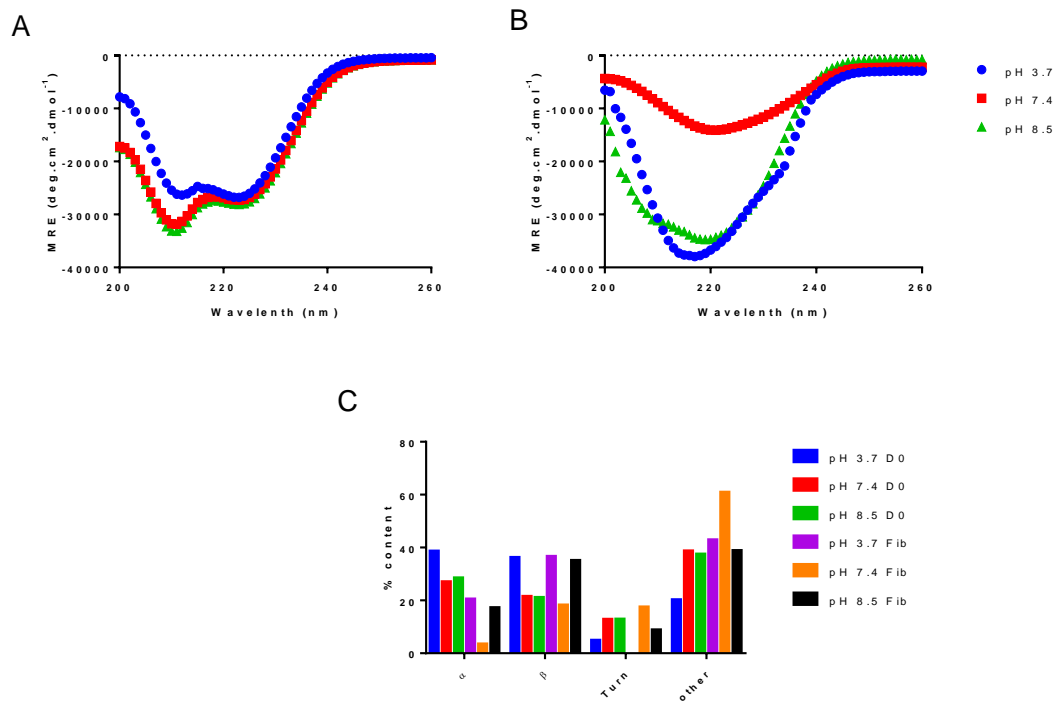
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652 *Figure 1 The aggregation kinetics of G48 at (A,B,C) pH 3.7, (D,E,F) pH 7.4 and (G,H,I) pH 8.5, as investigated by*  
 653 *ThT fluorescence assays. On the left hand side (A,D,G), the change in fluorescence with time is plotted for*  
 654 *concentrations of G48 ranging from 0  $\mu\text{M}$  – 600  $\mu\text{M}$ . The middle panel (B,E,H) shows the lag time obtained by*  
 655 *fitting a sigmoidal equation (Equations 1 and 2) to the raw data. On the right hand panel (C,F,I), the changes in*  
 656 *fluorescence when G48 (150  $\mu\text{M}$ ) is seeded with pre-formed fibrils is depicted. The data represent triplicate*  
 657 *measurements as mean  $\pm$  S.D.*

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663 *Figure 2 Far-UV CD spectra of peptide G48 at pH 3.7, 7.4 and 8.5. Measurements recorded (A) before and (B)*

664 *after fibrillation, and (C) a breakdown of conformation type as determined with the Bestsel secondary structure*

