

1 **A Clinical Approach to Respiratory Disease in Patients with**  
2 **Haematological Malignancy, with a focus on Respiratory**  
3 **Infection**

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9	Disclosure of Conflicts of Interests
10	
11	No conflicts of Interest

## 12 **Abstract**

13 Respiratory complications, in particular infections, are common in the setting of  
14 haematological malignancy and after haematopoietic stem cell transplant. The  
15 symptoms can be non-specific and therefore it can be difficult to identify and  
16 treat the cause. However, an understanding of the specific immune defect,  
17 clinical parameters such as speed of onset, and radiological findings, allows the  
18 logical diagnostic and treatment plan to be made. Radiological findings can  
19 include consolidation, nodules, and diffuse changes such as ground glass and  
20 tree-in-bud changes.

21 Common infections that induce these symptoms include bacterial pneumonia,  
22 invasive fungal disease, *Pneumocystis jirovecii* and respiratory viruses. These  
23 infections must be differentiated from inflammatory complications that often  
24 require immune suppressive treatment. The diagnosis can be refined with the  
25 aid of investigations such as bronchoscopy, CT guided lung biopsy, culture, and  
26 serological tests.

27 This article gives a schema to approach patients with respiratory symptoms in  
28 this patient group, however in the common scenario of a rapidly deteriorating  
29 patient, treatment often has to begin empirically, with the aim to de-escalate  
30 treatment subsequently after targeted investigations.

31

## 32 **Introduction**

33 Haematological malignancy is relatively common, with a prevalence of 549 per  
34 100,000 and approximately 328,000 cases in the UK <sup>1</sup> at any one time. They  
35 consist of a heterogenous group of diseases that are treated with high dose  
36 chemotherapy, often followed by haematopoietic stem cell transplant (HSCT).

37 The diseases themselves as well as the treatments lead to significant  
38 immunosuppression, leaving the patients susceptible to infections that often  
39 affect the respiratory system. As a consequence, approximately 50% of patients  
40 with a haematological malignancy develop respiratory infections during the  
41 course of their treatment <sup>2</sup>. Although this article focuses on the infective  
42 complications of haematological malignancy, non-infectious disorders account  
43 for approximately half of respiratory complications post HSCT <sup>3</sup>, and must  
44 always be actively considered in the differential diagnosis. Table 1 shows some  
45 of the more common and serious non-infectious problems that arise post HSCT.  
46 As treatment for non-infectious disorders often requires increased  
47 immunosuppression, significant infection usually has to be excluded prior to  
48 commencing treatment for a non-infectious pulmonary complication of  
49 haematological disease.

50

## 51 Sources of Infecting Organisms

52 Organisms causing infections reach the lung from a variety of sources. These  
53 pathogens include both common gram positive and negative pathogens such as  
54 respectively *Staphylococcus aureus* and *Pseudomonas aeruginosa*, as well as  
55 anaerobes (see table 2) <sup>4</sup>. Many bacterial pathogens are nasopharyngeal  
56 commensals, which immunosuppressed individuals are less able to effectively  
57 clear from the lungs after aspiration. Respiratory pathogens are also commonly  
58 inhaled from infected contacts by droplet spread. The commonest causative  
59 organisms in this group are the respiratory viruses (see table 3), which usually  
60 only cause mild, self-limiting infections in immunocompetent individuals but in  
61 patients with haematological malignancy present with relatively severe  
62 symptoms, prolonged infection, and higher rates of pneumonia and death <sup>5-7</sup>.  
63 Less common causes of inhaled droplet lung infections are *Mycoplasma*  
64 *pneumoniae*, *Chlamydia pneumoniae* and *Mycobacterium tuberculosis* (table 3).  
65 Inhalation of environmental organisms that do not usually cause infection in an  
66 immunocompetent host is another significant source of respiratory infection.  
67 These include *Aspergillus* species, other filamentous fungi, *Nocardia*, and non-  
68 tuberculous mycobacteria. *Aspergillus* in particular can affect up to 10% of  
69 patients with haematological malignancy <sup>8</sup>. Immunosuppression associated with  
70 haematological malignancy may also allow reactivation of organisms that are  
71 either dormant or persist at low numbers within the lung. These pathogens  
72 include *Pneumocystis jirovecii* which seems to be a lung commensal that  
73 replicates to cause disease in certain types of immunosuppression unless  
74 patients are given appropriate prophylaxis <sup>9</sup>. Reactivation is also the mode of  
75 infection for pneumonitis caused by cytomegalovirus (CMV) and other herpes

76 viruses, and for some cases of *M. tuberculosis* occurring in subjects with latent  
77 infection. Finally infections from other parts of the body can spread to the lung  
78 via haematogenous spread, for example *Candida* species and bacterial seeding as  
79 septic emboli from indwelling catheters and lines.

