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1 **Non-invasive prenatal diagnosis for cystic fibrosis: Implementation, uptake, outcome**
2 **and implications**

3 **Running title: Non-invasive prenatal diagnosis for cystic fibrosis**

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14

15 Key words: non-invasive prenatal diagnosis, RMD, RHDO, cystic fibrosis, cell-free fetal
16 DNA, monogenic disease

17

18 Abbreviations: Non-invasive prenatal diagnosis (NIPD); Cystic Fibrosis (CF), Relative
19 haplotype dosage analysis (RHDO); circulating cell free DNA (cfDNA); single nucleotide
20 polymorphism (SNP); sequential probability ratio testing (SPRT)

21

22 Human genes: CFTR

23

24 **Abstract**

25 **Background**

26 Non-invasive prenatal diagnosis (NIPD) for monogenic disorders has a high uptake by
27 families. Since 2013, our accredited public health service laboratory has offered NIPD for
28 monogenic disorders, predominantly for *de novo* or paternally dominantly inherited
29 mutations. Here we describe the extension of this service to include definitive NIPD for a
30 recessive condition, cystic fibrosis (CF).

31

32 **Methods**

33 Definitive NIPD for CF was developed using next generation sequencing. Validation was
34 performed on 13 cases from 10 families prior to implementation. All cases referred for CF
35 NIPD were reviewed to determine turnaround times, genotyping results and pregnancy
36 outcomes.

37

38 **Results**

39 Of 38 referrals, 36 received a result with a mean turnaround of 5.75 days (range 3-11 days).
40 Nine cases were initially inconclusive, with three reported unaffected since the low risk
41 paternal allele was inherited, and four cases where high risk paternal allele was inherited,
42 receiving conclusive results following repeat testing. One case was inconclusive due to a
43 paternal recombination around the mutation site and one case was uninformative due to no
44 heterozygosity. Before 2016, three invasive referrals annually for CF were received
45 compared with 38 for NIPD in the 24 months since offering a definitive NIPD service.

46

47 **Conclusions**

48 Timely, accurate NIPD for definitive prenatal diagnosis of CF is possible in a public health
49 service laboratory. The method detects recombinations and the service is well-received as

50 evidenced by the significant increase in referrals. The bioinformatic approach is gene

51 agnostic and will be used to expand the range of conditions tested for.

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54 Prenatal diagnosis allows families to make informed choices, which may include preparing
55 for the birth of an affected child, planning pre- or perinatal management or choosing
56 termination of pregnancy. Until recently, this has required analysis of fetal genetic material
57 obtained by invasive testing, amniocentesis or chorionic villus sampling, both associated with
58 a small risk of miscarriage¹, thus posing a barrier to testing for some families². The
59 identification of circulating cell-free fetal DNA, representative of the whole fetal genome in
60 the maternal plasma^{3,4}, has allowed the development of safer prenatal screening and diagnosis
61 based on a maternal blood sample. While there has been widespread uptake of non-invasive
62 testing for aneuploidy screening⁵, there has been relatively little clinical implementation for
63 non-invasive prenatal diagnosis (NIPD) of monogenic disorders, probably because there has
64 been little commercial drive since the volume of testing is lower and many cases require
65 labour-intensive test development on a by family basis.

66

67 Our accredited National Health Service Laboratory established a NIPD service for the
68 diagnosis of monogenic disorders in 2011. Since the majority of circulating cell free DNA
69 (cfDNA) is maternal in origin with the fetal fraction ranging from ~2% to 20% depending on
70 gestation and other factors⁶, this service was initially limited to the detection or exclusion of
71 the paternal allele or those arising *de novo* for selected disorders such as achondroplasia and
72 thanatophoric dysplasia⁷⁻¹¹ and a tailor-made service where familial-specific assays were
73 developed¹².

74

75 Our NIPD service for cystic fibrosis (CF) was initially based on paternal mutation exclusion
76 of ten common mutations found in the European population^{12,13}. For parents to be eligible,
77 they must be carriers of different mutations. Testing looks for the paternal mutation in the

78 maternal cfDNA, which if absent means the fetus is unaffected but if present requires
79 invasive testing to determine the inheritance of the maternal mutation and provide definitive
80 diagnosis. However, since the most common CF causing mutation (*CFTR* p.(Phe508del)) is
81 present in around 4% of Caucasians, an estimated 47% of carrier parents are ineligible
82 because both carry this mutation. A survey of CF carrier parents showed that 56% would
83 decline invasive diagnostic testing¹³, but 94.9% of parents would choose to have NIPD for
84 CF, including those who had previously declined invasive testing.

85

86 While detection or exclusion of an allele present in the fetus but not the mother is relatively
87 straightforward, reliably determination of whether or not the fetus has inherited the maternal
88 mutant allele to definitively diagnose X-linked or recessive conditions is challenging due to
89 the high background of mutant allele in the mother's plasma. In this situation the relative
90 amount of the maternal mutation must be measured rather than presence or absence of a
91 mutation. Several approaches have been described including digital PCR and relative
92 mutation dosage for β -thalassaemia¹⁴ and sickle cell disorder¹⁵, but these are not universal
93 assays since separate assays are required for each mutation and to calculate the fetal fraction.
94 As such neither are good options for routine clinical implementation for conditions with
95 multiple mutations. In 2010, Lo *et al.*⁴ described relative haplotype dosage analysis (RHDO)
96 using whole genome next generation sequencing data as a universal assay inclusive of fetal
97 fraction. More recently, RHDO has been applied to target enriched samples, which is more
98 cost effective¹⁶. Because RHDO is a linkage based method, the same assay can be used for all
99 mutations. RHDO of target-enriched next generation sequencing data has been described for
100 a number of monogenic disorders¹⁷⁻¹⁹.