665 *analysis tool. The experiments were carried out at 23 °C.*

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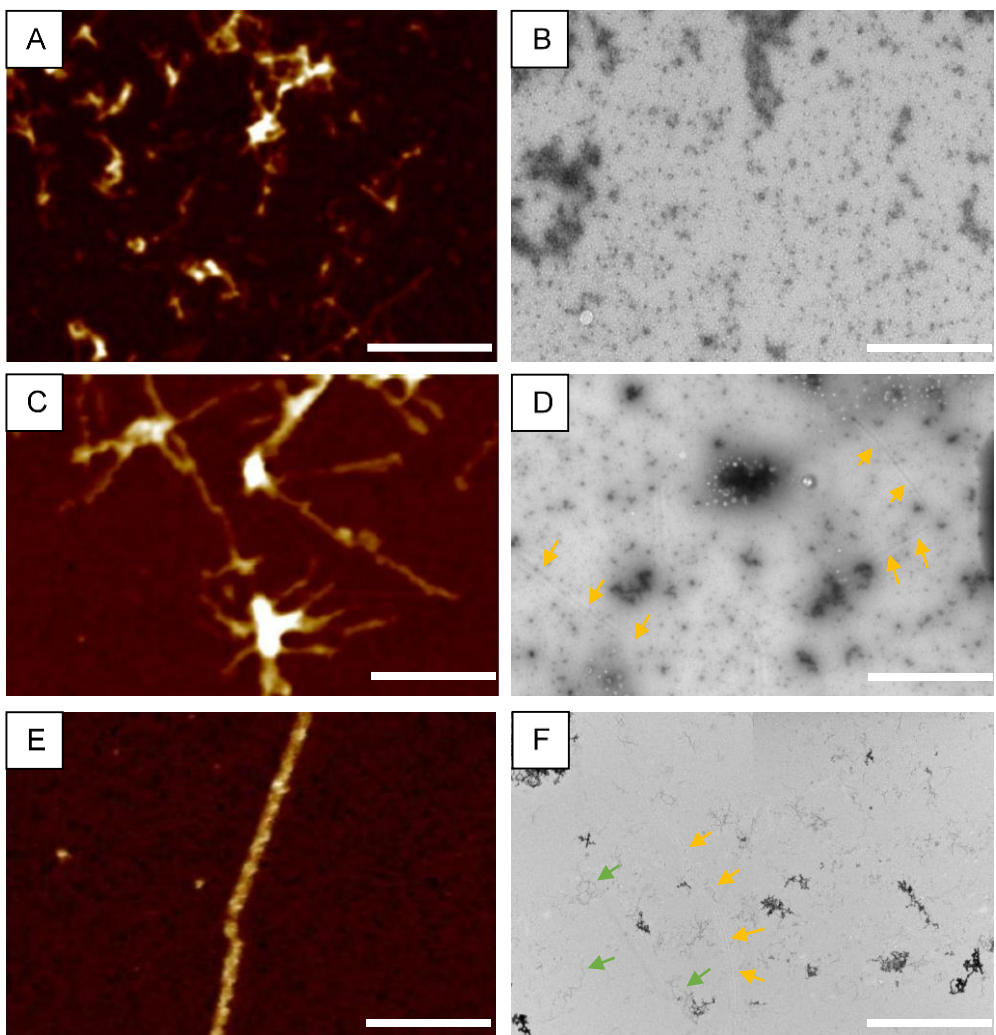
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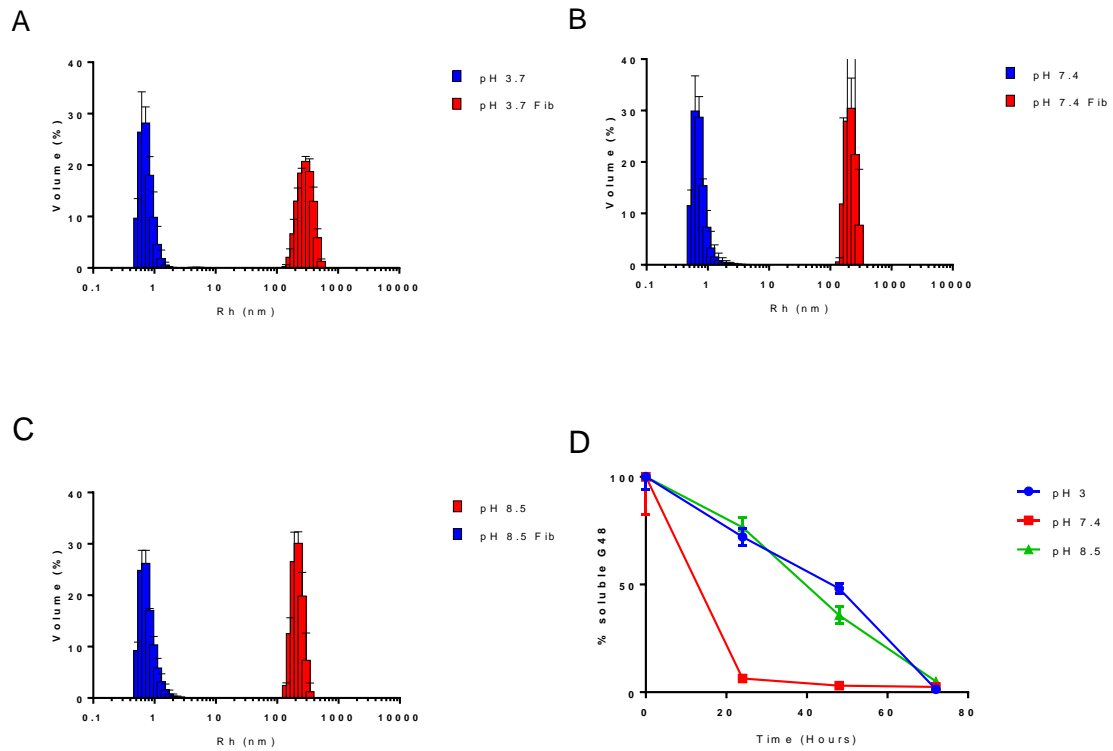
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 682 *Figure 3 G48 fibrils formed after exposure to aggregatory conditions for four days at pH (A,B) 3.7, (C,D) 7.4 and*  
 683 *(E,F) 8.5 and captured by (left hand side) AFM and (right hand side) TEM. The scale bar represents 100 nm and*  
 684 *arrows are included in the TEM images to guide the reader.*

