

Research Articles: Behavioral/Cognitive

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<https://doi.org/10.1523/JNEUROSCI.0401-19.2019>

Cite as: J. Neurosci 2019; 10.1523/JNEUROSCI.0401-19.2019

Received: 19 February 2019

Revised: 6 June 2019

Accepted: 11 June 2019

This Early Release article has been peer-reviewed and accepted, but has not been through the composition and copyediting processes. The final version may differ slightly in style or formatting and will contain links to any extended data.

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1 **Distinct roles of dopamine and noradrenaline in incidental memory**

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25 Number of pages: 25

26 Number of Figures: 3

27 Number of Tables: 1

28 Abstract: 134 words

29 Introduction: 583 words

30 Discussion: 909 words

31

32

33 Conflict of interest: The authors declare no competing financial interests.

34

35 Acknowledgements: We thank Elliott Wimmer for comments on an earlier draft of this paper.
36 TUH is supported by a Wellcome Sir Henry Dale Fellowship (211155/Z/18/Z), a grant from
37 the Jacobs Foundation (2017-1261-04), the Medical Research Foundation, and a 2018
38 NARSAD Young Investigator grant (27023) from the Brain & Behavior Research
39 Foundation. The Wellcome Trust's Cambridge-UCL Mental Health and Neurosciences
40 Network grant (095844/Z/11/Z) supported RJD, TUH and MM. RJD holds a Wellcome Trust
41 Investigator Award (098362/Z/12/Z). MM receives support from the UCLH NIHR BRC. The
42 Max Planck UCL Centre is a joint initiative supported by UCL and the Max Planck Society.
43 The Wellcome Centre for Human Neuroimaging is supported by core funding from the
44 Wellcome Trust (203147/Z/16/Z).

45 **Abstract**

46 Episodic memory is sensitive to the influence of neuromodulators, such as dopamine
47 and noradrenaline. These influences are considered important in the expression of several
48 known memory biases, though their specific role in memory remains unclear. Using
49 pharmacological agents with relatively high selectivity for either dopamine (400mg
50 amisulpride) or noradrenaline (40mg propranolol) we examined their specific contribution to
51 incidental memory. In a double-blind placebo-controlled human study (30 females, 30 males
52 in total), we show that a memory selectivity bias was insensitive to propranolol but sensitive
53 to amisulpride, consistent with a dominant influence from dopamine. By contrast, a putative
54 arousal-induced memory boosting effect was insensitive to amisulpride but was sensitive to
55 propranolol, consistent with a dominant noradrenaline effect. Thus, our findings highlight
56 specific functional roles for dopamine and noradrenaline neurotransmission in the expression
57 of incidental memory.

58

59 **Significance Statement**

60 Why some information is preferentially encoded into memory while other information
61 is not is a central question in cognitive neuroscience. The neurotransmitters dopamine and
62 noradrenaline are often assumed critical in influencing this selectivity, but their specific
63 contributions remain obscure. In this double-blind, placebo-controlled, between-subjects drug
64 study, we investigate the contributions of noradrenaline and dopamine to episodic memory.
65 Using an incidental memory task, we find that blocking dopamine (400mg amisulpride)
66 eliminates a neural-gain related memory selectivity bias. Blocking noradrenaline function
67 (40mg propranolol), in contrast, abolishes an arousal-related memory enhancement. In this

68 assessment of dopamine and noradrenaline neuromodulatory effects we reveal their specific
69 contributions to episodic memory.

70 **Introduction**

71 We encode many everyday experiences effortlessly into memory while others are
72 subject to rapid forgetting. The determinants of what is stored, and what is lost, have been of
73 interest to memory researchers for decades (McGaugh, 2000). The action of the
74 neurotransmitters dopamine and noradrenaline are considered important in shaping whether,
75 or not, an experience is consolidated as an enduring episodic memory trace (Strange et al.,
76 2003; Shohamy and Adcock, 2010; Dunsmoor et al., 2015; Eldar et al., 2016b; Kempadoo et
77 al., 2016; Takeuchi et al., 2016; de Quervain et al., 2017; Hämmerer et al., 2018).

78 Both dopamine and noradrenaline modulate hippocampal function, as well as that of
79 other memory-related brain areas, via direct projections from ventral tegmental
80 area/substantia nigra (SN/VTA) and locus coeruleus respectively. A more complex picture is
81 hinted at by recent reports which suggest that hippocampal dopamine arises not only from
82 SN/VTA inputs, but also from locus coeruleus inputs, with the latter being critical for
83 episodic memory (McNamara et al., 2014; Kempadoo et al., 2016; Takeuchi et al., 2016).

