



Factors associated with depression in people with inflammatory bowel disease: the relationship between active disease and biases in neurocognitive processing

Journal:	<i>Neurogastroenterology and Motility</i>
Manuscript ID	NMO-00385-2018.R1
Manuscript Type:	Original Article
Date Submitted by the Author:	n/a
Complete List of Authors:	<p>Wilkinson, Ben; University of Exeter College of Medicine and Health, Institute of Health Research Trick, Leanne ; University of Exeter College of Medicine and Health, Institute of Health Research Knight, Annie; University of Exeter College of Medicine and Health, Institute of Biomedical and Clinical Sciences Valton, Vincent; University College London, Institute of Cognitive Neuroscience Goodhand, James; Royal Devon and Exeter NHS Foundation Trust, Exeter IBD Research group Kennedy, Nick; Royal Devon and Exeter NHS Foundation Trust, Exeter IBD Research group Heerasing, Neel; Royal Devon and Exeter NHS Foundation Trust, Exeter IBD Research group Ahmad, Tariq; Royal Devon and Exeter NHS Foundation Trust, Exeter IBD Research group Bland, Amy ; University of Manchester, Division of Neuroscience & Experimental Psychology Elliott, Rebecca ; University of Manchester, Division of Neuroscience & Experimental Psychology Roiser, Jonathan; University College London, Institute of Cognitive Neuroscience Dickens, Chris; University of Exeter College of Medicine and Health, Institute of Health Research</p>
Key Words:	Inflammatory Bowel Disease, Depression, Inflammation, Cognitive functioning

1
2
3
4 1 **Title: Factors associated with depression in people with inflammatory bowel disease:**
5
6 2 **the relationship between active disease and biases in neurocognitive processing**
7

8
9 3 Running title: Depression in IBD: the role of cognitive bias

10
11 4 **Authors:** B. Wilkinson¹, L. Trick¹, A. Knight², V. Valton³, J. Goodhand⁴, N. A. Kennedy⁴, N.
12
13 Heerasing⁴, T. Ahmad⁴, A. Bland⁵, R. Elliott⁵, J.P. Roiser³, C. Dickens¹
14

15
16 6 ¹ Mental Health Research Group, College of Medicine and Health, University of Exeter

17
18 7 ² Institute of Biomedical and Clinical Sciences, College of Medicine and Health, University of
19
20 Exeter
21

22
23 9 ³ Institute of Cognitive Neuroscience, University College London

24
25 10 ⁴ Exeter IBD Research group, Royal Devon and Exeter NHS Foundation Trust

26
27 11 ⁵ Division of Neuroscience & Experimental Psychology, University of Manchester

28
29
30 12 **Corresponding author:**

31
32 13 Chris Dickens, Room 1.04, College House, St Luke's Campus, Heavitree Road, Exeter, EX1
33
34 2LU

35
36 15 Telephone: 01392 726013, Email: c.m.dickens@exeter.ac.uk
37
38

39
40 16
41
42 17 **Abstract word count: 250; Main text word count: 3602**

43
44 18 **No. figures: 2; No. Tables: 3**
45

46
47 19
48 20 **Abbreviations:**

49
50 21 SIBDQ = Short inflammatory bowel questionnaire

51
52 22 IMD = Index of Multiple deprivation

53
54 23 IQR = Inter-quartile range
55
56
57
58
59
60

24 **Abstract and Keywords**

25 **Background**

26 Depression is common among people with inflammatory bowel disease (IBD), though the
27 causes remain unclear. We conducted a cross-sectional study to investigate the role of
28 emotional processing biases in contributing to depression among people with IBD.

29 **Materials and methods**

30 One hundred and twenty outpatients with IBD were recruited and: i) completed
31 questionnaires to record: age, sex, social support, socioeconomic status, anxiety and
32 depression (n=104), ii) underwent assessments of biases in emotional recognition (n=112),
33 emotional memory and reinforcement learning iii) had recorded from clinical records: type of
34 IBD, duration of IBD, IBD activity and iv) provided blood for high-sensitivity C-reactive protein
35 levels (n=99).

36 **Key Results**

37 Sixty-eight participants had Crohn's disease and 49 had ulcerative colitis. Of these, 35 had
38 active disease and 26 had depression. Those with depression were more likely to be female,
39 lack social support, have active disease, be taking corticosteroids but not TNF-alpha
40 inhibitors and exhibit less positive emotional recognition bias. On multivariable regression
41 analysis, depression was associated independently with lack of social support
42 (unstandardized regression coefficient (B)=-1.40, p=0.02) and increased disease activity
43 (B=1.29, p=0.03). Causal steps analysis was consistent with less positive emotional
44 recognition bias partially mediating the effects of disease activity on depression.

