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# The Roles of Non-Cognitive and Cognitive Skills in the Life Course Development of Adult Health Inequalities

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Development of Adult Health Inequalities

ACCEPTED MANUSCRIPT

13 Abstract

14 Previous research has suggested that greater cognitive skill is protective against the  
15 development of socioeconomic health inequalities across the life course, but the relative role  
16 of non-cognitive skills has been less investigated in this context. Using the prospective UK  
17 1958 National Child Development Study (N=18,558), higher factor scores for adolescent  
18 non-cognitive skills (NCS; i.e. a combination of work habits and pro-social behaviours) and  
19 mean cognitive skill (CS) at age 16 were examined in relation to socioeconomic status (SES)  
20 across the life course (at ages 16, 33 and 50) and poor self-reported health at age 50 with a  
21 path analysis model. Adjusting for adolescent NCS explained over a third of the association  
22 between education and health, but the path between social class at age 50 and health was  
23 unaffected. Adjustment for CS explained larger proportions of the paths to adult health  
24 inequalities; and paths between CS and SES across the life course were stronger than the  
25 same paths with NCS. However, NCS was still independently associated with paths to later  
26 health inequalities in fully adjusted models, and both types of skill had equivalent inverse  
27 direct effects with poor health (OR: 0.82 [95% CI 0.73,0.93] vs 0.83 [0.72,0.96],  
28 respectively). Since NCS retained independent associations with SES and health across the  
29 life course, they could be a target for policies aimed at ameliorating the production of health  
30 inequalities for a wide range of children, regardless of their cognitive skill.

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33 **KEYWORDS:** UK; health inequalities; non-cognitive skills; cognitive skill; self-reported  
34 health; socioeconomic status; personality ; intelligence

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37 Introduction

38

39 A wide range of research has shown there are inequalities in general health by levels of  
40 socioeconomic status, but the debate continues around the mechanisms underlying these  
41 socioeconomic health inequalities (Kondo et al., 2009; Kunst et al., 2004; Power et al., 1998).  
42 Popular explanations for the production of health inequalities focus on the stratification of  
43 material resources at individual and societal levels (Lynch et al., 2000; Smith et al., 1994),  
44 social patterns of healthy lifestyles, and the impact of psychosocial mechanisms such as  
45 increased exposure to stress and psychological distress (Adler & Snibbe, 2003; Marmot,  
46 2004). Some researchers have suggested that child cognitive ability may have an important  
47 role in the production of health inequalities due to its robust association with adult  
48 socioeconomic attainment, physical health, mental health and mortality (Deary et al., 2008;  
49 Gottfredson, 2004; Hatch et al., 2007b). One meta-analysis reported that cognitive skill had  
50 correlations in the range of 0.40-0.55 with education and occupational attainment, with other  
51 research suggesting that intelligence might explain between 20-50% of the socioeconomic  
52 gradient in health (Mackenbach, 2010; Strenze, 2007).

53 However, cognitive skill alone is unlikely to explain inequalities in health,  
54 particularly as research has shown that educational attainment still independently predicts  
55 later health even taking account of cognitive ability (Hatch et al., 2007b; Link et al., 2008;  
56 Richards & Deary, 2010). Furthermore, emphasising the importance of cognitive skill for  
57 education, work and health may seem disheartening for individuals with lower than average  
58 cognitive skill, leading to disengagement particularly in school. As such, the field of health  
59 inequality research needs to elucidate targets for interventions that are applicable to a wider  
60 range of individuals. Recent years have seen an increased focus on the potential of non-

61 cognitive skills (NCS) to impact similar socioeconomic trajectories as cognitive skill,  
62 suggesting these skills may be linked to socioeconomic inequalities in health as well.

63

64 What are Non-Cognitive Skills (NCS)?

65

66 A review by the sociologist Farkas (2003) described NCS as a set of behaviours and traits  
67 that accrued rewards in the labour market but were distinct from traditional cognitive skills  
68 like literacy and numeracy. Farkas (2003) specifically described NCS in a review as:

69 What characteristics do employers want in their workers? ...They are the same  
70 characteristics that teachers want in their students. [Besides cognitive skill] they also  
71 include work habits that facilitate efficient individual and organisational functioning.  
72 ...At all skill levels, they include appropriate focus on the task at hand, combined  
73 with the habit of energetic and efficient work (p 541).

74

75

76 Farkas (2003) summarised that these traits included conscientious work habits (such as effort  
77 and task persistence) and positive psychosocial characteristics such as sociability and

78 obedience, but that they precluded antisocial behaviours like aggression and disruptiveness.

79 There is some overlap between NCS and aspects of other commonly studied personality traits

80 and attributes like self-control, executive function, self-regulation and conscientiousness,

81 although these attributes all lack the pro-social element of agreeableness that research has

82 highlighted receives additional rewards in education and work (Farkas, 2003; Witt et al.,

83 2002). Higher-order personality constructs like conscientiousness and agreeableness also

84 contain lower-order constructs that are not necessarily related to Farkas' (2003) review of the

85 conceptualisation of NCS, in the sense that they may not be important for success in

86 education and work (e.g. the facet of 'traditionalism' in conscientiousness) (Roberts et al.,

87 2005a). Second, one of the justifications for studying the importance of cognitive and non-

88 cognitive *skills* is that these characteristics, despite some temporal stability, are malleable

89 with training and experience. This is a key distinction from the heritable stability often

90 inferred from research on personality *traits* and intelligence (Algan, 2014; Hatch et al.,  
91 2007a; Heckman & Kautz, 2012; Roberts et al., 2006; Roberts et al., 2005b).