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696 *Figure 4 DLS measurements of G48 (blue) before and (red) after fibrillation at pH (A) 3.7 (B) 7.4 and (C) 8.5. The*  
 697 *data represent the average of triplicate measurements  $\pm$  SD and are presented as volume distribution. (D) The*  
 698 *percentage of soluble peptide  $\pm$  SD remaining in solution after aggregation for 72 hours.*

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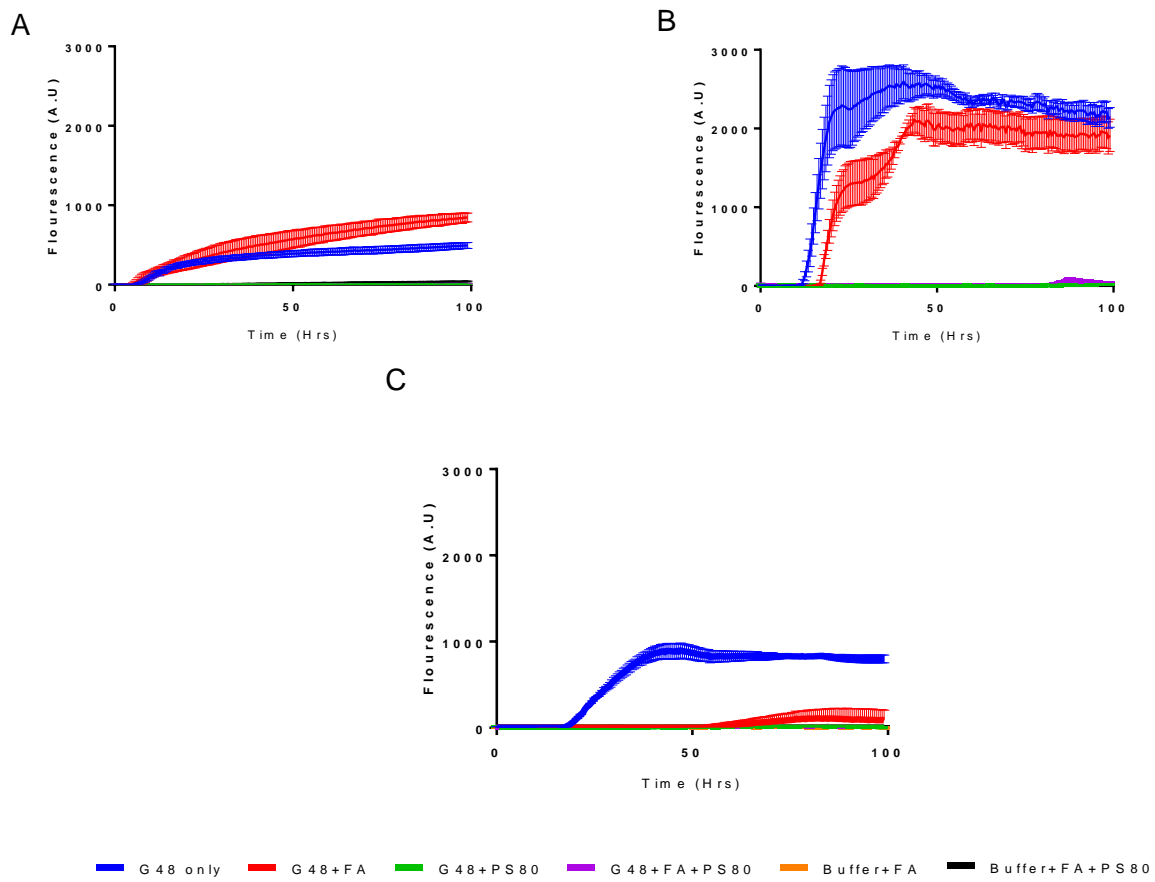
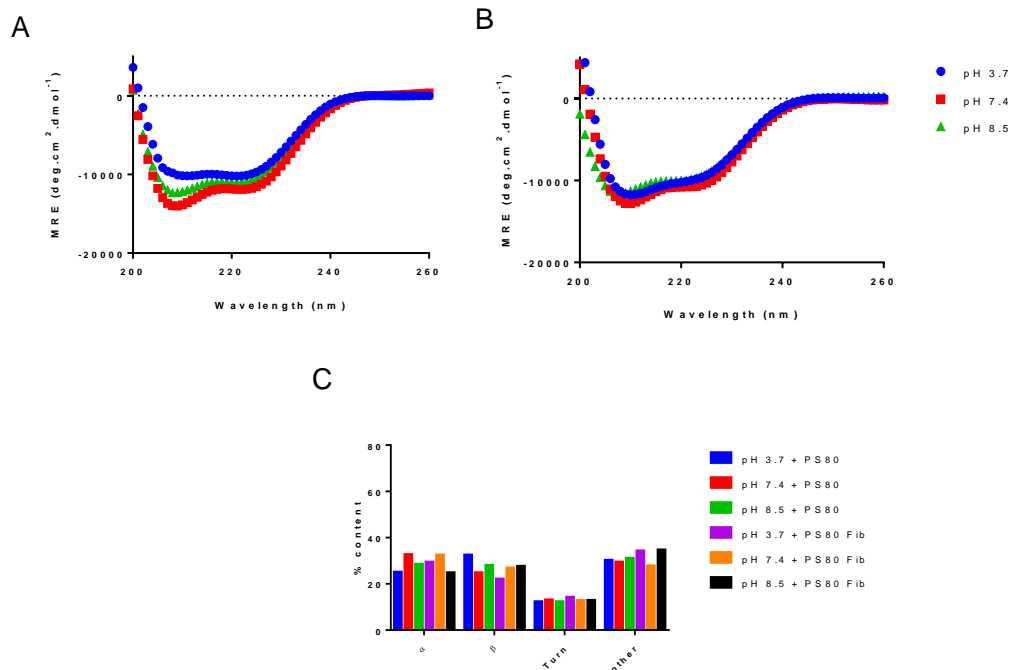


Figure 5 The aggregation kinetics of G48 (300  $\mu$ M) with PS80 (25  $\mu$ M) and/or FA (3 mM) acting as co-formulants: (A) pH 3.7, (B) 7.4, (C) 8.5. The data are given as the mean  $\pm$  SD of three independent ThT fluorescence experiment.





750

751 *Figure 6 Far UV-CD spectra of peptide G48 formulated with PS80 at pH 3.7, 7.4 and 8.4. Measurements recorded*  