84 A key role for both dopamine and noradrenaline is to signal the relevance of an event,
85 including its novelty, salience or reward value (Strange et al., 2003; Shohamy and Adcock,
86 2010; Takeuchi et al., 2016; de Quervain et al., 2017). Experiences linked to such signals
87 enhance subsequent memory performance. We previously showed that incidental memory
88 can be boosted via emotional arousal, and this effect is influenced by noradrenaline (Strange
89 et al., 2003). Others report similar effects that are dependent on the action of dopamine (e.g.,
90 Takeuchi et al., 2016).

91 One mechanism through which these neuromodulators might act is via an
92 enhancement of neural gain (Servan-Schreiber et al., 1990; Aston-Jones and Cohen, 2005;
93 Eldar et al., 2013). Neural gain characterises how signals are processed and transformed

94 within neurons and neural populations (Servan-Schreiber et al., 1990; Eldar et al., 2013;
95 Mather et al., 2015; Eldar et al., 2016b; Hauser et al., 2016). Under high neural gain, stronger
96 input signals are enhanced and weaker inputs are suppressed (Fig. 1d). Under low neural gain
97 all inputs are processed in a more egalitarian manner. Thus, a consequence of high neural
98 gain is that salient signals alone prevail, while with low neural gain input stimuli are have a
99 more holistic impact (Eldar et al., 2016b, 2016a). Importantly, both dopamine and
100 noradrenaline are known to modulate neural gain (Servan-Schreiber et al., 1990; Hauser et
101 al., 2016).

102 Recently, neural gain has emerged as a mechanism of particular relevance to episodic
103 memory formation (Eldar et al., 2016b). We previously demonstrated that subjects with high
104 neural gain (inferred from pupillometry) preferentially encode stimulus dimensions critical
105 for a cover task, while they ignore non-relevant stimulus features resulting in decreased
106 recognition performance for such task-irrelevant stimulus dimensions (i.e. a memory
107 selectivity bias). By contrast, subjects with low neural gain do not express any selectivity bias
108 (Eldar et al., 2016b). In agreement with this, other studies show that arousal induction
109 enhances memory for salient, goal-relevant, stimuli while impairing memory for other stimuli
110 (Mather and Sutherland, 2011; Lee et al., 2015).

111 Here, in a memory task that probes recognition memory 20 minutes after an incidental
112 word learning phase, we investigated the effects of catecholamine neuromodulation on neural
113 gain and arousal. In a double-blind, placebo-controlled, between-subjects design we assessed
114 the effects of drugs with relatively high affinity and specificity for either dopamine or
115 noradrenaline. We found a double-dissociation evident in dopamine blockade eliminating a
116 neural gain-related memory selectivity bias, while noradrenaline blockade attenuated an
117 arousal-induced memory boost.

118 **Materials and Methods**119 *Experimental design & drugs*

120 We used a double-blind, placebo-controlled, between-subjects study design to assess
121 the effects of dopamine and noradrenaline on incidental memory encoding. We selected
122 agents with a high affinity and selectivity for either noradrenaline or dopamine. For
123 noradrenaline blockade we used 40mg of propranolol (beta-adrenoceptor antagonist), a
124 manipulation found previously to impact memory performance (e.g. Strange et al., 2003). For
125 dopamine blockade we used 400mg amisulpride (D2/D3 receptor antagonist), a dose known
126 to impact on neurocognitive functioning (e.g., Kahnt et al., 2015; Kahnt and Tobler, 2017;
127 Burke et al., 2018), opting for a D2/D3 receptor antagonist as there are no selective D1R
128 antagonists available for human administration.

129 Due to distinct pharmacokinetics, and to conform with previously used drug
130 protocols (Silver et al., 2004; Gibbs et al., 2007; De Martino et al., 2008; Kahnt et al., 2015;
131 Hauser et al., 2017, 2018; Kahnt and Tobler, 2017), we administered these drugs at two
132 separate time points (Fig. 1a). The amisulpride group received active drug 90 minutes prior to
133 task onset, and a placebo 30 minutes after the first drug. The propranolol group first received
134 placebo and subsequently the active drug. The placebo group received a placebo at both time
135 points. The drugs were administered by a member of the research team (other than the
136 experimenter), who was present while subjects imbibed the drugs.

137 To assess efficacy of pharmacological effects, we measured heart rate before drug
138 administration and at task onset close in time to expected peak effect. We found that heart
139 rate decreased in all groups ($F(1,57)=221.06$, $p<.001$), but the decrease was strongest in the
140 propranolol group (time-by-drug interaction $F(2,57)=4.18$, $p=.020$; vs placebo: $t(38)=2.57$,

141 $p=.014$; vs amisulpride: $t(38)=2.37$, $p=.023$), in line with expected physiological effects of
142 propranolol (e.g., Koudas et al., 2009).