92

93 The Association of Non-Cognitive Skills with Health Inequalities

94

95 Economists began examining the importance of NCS in the labour market when  
96 researchers acknowledged that cognitive ability, in addition to family background  
97 characteristics, did not fully explain the variance in academic and occupational attainment  
98 (Bowles & Gintis, 1976; Jencks, 1979). Narrative reviews of the research on NCS by Bowles  
99 and Gintis (Bowles & Gintis, 1976; 2002) cited several studies where personality traits  
100 socialised in school were more important than cognitive skill for economic success; and one  
101 meta-analysis of 117 studies reported conscientiousness was the strongest trait related to job  
102 performance (Barrick & Mount, 1991). Numerous other studies have supported these findings  
103 by demonstrating that characteristics related to organisation, self-determination, persistence,  
104 positive social adjustment, antisocial behaviour, self-control and conscientiousness have  
105 predicted years of schooling and educational dropout (Carneiro et al., 2007; Coneus et al.,  
106 2009; Cunha et al., 2010; Fergusson & Horwood, 1998; Goldberg et al., 1998; Rumberger &  
107 Lim, 2008); college grade point average (Credé & Kuncel, 2008; Wolfe & Johnson, 1995);  
108 wages, unemployment, illicit drug use, incarceration (Carneiro et al., 2007; Heckman et al.,  
109 2006; Moffitt et al., 2011); and course grades and standardised test scores (Blair & Razza,  
110 2007; Duckworth & Seligman, 2005; Duncan et al., 2007; Farkas et al., 1990; Valiente et al.,  
111 2010).

112 Previous research suggests that NCS could therefore impact the production of health  
113 inequalities through their multifaceted relationship with socioeconomic attainment. A few  
114 studies that examined concepts related to NCS in relation to health inequalities reported that

115 approximately 20-25% of the gradient in all-cause mortality by education, occupation and  
116 income in mid-adulthood was explained by adjustment for these non-cognitive characteristics  
117 (Chapman et al., 2010; Falkstedt et al., 2013; Nabi et al., 2008). Importantly, the one study  
118 that compared cognitive and non-cognitive skills reported that the associations were of  
119 similar strength (Falkstedt et al., 2013). However, these studies on mortality were limited in  
120 that associations were not examined with other forms of morbidity, only socioeconomic  
121 attainment at one point in the life course was examined and there was insufficient  
122 examination of early life social and health factors that could confound the later associations  
123 (Chapman et al., 2010; Falkstedt et al., 2013; Nabi et al., 2008).

124 The current study aims to extend this research by using a life course approach (1) to  
125 examine the upstream association of NCS with downstream trajectories linking SES to  
126 general morbidity, and (2) to compare the strength and independence of the pathways linking  
127 NCS to health inequalities with the same pathways for cognitive skill. We anticipate that  
128 adolescent NCS will explain downstream associations relating SES to health, and that the  
129 effects of NCS will be comparable to those of cognitive skill.

130

## 131 Method

132

### 133 Data

134

135 Data came from the National Child Development Survey (NCDS), also known as the  
136 1958 British birth cohort. The NCDS began as the Perinatal Mortality Survey of all babies  
137 born in England, Wales and Scotland during the week of March 3rd-9th in 1958. Of 17,634  
138 eligible births, 17,415 were sampled (98.8%) at the delivery of the infant by midwives. The  
139 sample later added 1,141 immigrants born in the same week to the cohort, resulting in a total



140 sample of 18,558. Ongoing follow up over the last 50 years has collected information from  
141 mothers and their children on health, development, socioeconomic factors, parenting and  
142 cognitive function (Ferri et al., 2003; Power & Elliott, 2006).

143 The estimated response rate for the cohort declined over time from 98.8% at birth to  
144 80.4% of the eligible participants by age 50 (Bhamra et al., 2010; Plewis, 2004). Of the 9,790  
145 participants that completed the age 50 survey, 99.4% had information on the self-reported  
146 health outcome, which was 52.4% of the original sample.

147

148 Measurement

149

150 *Non-Cognitive Skills*

151

152 When the cohort members were 16 years of age, their teachers completed a modified  
153 version of the Rutter behavioural scale B (Rutter, 1967; NCDS, 1981) and six items  
154 pertaining to general behaviour and temperament. The cohort members at this age also  
155 completed an academic motivation scale assessing their attitudes towards school and  
156 schoolwork. Items from teacher and self-reports were selected for a confirmatory factor  
157 analysis of NCS if they corresponded to facets of NCS as reviewed by Farkas (2003).

158 Nine items from the teacher reports of behaviour were selected for inclusion in the  
159 factor analysis and were coded so that higher scores indicated more positive behaviour. Five  
160 items were chosen from the modified Rutter behaviour scale with responses of “does not  
161 apply/applies somewhat/certainly applies”: truanting in the past year; school absences for  
162 trivial reasons; frequent disobedience; being unresponsive, inert or apathetic; and being  
163 resentful or aggressive when corrected (Rutter, 1967; ; NCDS, 1981). Four items of  
164 behaviour ranking cohort members on a five point spectrum were also selected from teacher

165 reports: cautious to impulsive, flexible to rigid, sociable to withdrawn, and lazy to  
166 hardworking. The NCS factor from self-reported school attitudes included four Likert scale  
167 items (“school is a waste of time”, “homework is a bore”, “I never take work seriously” and  
168 “there is no point planning for the future”) and one binary item (truanted this year, 1=yes) .  
169 Factor analysis was conducted in Mplus version 7.1 (Muthén & Muthén, 2012) and factor  
170 scores were saved for analyses.

171

### 172 *Cognitive Skill*

173

174 Cognitive skill (CS) was indicated by continuous measures of the reading and  
175 mathematics comprehension tests constructed by the National Foundation for Educational  
176 Research in England and Wales for use in the NCDS at age 16. Mathematics and reading  
177 scores were strongly correlated ( $r=0.65$ ), and the mean of both scores was used to indicate  
178 overall skill. Mean scores were standardised to a mean of 0 and standard deviation of 1.