101

102 Here our objective was to develop, validate and implement an accredited non-invasive NIPD
103 service for the definitive diagnosis of CF using RHDO, regardless of parental genotype. This
104 would extend our existing CF NIPD service based on paternal exclusion, which is only
105 applicable to around 29.7% of CF carrier parents¹³.

106

107

108 **MATERIALS AND METHODS**

109 **Ethical Approval**

110 The National Health Service Research Ethics Committee approved the collection of maternal
111 and paternal blood samples for NIPD test development (01/0095). Subsequently, we received
112 approval (Audit Registration number 1925) to audit the service delivered to date.

113

114 **Study setting and patients**

115 The study setting included parents with a pregnancy at risk of having CF because both
116 parents were carriers. For the validation study, women undergoing invasive testing for CF
117 were recruited as part of the Reliable Accurate Prenatal non-Invasive Diagnosis (RAPID)
118 study (RP-PG-0707-10107) between 2009 and 2015. Eligibility included written informed
119 consent from both parents to obtain blood and store parental germline DNA and maternal
120 plasma, with the result of invasive testing for CF known. The clinical audit was conducted
121 from 2016 to 2018 and included all pregnancies referred for NIPD for CF in this time period.

122

123 **Test development**

124 *Sample collection*

125 For the validation study, maternal plasma samples from 13 cases in 10 families with known
126 prenatal outcomes were tested. Maternal blood was collected into EDTA or cell stabilising

127 tubes and processed for storage at -80°C as previously described¹⁵. For the service samples,
128 20 ml of blood was collected from the mother after 9 weeks gestation (as determined by
129 ultrasound scan) into EDTA or cell stabilising tubes and cfDNA was extracted once the
130 samples were received in the laboratory. For all cases, genomic DNA from parental and
131 affected or unaffected (non-carrier) proband was extracted. cfDNA was extracted from 2x4
132 ml of maternal plasma using the QIASymphony DSP/Virus Kit (Qiagen), eluting in 60 µl EB
133 buffer.

134 ***Design of enrichment probes***

135 1,748 biotinylated complementary ribonucleic acid probes (SureSelect Custom Enrichment;
136 Agilent Technologies) were designed targeting the coding regions of the cystic fibrosis
137 transmembrane conductance regulator (*CFTR*) gene (chr7:117,477,963-117,670,665
138 GRCh38) and including an additional 1 Mb flanking region upstream and downstream of
139 *CFTR* gene. These probes targeted 225 heterogeneous single nucleotide polymorphisms
140 (SNPs) in the captured region (range chr7:116,138,845-118,322,637).

141 ***Library preparation and sequencing***

142 Parental and proband genomic DNA was prepared using the SureSelect XT Library
143 Preparation kit. cfDNA libraries were prepared using the NEBNext®Ultra™II DNA Library
144 Prep Kit (New England Biolabs) for CF, supplemented with the SureSelect Adapter Oligo
145 Mix (Agilent Technologies) for adapter ligation and SureSelect Primer and SureSelect
146 Reverse Primer (Agilent Technologies) for PCR. 16 cycles of PCR were used for cfDNA.
147 Hybridisation, capture and indexing were performed as per SureSelect protocol, using 14
148 cycles of post-hybridisation PCR. Captured, indexed libraries were qualified using the
149 TapeStation D1000 High Sensitivity Tape (Agilent Technologies) and quantified with
150 QubitHS dsDNA assay (Life Technologies).

151 Libraries from up to three CF families were pooled, with 20-60% of the total pool dedicated
152 to each cfDNA library and 2.1-13.3% per genomic DNA sample dependent on the number of
153 cases. The final pooled library was loaded at a concentration of 1.4-1.6 pmol plus 1% PhiX
154 control and sequenced using 75-cycle paired-end reads with a NextSeq Mid Output
155 150cyclev2 reagents on an Illumina NextSeq500.

156 ***Data processing***

157 FASTQ files were aligned using Burrows-Wheeler Aligner²⁰ and duplicate reads were
158 removed. Variants were called with VarScan²¹. SNPs with read depth of at least 30 and
159 separated by at least 200 bp from the adjacent SNP were included, as previously described⁴.
160 Only single nucleotide variants were included. As initially described by Lo and colleagues⁴,
161 SNPs were split into the categories described in Table 1.

162 ***Fetal fraction***

163 Fetal fraction was calculated from type1 SNPs (Table 1) as previously described⁵
164
$$\text{Fetal fraction} = (2 \times \text{Paternal read depth} / (\text{Maternal} + \text{Paternal read depth})) \times 100\%$$

165 ***Paternal allele inheritance***

166 The presence or absence of SNPs linked to each of the paternal alleles was determined using
167 type3 SNPs. Here, the fraction of the paternal-specific allele was calculated for each of the
168 four potential allele combinations. The median fraction of each group was calculated and a
169 Mann-Whitney U-test applied to the unaffected and affected counts to demonstrate
170 significant difference between groups. In essence, a 0 fraction of a particular allele indicated
171 absence of that allele; a fraction similar to the fetal fraction calculated using type1 SNPs
172 indicated presence of that allele.