143

144 *Subjects*

145 Sixty subjects were randomly assigned to one of three drug groups, assuring gender
146 balance in all groups (10 females per group). Subjects were recruited from local subject pools
147 and met the following inclusion criteria: absence of a history of neurological/psychiatric
148 disorder, cardiac or other current health problems, medication use (except contraceptives), or
149 known drug allergies. The groups were matched (Table 1) in terms of age, intellectual
150 abilities (Wechsler, 1999), and mood at task onset (PANAS) (Watson et al., 1988). Data from
151 different tasks performed on the same subjects have been reported previously (Hauser et al.,
152 2017, 2018). The study was approved by UCL research ethics and all subjects provided
153 written informed consent.

154

155 *Incidental memory task*

156 To probe incidental memory we adapted a task used in a previous study (Eldar et al.,
157 2016b). This task design enabled us to assess two aspects of incidental memory encoding that
158 we hypothesized would be affected by catecholamine functioning. Firstly, we probed the role
159 of both agents on putative neural gain-related memory effects, motivated by a previous
160 finding that neural gain (as measured by pupil size) directly influences a selectivity in
161 recognition performance when task-relevant features are altered (Eldar et al., 2016b). In an
162 incidental learning phase, subjects were tasked to assess the readability of common words
163 (details about the word stimuli, cf (Eldar et al., 2016b)) presented in uncommon fonts (Old
164 English MT or Matura MT Script) on a scale of 1-4 (plus an additional key for unreadable

165 words, which were subsequently excluded). Words were shown for 2000ms and ratings were
166 self-paced. We did not mention that subjects would later be probed on these words by means
167 of a memory task. This entails that word semantics were irrelevant to the initial encoding
168 task, and thus less likely to be processed under high gain (cf. Fig. 1d; cf (Eldar et al., 2016b)).
169 We presented 104 words (medium to high frequency of 5 letter length, randomly assigned to
170 condition) during a learning phase across 4 blocks, where the first and last four presented
171 words of each block were discarded subsequently so as to avoid primacy/recency effects (cf.
172 Eldar et al., 2016b).

173 Drug groups did not differ in how they performed this cover task. There was no
174 difference in mean readability judgements ($F(2,57)=1.51, p=.229$), number of items labelled
175 as non-readable ($F(2,57)=.51, p=.601$), or reaction times for the readability judgement
176 ($F(2,57)=.960, p=.389$).

177 Following a 20 minute break, during which subjects performed an unrelated
178 perceptual metacognition task which had no memory component (random dots paradigm) or
179 reward (Hauser et al., 2017), we conducted a memory recognition test (Fig. 1c) wherein
180 subjects were asked whether they had seen the word in the first phase. The 72 originally
181 presented words were complemented with 72 new words. Importantly, half of the original
182 words were presented in a different font during the memory retrieval phase (switch font
183 condition). This manipulation has been shown to substantially decrease performance for
184 subjects with high, but not low, neural gain (Eldar et al., 2016b), because word semantics are
185 only tangentially relevant to the original encoding task. The relatively short time between an
186 incidental learning phase and a recognition test phase means that the drug treatments could
187 affect both phases, rendering it challenging to apportion specific effects to either phase of the
188 experiment.

189 A second aim was to assess an impact of catecholamine blockade on arousal-induced
190 memory biases. To this end, we randomly rewarded 25% of all trials in the first encoding
191 phase with £0.50. Reward was shown (for 1000ms) immediately after stimulus presentation
192 and before the readability rating (Fig. 1b). Subjects were instructed that this random lottery
193 was entirely independent of their performance. To determine whether reward influenced
194 subsequent episodic memory we employed two distinct tasks. First, we assessed whether
195 word recognition improved following receipt of reward. Second, we added a source memory
196 task (Davachi et al., 2003; Gold et al., 2006; Kensinger and Schacter, 2006) in a final phase
197 by presenting participants with two previously presented words and tasked them to select the
198 word previously associated with reward (stimulus pairs consisted one rewarded and one
199 unrewarded word).

200 To replicate a previously reported association between pupil response and font
201 switching effects, we constructed the stimuli so that the foreground colour (blue) was
202 matched with the background (gray) in terms of luminosity. Moreover, we employed a long
203 inter-trial interval (4000 – 6000ms) between the word presentations during the initial learning
204 phase to allow pupil size to return to baseline. After the memory recognition test, subjects
205 performed two additional, unrelated tasks (modified exploration task; Wilson et al., 2014; and
206 an information gathering task; Hauser et al., 2018).

207

208 *Statistical analyses of behaviour*

209 We assessed two distinct aspects that we hypothesized would be influenced by
210 dopamine and noradrenaline: a font-switching induced memory selectivity biases, and an
211 arousal-induced memory boosting by reward. To assess the first, neural gain-related
212 hypothesis, we compared performance differences for words presented in the same vs a

213 different font during a recognition memory task. For the font-switching analysis, we focused
214 on non-rewarded stimuli so as to avoid confounding interactions from the reward
215 manipulation.