179

### 180 *Socioeconomic Status*

181

182 Socioeconomic status (SES) was coded at ages 16, 33 and 50. Either the mother’s  
183 husband’s current occupation (age 16) or the participants’ current occupation was used to  
184 indicate social class using the Registrar General’s classifications of I (professional), II  
185 (intermediate), IIINM (skilled non-manual), IIIM (skilled manual), IV (partly skilled) and V  
186 (unskilled). To decrease complexity in the life course models and since preliminary analyses  
187 suggested non-linear associations with NCS (data not shown), social class was dichotomised  
188 at all ages into manual or non-manual; education was likewise collapsed into those who  
189 stayed on after the minimum leaving age and those who did not (1=A level+ [i.e. high school

190 equivalency]) (Morgan et al., 2012; Power et al., 1998). The mother's social class was not  
191 assessed in adolescence, so mothers without partners were assigned a manual social class as  
192 both single mothers and fathers with manual occupations displayed similar associations with  
193 NCS and health outcomes.

194  
195 *Health*  
196

197 The outcome was self-reported health at age 50, which has been widely examined as  
198 an overall assessment of health status and morbidity due to its strong associations with  
199 physical functioning and mortality (Idler & Benyamini, 1997; Manor et al., 2001).  
200 Participants were asked to provide a general health assessment ("In general, how would you  
201 say your health is?") on a five point scale ranging from poor, fair, good, very good to  
202 excellent. Responses were dichotomised to indicate the presence of fair/poor health (18.5%,  
203 N=1,796).

204  
205 *Life Course Covariates*  
206

207 Several covariates were controlled to isolate the life course trajectories from risk that  
208 may confound the development of NCS and later SES or health (Barker, 1998; Hatch, 2005;  
209 Huaqing Qi & Kaiser, 2003; Mensah & Hobcraft, 2008; Richards & Hatch, 2011). Measures  
210 at birth assessed gender (1=male), birth weight, parity, maternal age at delivery, any smoking  
211 during pregnancy (1=yes) and maternal marital status (1=married). Binary items measured  
212 concurrently with NCS at age 16 indicated if the child had ever been in local authority care,  
213 had a disability and if they had missed more than a month of school for ill health in the last  
214 year.

215

216 Statistical Analysis

217

218 Univariable associations were first examined between NCS, SES and health by linear  
 219 and logistic regressions for each point in the life course. A life course model with progressive  
 220 adjustment then examined if the adult social gradient in health at age 50 was explained by the  
 221 earlier, upstream variables of SES, NCS and CS. This was done using path analysis  
 222 (employing a logit link to provide point estimates in terms of odds ratios), a type of  
 223 multivariate structural equation modelling that estimates the population covariance matrix by  
 224 modelling the structural pathways between observed (instead of latent or factor) covariates.  
 225 In the first model, adult health inequalities were estimated by regressing self-reported health  
 226 at age 50 on SES at ages 33 and 50. Adolescent NCS were added in Model 2 to examine their  
 227 impact on downstream health inequalities. Adolescent CS was then added (Model 3),  
 228 followed by adolescent SES (Model 4), before adjusting for the remaining life course  
 229 covariates in Model 5. All models were adjusted for gender, and changes in effect sizes (as %  
 230 change) with the addition of upstream exposures were calculated using the following  
 231 equation:

$$232 \quad [(\text{Logit}_{\text{model 1}} - \text{Logit}_{\text{model 1+upstream predictor}}) / (\text{Logit}_{\text{model 1}})] * 100$$

233 Path models were estimated in Stata v 13 (StataCorp, 2013b) using the gsem  
 234 procedure. This procedure uses equation-wise deletion for cases with missing data to  
 235 maximise the use of participant information across the life course (so participants missing  
 236 indicators of social class are still used when estimating pathways between NCS and  
 237 education). As variables earlier in the life course are added to the model, cases missing  
 238 information later in life can then be brought into the overall model (increasing the overall N)  
 239 as they are able to provide information on equations earlier in the life course (e.g. for paths

240 between adolescent variables) (StataCorp, 2013a). While missing data accrued over the long  
241 term follow up of the cohort, with about half of the participants missing data on social class  
242 and health at age 50 (Table 1), preliminary analyses suggested there were only small  
243 differences in the social class of the father at birth and school absences due to ill health at age  
244 16 between the analysis sample at age 50 and the entire baseline sample. However, scores of  
245 CS and NCS at age 16 were higher for participants remaining in the analytic sample at age  
246 50. A sensitivity analysis coded all the missing participants at age 50 as either having poor or  
247 good health to see a range for how missing data may have affected the results.

248

## 249 Results

250

### 251 Factor Analysis of Non-Cognitive Skills

252

253 For the confirmatory factor analysis of NCS, a higher-order general factor of NCS  
254 was constructed with lower-order teacher-reported and the self-reported NCS factors; lower-  
255 order factors were also included to capture residual covariance among items with similar  
256 content that was outside the concept of NCS (see Figure 1). Six of the nine factor loadings in  
257 the teacher NCS factor were between 0.70-0.83, although there were low loadings for the  
258 sociable and cautious/impulsive items (0.27 and 0.34, respectively). Loadings in the self-  
259 reported NCS factor ranged from 0.40 to 0.73, with the lowest loading for the “future  
260 planning” item and the highest loadings for the “working seriously” and “school is not a  
261 waste of time” items. Both the teacher and self-reported NCS factors loaded highly on a  
262 higher-order factor of overall NCS at 0.70 and 0.93 respectively. Gender was modestly  
263 associated with overall NCS at -0.12, indicating that males displayed lower levels of NCS;  
264 but tests confirmed that the NCS factor structure was invariant across males and females.