173 ***Maternal allele inheritance –RHDO analysis***

174 RHDO was performed as previously described, with an odds ratio of 1200 in sequential
175 probability ratio testing classification^{4,16,18,22}. Briefly, sequential probability ratio testing¹⁴

176 was applied to calculate the statistical significance of allelic imbalance for type4a and 4b
177 SNPs, the hypothesis being that there is no imbalance between haplotype I (HapI) and II
178 (HapII). HapI represented the allele that was present in the proband used for phasing and
179 HapII the alternate allele; therefore, if an affected proband was included an
180 overrepresentation of HapI 4a SNPs suggested inheritance of the affected allele by the fetus.
181 For type4b SNPs, heterozygosity (an equal balance of HapI and HapII reads) indicated
182 inheritance of the maternal mutation. This process is repeated in both 5' and 3' directions.

183

184 **Audit of clinical service**

185 Retrospective review of laboratory records was undertaken to determine all CF RHDO NIPD
186 cases. Genotypes and turnaround times were ascertained. Pregnancy outcomes were
187 determined from clinical records or contact with referring clinicians. Laboratory records were
188 reviewed to determine the number of invasive test analyses performed for CF per annum
189 since 2012.

190

191 **RESULTS**

192 *Validation study*

193 Cell free DNA from 13 cases at risk of CF from 10 families was tested (Table 2). Gestation
194 ranged from 11 to 33 weeks. Fetal fraction was calculated using type1 SNPs for 9 families,
195 with the exception of family 9, where type3 SNPs were used. Fetal fraction ranged from 6-
196 19% (mean 13.2%) and we identified a mean of 161 SNPs per case. In 5 of 13 cases, the
197 reference haplotype was derived from an invasive sample in the same pregnancy as the
198 cfDNA with the remainder from a different pregnancy/living proband. Eleven of 13 cases
199 were tested using an affected proband with the remaining 2 families using an unaffected
200 (non-carrier) sibling. For the paternal allele, between 33 and 99 (mean 61.7) informative

201 SNPs were used with a mean classification accuracy of 95% (range 85-100%). For the
202 maternal allele, between 10 and 70 (mean 27) haplotype blocks were used with a mean
203 classification accuracy of 94% (range 86-100%). All NIPD results aligned with the genetic
204 testing of chorionic villus samples. In addition to ensuring there are maternal haplotype
205 blocks / paternal SNPs on both sides of the mutation in order to identify recombination
206 events, we used the data from the validation cases to set initial cut-off values for
207 classification accuracy and number of blocks/paternal SNPs for determining acceptability of
208 results in clinical service. Thus, we started with a lower cut-off of 10 maternal haplotype
209 blocks because this was the lowest cut-off with 100% accuracy in the validation set. Since
210 there was a trend observed that with an increasing number of blocks the classification
211 accuracy decreased (Table 2 case 1 and 11 for examples), we set different acceptance criteria
212 based on the number of blocks called. With increasing numbers in the service cohort, we had
213 then reviewed the data and reduced the minimum number of blocks required to 8 since we
214 had two cases with 8 blocks and 100% accuracy (online Supplementary Table 1, cases 13
215 and 15). Supplementary Table 2 shows our current classification criteria. It was more difficult
216 to set cut-off values for the paternal SNPs because these were individual SNP calls rather
217 than blocks and therefore PCR and sequencing artefacts could have a greater impact on
218 classification accuracy. In addition, the type of class 3 SNP needed to be considered when
219 making a judgement since it is the SNPs where the paternal contribution was observed that
220 were the most important. If none of these were seen the outcome was inconclusive. The
221 lowest number of SNPs observed in the validation cohort was 33 with a classification
222 accuracy of 91% (Table 2, case 2). These values were initially used as our cut-off criteria but
223 as the numbers increased in the service cohort we revised the minimum number of paternal
224 SNPs required to 10 (Supplementary Table 1, case 16).

225

226 *Clinical service cases*

227 Thirty-eight referrals for NIPD for CF were identified from 35 families (Supplementary
228 Table 1). Sequencing metrics are shown in Supplementary table 3. Gestation ranged from 9 to
229 25 weeks. The mean reporting time was 5.6 calendar days. An example case (case 17) is
230 shown in Figure 1. Of the 38 cases, 29 had fully conclusive reports issued from the initial
231 test. Seven fetuses were predicted to be affected, six unaffected non-carriers, seven carriers of
232 the maternal mutation and nine carriers of the paternal mutation. Nine cases were initially
233 reported with at least one of the alleles being inconclusive when the classification accuracy
234 fell below our accepted criteria (Table 3). For three of these cases (cases 14, 24 and 33),
235 because the paternal allele was conclusive for the low risk haplotype, conclusive reports were
236 issued predicting the fetus to be unaffected. In a further four cases (cases 12, 21, 34 and 36),
237 repeat samples were requested, which gave conclusive results. These cases had relatively low
238 fetal fraction (range 3 – 6.7%) resulting in a low number of maternal haplotype blocks and
239 poor classification accuracy. The fetal fraction increased for all the repeat samples. For the
240 remaining two inconclusive cases invasive testing was recommended. For Case 11, the high
241 risk maternal allele was detected, but a likely recombination event was detected on the
242 paternal allele (Figure 2). The recombination event occurred either within the gene or just 5'
243 of the gene with the paternal mutation falling within the recombinant region. We were
244 therefore unable to determine the inheritance of the paternal mutation. Subsequent invasive
245 testing found the fetus to be affected. For case 32 there was a small number of type1 and
246 type3 SNPs and a high level of type2 SNPs. None of the type1 and type3 SNPs were located
247 3' of the gene meaning we could not determine fetal fraction or the paternal inheritance 3' of
248 the gene. Due to the risk of recombination events and the need for accurate fetal fraction for
249 sequential probability ratio testing analysis, both were reported as inconclusive and invasive
250 testing recommended. This result was thought likely to be caused by consanguinity.