 752 *(A) before and (B) after exposure to similar aggregatory conditions (see Figure 1), and (C) the percentage of α-*  
 753 *helical content as determined by Bestsel secondary structure analysis. The experiments were carried out at 23 °C.*  
 754 *The far UV-CD spectra of G48 with FA were not recorded as the magnitude of absorption from FA at the far UV*  
 755 *region resulted in artefacts.*

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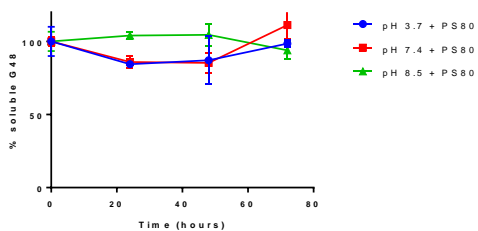
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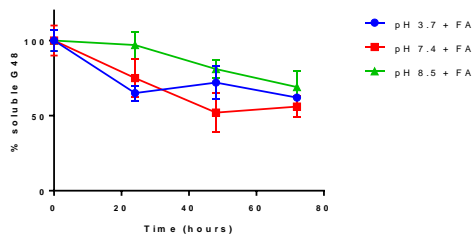
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772 *Figure 7 Quantification of soluble monomeric G48 formulated with (A) PS80 and (B) FA at pH 3.7, 7.4 and 8.5. The*  
773 *measurements were carried out by HPLC and the data represents the mean  $\pm$  SD of triplicate experiments.*