216 We used repeated-measures ANOVAs to assess drug effects, and then used planned
217 paired/independent-sample t-tests to examine which drug differed from placebo (i.e. placebo
218 vs propranolol, placebo vs amisulpride). Behavioural results are reported using Bonferroni
219 correction for multiple comparisons.

220 To assess the effect of reward-induced memory biases, we compared word
221 recognition performance (i.e. hit rates) between previously rewarded and unrewarded stimuli.
222 In this analysis, we focused on stimuli that did not change font between training and testing
223 phase so as to avoid potential confounds due to interactions with the font switching condition.
224 In the source memory task, we assessed whether participants were able to correctly identify
225 the previously rewarded word, and whether they performed above chance.

226 As our outcome measure, we focused on hit rates rather than signal detection theory-
227 based measures, such as d' . We did so to ensure consistency with our previously reported
228 analyses (Eldar et al., 2016b). Moreover, for several subjects d' was not computable for
229 certain conditions, because performance was either at ceiling or floor (which renders the
230 computation of d' prime impossible). However, when approximating d' using near-floor and
231 near-ceiling substitute values, we found similar results as in our hit rate analyses. This
232 suggests that the drugs act primarily on the sensitivity and not on a memory recognition bias.

233

234 *Pupil analyses*

235 To examine a link between font switching and pupil response, we computed a metric
236 of pupil responsivity for each subject as in our previous analysis (Eldar et al., 2016b). We

237 used a Eyelink 1000 eye tracking device (SR research) with a recording frequency of
238 1000Hz. Triggers were sent using PsychToolbox, and data was preprocessed and analysed
239 using FieldTrip (Oostenveld et al., 2011; cf. Allen et al., 2016). Based on the assumption that
240 small pupillary responses indicate higher locus coeruleus / noradrenaline functioning (Aston-
241 Jones and Cohen, 2005; Eldar et al., 2016b), we computed pupil response as the average peak
242 of the stimulus-induced pupil dilation (1-4 seconds post stimulus onset) relative to baseline
243 pupil size. To reach a similar sample size as previously, we pooled all subjects (cf results).

244 To assess whether our reward manipulation induced arousal, we further analysed the
245 outcome-evoked (reward vs non-reward) pupil responses between 0 and 4 seconds after
246 outcome presentation. For both analyses, we linearly interpolated blinks and lowpass filtered
247 the data (30Hz). We then baseline-corrected the outcome-evoked responses using the 2
248 seconds prior to outcome onset and computed the difference in pupil response between the
249 two conditions (reward – no reward). To assess significance, we applied a $p < .05$ cluster-
250 based significance using permutation tests (height threshold $t=1.5$, 500 permutations, cf
251 (Hunt et al., 2013; Hauser et al., 2015)).

252

253 **Results**254 *Pupil responses reflects gain-related memory selectivity bias*

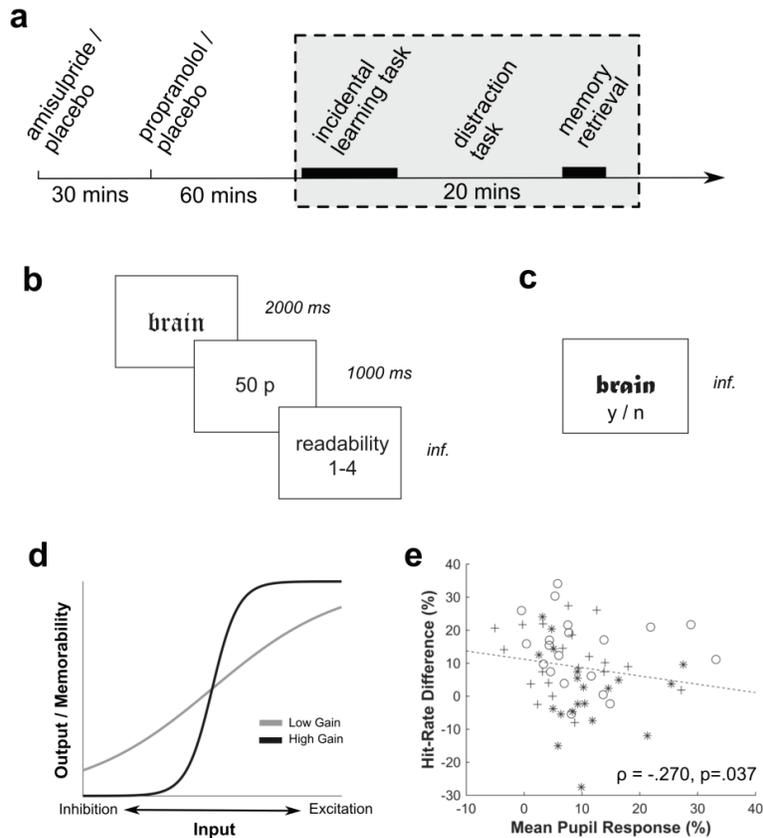
255 Neuroimaging and behavioural evidence suggests that pupil responses are useful
256 indices of neural gain (Gilzenrat et al., 2010; Jepma and Nieuwenhuis, 2011; Eldar et al.,
257 2013, 2016b, 2016a; Warren et al., 2016). Before assessing the causal role of dopamine and
258 noradrenaline we first replicated the previous finding that subjects with indices of high gain
259 (smaller stimulus-evoked pupil responses during the learning phase) show a stronger memory
260 selectivity effect (i.e. worse performance in the ‘switch font’ condition) compared to subjects
261 with indices of low gain (i.e. larger stimulus-evoked pupil response) using our previously
262 established incidental memory paradigm (Eldar et al., 2016b). Specifically, we found a
263 significant negative correlation (across all drug groups: $\rho=-.270$, $p=.037$; Fig. 1e), such that
264 subjects with a low pupil response (i.e. high neural gain) show a stronger font switching
265 effect, thus replicating our previous findings (Eldar et al., 2016b).