251

252 Fetal fraction was calculated using type1 SNPs for 33 families. In five families there were
253 more type3 SNPs so these were used instead. Fetal fraction ranged from 3.8% to 19.8%
254 (mean 10.0%). We identified a mean of 192 informative SNPs per case. For the service cases,
255 all probands were affected siblings. For the results issued as conclusive for the paternal allele,
256 between 10 and 121 (mean 58) informative SNPs were used with a mean classification
257 accuracy of 96.3% (range 90-100%). For those conclusive for the maternal allele, between 8
258 and 122 (mean 35) haplotype blocks were used with a mean classification accuracy of 94.8%
259 (range 82-100%). These statistics for the service samples closely matched that of the
260 validation cases. (Supplementary Table 4)

261

262 Outcomes were requested when pregnancies completed. All outcomes that have been
263 received to date are correct with two outcomes being confirmed by genetic testing
264 postnatally. The most recent service case pregnancies are ongoing so outcome data is not
265 available.

266

267 *Discussion*

268 Here we developed an assay for definitive NIPD of CF, regardless of mutation type, which
269 includes an automated pipeline for speedy data analysis to expedite return of results in a busy
270 public health service laboratory. In the first two years of service we have had 38 referrals,
271 delivering results within 5.6 calendar days (range 3–11 days) to inform pregnancy
272 management without need for invasive testing. This included 19 families where parents were
273 carriers of the same mutation and so would not have been eligible for paternal mutation
274 exclusion¹ and a further 10 where inheritance of the paternal mutation would necessitate
275 invasive testing to determine inheritance of the maternal allele. In nearly half of our cases one

276 or both parents carried the common p.(Phe508del) mutation, and it could be argued that
277 alternative technologies such as droplet digital PCR could be used. However, because droplet
278 digital PCR will only detect one mutation at a time it cannot be used as a universal assay and
279 would require development of additional assays in more than half of our cases. In addition,
280 the RHDO method will be more robust due to the use of multiple SNPs or haplotype blocks
281 rather than just the one mutation site and is therefore much less likely to generate incorrect
282 results.

283

284 The main limitation of the haplotyping method is that samples for both parents and a proband
285 (either an affected proband or an unaffected non-carrier child) are required to determine
286 phase and allow genotyping using cfDNA in any new pregnancy. One solution might be to
287 look at methods of expanding the range of family members that could be used for testing to
288 include carrier siblings where the parents carry two different mutations and then grandparents
289 plus siblings of a parent. However, recent reports using microfluidics-based linked-read
290 sequencing technology suggest that haplotypes can be deduced directly without the need for a
291 proband or relative. These approaches have been demonstrated in a small series but currently
292 the cost would prohibit use clinically in a public sector genetics laboratory^{23,24}. Another
293 limitation of using a haplotype method is that recombination events can impede analysis. Our
294 assay is able to detect such events but invasive testing is required if the mutation of interest
295 falls within the recombinant region (Figure 2). Our results show that a fetal fraction >4% is
296 required to make a diagnosis for the maternal allele since all four samples with levels below
297 this yielded inconclusive results. All inconclusive results were at fetal fractions \leq 6.7%,
298 although the other cases with fetal fractions in this range gave conclusive results
299 (Supplementary Table 1). The cause of the low fetal fraction is unclear but could be due to
300 high maternal body mass index. Unfortunately, we did not have access to maternal weight.

301 One potential factor may be maternal cell lysis prior to separation of plasma since all samples
302 with low fetal fraction were collected into EDTA tubes. However, all were centrifuged within
303 25 hours of blood draw and handled according to our standard procedures. Other samples
304 with higher fetal fraction were collected under similar conditions. However, we were unable
305 to control temperature and sample storage prior to receiving the samples, which could also be
306 a factor. Our current practice is to accept samples in EDTA tubes providing they are received
307 and centrifuged within 48 h of blood draw. If transit times are longer we ask that cell
308 stabilising tubes be used to prevent excessive maternal cell lysis in transit²⁵.

309

310 Another limitation of this approach is with twin/multiple pregnancies. In theory, this
311 approach could be used for confirmed monozygotic twins however this approach would need
312 validation prior to clinical implementation. This approach is not suitable for dizygotic twins
313 due to the potential for different haplotypes. This approach also needs to be considered in
314 cases of vanishing twins. Thus, it is essential that all patients referred for testing have had an
315 ultrasound scan prior to blood draw.

316

317 Cost of delivering an NIPD service warrants consideration since the RHDO pathway has
318 been shown to be approximately £990 more expensive than the invasive testing care pathway
319 for CF²⁶. Furthermore, the cost of the service itself is likely to increase significantly if the
320 increase in uptake of safer NIPD suggested by a review of parental opinions² translates to
321 clinical practice. Our experience since offering NIPD for CF would suggest that this is likely
322 to happen in reality because we have had 38 requests for RHDO NIPD for CF in two years,
323 when we would usually only do three invasive prenatal tests per year. Notably, studies
324 looking at reproductive decision making with CF indicate that while many parents report that
325 they would use results to make a decision about termination of pregnancy, a large proportion

326 are likely to use the knowledge to prepare for the birth of a baby affected with CF^{13,27}. This
327 highlights the ethical issues associated with directing resources to a test that would not
328 change pregnancy management in state funded health systems, an issue raised by parents and
329 health professionals^{13,26}. Implementation strategies will need to give consideration to this
330 issue, especially given that the parents may change their mind about the decision not to
331 terminate the pregnancy once results are known²⁸ and there are psychological benefits
332 afforded by early knowledge of whether the child is affected or not, even when termination
333 would not be considered by the parents²⁹.