80

### 81 **Clinical Approach**

82 The multiple potential infecting organisms, with a corresponding variety in  
83 antimicrobial treatment options, can make selection of the appropriate  
84 management strategy difficult. Fortunately an understanding of the specific  
85 immune deficiencies that act as specific risk factors for specific organisms (table  
86 4) in combination with clinical parameters such as speed of onset (table 5) and  
87 radiological appearance usually allows the differential diagnosis to be narrowed  
88 down. This in turn then allows the formation of a logical targeted diagnostic and  
89 treatment plan. In patients who do not improve rapidly with first line therapy  
90 with broad spectrum antibiotics, cross-sectional thoracic CT imaging is essential  
91 as it provides much better definition of the pattern of radiological changes than a  
92 chest radiograph. These radiological patterns can be broken down into 3 main  
93 groups: consolidation, nodules (micro- and macro-), and diffuse changes, which  
94 can be further sub-divided into ground glass and tree-in-bud patterns. We  
95 discuss the likely causes for each of these radiological patterns and how this  
96 guides the appropriate initial investigations and treatment options.

97

### 98 **Consolidation**

99 Dense focal consolidation (figure 1A) often develops rapidly in the context of  
100 fevers, dyspnoea and elevated C-reactive protein (CRP). This clinical pattern is  
101 highly suggestive of pneumonia caused by pyogenic bacterial pathogens <sup>10</sup>  
102 associated with community and hospital acquired pneumonias, often originating  
103 from microaspiration of nasopharyngeal commensals. Blood and sputum  
104 cultures are essential, and treatment with broad-spectrum antibiotics  
105 incorporating gram negative cover should be commenced, and most patients will  
106 respond to these making invasive investigation with bronchoscopy unnecessary.  
107 However, if the patient does not respond rapidly, i.e. within 48 to 72 hours,  
108 infection with a highly resistant organism such as methicillin resistant *S. aureus*  
109 or multi-resistant *P. aeruginosa* (resistant to 3 of the following: carbapenem,  
110 ceftazidime, tobramycin, or ciprofloxacin) should be considered. This will  
111 necessitate escalation to second-line antibiotics and if the patient can tolerate  
112 bronchoscopy, bronchoalveolar lavage (BAL) of the affected lobe should be  
113 performed to try and obtain a clear microbiological diagnosis.

114 Focal consolidation with a sub-acute onset has a broader differential diagnosis;  
115 these include bacterial pneumonia, *Aspergillus* species and *Nocardia* species  
116 (usually *asteroides*), and non-infectious causes such as organising pneumonia  
117 and recurrence of haematological malignancy. Diagnostic tests including BAL for  
118 culture, galactomannan <sup>11</sup>, and cytology are necessary. While transbronchial  
119 biopsy has low yield and is not recommended for the diagnosis of invasive fungal  
120 disease <sup>12</sup> given the complication rate of pneumothorax in particular, it may be  
121 useful in confirming alternative diagnoses. Dense peripheral lesions adjacent to  
122 the pleura are amenable to CT guided percutaneous biopsy. Histology can rapidly  
123 confirm a diagnosis of invasive fungal disease (IFD), *Nocardia* infection,

124 organising pneumonia, or malignant infiltrations (e.g. lymphoma), and the  
125 biopsy material can also be sent for culture.

126

## 127 **Pulmonary Nodules**

128 Pulmonary nodules are rounded lesions within the lung with a diameter greater  
129 than 4mm in diameter, but in the haematological malignancy population they are  
130 often substantially larger than this and can be termed macronodules. The  
131 presence of macronodules should always raise the suspicion of an IFD, the  
132 commonest of which is invasive aspergillosis, the majority of which are caused  
133 by *A. fumigatus*. Several other *Aspergillus* and filamentous fungi species such as  
134 mucormycetes can cause IFD and have similar clinical and radiological findings<sup>8</sup>.  
135 The CT scan has several distinct appearances that increase the likelihood that a  
136 macronodule is caused by IFD, though are not necessarily very specific. A  
137 surrounding halo of ground glass (figure 1B) is a classical sign of angioinvasive  
138 fungal disease, with the halo representing haemorrhage, and the air crescent sign  
139 (figure 1C) due to the formation of a fungal ball within a cavity caused by fungal  
140 destruction of lung tissue is also highly suggestive of IFD<sup>13,14</sup>. Macronodules  
141 caused by IFD undergo a classic evolution of changes on CT as the infection is  
142 controlled, with the nodule developing the air crescent sign, followed by thinning  
143 of the cavity wall and shrinkage of its overall size, associated with clearance of  
144 the associated surrounding consolidation<sup>15</sup>.