266 There was no difference in pupil response between the groups ($F(2,59)=1.06$, $p=.352$;
267 placebo: $10.1\%\pm 8.9$; propranolol: $7.4\%\pm 7.6$; amisulpride: $10.8\%\pm 7.0$; placebo vs
268 propranolol: $t(38)=1.04$, $p=.305$; placebo vs amisulpride: $t(38)=-.29$, $p=.775$; propranolol vs
269 amisulpride: $t(38)=1.49$, $p=.144$). This is in line with a previous report that also did not find
270 an effect of propranolol on pupil responses (Koudas et al., 2009). Correlations within each
271 group were in the same direction as an overall group effect, but did not reach significance
272 (possibly due to the smallish sample sizes; placebo: $\rho=-.191$, $p=.418$; propranolol: $\rho=-.117$,
273 $p=.624$; amisulpride: $\rho=-.287$, $p=.219$). These correlations did not differ between groups
274 (placebo vs propranolol: $p=.802$; placebo vs amisulpride: $p=.760$; propranolol vs
275 amisulpride: $p=.536$, using permutation tests).

276 Lastly, a previous report found a decrease in mean pupil size after amisulpride
 277 administration (Samuels et al., 2006). To assess this, we averaged the pupil size across the
 278 entire trial and compared mean pupil diameter across drug groups. We found the amisulpride
 279 group had a smaller average pupil size compared to the propranolol and placebo groups
 280 ($F(2,57)=5.591$, $p=.006$; vs placebo: $t(38)=1.79$, $p=.081$, vs. placebo: $t(38)=3.11$, $p=.004$),
 281 replicating a previously reported effect of amisulpride.

282

283



284

285 **Figure 1.** Neural gain during incidental episodic memory. (a) To assess specific effects of dopamine
 286 and noradrenaline, we administered either amisulpride or propranolol prior to an incidental learning
 287 task in a placebo-controlled design. Subjects were probed with a recognition task (c) approximately
 288 20 minutes after performing an incidental learning task (b). (b) Incidental learning phase: subjects
 289 rated readability of common words, presented in two different fonts. 25% of the words were randomly

290 rewarded £0.50 to boost arousal (“50 p” or “00 p” feedback after word presentation). (c) Memory
291 recognition test: Subjects were asked to indicate whether a word has been shown during the first
292 phase. Half of the words were presented in a different font compared to the original presentation
293 (‘switch font’ condition). (d) Predictions of neural gain. Neural gain is assumed to modulate how
294 information is processed along neural populations. Under high neural gain (black), relevant features
295 (such as the word shape in our experiment) are prioritised and their representation strengthened while
296 unimportant features (here: word meaning) will be suppressed. Under low neural gain (gray), both
297 relevant and negligible features are represented increasing the likelihood that both word shape and
298 semantics will be stored in memory. (e) Pupil response indicates neural gain effects. Across all
299 groups, we replicate our previous finding that pupil response during learning (as indirect indicator of
300 neural gain) is linked to memory performance. Subjects with low pupil response (indicating high gain)
301 show a stronger memory selectivity bias with a worse performance after a font switch (as compared to
302 a presentation in the same font; measured by hit rate). Subjects with larger phasic pupil response
303 (indicating low gain) show less memory bias between same and switch font condition. Shaded area in
304 (a): time period of likely drug effect. inf.: unlimited response time; o: placebo, +: propranolol, *:
305 amisulpride.