45 **Conclusions and inferences**

46 This is the first study to demonstrate links between disease activity and less positive biases
47 in emotional recognition that could explain higher rates of depression among people with

1
2
3
4 48 active IBD. Future prospective studies are required to confirm the effects of emotional
5
6 49 processing biases in depression and allow stronger causal inferences to be drawn.
7
8
9 50

10
11 51 **Key Words**

12
13
14 52 Inflammatory Bowel Disease, Depression, Inflammation, Cognitive functioning,
15
16 53

17
18
19 54 **Key points**

- 20
21
22 55 • Depression is common in people with inflammatory bowel disease (IBD), but the
23
24 56 actual causes of depression in this group are unknown
25
26 57 • We found that depression was independently associated with increased IBD activity,
27
28 58 and that less positive cognitive bias part-mediated the effects of disease activity on
29
30 59 depression
31
32 60 • This is the first study to demonstrate links between disease activity and less positive
33
34 61 biases in emotional recognition that could explain higher rates of depression among
35
36 62 people with active IBD.
37
38
39
40 63

1
2
3
4 64 Depression affects 14 - 27% patients with inflammatory bowel disease (IBD), which is
5
6 65 approximately 2 to 3 times the prevalence in people without IBD¹⁻³. Depression in IBD is
7
8 66 important because it is associated with more gastrointestinal symptoms independent of
9
10 67 disease severity⁴, worse health-related quality of life⁵⁻⁸, increased healthcare utilisation⁹⁻¹¹,
11
12 68 and possibly relapses in disease activity¹²⁻¹⁶. Depression is associated with a number of
13
14 69 sociodemographic, clinical and psychological factors^{1,13,17-21}, though many of these risk
15
16 70 factors are inter-related, and the main causes of depression among people with IBD remain
17
18 71 unclear.

21 72 Recently, there has been considerable interest in the role of inflammation in depression.
22
23 73 Observational studies in healthy and clinical populations have shown that inflammation is
24
25 74 associated with depression²²⁻²⁴. Also, controlled, experimental studies in healthy individuals
26
27 75 have shown that acute inflammation causes short term increases in depressive
28
29 76 symptoms^{25,26}. Among people with severe Crohn's disease, treatment with the anti-TNF-
30
31 77 alpha drugs infliximab and adalimumab has been associated with a rapid reduction in
32
33 78 depression, not attributable solely to reductions in clinical disease activity²⁷⁻²⁹. However, it is
34
35 79 unclear how inflammation causes depression. We postulate here that the effects of
36
37 80 inflammation may be mediated via negative cognitive biases, particularly biases in the
38
39 81 processing of emotionally salient information (henceforth emotional processing)³⁰. Such
40
41 82 negative cognitive biases are considered central to the development of depression, though
42
43 83 their association with chronic inflammation in people with IBD has not been investigated
44
45 84 previously^{31,32}.

49 85 We conducted a cross-sectional study among hospital outpatients with IBD to identify
50
51 86 sociodemographic, IBD-related and psychological factors that were independently
52
53 87 associated with depression, and to explore whether negative biases in emotional processing
54
55 88 mediated links between IBD activity and depression.

58 89 We tested the following hypotheses among outpatients with IBD:
59
60

- 1
- 2
- 3
- 4 90 i) Depression would be independently predicted by socio-demographic characteristics
- 5
- 6 91 (age, sex, socioeconomic status, social support), medical characteristics (type of
- 7
- 8 92 IBD, IBD activity), and psychological characteristics (negative biases in emotional
- 9
- 10 93 processing)
- 11
- 12 94 ii) Negative biases in emotional processing would mediate the effects of disease activity
- 13
- 14 95 on depression
- 15
- 16
- 17 96
- 18
- 19
- 20 97
- 21
- 22
- 23
- 24
- 25
- 26
- 27
- 28
- 29
- 30
- 31
- 32
- 33
- 34
- 35
- 36
- 37
- 38
- 39
- 40
- 41
- 42
- 43
- 44
- 45
- 46
- 47
- 48
- 49
- 50
- 51
- 52
- 53
- 54
- 55
- 56
- 57
- 58
- 59
- 60

For Peer Review

98 **Materials and methods**

99 **Subjects**

100 We recruited adults with known IBD attending the gastroenterology outpatients and biologic
101 infusion clinics at the Royal Devon and Exeter hospital between January and June 2017.
102 Participants were excluded if they were too physically unwell, if they suffered from severe
103 mental disorder, including severe depression, significant suicidal risk or active psychosis.

104 *Sample size calculation*

105 Making the a priori assumption that key variables of interest would be normally distributed,
106 we calculated that a sample of 85 subjects would provide $\geq 80\%$ power to detect bivariate
107 correlations of at least $r=0.3$ between measures of emotional processing, markers of disease
108 severity and depression at the 5% level of significance (2-sided). Also, we expected this
109 number of subjects would be provide sufficient power to conduct multivariable regression
110 analyses using up to 8 independent variables, based on the rule-of-thumb of 10 participant
111 per independent variable added³³.