145 A recently described CT sign that points to IFD is the occluded vessel sign<sup>16</sup>,  
146 where pulmonary arteries are interrupted within areas of consolidation. This  
147 had a 89% sensitivity and 52% specificity for proven or probable IFD by EORTC



148 criteria <sup>17</sup>, but does require a CT pulmonary angiogram protocol with contrast  
149 injection, with its attendant risks of renal toxicity and allergic reactions.  
150 Similarly the hypodense sign, central hypoattenuation within a macronodule, has  
151 recently been shown to have a similar sensitivity (46%) and superior specificity  
152 (83%) to the halo sign for IFD <sup>16,18</sup>. The reverse halo (also termed the atoll sign)  
153 is a strong indicator for mucormycosis early in the disease course of neutropenic  
154 patients <sup>19</sup>.

155 Although CT appearances of macronodules can be highly suggestive of IFD,  
156 microbiological confirmation gives additional confidence in the diagnosis and  
157 ensures the patient receives antifungal treatment that is effective against the  
158 specific infecting fungal pathogen. Unfortunately, all existing microbiological  
159 tests for IFD have significant drawbacks. Culture of BAL <sup>20,21</sup> or sputa is  
160 insensitive <sup>22</sup> although when positive in the immunosuppressed patient is highly  
161 suggestive of active infection. Antigen testing using the serum galactomannan  
162 has a sensitivity of 41-78% and specificity of 60-95% when two sequential  
163 samples have an optical density >0.5 giving a negative predictive value of up to  
164 95% in azole naïve patients in the highest risk groups (neutropenic patients)  
165 <sup>15,23,24</sup>, but does not confirm IFD species. Furthermore, serum galactomannan is  
166 less accurate in patients receiving triazole prophylaxis <sup>24-26</sup>, which is now in  
167 widespread use in haemato-oncology patients. Measuring galactomannan in BAL  
168 instead has a much greater sensitivity of 87% and specificity of 89% even in the  
169 setting of triazole prophylaxis <sup>24</sup>, and hence a negative BAL galactomannan can  
170 allow de-escalation of treatment with antifungals. Mucormycetes have little  
171 galactomannan in their cell walls rendering serum and BAL analysis for this test  
172 insensitive <sup>27</sup>. *Aspergillus* PCR should be sensitive but may not be specific due to

173 widespread presence of *Aspergillus* species in the environment, and as yet there  
174 is little standardisation between kits and is not in widespread use <sup>28</sup>. The lateral  
175 flow device provides a point of care test for fungal wall antigens that is as  
176 sensitive and specific as PCR <sup>29</sup> and has significant clinical promise but is not yet  
177 in wide commercial use.

178 As discussed above in the consolidation section, a CT guided percutaneous  
179 biopsy is a rapid way of identifying IFD in macronodules, as well as some other  
180 pathogens, and non-infective diagnoses. The biopsy material can also be sent for  
181 culture to identify the infecting species and antimicrobial resistance profile.  
182 Haemorrhage and pneumothorax are the main complications of percutaneous CT  
183 guided biopsies, with the former being a particular problem in haematological  
184 malignancy due to the prevalence of significant thrombocytopenia. However,  
185 targeting peripheral lesions and using platelet transfusions minimises these  
186 risks.

187 Overall, a specific diagnosis of invasive fungal disease can be difficult to achieve  
188 and microbiological diagnosis of IFD remains unreliable. Diagnosis is usually  
189 made with a consideration of multiple elements: clinical risk factors, radiological  
190 changes, biomarkers, and the use of triazole prophylaxis. As mortality without  
191 treatment is high <sup>30,31</sup>, empirical treatment is usually started in high-risk patients  
192 as soon as the clinical picture is compatible with an IFD. Although published data  
193 suggests that azoles such as voriconazole and posaconazole are as effective as  
194 amphotericin (if not moreso) <sup>15,32</sup>, liposomal amphotericin is often the first line  
195 therapy in patients receiving azole prophylaxis due to fears about fungal  
196 resistance <sup>33,34</sup>. If azoles are used, ensuring that therapeutic levels are achieved

197 by monitoring serum levels improves outcomes <sup>35-38</sup>. Newer azoles are being  
198 developed, and one of these isavuconazole has recently been shown to be non-  
199 inferior to voriconazole and has the advantage of being effective against  
200 mucormycosis <sup>39</sup>. Dual agent antifungal may have superior outcomes in IFD, and  
201 could be considered in critically ill patients <sup>40,41</sup>.