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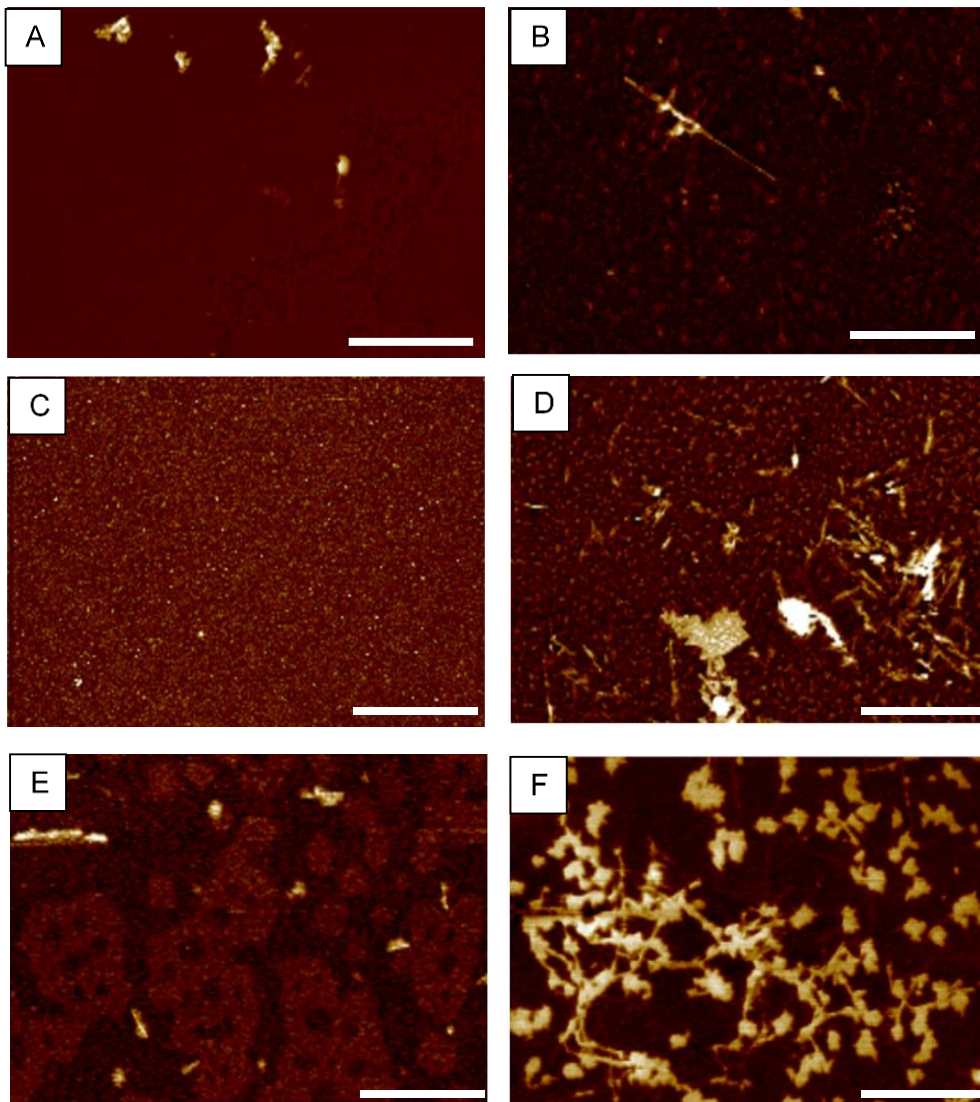
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798 *Figure 8 AFM images of G48 samples formulated with PS80 at (A) pH 3.7, (C) pH 7.4, (E) pH 8.5 and FA at (B) pH*  
 799 *3.7 (D) pH 7.4 and (F) pH 8.5. The samples were aged for four days under similar aggregatory conditions. The*  
 800 *scale bar represents 400 nm.*

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