265 Model fit was good, as indicated by the RMSEA value of 0.05 and the CFI value of 0.98.

266 Factor scores for the overall NCS factor (without a gender covariate) were normally  
267 distributed, and standardised to a mean of 0 and a standard deviation of 1 for analyses.

268

269 <Figure 1 about here>

270

271 Sample Characteristics and Univariable Analysis

272

273 Table 1 displays the characteristics of the NCDS sample over the life course and their  
274 total effects on poor health. Participants with poor health at age 50 had lower NCS and  
275 cognitive skills (CS) at age 16. The majority of NCDS participants had fathers in manual  
276 occupations at age 16 and did not stay on in education past the minimum leaving age; but by  
277 age 50 the majority of the cohort was in a non-manual occupation themselves. As expected,  
278 participants born to fathers with non-manual occupations and with higher SES in adult life  
279 were less likely to report poor health. There was a strong association with school absences  
280 due to ill health at age 16 and later poor health, and the total effect of a one SD increase in  
281 NCS at age 16 on the odds of poor health was 0.65 (95% CI 0.61-0.69,  $p<0.001$ ).

282 Table 2 shows the associations of covariates with NCS. A 1 SD increase in CS was  
283 associated with nearly a 0.5 SD increase in NCS, while being male and missing more than a  
284 month of school for poor health in adolescence were associated with a decrease in NCS (0.18  
285 and 0.41 SD, respectively,  $p<0.001$ ). A one SD increase in NCS at age 16 was also  
286 associated with a doubling of the odds for high SES across adulthood ( $p<0.001$ ).

287

288 <Tables 1 and 2 about here >

289

## 290 Upstream Path Analysis of Adult Health Inequalities

291

292 The first path model documented adult health inequalities (Table 3, Model 1). Both  
293 non-manual social class at age 50 and further education were associated with moderate  
294 reductions in the odds of poor health. The addition of NCS at age 16 in Model 2 did not  
295 explain the association between social class at 50 and poor health, as expected, but it did  
296 explain 38% of the direct effect between further education and health. Meanwhile, adult SES  
297 mediated 53% of the association between NCS and health, as the direct effect of NCS on  
298 health reduced from 0.65 in unadjusted models (see Table 1) to 0.81 (95% CI 0.74-0.89,  
299  $p < 0.001$ ) in Model 2.

300

301 &lt;Table 3 about here &gt;

302

303 When CS was added in Model 3 (Table 3), the direct effect between social class at 50  
304 and health was only reduced by 15%, but the association between education and poor self-  
305 reported health was reduced 76% and fully explained. The association between NCS and  
306 health was reduced 33% by CS, while adult SES and NCS mediated 61% of the direct effect  
307 between CS and health (see Table 1; and adult SES mediated 51% of CS' direct effect on  
308 health without the addition of NCS). Interrelationships between other measures of SES and  
309 NCS were all reduced when adjusted for CS, with paths to and from education particularly  
310 reduced by approximately 40%.

311 Adding father's social class at age 16 in Model 4 (Table 3) explained less than

312 approximately 10% of paths in the model, with no notable exceptions. The final path model

313 was then fully adjusted for other life course covariates in Figure 2; and associations between

314 NCS, CS and health inequalities were generally not affected. All paths linking CS with SES  
315 across the life course were stronger than those linked with NCS, but there were still  
316 independent paths from NCS to health inequalities through adult SES and health. The  
317 remaining direct effect of both NCS and CS on health was also equivalent, with a one SD  
318 increase in both types of skill independently reducing the odds of poor health by about 18%  
319 (OR 0.82, 95% CI 0.73-0.93; 0.83, 0.72-0.96;  $p < 0.01$ , respectively). Lastly, a sensitivity  
320 analysis indicated that adjusting for externalising and internalising behaviours at age 16  
321 negligibly affected the results (data not shown). Coding all missing participants at age 50 as  
322 having either poor or good health did not affect the model estimates either.

323

324 &lt;Figure 2 about here &gt;

325

326 Discussion

327

328 This study compared associations of adolescent non-cognitive skills (NCS) and  
329 cognitive skills (CS) with paths linking adult socioeconomic status (SES) and self-reported  
330 health at age 50. Adjusting for adolescent NCS explained over a third of the association  
331 between education and health, but the path between social class at age 50 and health was  
332 unaffected. Adjustment for CS explained larger proportions of the paths to adult health  
333 inequalities; and paths between CS and SES across the life course were stronger than the  
334 same paths with NCS. However, NCS was still independently associated with paths to later  
335 health inequalities, and both types of skill had equivalent inverse direct effects with poor  
336 health.

337



## 338 Strengths and Limitations

339

340 An important strength of the prospective birth cohort data used in this study was the  
341 availability of prospective life course data in an age-homogenous population-representative  
342 cohort. However, the use of observational data prohibits the inference of any causal effects  
343 from the pathways under examination, as there may have been unmeasured confounders. For  
344 example, the measure of adolescent health was crude (i.e. missing school due to ill health).  
345 Social selection may have therefore confounded the models, with worse health in adolescence  
346 inhibiting status attainment, entailing that health might predict SES rather than vice versa.  
347 However, evidence for the social selection model is mixed and may only contribute weak  
348 effects across the life course (Manor et al., 2003; Smith et al., 1994). Residual confounding  
349 may have also been present within the dichotomous measures of SES, with the trajectories  
350 between NCS and SES potentially underestimated given the strong non-linear associations  
351 between higher NCS and the highest level of education (not shown). While future research  
352 will need to explore the effect of NCS on more sensitive measures of SES and health in more  
353 detail, the use of this strategy enabled complex and novel path models to be constructed with  
354 easily interpretable results. There may have also been attrition bias since the analytic sample  
355 at age 50 had significantly higher scores on NCS and CS than those with missing data. The  
356 total N in each model also changed as additional variables were added to the model,  
357 decreasing comparability across models. However, an analysis on the NCDS cohort at age 45  
358 suggested that the remaining cohort was generally representative of the original sample  
359 despite small differences in non-missing participant characteristics, and a sensitivity analysis  
360 on missing outcome data here did not affect the model estimates (Atherton et al., 2008).  
361 Lastly, there may have been some effects of reporting bias in the measurement of NCS as

362 some of the items were self-reported, and teacher reports could have been biased by student  
363 characteristics (such as SES).