202 Other causes of nodules include septic emboli, *Nocardia*, mycobacterial  
203 infections, and non-infectious causes. Septic bacterial emboli cause distinctive  
204 radiological appearances of multiple cavitating nodules, usually in the lung  
205 periphery and often eroding into the pleural space to cause infected  
206 hydropneumothoraces. The most common sources are infected indwelling  
207 catheters, so line infection needs considering in any patient with radiological  
208 evidence of lung nodules, necessitating paired blood and line cultures. Multiple  
209 well-defined micronodules in the context of cell mediated immune deficiency can  
210 be caused by *Nocardia* <sup>42</sup> and mycobacterial species <sup>43</sup>. Nocardial infection is  
211 associated with myeloablative conditioning and steroids, with a median time to  
212 infection of 10 months post HSCT <sup>42</sup>. Pulmonary infection has a mortality rate up  
213 to 53% and requires treatment for 6 to 12 months with oral  
214 trimethoprim/sulphamethoxazole or parenteral treatment with carbapenems  
215 and/or amikacin. Prophylactic trimethoprim/sulphamethoxazole for  
216 pneumocystis also protects against *Nocardia*. Non-tuberculous mycobacteria  
217 infection post HSCT has an incidence of between 0.4 and 10% <sup>44</sup>, associated with  
218 GvHD and further immunosuppression, and has a 7-19% mortality rate <sup>45,46</sup>.

219 Non-infectious causes of nodules such as lymphoma, other malignancies, and  
220 post transplant lymphoproliferative disorder (PTLD) need histological diagnosis.

221 However, smaller size nodules may not be amenable to percutaneous biopsy, the  
222 yield of BAL remains poor, and in the non-responding patient the diagnosis may  
223 require video assisted thoracoscopic biopsy. In these situations it is important to  
224 try and identify potential extrathoracic sites of disease that are more amenable  
225 to biopsy than the lung.

226

### 227 **Diffuse Disease**

228 The differential diagnosis for diffuse, less dense, bilateral infiltrations on the CT  
229 scan is broad. These changes encompass two main patterns, ground glass  
230 infiltrates and tree-in-bud changes, which differ in their likely causes and are  
231 discussed separately below. The important microbiological tests are blood and  
232 sputum cultures, serum  $\beta$ -D-glucan antigen testing (a fungal cell wall  
233 component), blood CMV viral load, and multiplex PCR for respiratory viruses on  
234 nasopharyngeal aspirate. Inflammatory markers such as CRP can help  
235 differentiate between infectious and non-infectious causes, although CRP can  
236 also be significantly elevated in non-infective hyperinflammatory states. Serial  
237 full blood counts and coagulation status can help identify patients at risk of  
238 engraftment syndrome (clinical syndrome occurring at time of neutrophil  
239 recovery) or pulmonary haemorrhage. Obtaining BAL for cytology and  
240 microbiological testing is very helpful, but these patients are often too hypoxic to  
241 undergo a bronchoscopy.

242

### 243 **Ground glass infiltrates**

244 Bilateral ground glass infiltrates (figure 1D) can be caused by a wide range of  
245 microbial pathogens including pyogenic bacteria, respiratory viruses,  
246 cytomegalovirus, *Pneumocystis jirovecii*, and multiple non-infective causes. This  
247 pattern is unlikely to be caused by an IFD. Often ground glass infiltrations are  
248 associated with areas of denser consolidation creating a mixed appearance on  
249 the CT scan. The likely causes of rapid onset of bilateral ground glass infiltrates  
250 over a few days include bacterial infections, pulmonary oedema, and ARDS, and  
251 less commonly alveolar haemorrhage or engraftment syndrome. Engraftment  
252 syndrome presents with widespread infiltrates associated with fever, rash, and  
253 other organ dysfunction within 4 days of granulocyte recovery post-HSCT <sup>47</sup>. A  
254 sub-acute onset of respiratory symptoms over days and weeks with associated  
255 ground glass changes has similar causes as acute presentations, but the  
256 differential diagnosis needs to be expanded to include *P. jirovecii*, CMV,  
257 respiratory viruses, and drug- or radiotherapy-induced pneumonitis. There are  
258 some aspects of the clinical presentations of the above diseases that can suggest  
259 the underlying cause, and these are discussed below.