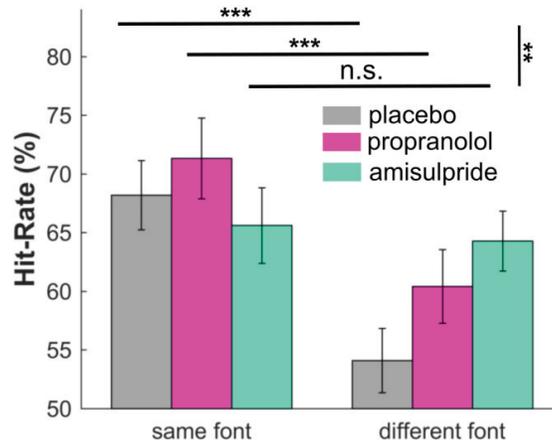
306

307 *Dopamine blockade abolishes memory selectivity bias*

308 To assess whether dopamine or noradrenaline influences a font-switch induced
309 decrease in recognition performance (selectivity bias), we compared hit rate in both font
310 conditions between the three drug groups. We found a consistent font-switch bias across all
311 groups (repeated-measures ANOVA main effect of switch: $F(1,57)=39.45$, $p<.001$; Fig. 2),
312 meaning that subjects performed generally worse when words were presented in a different
313 font. However, this effect differed between drug groups (drug-by-font interaction:
314 $F(2,57)=7.54$, $p=.001$, for non-rewarded trials alone; effect when including rewarded trials:
315 $F(2,57)=3.404$, $p=.040$; same effects were found when using false alarms as covariate).

316 Subsequent planned comparisons showed the memory selectivity effect is present in
317 the placebo ($t(19)=6.01$, $p<.001$) and propranolol groups ($t(19)=5.03$, $p<.001$), but is absent
318 in the amisulpride group ($t(19)=-.49$, $p=.630$). Direct comparison confirmed that the memory
319 selectivity effect is significantly less strong in the amisulpride than in placebo ($t(38)=3.56$,
320 $p=.002$ corrected for multiple comparisons). We note that the drugs did not impact the
321 general level of performance (main effect of group: $F(2,57)=.82$, $p=.447$), or number of false
322 alarms ($F(2,57)=.27$, $p=.763$). There was no effect also on reaction times during the test phase

323 ($F(2,27)=1.06$, $p=.353$). This means that blocking dopamine leads to a depletion of the
 324 selectivity bias in the absence of any impact on overall performance, suggesting that
 325 dopamine, but not (beta-adrenoceptor related) noradrenaline has a causal influence on this
 326 gain-linked bias.



327

328 **Figure 2.** Blocking dopamine functioning reduces memory selectivity effect. Subjects generally show
 329 decreased recognition memory performance when words are probed in a different compared to the
 330 original font. However, this effect is only present in subjects under placebo and noradrenaline
 331 blockade (propranolol). Blocking of dopamine functioning (amisulpride) abolished the font switching
 332 effect, without impairing overall recognition performance. The findings indicate that this, neural gain-
 333 related memory selectivity bias is sensitive to dopamine but not noradrenaline function. ***: $p \leq .001$;
 334 **: $p < .01$; n.s.: $p > .10$.

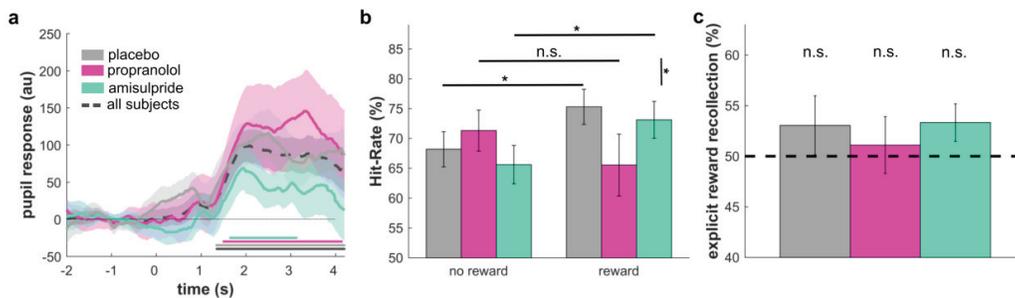
335

336 *Noradrenaline blockade reduces implicit arousal-induced memory boost*

337 To investigate the role of dopamine and noradrenaline in an arousal-related boosting
 338 of episodic memory, we randomly rewarded 25% of all stimuli with £0.50 (Fig. 1b). Subjects
 339 were told that a random lottery determined whether each stimulus was rewarded and that
 340 these accumulated rewards would be added to subjects' reimbursement. We analysed pupil
 341 dilation subsequent to reward presentation and found larger pupil dilation in all groups
 342 following reward compared to non-reward trials, 2-3 seconds after outcome onset (Fig. 3a).
 343 This supports an assumption that rewards modulated arousal (Allen et al., 2016).