364

365 The Role of Non-Cognitive Skills on the Life Course Development of Adult Health  
366 Inequalities

367

368

369 While the negligible effect of NCS on the path linking adult social class to health was  
370 unexpected, NCS attenuated the association between education and health by over a third.  
371 The strong association between NCS and educational attainment reported in previous  
372 research therefore appears to have further implications with this relationship extending to  
373 health inequalities as well (Carneiro et al., 2007; Duckworth & Seligman, 2005; Duckworth  
374 et al., 2009). About half of the direct effect of NCS on health was mediated by adult SES,  
375 suggesting that the association of NCS with health is largely through the mediation of SES  
376 and the production of health inequalities. However, a large proportion of the association  
377 between NCS and health is still due to other mechanisms outside SES that could be explored.  
378 Furthermore, the independent association of NCS with SES at both ages 33 and 50 was robust  
379 and remained after full adjustment for other covariates across the life course. This may  
380 suggest that the positive effects of NCS accumulate over adulthood, independently affecting  
381 different stages of an individual's trajectory through the labour market. As such, the benefit  
382 of NCS for health inequalities may accumulate through multiple independent processes  
383 across the life course as well. With other research suggesting that facets of NCS and CS can  
384 be fostered in education and work (Roberts et al., 2005b; Hatch et al., 2007a), future research  
385 should also examine the importance of both adolescent and adult levels of NCS and CS on  
386 labour market trajectories and their relationship to health inequalities.

387           The results presented here are consistent with the few previous examinations of  
388 personality (which included traits like conscientiousness akin to NCS) and socioeconomic  
389 health inequalities. While none of the previous research employed techniques that would  
390 allow the examination of mediational effects of SES across the life course, the effect size in  
391 the current study was similar to those reported in previous research, where 20-30% of the  
392 SES gradient on mortality was explained by traits related to NCS (Chapman et al., 2010;  
393 Falkstedt et al., 2013; Nabi et al., 2008). The analysis here further demonstrated that early life  
394 SES did not explain the association between later NCS and health, nor did it significantly  
395 affect the associations between NCS and adult SES. This finding may indicate that the  
396 association between NCS and later social gradients in health is largely independent of early  
397 social environments.

398

#### 399 Comparative Roles of Non-Cognitive and Cognitive Skills on Health Inequalities

400

401           While adjustment for earlier cognitive skill (CS) explained a larger percentage of  
402 adult health inequalities than NCS, NCS still had independent associations with SES and had  
403 direct effects on health equivalent to CS. This comparison with CS is particularly important  
404 since past research has consistently highlighted the key role of cognition in the production of  
405 socioeconomic attainment and health inequalities (Gottfredson, 2004; Hatch et al., 2007b;  
406 Singh-Manoux et al., 2005), and policy often targets interventions at improving CS formation  
407 (Carneiro & Heckman, 2003; Heckman & Rubinstein, 2001). The one other study that  
408 examined psychosocial functioning (a concept with similar facets to NCS) and intelligence  
409 together found generally equivalent negative associations with all-cause mortality. However,  
410 CS had stronger effects on cardiovascular disease mortality and injury-related mortality,  
411 while psychosocial functioning contributed more to alcohol-related mortality (Falkstedt et al.,

412 2013). Thus, while the results in this study suggest comparative effect sizes for NCS and CS  
413 with general morbidity, this result may depend on the health outcome under investigation.  
414 Future research will need to compare the socioeconomic processes linking NCS and CS to  
415 more specific forms of morbidity such as diabetes or depression in order to decipher which  
416 health outcomes are most strongly associated with each characteristic.

417         Considering that independent paths from NCS to health inequalities remained in fully  
418 adjusted models, it appears that the relationship between NCS, education and health is  
419 distinct from the relationship of CS with the same educational gradients. For example, it has  
420 been proposed that experiences in education may build separate processes of effort (which  
421 maps onto NCS) and ability (i.e. CS) (Mirowsky & Ross, 2003), and pathways from these  
422 separate skills to health may therefore travel via different mechanisms through education. For  
423 example, one of the proposed pathways from education to health is through increased social  
424 support and likelihood of stable adult relationships including marriage. This in turn may lead  
425 to greater economic resources and supportive relationships protective of health-damaging  
426 stress (Mirowsky & Ross, 2003). It could be that the effortful pro-social behaviours in NCS  
427 help to build and maintain these supportive relationships (Roberts et al., 2007). In contrast,  
428 there is weaker theoretical reasoning linking CS to these social processes, and it may be that  
429 CS relates more closely to health through the additional material resources that often  
430 accompany higher SES (especially as CS retained stronger associations with SES in the  
431 current study than NCS) or since cognition may be a marker of better longstanding  
432 physiological processes underlying health (Richards et al., 2010). Since non-manual social  
433 class at age 50 remained associated with lower odds of poor health in fully adjusted models  
434 and was less affected by adjustment for either NCS or CS, future work should consider the  
435 additional mechanisms that could explain this relationship besides the range of covariates  
436 already included in the model here.