260 *Pneumocystis pneumonia* (PJP, previously referred to as PCP in older  
261 publications) often has a distinct clinical presentation of progressive dyspnoea  
262 over several weeks associated with desaturation on exertion and then eventually  
263 hypoxaemia. This is usually associated with only low-grade fevers and moderate  
264 increases in CRP. The incidence is as low as 0.1% in patients receiving  
265 prophylaxis <sup>48</sup>. Pulmonary co-infection is common, particularly with CMV, and  
266 mortality rates have been reported to be as high as 30-60% in haematological  
267 malignancy <sup>49</sup>, though in our experience it is considerably less than this. CT  
268 findings are often highly suggestive of PJP, classically showing diffuse bilateral

269 ground glass shadowing with a predilection for the upper lobes and marked  
270 subpleural sparing. Serum antigen testing for  $\beta$ -D-glucan is very helpful, with a  
271 published sensitivity of 95% and specificity of 86% for PJP<sup>50,51</sup>. However,  $\beta$ -D-  
272 glucan levels can also be elevated with other fungi, in particular with  
273 candidaemia, so need to be interpreted in the context of the overall clinical  
274 picture. The diagnosis of PJP can also be confirmed in some patients by  
275 identification of cysts in bronchoalveolar lavage using immunofluorescence,  
276 although this is often negative in haematology patients. Overall, in patients with  
277 a classical clinical and radiological presentation the diagnosis of PJP can be  
278 confirmed by the response to empirical treatment, usually with high dose co-  
279 trimoxazole or clindamycin and primaquine. Adjunct systemic corticosteroids  
280 are used in hypoxic patients, but do complicate assessing the response to  
281 empirical treatment as non-infective causes of a pneumonitis can also improve  
282 with corticosteroid treatment.

283 CMV pneumonitis is most often due to reactivation of latent infection during  
284 periods of impaired cell mediated immunity and T cell depletion rather than  
285 primary infection, and has a high mortality of up to 50%<sup>52</sup>. CT findings in CMV  
286 pneumonia are not that distinctive, and include bilateral ground glass infiltrates  
287 and symmetrical micronodules<sup>53</sup>. The diagnosis is suggested by highly elevated  
288 blood CMV viral load, especially if this has increased rapidly, and can be  
289 confirmed by obtaining BAL fluid for quantitative PCR<sup>54</sup> and cytology to look for  
290 viral inclusion bodies. However, the patients are often too hypoxic for a safe  
291 bronchoscopy. Treatment is with intravenous ganciclovir, followed by  
292 conversion to valganciclovir, with foscarnet and cidofovir as second and third  
293 line agents<sup>55</sup>.

294 Although there can be clinical (for example, rapid weight gain suggesting fluid  
295 retention and pulmonary oedema), and radiological features (Table 1) that  
296 suggest specific causes, making a confirmed diagnosis of non-infective  
297 aetiologies of bilateral infiltrates is often difficult. The diagnosis often partially  
298 depends on microbiological testing to try and exclude infective causes, including  
299 bronchoscopy if the patient is able to tolerate the procedure. Bronchoscopy can  
300 also be diagnostic for alveolar haemorrhage with similar or increasing recovery  
301 of bloody fluid with sequential lavage. The main clinical decision is whether to  
302 introduce systemic corticosteroids as a treatment for suspected non-infective  
303 causes such as drug- or radiation-pneumonitis, alveolar haemorrhage, or rarer  
304 complications of specific therapies such as all-trans retinoic acid differentiation  
305 syndrome.

306

### 307 **Tree-in-bud changes**

308 Bilateral tree-in-bud (figure 1E) changes are suggestive of acute respiratory viral  
309 infections (Table 3) or widespread bacterial bronchiolitis. This can sometimes  
310 be seen in patients with bronchiectasis as a complication of haematological  
311 disease (for example, secondary to hypogammaglobulinaemia). Respiratory viral  
312 infections are very common in patients with haematological disease, and can  
313 now be readily diagnosed by PCR on a nasopharyngeal aspirate. The CT often  
314 demonstrates widespread, diffuse, symmetrical tree-in-bud changes although  
315 these infections can also cause ground glass infiltrates. In comparison to  
316 immunocompetent individuals, respiratory viral infections in patients with  
317 haematological malignancy (particularly after HSCT) are more prolonged, lasting