334

335 In conclusion, we have successfully expanded our NIPD service to include definitive
336 diagnosis of CF. Our bioinformatics pipeline should be applicable to other recessive
337 conditions and is now being applied to spinal muscular atrophy and congenital adrenal
338 hyperplasia. This is a flexible and feasible approach for a routine diagnostic laboratory to
339 implement. While the current need for a proband sample does place some restrictions on
340 which families are eligible, it is likely that the assay could be performed without the proband
341 sample in the near future, which would further increase the number of parents who could be
342 offered NIPD. Since it is clear that parents prefer a non-invasive approach to the diagnosis of
343 monogenic conditions wider implementation globally of NIPD would seem appropriate.

344

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Table 1 - Categorisation of single nucleotide polymorphism types based on parental inheritance and the information that can be interpreted from each type determined from the proband's genotype and the allelic imbalance.

| SNP type | Subtype | Maternal genotype | | Paternal genotype | | Proband genotype | | Information from genotype | Result interpretation |
|----------|---------|-------------------|---|-------------------|---|------------------|---|--|--|
| Type 1 | A | A | A | B | B | A | B | Detection of paternal contribution but not specific allele | Fetal fraction |
| | B | B | B | A | A | B | A | | |
| Type 2 | A | A | A | A | A | A | A | Sequencing error rate | Quality control |
| | B | B | B | B | B | B | B | | |
| Type 3 | A | A | A | A | B | A | A | Detection of paternal specific allele | If B detected - discordant with proband |
| | B | A | A | B | A | A | B | | If B detected - concordant with proband |
| | C | B | B | A | B | B | A | | If A detected - concordant with proband |
| | D | B | B | B | A | B | B | | If A detected - discordant with proband |
| Type 4a | 1 | A | B | A | A | A | A | Detection of maternal specific allele | SPRT call: 1) Homozygous for A - concordant with proband 2) Heterozygous – discordant with proband |
| | 2 | B | A | B | B | B | B | | SPRT call: 1) Homozygous for B - concordant with proband 2) Heterozygous – discordant with proband |
| Type 4b | 1 | A | B | B | B | A | B | | SPRT call: 1) Homozygous for B - discordant with proband 2) Heterozygous – concordant with proband |
| | 2 | B | A | A | A | B | A | | SPRT call: 1) Homozygous for A - discordant with proband 2) Heterozygous – concordant with proband |

SPRT, sequential probability ratio testing

Table 2: Summary of NIPD results for the validation cohort.

| Case | Paternal mutation | Maternal mutation | Gestation cfDNA sampled | Reference haplotype | cfDNA yield (ng) | Fetal fraction (%) | NIPD result (Paternal/Maternal) | Confirmed result | Informative SNPs used | Haplotype blocks (mat only) | Paternal SNPs | Classification accuracy (mat/pat) | Mean sequencing depth of SNPs used |
|----------|---------------------|----------------------|-------------------------|---------------------|------------------|--------------------|---------------------------------|-------------------------------|-----------------------|-----------------------------|---------------|-----------------------------------|------------------------------------|
| 1 | p.Phe508del/N | p.Phe508del/N | 12+3 | Unaffected sibling | 138 | 14 | p.Phe508del/ p.Phe508del | Affected | 174 | 16 | 99 | 86%/99% | 563 |
| 2 | p.Phe508del/N | p.Phe508del/N | 12+1 | Unaffected sibling | 82 | 18 | N/N | Unaffected | 208 | 28 | 33 | 100% / 91% | 353 |
| 3 \$ | p.Lys684Serfs/ N | p.Gly542*/N | 11+2 | Affected proband | 191 | 19 | N/p.Gly542* | Unaffected carrier maternal | 136 | 34 | 60 | 100% / 95% | 720 |
| 4 \$ | p.Lys684Serfs/ N | p.Gly542*/N | 11+2 | Affected proband | 95 | 11 | p.Lys684Serfs/ p.Gly542* | Affected | 136 | 14 | 60 | 100% / 100% | 619 |
| 5 \$ | p.Lys684Serfs/ N | p.Gly542*/N | 11+4 | Affected proband | 45 | 16 | p.Lys684Serfs/ p.Gly542* | Affected | 136 | 28 | 60 | 100% / 100% | 722 |
| 6 | p.Phe508del/N | p.Phe508del/N | 12+6 | Affected proband | 130 | 12 | N/N | Unaffected | 130 | 28 | 36 | 100% / 94% | 401 |
| 7 ♂ (p1) | p.Phe508del/N | p.Phe508del/N | 11+5 | Affected proband 1 | 73 | 10 | p.Phe508del/ p.Phe508del | Affected | 117 | 10 | 58 | 100% / 95% | 261 |
| 8 ♂ (p2) | p.Phe508del/N | p.Phe508del/N | 11+5 | Affected proband 2 | 73 | 10 | p.Phe508del/ p.Phe508del | Affected | 118 | 10 | 58 | 100% / 95% | 262 |
| 9 | p.Phe508del/N | p.Phe508del/N | 12+0 | Affected proband | 125 | 9 | N/ p.Phe508del | Unaffected Carrier | 174 | 12 | 67 | 100% / 85% | 442 |
| 10 | p.Phe508del/N | p.Lys1177Serfs/ N | 33+2 | Affected proband | 105 | 13 | p.Phe508del/ p.Lys1177Serfs | Affected | 200 | 22 | 83 | 91% / 95% | 748 |
| 11 | p.Leu732*/N | p.Phe508del/N | 12+0 | Affected proband | 221 | 6 | N/ p.Phe508del | Unaffected carrier (maternal) | 158 | 25 | 44 | 86% / 95% | 1183 |
| 12 | p.Phe508del/N | p.Phe508del/N | 11+5 | Affected proband | 80 | 23 | N/ p.Phe508del | Unaffected Carrier | 183 | 42 | 55 | 95% / 96% | 413 |
| 13 | p.Phe508del/N | p.Phe508del/N | 12+1 | Affected proband | 116 | 11 | p.Phe508del/ p.Phe508del | Affected | 218 | 56 | 89 | 96% / 97% | 585 |