437 Furthermore, the effortful behaviours in NCS that are associated with education may  
438 also relate to an individual being more proactive in terms of seeking out health-promoting  
439 knowledge or maintaining a healthy lifestyle. Greater CS may be associated with more  
440 knowledge about health promoting behaviours (i.e. health literacy), but without NCS, CS on  
441 its own may not relate to the maintenance of healthy behaviours. For example, a meta-  
442 analysis indicated that conscientiousness-related traits were negatively related to all  
443 deleterious health behaviours and positively related to all beneficial behaviours (Bogg &  
444 Roberts, 2004). Since life course research has also found that self-control predicts financial  
445 planning and money management better than child intelligence (Moffitt et al., 2011), this may  
446 indicate that the effortful behaviours in NCS generally relate to the ability to restrain from  
447 short-term gratification to persist with healthy lifestyles and other longer-term gains. It would  
448 be interesting for future research to compare NCS and CS with additional pathways related to  
449 the maintenance of long term goals and health behaviours, and how these pathways link to  
450 the production of health inequalities.

451

## 452 Conclusion

453

454 The production of socioeconomic health inequalities is a complex and lifelong  
455 process. This analysis showed that adolescent NCS remained associated with adult measures  
456 of SES and health independently of CS and early life demographic and socioeconomic risk  
457 factors. NCS may therefore be an important protective characteristic across the life course  
458 and a potentially valuable target for policies aiming to ameliorate the production of health  
459 inequalities. As such, the emerging research on the multifaceted benefits of characteristics  
460 related to NCS has prompted the implementation of policies targeting the development of  
461 these characteristics, such as the Tools of the Mind programme directed at self-regulation or

462 the range of Social and Emotional Learning (SEL) programmes in school (Bodrova & Leong,  
463 2007; Durlak et al., 2011). While translatable results from such policy interventions have  
464 been mixed (likely due to heterogeneity in implementation) (Humphrey et al., 2010), meta  
465 analyses of SEL programmes have suggested a moderate effect size for the ability of these  
466 programmes to increase positive school behaviours and attitudes (Durlak et al., 2011; Durlak  
467 et al., 2010). Together, the findings in the current analysis and the consideration that NCS  
468 can be fostered with school programmes indicates a powerful message for students:  
469 regardless of cognitive skill, the proactive development of work habits and positive  
470 classroom behaviours is likely to be of substantial importance for long term prospects in  
471 employment and health.

472

473

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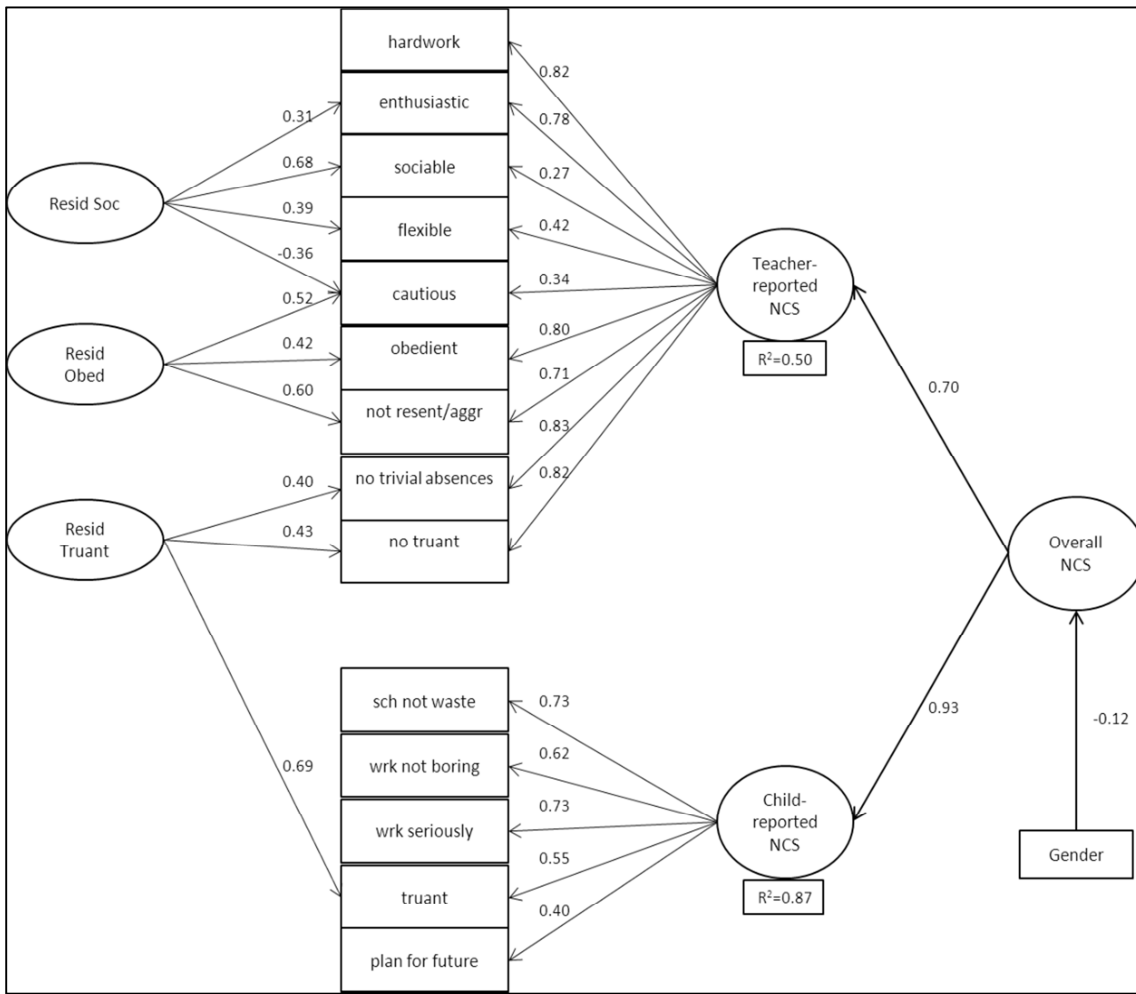


Figure 1. Final confirmatory factor analysis for non-cognitive skills (NCS) at age 16 in the National Child Development Survey (NCDS). All pathways are significant ( $p < 0.001$ ).