318 weeks and even months, and lead to an increased risk of respiratory compromise  
319 due to the development of viral or secondary bacterial pneumonia <sup>56</sup>. The  
320 viruses recognised to cause respiratory infection in haemato-oncology patients  
321 are noted in table 3. Some have specific treatments though the data for efficacy  
322 are largely limited to case series. Ribavirin is used for respiratory syncytial virus  
323 (RSV), though appears to have little effect once patients develop respiratory  
324 failure <sup>57</sup>. Adenovirus is often cultured, though less commonly causing infection,  
325 can be treated with Cidofovir <sup>58,59</sup>. Neuraminidase inhibitors reduce mortality  
326 due to influenza infection <sup>60</sup>, though are less effective in patients who are  
327 immunosuppressed, have GvHD, lymphopenia or older age <sup>61</sup>; pre-emptive  
328 vaccination is key in preventing infection <sup>62</sup>. There are no recognised organism-  
329 specific treatments for parainfluenza <sup>63</sup>, human metapneumovirus <sup>7</sup>, and  
330 rhinovirus <sup>64</sup>.

331 Bronchiectasis is a common complication of many haematological diseases  
332 including multiple myeloma, chronic lymphocytic leukaemia (CLL), B cell  
333 depletion therapies, and HSCT, and can result in subacute bacterial bronchial  
334 infections. These cause patchy tree-in-bud infiltrates associated with bronchial  
335 wall thickening and dilatation, and are usually caused by Gram negative  
336 pathogens such as *K. pneumoniae* or *P. aeruginosa* that will require prolonged  
337 therapy with appropriate antibiotics. Too short an antibiotic course will allow  
338 the infection to recur and this can lead to a vicious cycle of recurrent infections  
339 with an inability to gain weight or fully recover before the next infection occurs.  
340 Antibiotic prophylaxis and correction of hypogammaglobulinaemia with  
341 supplementary immunoglobulins is important for these patients, and is also



342 recommended in other patients with haematological malignancy and secondary  
343 antibody deficiency in the setting of recurrent infections <sup>65</sup>.

344

### 345 **Treatment Strategies**

346 Almost all haematology patients presenting acutely with fever and dyspnoea will  
347 require broad-spectrum antibiotics. Starting antifungals with the initial fever  
348 does not improve outcomes compared to delaying to day 4 if the fever does not  
349 settle <sup>66</sup>. Similarly, cross-sectional CT is only necessary if the symptoms do not  
350 resolve rapidly with antibiotics <sup>67</sup>. If the fever persists, then characteristic CT  
351 changes in the clinical context (speed of onset, immune defects, other clinical  
352 features) will often indicate the need for specific treatments, e.g. liposomal  
353 amphotericin or voriconazole in neutropenic patients with a macronodule with  
354 surrounding halo. However, the wide differential diagnosis means that empirical  
355 treatment targeting different infectious and non-infectious causes is often  
356 required. Microbiological confirmation remains variably successful; culture  
357 techniques are slow and sensitivity can be poor, hence the development of  
358 biomarkers and PCR to increase sensitivity. While invasive procedures such as  
359 bronchoscopy or biopsy can give vital diagnostic information and in particular  
360 allow the de-escalation of antifungals and make alternative diagnoses, patients  
361 can deteriorate rapidly and be too hypoxic for such investigations.. Furthermore,  
362 many cases of respiratory problems in haematology patients have a combination  
363 of causes so even when a microbe has been identified this may not prevent  
364 broader treatment. Another significant issue is when to stop therapy in patients  
365 treated empirically with multiple agents who then improve, as the cause of the

366 underlying problem may remain unclear. Most bacterial infections resolve with  
367 a few days of antibiotics, but aspergillosis can require prolonged therapy to  
368 prevent recurrence. Exactly how long antifungals should be continued is not  
369 known; serum galactomannan levels may have some utility, with a  $\geq 35\%$   
370 reduction after 1 week associated with a good clinical outcome <sup>68,69</sup>, but mainly  
371 outcome is monitored by observing radiological responses. It is unclear at which  
372 stage during this evolution that it is safe to stop antifungals without leading to a  
373 significant risk of recurrence.