Only informative SNPs that passed the quality control criteria were used for the analysis with the average sequencing depth calculated from only the informative SNPs. Classification accuracy for the maternal allele indicates the percentage of haplotype blocks that gave concordant results with the inherited allele determined by the majority call. Classification accuracy for the paternal allele indicated the percentage of type 3 SNPs giving concordant results with the inherited allele determined by the majority call. N= Normal. \$ and ♂ = same family. ♂ family was tested using two affected probands (p1 & p2)

Table 3 – Summary of initially inconclusive NIPD results for the service cohort

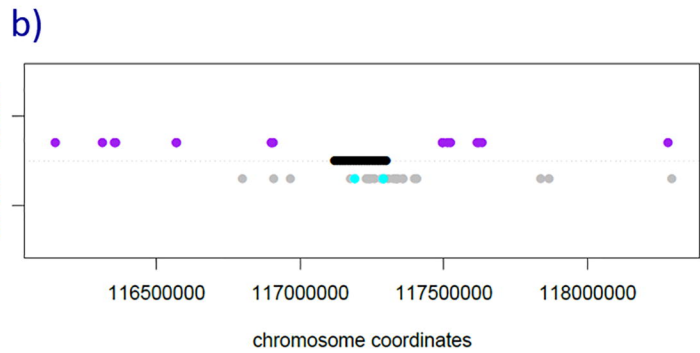
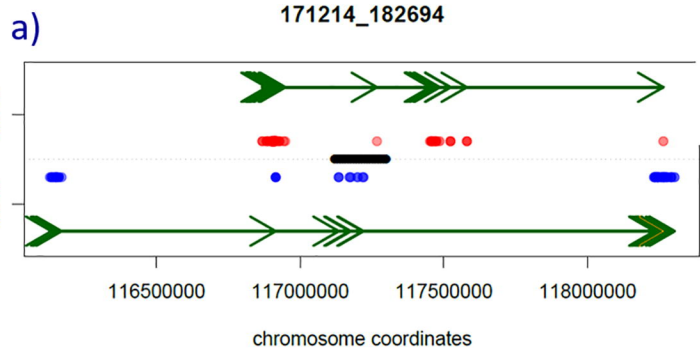
| Case | Paternal mutation | Maternal mutation | Gestation cfDNA sampled | Reference haplotype | cfDNA yield (ng) | Fetal fraction (%) | NIPD result (Paternal/Maternal) | Outcome (where known) | Informative SNPs used | Haplotype blocks (mat only) | Paternal SNPs | Classification accuracy (mat/pat) | Mean sequencing depth of SNPs used | TAT (Calendar days) |
|------|-------------------|-------------------|-------------------------|---------------------|-------------------|--------------------|---|-------------------------|-----------------------|-----------------------------|----------------|-----------------------------------|------------------------------------|---------------------|
| 11 | p.Phe508del/N | p.Phe508del/N | 10+3 | Affected proband | 66.7 | 10 | Inconclusive# / p.Phe508del | Confirmed affected | 176 | 48 | 27 | 100% / 59%# | 646 | 8 |
| 12 | p.Phe508del/N | p.Phe508del/N | 10+4 Rpt 12+3 | Affected proband | 97.8 Rpt 125.4 | 3 Rpt 5 | p.Phe508del / Inconclusive Rpt p.Phe508del / p.Phe508del | Miscarriage at 14 weeks | 212 Rpt 209 | 6 Rpt 16 | 61 Rpt 80 | 67% /97% Rpt 88% / 99% | 649 Rpt 1140 | 7 Rpt 3 |
| 14 | p.Phe508del/N | p.Phe508del/N | 9+4 | Affected proband | 178.5 | 3.8 | N / Inconclusive | Unaffected (NBS-normal) | 174 | 6 | 18 | 75% / 100% | 608 | 3 |
| 21 | p.Phe508del/N | p.Phe508del/N | 9+5 Rpt 10+5 | Affected proband | 145.5 Rpt 131 | 6.7 Rpt 6.9 | p.Phe508del / Inconclusive Rpt p.Phe508del / N | Unaffected (NBS-normal) | 219 Rpt 219 | 18 Rpt 17 | 121 Rpt 121 | 67%/ 99% Rpt 88% / 100% | 559 Rpt 600 | 4 Rpt 6 |
| 24 | p.Phe508del/N | c.1585-1G>A/N | 9+6 | Affected proband | 190.5 | 5.6 | N / Inconclusive | Unaffected | 181 | 7 | 65 | 86% / 97% | 623 | 7 |
| 32 | p.Phe508del/N | p.Phe508del/N | 9+3 | Affected proband | 185.5 | Undetermined | Inconclusive / Inconclusive | - | 116 | 13 | 29 | 85% / 100% | 452 | 3 |
| 33 | p.Phe508del/N | c.4139del/N | 9+1 | Affected proband | 102.2 | 4 | N / Inconclusive | - | 199 | 9 | 46 | 78% / 94% | 422 | 9 |
| 34 | c.1652G>A/N | p.Phe508del/N | 11+5 Rpt 13 | Affected proband | 189 Rpt 174 | 6.2 Rpt 7.4 | c.1652G>A /inconclusive Rpt c.1652G>A / p.Phe508del | - | 222 Rpt 212 | 4 Rpt 13 | 83 Rpt 89 | 50% / 97% Rpt 85% / 94% | 686 Rpt 476 | 3 Rpt 8 |
| 36 | p.Phe508del/N | p.Phe508del/N | 9+0 Rpt 10+4 | Affected proband | 140.5 Rpt 253 | 3 Rpt 10.2 | Inconclusive / Inconclusive Rpt N / p.Phe508del | - | 181 Rpt 182 | 2 Rpt 18 | 31 Rpt 33 | 100% / 95% Rpt 90% / 100% | 438 Rpt 456 | 6 Rpt 3 |