664 Table 1. Baseline sample characteristics and associations with poor self-reported health at age 50 in the  
 665 National Child Development Survey.

	NCDS Cohort (N=18558)		Poor Health at age 50 (N=1796)		
	N	(%)	N	(%)	Odds Ratio 95% CI p
Gender					
Female	9595	(51.7)	932	(18.8)	1.00
Male	8959	(48.3)	864	(18.1)	0.95 [0.86, 1.05] 0.33
Binary Socioeconomic Status					
Non Manual Father Social Class Age 16	3980	(27.2)	350	(13.4)	0.60 [0.52,0.68] <0.001
Stayed on in Education	4548	(40.8)	427	(11.8)	0.48 [0.42,0.54] <0.001
Non Manual Social Class Age 50	5550	(67.4)	591	(10.7)	0.57 [0.50,0.65] <0.001
School Absences due to Ill Health Age 16 (>1 month)	1083	(5.8)	190	(32.4)	2.44 [2.03,2.94] <0.001
Non-Cognitive Skills Age 16, mean(SD)	0	(1)	-0.23 (0.98)		0.65 [0.61,0.69] <0.001
Cognitive Skills Age 16, mean(SD)	0	(1)	-0.26 (0.97)		0.59 [0.56,0.63] <0.001

666 <sup>a</sup> Missing data N(%): gender= 4 (0.02); social class age 16= 3,905 (21.0); education=7,416 (40.0); social class  
 667 50=10,321 (55.6); school absences=7,200 (38.8), non-cognitive skills= 5,754 (31.0); cognitive skills=6,639 (35.8); poor  
 668 health=8,825 (47.6).

669

670

671 Table 2. Unadjusted association between sample characteristics, socioeconomic status and non-cognitive  
 672 skills (NCS). <sup>a</sup>

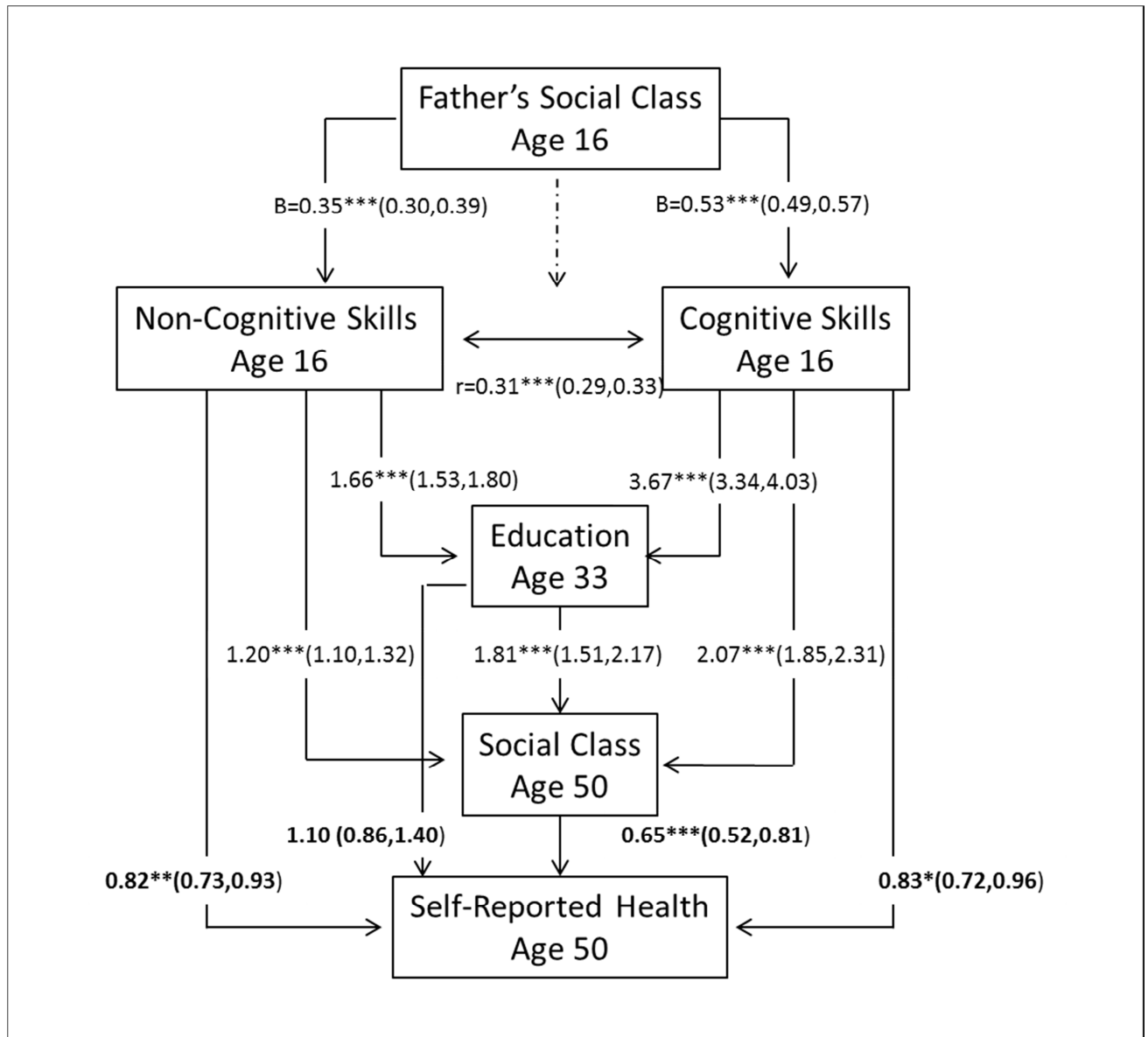
	Non-Cognitive Skills	
	Mean (SD)	Coefficient [95% CI] <sup>a</sup> p
Gender		
Female	0.09 (0.99)	1.00
Male	-0.09 (1.00)	-0.18 [-0.21, -0.14] <0.001
Binary Socioeconomic Status		
Non Manual Father Social Class Age 16	0.36 (0.91)	0.50 [0.46,0.54] <0.001
Stayed on in Education	0.55 (0.84)	2.68 [2.54,2.83] <0.001
Non Manual Social Class Age 50	0.35 (0.90)	1.98 [1.87,2.10] <0.001
School Absences due to Ill Health Age 16 (>1 month)	-0.65 (0.94)	-0.41 [-0.44,-0.38] <0.001
Cognitive Skills Age 16 <sup>b</sup>	0.34 (0.94)	0.45 [0.44,0.47] <0.001