374

### 375 **Conclusion**

376 Patients with haematological malignancy can develop a range of immune defects  
377 during the course of their illness or associated with the necessary treatments.  
378 These allow various pathogens to cause disease, and the respiratory tract is  
379 commonly affected; this is associated with significant morbidity and mortality.  
380 Infections must be treated promptly, requiring empirical therapy chosen to  
381 cover the most likely pathogens given the clinical presentation. An  
382 understanding of the relevant immune defect along with the recognition of  
383 patterns of clinical presentation and findings on cross-sectional CT imaging,  
384 allows logical deduction of likely culprits and targeted microbiological and  
385 molecular investigations to help narrow the differential diagnosis. This is with  
386 the caveat that there is significant cross-over between radiological findings, and  
387 a high prevalence of non-infective respiratory complications that are often  
388 diagnoses of exclusion. As such there are many occasions when the specific  
389 diagnosis is never discovered and critically ill patients have to be treated for

390 multiple organisms and non-infective complications empirically. There is an  
391 urgent need for improved rapid diagnostics with better sensitivity and specificity  
392 to allow more directed treatment of respiratory infections in haematological  
393 malignancy. Ideally future research should focus on the development of point of  
394 care tests that accurately identify specific organisms. If possible these will be  
395 non-invasive and easy to perform even on critically ill patients, allowing  
396 pathogen-specific treatments and minimising unnecessary drug-related toxicity.  
397

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656

657 **Table 1:** Acute and sub-acute non-infectious respiratory complications in the  
 658 immunosuppressed patient  
 659

<b>Clinical problem</b>	<b>Common radiological features</b>
<i>Acute presentation (hours to days)</i>	
Pulmonary oedema	Cardiomegaly, upper lobe diversion, interstitial oedema and pleural effusions
Acute respiratory distress syndrome (ARDS)	Bilateral ground glass, dependent consolidation, traction bronchiectasis
Diffuse alveolar haemorrhage	Rapidly progressive ground glass changes
Engraftment syndrome	Interstitial oedema and pleural effusions
Thoracic air leak syndrome	Pneumothorax, pneumomediastinum, subcutaneous emphysema
Leukostasis	Interstitial infiltrates and/or alveolar opacification
<i>Sub-acute presentation (days to weeks)</i>	
Idiopathic pneumonia syndrome	Diffuse bilateral infiltrates
Organising pneumonia	Peribronchial and peripheral air space opacification
Radiation pneumonitis	Ground glass and consolidation within the radiation field developing into pulmonary fibrosis
Drug toxicity	Bilateral alveolitis (ground glass infiltrates), developing into pulmonary fibrosis
<i>Chronic presentations (weeks to months)</i>	
Pulmonary veno-occlusive disease	Enlarged pulmonary arteries, smooth interlobular septal thickening, ground glass opacities
Lung graft versus host disease (GvHD)	Mosaicism, progressive airway dilatation
Post transplant lymphoproliferative disorder (PTLD)	Pulmonary nodules and mediastinal lymphadenopathy
Pleuroparenchymal fibroelastosis	Fibrotic thickening of pleura and subpleural parenchyma
Non-classifiable interstitial pneumonia (pulmonary fibrosis)	Ground glass, peribronchial crazy paving, reticulation and traction-bronchiectasis

660

661 **Table 2:** Bacteria that cause respiratory infection in patients with haematological  
 662 malignancy (modified from 4)

<b>Gram positive</b>	<b>Gram negative</b>	<b>Anaerobes</b>	<b>Atypical</b>
<i>Streptococcus pneumoniae</i>	<i>Pseudomonas</i> spp.	<i>Prevotella</i> spp.	<i>Mycoplasma pneumoniae</i>
<i>Streptococcus pyogenes</i>	<i>Klebsiella pneumoniae</i>	<i>Fusobacterium</i> spp.	<i>Chlamydophila pneumoniae</i>
<i>Staphylococcus aureus</i>	<i>Escherichia coli</i>	<i>Bacteroides</i> spp.	<i>Legionella</i> spp.
<i>Nocardia asteroides</i>	<i>Enterobacter cloacae</i>		
<i>Rhodococcus equi</i>	<i>Stenotrophomonas maltophilia</i>		
	<i>Citrobacter</i> spp.		
	<i>Serratia marcescens</i>		
	<i>Acinetobacter baumannii</i>		
	<i>Haemophilus influenzae</i>		
	<i>Proteus</i> spp.		
	<i>Burkholderia</i> spp.		
	<i>Achromobacter</i> spp.		
	<i>Moraxella catarrhalis</i>		

663

664

665 **Table 3:** Fungi, viruses, and mycobacteria that cause respiratory infection in patients  
666 with haematological malignancy (modified from <sup>4,43</sup>)  
667