Only informative SNPs that passed the quality control criteria were used for the analysis with the average sequencing depth calculated from only the informative SNPs. Classification accuracy for the maternal allele indicates the percentage of haplotype blocks that gave concordant results with the inherited allele determined by the majority call. Classification accuracy for the paternal allele indicated the percentage of type 3 SNPs giving concordant results with the inherited allele determined by the majority call. ‡ same family, different pregnancy; # inconclusive due to a recombination event (see figure 2); N=normal; NBS= Newborn screening test

Figure Legends

Figure 1. Example case (Supplementary Table 1, case 17). Maternal mutation is p.(Phe508del) and the paternal mutation is p.(Trp1282*). These schematics are produced by the relative dosage analysis pipeline and provide a visual representation of where the different paternal SNPs or maternal haplotype blocks lie in the genomic region. The CFTR gene is shown as a black bar. Each green arrowhead represents a haplotype block. SNPs are sorted by the pipeline into 6 categories (Table 1). Haplotype I (HapI) is always the known genotype from the proband, with haplotype II (HapII) being used to determine the SNP type. a) Maternal haplotype blocks: When multiple SNPs are required to make a call it is termed a haplotype block and it is these that are used to interpret which of the maternal haplotypes have been inherited. Dark red= homozygous for HapI, light red = heterozygous 4a blocks, dark blue= heterozygous 4b blocks, light blue=homozygous for HapII. This schematic shows the fetus is homozygous HapI at type 4a haplotype blocks and heterozygous at type 4b blocks, so must have inherited the same maternal haplotype as the proband. b) Type 3 A/D SNP's– if the fetus has inherited a different paternal haplotype to the proband then a paternal contribution will be seen for these SNPs (purple dots when contribution seen, grey when absent). Type 3 B/C – These SNPs should be the opposite of the 3A/D SNPs: if the fetus has inherited the same paternal haplotype as the proband then a paternal contribution will be seen for these SNPs (turquoise dots when contribution seen, grey when absent). c) summary of results - in this case the fetus has inherited the high risk maternal allele with the low risk paternal allele. The genotype of the fetus is therefore p.(Phe508del)/N.

Figure 2. Paternal recombination event. These schematics are produced by the RHDO pipeline and provide a visual representation of where the different SNPs lie in the genomic region. The CFTR gene is shown as a black bar. Each green arrowhead represents a haplotype block. SNPs are sorted by the pipeline into 6 categories (Table 1), Haplotype I (HapI) is always the known genotype from the proband, with haplotype II (HapII) being used to determine the SNP type. a) Maternal haplotype blocks: When multiple SNPs are required to make a call it is termed a haplotype block and it is these that are used to interpret which of the maternal haplotypes have been inherited. Dark red= homozygous for HapI, light red = heterozygous 4a blocks, dark blue= heterozygous 4b blocks, light blue=homozygous for HapII. This schematic shows the fetus is homozygous HapI at type 4a haplotype blocks and heterozygous at type 4b blocks, so must have inherited the same maternal haplotype as the proband. b) Type 3 A/D SNP's– if the fetus has inherited a different paternal haplotype to the proband then a paternal contribution will be seen for these SNPs (purple dots when contribution seen, grey when absent). Type 3 B/C – These SNPs should be the opposite of the 3A/D SNPs: if the fetus has inherited the same paternal haplotype as the proband then a paternal contribution will be seen for these SNPs (turquoise dots when contribution seen, grey when absent). In this case there is a shift from one type of SNP to the other indicating a recombination event. c) Inconclusive result - Recombination event likely between Chr7: 116680679 and Chr7: 117229167. High risk haplotype at Chr7: 116680679, low risk at Chr7: 117229167, mutation at position Chr7: 117199609 in recombinant area



c)