344 We next investigated how this arousal manipulation influenced memory performance.
 345 We found enhanced recognition performance in some (Fig. 3b; reward-by-drug interaction
 346 $F(2,57)=4.41, p=.017$, only same-font trials were analysed; when including switch-font trials:
 347 $F(2,57)=4.527, p=.015$), but not all groups (main effect of reward: $F(1,57)=2.02, p=.161$;
 348 same effects were found when using false alarms as covariate). Subsequent analyses showed
 349 that words paired with a surprising reward had improved recognition performance in both
 350 placebo ($t(19)=2.45, p=.024$) and amisulpride groups ($t(19)=2.19, p=.041$). However,
 351 propranolol eliminated this arousal-related effect ($t(19)=-1.34, p=.197$). This boosting effect
 352 of arousal on memory performance was significantly attenuated in the propranolol compared
 353 to placebo group ($t(38)=2.48, p=.036$ corrected for multiple comparisons). This means an
 354 arousal-induced memory recognition boost has a greater reliance on noradrenaline, but not
 355 D2/D3-related dopamine function.

356



357

358 **Figure 3.** Implicit arousal-related memory boost eliminated by noradrenaline blockade. (a) Rare
 359 performance-independent rewards led to increased arousal as measured by a larger pupil dilation after
 360 rewarded (compared to non-rewarded) trials. The effect arose around 2 seconds after reward
 361 presentation in all groups (horizontal lines: cluster-level significant group effects $p<.05$ using
 362 permutation tests). (b) The arousal-related rewards immediately following word presentation during
 363 incidental memory phase led to improved subsequent recognition. This effect was present both after
 364 placebo and dopamine blockade, but not after noradrenaline blockade. (c) The arousal-induced
 365 memory boost was not explicit. When subjects were asked to explicitly indicate which words were
 366 rewarded (source memory task), they did not perform above chance (dashed line) and the groups did
 367 not differ in their performance. Our findings suggest that the implicit arousal-induced memory boost
 368 primarily depends on beta-adrenoceptor functioning. n.s.: $p>.05$; *: $p<.05$.

369

370 Lastly, we assessed whether our reward manipulation also influenced subjects'
371 episodic source memory. We thus employed a source memory task (Davachi et al., 2003;
372 Gold et al., 2006; Kensinger and Schacter, 2006) by presenting subjects with two previously
373 presented words (one rewarded, one unrewarded) and asked them to indicate which of the
374 two were linked to receipt of reward. None of the groups performed above chance (Fig. 3c;
375 placebo: $t(19)=1.05$, $p=.308$; propranolol: $t(19)=.395$, $p=.697$; amisulpride: $t(19)=1.79$,
376 $p=.090$), and the groups did not differ significantly from each other ($F(2,59)=.221$, $p=.802$).
377 This means that although rewards had a significant effect on memory recognition, subjects
378 had no source memory for this effect.

379

380

381

382 **Discussion**

383 The role of dopamine and noradrenaline as modulators of episodic memory has
384 received much attention (Smith and Greene, 2012; Kempadoo et al., 2016; Takeuchi et al.,
385 2016; McNamara and Dupret, 2017). Here, we show both neuromodulators influence
386 episodic memory, and do so via distinct mechanisms.

387 We show that changing stimulus features, such as the font of a word, impairs word
388 recognition 20 minutes after encoding and that the magnitude of this effect correlates with
389 putative pupillometric indices of neural gain. However, this memory selectivity effect is
390 abolished by manipulating dopamine function, but not noradrenaline function. This is of
391 importance because pupil measures have traditionally been associated with noradrenaline
392 rather than dopamine function (Joshi et al., 2016; Reimer et al., 2016; de Gee et al., 2017;
393 Gelbard-Sagiv et al., 2018). Our results question this premise and point to a memory
394 selectivity effect as preferentially dopamine driven. One way to interpret this result is to infer
395 that neural gain is modulated not only by noradrenaline but also by dopamine. This has been
396 proposed in for cognitive domains other than memory (Servan-Schreiber et al., 1990;
397 Durstewitz and Seamans, 2008; Hauser et al., 2016), and is consistent with the observed
398 effect of amisulpride on pupil diameter in our study. However, given existing uncertainties
399 about the precise relationship between dopamine, pupil size and neural gain, it remains
400 possible that amisulpride exerts its effect in a non-neural-gain dependent manner.