<b>Fungi</b>	<b>Viruses</b>	<b>Mycobacteria</b>
<i>Candida</i> spp.	Respiratory viruses:	<i>Mycobacterium tuberculosis</i>
<i>Aspergillus</i> spp.	Influenza A and B	
Other filamentous fungi:	Parainfluenza 1-3	Non-tuberculous mycobacteria:
<i>Fusarium</i> spp.	Human metapneumovirus	<i>Mycobacterium avium-</i>
<i>Scedosporium</i> spp.	Adenovirus	<i>intracellulare complex</i>
<i>Mucor</i> spp.	Coronavirus	<i>Mycobacterium abscessus</i>
<i>Rhizopus</i> spp.	Respiratory syncytial virus	<i>Mycobacterium fortuitum</i>
<i>Pneumocystis jirovecii</i>	Rhinovirus	<i>Mycobacterium kansasii</i>
Environmental fungi:	Herpesviruses:	<i>Mycobacterium chelonae</i>
Histoplasmosis	Cytomegalovirus	
Coccidiomycosis	Varicella zoster	
<i>Cryptococcus neoformans</i>	Herpes simplex	
	Human herpes virus 6	

668

669

670 **Table 4:** Common infective causes of respiratory symptoms in patients with  
 671 haematology malignancy categorised by immune defect  
 672

<b>Immune defect and common associations</b>	<b>Common pathogens</b>
Neutropenia / functional neutrophil defects: Leukaemia Aplastic anaemia / bone marrow infiltrations HSCT Chemotherapy	Bacterial pneumonia <i>Aspergillus</i> spp. Other filamentous fungi Invasive candidiasis
Impaired T cell function HSCT Immunosuppressive therapies Lymphoma	<i>P. jirovecii</i> Respiratory viruses Cytomegalovirus Other herpesviruses Mycobacteria Nocardia
Immunoglobulin deficiency (mainly IgG) CLL Myeloma HSCT B cell depletion therapies	Bacterial pneumonia Bacterial exacerbations of bronchiectasis Respiratory viruses
Prolonged high dose corticosteroids	<i>P. jirovecii</i> <i>Aspergillus</i> spp. Respiratory viruses Cytomegalovirus Mycobacteria Bacterial pneumonia
Kinase inhibitors JAK inhibitors (e.g. Ruxolitinib)	<i>Aspergillus</i> spp. <i>P. jirovecii</i>
BCR pathway inhibitors (e.g. Ibrutinib)	Bacterial pneumonia <i>Aspergillus</i> spp. <i>P. jirovecii</i>

673

674 **Table 5:** Causes of respiratory symptoms in haematological malignancy categorised by  
 675 speed of onset  
 676

<b>Speed of onset</b>	<b>Infective causes</b>	<b>Non-infective causes*</b>
1-3 days	Bacterial pneumonia	Pulmonary oedema Diffuse Alveolar Haemorrhage Adult respiratory distress syndrome Engraftment syndrome
3-7 days	Bacterial pneumonia Respiratory viruses <i>M. pneumoniae</i>	Adult respiratory distress syndrome Engraftment syndrome
1-2 weeks	Respiratory viruses <i>M. pneumoniae</i> CMV / other herpesviruses	Drug / radiation pneumonitis Idiopathic pneumonitis
2-6 weeks	<i>Aspergillus</i> spp. Other filamentous fungi <i>Nocardia</i> spp. <i>M. tuberculosis</i> <i>Pneumocystis jirovecii</i>	Drug / radiation pneumonitis Idiopathic pneumonitis Lung GvHD Organising pneumonia Lymphoma / malignant infiltration PTLD
Months	<i>M. tuberculosis</i> Non Tuberculous Mycobacteria	Lymphoma / malignant infiltration Drug / radiation pneumonitis (fibrotic phase) Bronchiectasis Organising pneumonia PTLD Lung GvHD Post-allograft restrictive lung disease / Pleuroparenchymal fibroelastosis

677

678 \*pulmonary emboli can present in any time category

679

680

681

682

683 Figure Legend

684

685 Figure 1. Cross-sectional radiological images in respiratory complications of  
686 haematological disease. A) Consolidation due to bacterial pneumonia, B) Halo  
687 with surrounding ground glass in invasive mould disease, C) Air crescent sign  
688 (white arrowhead demonstrates crescent) in partially treated invasive mould  
689 disease after neutrophil recovery, D) Ground glass changes due to *P. jirovecii*, E)  
690 Tree in bud changes due to respiratory viral infection, F) Atoll/reverse halo sign  
691 due to organising pneumonia.