673 <sup>a</sup>Coefficients for gender, social class at age 16, child cognitive ability and school absences are linear coefficients from  
 674 a linear regression of NCS regressed on these covariates. Coefficients for education and social class at age 50 are  
 675 odds ratios for these items regressed on NCS. <sup>b</sup> Mean(SD) for cognitive skill is for participants >mean for NCS scores,  
 676 and the coefficients refer to a 1 unit change in continuous standardised math and reading scores.

677 Table 3: Progressive adjustment of upstream of non-cognitive skills (NCS) and cognitive skills at age 16 on downstream health and socioeconomic status  
 678 (SES). Odds ratios [95% Confidence Interval], p values are presented unless otherwise indicated. <sup>a</sup>

	Paths to Poor Self- Reported Health at Age 50	Paths to Social Class at Age 50	Paths to Education at Age 33	Paths to NCS at Age 16	Paths to Cognitive Skills at Age 16
<b>Model 1: Health at 50 + Adult SES (N=7071)</b>					
Social Class at 50	0.60 [0.51,0.70] <0.001				
Education at 33	0.69 [0.59,0.81] <0.001	4.45 [3.96,5.00] <0.001			
<b>Model 2: +NCS at Age 16 (N=8898)</b>					
Social Class at 50	0.59 [0.49,0.70] <0.001				
Education at 33	0.80 [0.66,0.96] 0.017	3.21 [2.79,3.68] <0.001			
NCS at 16	0.81 [0.74,0.89] <0.001	1.56 [1.45,1.67] <0.001	2.85 [2.69,3.02] <0.001		
<b>Model 3: +Cognitive Skills at Age 16 (N=12810)</b>					
Social Class at 50	0.64 [0.53,0.77] <0.001				
Education at 33	0.95 [0.77,1.16] 0.601	1.96 [1.68,2.28] <0.001			
NCS at 16	0.87 [0.79,0.96] 0.008	1.25 [1.15,1.35] <0.001	1.82 [1.70,1.95] <0.001		
Cognitive Skills at 16	0.82 [0.73,0.91] <0.001	2.13 [1.95,2.33] <0.001	3.84 [3.57,4.14] <0.001	r=0.49 [0.47,0.51] <0.001	
<b>Model 4: +Early Life SES (N=12810)</b>					
Social Class at 50	0.64 [0.53,0.77] <0.001				
Education at 33	0.95 [0.78,1.17] 0.646	1.93 [1.65,2.24] <0.001			
NCS at 16	0.87 [0.79,0.97] 0.009	1.24 [1.15,1.34] <0.001	1.80 [1.69,1.93] <0.001		
Cognitive Skills at 16	0.82 [0.73,0.92] 0.001	2.08 [1.90,2.27] <0.001	3.66 [3.40,3.95] <0.001	r=0.42 [0.40,0.44] <0.001	
Father's Social Class at 16	0.92 [0.76,1.12] 0.397	1.28 [1.11,1.49] 0.001	1.45 [1.29,1.62] <0.001	B=0.50 [0.46,0.54] <0.001	B=0.72 [0.68,0.75] <0.001

679 <sup>a</sup> All models are adjusted for sex. Pathways to poor self-reported health and SES are odds ratios, pathways to NCS and cognitive skills are linear coefficients,  
 680 and the association between NCS and cognitive skills is Pearson's correlation coefficient between errors.



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682 Figure 2. Path diagram of life course pathways linking non-cognitive skills and cognitive skills  
 683 to self-reported health at age 50, with pathways to health labelled in bold. All parameters are  
 684 odds ratios except for pathways labelled as “B”, which are unstandardised linear coefficients.  
 685 For clarity of presentation, pathways linking father’s social class at age 16 across the life  
 686 course are partially displayed with unlabelled dashed arrows. All pathways are fully adjusted  
 687 for gender, maternal age at delivery, birth weight, parity, smoking during pregnancy,  
 688 maternal marital status at birth, disability by age 16, ever in local authority care by 16, and  
 689 missing more than a month of school at age 16 for ill health.  $^{***}p<0.001$ ,  $^{**}p<0.01$ ,  $^{*}p<0.05$

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Research Highlights:

- Higher non-cognitive skills (NCS) are associated with SES across the life course.
- Using path analysis, NCS explained a third of educational inequalities in health.
- NCS had independent, but weaker, paths to health inequalities than cognitive skill.
- Higher NCS and cognitive skill had equivalent inverse paths with poor health.

# The Roles of Non-Cognitive and Cognitive Skills in the Life Course Development of Adult Health Inequalities

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