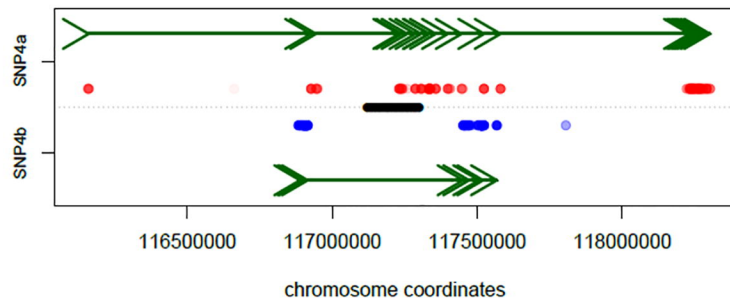
| Maternal haplotype | Homozygous.for.Hapl | Heterozygous | Homozygous.for.HaplI | Conclusion |
|--------------------|---------------------|--------------|----------------------|-------------------------|
| Type 4a | 34 | 0 | 0 | Concordant with Proband |
| Type 4a rev | 34 | 0 | 0 | Concordant with Proband |
| Type 4b | 0 | 28 | 1 | Concordant with Proband |
| Type 4b rev | 0 | 28 | 1 | Concordant with Proband |

| Paternal haplotype | Qualified.SNPs | Disqualified.SNPs | Conclusion |
|--------------------|----------------|-------------------|-----------------------|
| Type 3AD SNPs | 17 | 0 | Discordant to Proband |
| Type 3BC SNPs | 3 | 25 | NA |

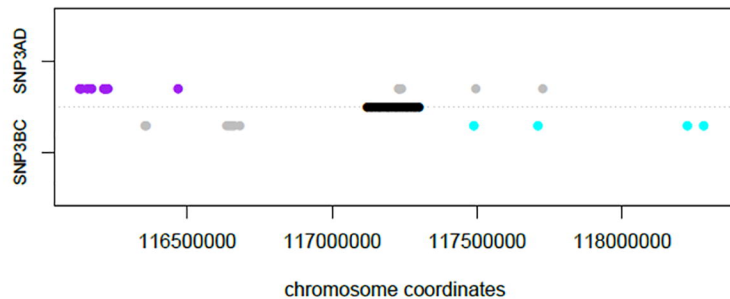
| | |
|--------------------|--------------------------------|
| Proband | Affected |
| Maternal haplotype | Concordant with Proband |
| Paternal haplotype | Discordant to Proband |
| cffDNA result | Predict UNAFFECTED |

a)

170822_177043



b)



c)

Type 3AD result:

| CHROM | POS | REF | ALT | RD | AD | ff3AD | mother geno | father geno |
|-------|-----------|-----|-----|-----|-----|-----------|-------------|-------------|
| chr7 | 116129088 | C | T | 567 | 24 | 0.0812183 | 0 0 | 0 1 |
| chr7 | 116135123 | G | A | 585 | 39 | 0.125 | 0 0 | 0 1 |
| chr7 | 116155979 | A | C | 171 | 7 | 0.0786517 | 0 0 | 0 1 |
| chr7 | 116170195 | T | A | 32 | 572 | 0.1059603 | 1 1 | 1 0 |
| chr7 | 116212511 | T | C | 451 | 22 | 0.0930233 | 0 0 | 0 1 |
| chr7 | 116214642 | T | C | 49 | 912 | 0.1019771 | 1 1 | 1 0 |
| chr7 | 116220532 | G | A | 52 | 615 | 0.155922 | 1 1 | 1 0 |
| chr7 | 116226422 | G | A | 68 | 918 | 0.137931 | 1 1 | 1 0 |
| chr7 | 116468914 | G | A | 676 | 37 | 0.1037868 | 0 0 | 0 1 |
| chr7 | 117229167 | G | A | 0 | 673 | 0 | 1 1 | 1 0 |
| chr7 | 117229537 | T | A | 0 | 363 | 0 | 1 1 | 1 0 |
| chr7 | 117229837 | G | T | 0 | 286 | 0 | 1 1 | 1 0 |
| chr7 | 117234537 | A | G | 0 | 691 | 0 | 1 1 | 1 0 |
| chr7 | 117238445 | T | G | 1 | 459 | 0.0043478 | 1 1 | 1 0 |
| chr7 | 117494841 | T | A | 64 | 0 | 0 | 0 0 | 0 1 |
| chr7 | 117726480 | C | A | 0 | 647 | 0 | 1 1 | 1 0 |

Type 3BC result:

| CHROM | POS | REF | ALT | RD | AD | ff3BC | mother geno | father geno |
|-------|-----------|-----|-----|------|------|-----------|-------------|-------------|
| chr7 | 116354525 | T | A | 879 | 0 | 0 | 0 0 | 1 0 |
| chr7 | 116358044 | A | G | 533 | 1 | 0.0037453 | 0 0 | 1 0 |
| chr7 | 116635705 | C | T | 0 | 1211 | 0 | 1 1 | 0 1 |
| chr7 | 116645880 | T | C | 0 | 274 | 0 | 1 1 | 0 1 |
| chr7 | 116654201 | A | G | 1 | 508 | 0.0039293 | 1 1 | 0 1 |
| chr7 | 116660803 | A | G | 0 | 1028 | 0 | 1 1 | 0 1 |
| chr7 | 116680679 | G | A | 1019 | 0 | 0 | 0 0 | 1 0 |
| chr7 | 117486274 | G | T | 492 | 27 | 0.1040462 | 0 0 | 1 0 |
| chr7 | 117707293 | G | T | 69 | 925 | 0.138833 | 1 1 | 0 1 |
| chr7 | 118222741 | G | C | 714 | 46 | 0.1210526 | 0 0 | 1 0 |
| chr7 | 118280023 | T | G | 188 | 23 | 0.2180095 | 0 0 | 1 0 |