401 Our results chime with recent reports that propose the presence of catecholamine
402 pluripotent neurons. These locus coeruleus neurons are considered to release not only
403 noradrenaline but also dopamine, exerting an impact on hippocampal function during
404 memory consolidation (Kempadoo et al., 2016; Takeuchi et al., 2016). This suggests locus
405 coeruleus activity might mediate increased memory selectivity (alongside the altered pupil
406 responses), via effects of released dopamine. Thus dopamine might serve as a priority

407 enhancer to promote encoding of stimulus-relevant features, and attenuate encoding of
408 peripheral stimulus-irrelevant dimensions.

409 A key finding was our observation that noradrenaline mediates an arousal-induced
410 memory boost. Post stimulus presentation arousal, induced by a small, rare, reward led to
411 improved subsequent recognition performance. This accords with previous studies
412 demonstrating a memory boosting effect of arousing events, including that engendered by
413 reward delivery (for review cf. (McGaugh, 2000)). One possibility is that such a surprising
414 event elicits a surprise prediction error in a fronto-parietal network (e.g., Hauser et al., 2014)
415 that in turn enhances stimulus encoding. However, our findings remain inconclusive as to
416 whether this effect was driven by surprise (i.e. infrequent events) or by the rewarding nature
417 of the stimuli, since reward delivery in our experiment is likely to elicit both a surprise
418 prediction error and a reward prediction error. This question can be addressed in subsequent
419 studies by use of non-rewarding rare stimuli, or by adding infrequent punishments.

420 We show that an arousal-induced performance boosting effect is specific to
421 noradrenaline, and is insensitive to changes in dopamine D2/D3 functioning. The absence of
422 an amisulpride effect is suggestive of an effect mediated via surprise, rather than a reward-
423 related signal. This is in keeping with previous findings that reward-induced memory effects
424 via long-term potentiation can be blocked by propranolol (Seidenbecher et al., 1997).
425 Alternatively the memory effect of reward in our experiment might be driven by D1 primarily
426 rather than by D2/D3 receptor activity, as is the case for other forms of memory (e.g., Müller
427 et al., 1998).

428 Our findings emphasise caution against a strong inference on neurotransmitter
429 function purely based on indirect measures alone, such as pupil response. We found no effect
430 of propranolol on pupil response, in line with a previous report (Koudas et al., 2009). This
431 suggests pupil responses might be primarily sensitive to alpha-adrenoceptor influences and

432 less sensitive to beta-adrenoceptor disruption (Koudas et al., 2009; Gelbard-Sagiv et al.,
433 2018). We also did not find altered task-induced pupil responses after amisulpride, suggesting
434 that a previous finding of increased light-induced pupil responses (Samuels et al., 2006) is
435 distinct from an amisulpride effect on cognitive processes. However, in line with this
436 previous report (Samuels et al., 2006), we found amisulpride influenced overall pupil size.
437 Our results thus suggest that although propranolol and amisulpride modulate aspects of
438 cognition, these effects can occur without directly affecting peripheral measures such as pupil
439 response.

440 Multiple distinct processes contribute to the expression of episodic memory, and these
441 processes are subject to the influence of different neuromodulatory systems. Our double-
442 dissociation between noradrenaline and dopamine highlights the importance of targeted drug
443 protocols that use drugs with a high specificity and allow a head-to-head comparison of
444 different neurotransmitters. However, the current study design does not allow us to dissociate
445 whether our drug manipulation primarily affected encoding or retrieval processes. Previous
446 studies suggest that neurotransmitters, such as noradrenaline or cortisol might differently
447 affect these phases (for a review cf de Quervain et al., 2017). An extended time lap between
448 encoding and retrieval would be needed to enable an apportioning of the specific drug effects
449 to distinct phases. A further caveat is the unavailability of drugs that allow to specifically
450 target D1 receptors in humans, which renders it difficult to examine the precise D1
451 contribution to higher-order memory processes.

452 In conclusion we show that both dopamine and noradrenaline contribute to incidental
453 episodic memory, but have a different role altering specific memory biases. Our findings can
454 thus help understand how potential pluripotent catecholamine neurons affect episodic
455 memory in humans (Smith and Greene, 2012; Kempadoo et al., 2016; Takeuchi et al., 2016;
456 McNamara and Dupret, 2017).

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- 599

600 **Table 1.** Characteristics of drug groups. The drug groups did not differ in age, mood (PANAS) or
601 intellectual abilities (WASI score based on subtests matrix reasoning and vocabulary). mean±SD.

	placebo	propranolol	amisulpride	
age	24.50±4.16	23.15±4.31	22.35±2.21	F(2,57)=1.74 , p=.185
IQ	112.45±12.22	118.75±8.55	114.60±11.77	F(2,57)=1.70 , p=.191
positive affect	29.22±10.47	27.15±7.75	27.80±8.12	F(2,57)=.286 , p=.752
negative affect	11.45±2.37	11.95±4.87	11.25±1.92	F(2,57)=.236 , p=.790

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