112 **Baseline assessments**

113 Data were obtained using a combination of self-report questionnaires, computerized
114 assessment and by extraction of relevant clinical information from medical records.

115 *Questionnaire assessments*

116 A purpose-designed questionnaire was used to record sociodemographic characteristics
117 including: age, sex, relationship status (categorized as "In relationship" versus "Other"),
118 educational status (years of education) and employment status (categorized as "In
119 employment" versus "Other"), smoking status (current smoker, ex-smoker, never smoked)
120 and previous treatments for depression.

121 We used the following validated questionnaire assessments:

122 The *frequency of depressive symptoms* in the previous 2 weeks was assessed using 9-item
123 Patient Health Questionnaire, PHQ-9³⁴. Scores could range from 0 to 27, with higher scores

124 indicating worse depression. A cut-off score of ≥ 10 indicates moderate depressive
125 symptoms and we used this cut-off to identify cases of depression among our participants.

126 *Anxiety* was measured using the 7-item General Anxiety Disorder Assessment, GAD-7³⁵,
127 *perceived social support* was assessed using the seven item ENRICH social support
128 inventory^{36–38} and *recent life stresses* were assessed using the 12-item List of Threatening
129 Experiences questionnaire³⁹. The EQ-5D questionnaire was used to assess *generic health-*
130 *related quality of life*⁴⁰ and the 10-item, Short Inflammatory Bowel Disease questionnaire
131 was used to record *disease-specific health-related quality of life*^{41,42}.

132 Questionnaire assessments were completed in clinic following recruitment, though
133 participants could take them home to complete, if preferred.

134 *Data extracted from medical records*

135 We recorded demographic data, smoking status, age at diagnosis, disease duration,
136 Montreal Classification⁴³, prior medical and drug history and previous IBD. Patients
137 postcodes were used to identify the degree of social deprivation, as determined using the
138 Index of Multiple Deprivation⁴⁴.

139 IBD activity at the time of recruitment was categorized as active versus inactive via
140 retrospective inspection of medical records. Two experienced clinicians (JG, NH)
141 independently reviewed clinical and laboratory information for each participant at the time of
142 recruitment to the study, blind to the outcomes of any research assessments.

143 Disagreements in ratings were resolved through consensus, with referral to a third
144 independent clinician (NAK) if agreement could not be reached.

145 *Computerized assessment of emotional processing*

146 We selected specific tests from a validated computerized neuropsychological test battery
147 (EMOTICOM)⁴⁵ to assess performance on emotional perception, emotional memory and
148 reinforcement learning, which we recently showed were aspects of social and emotional

1
2
3
4 149 processing most likely to be influenced by inflammation³⁰. All tasks were presented on a
5
6 150 Hewlett Packard 755 laptop computer with 15.6" touchscreen.
7

8
9 151 *Emotional recognition task*

10
11 152 The Emotional Recognition Task (ERT) assessed an individual's ability to recognize basic
12
13 153 emotions (happy, sad, angry and fearful) from 80 images of people's eyes (20 of each
14
15 154 emotion), with 10 levels of intensity for each emotion. In each trial a fixation cross was
16
17 155 presented in the center of the screen (random duration between 1500 to 2500 milliseconds),
18
19 156 followed by an image of eyes (250 milliseconds). The image was immediately replaced by a
20
21 157 grey mask (150 milliseconds), following which the participant made a forced choice from four
22
23 158 emotions (happy, sad, angry or fearful). There were in addition 16 filler trials in which
24
25 159 participants were asked to select the age of the eyes in the image (child, young adult,
26
27 160 middle-aged adult and older adult). Performance on the emotional recognition task is
28
29 161 reported as "emotional recognition bias", calculated as the percentage accuracy for
30
31 162 recognition of happy expressions minus the percentage accuracy for recognition of sad
32
33 163 expressions.
34
35
36
37

38 164 *Emotional memory recognition task*

39
40 165 The Emotional Memory Recognition Task (EMRT) was presented in two parts. During the
41
42 166 first phase (encoding) participants were shown 30 photographic scenes without people (10
43
44 167 positive, 10 negative and 10 neutral). In each trial a fixation cross was displayed in the
45
46 168 centre of the screen for 1000 milliseconds, followed by an image also displayed for 1000
47
48 169 milliseconds. Participants were asked to make ratings of valence (1=negative, 9=positive)
49
50 170 and intensity (1=not at all, 9=extremely) for each image. In the second phase (retrieval)
51
52 171 conducted 30 minutes later, participants were shown 30 images from the encoding phase,
53
54 172 each paired with new photographs, which were mirror images of those seen during
55
56 173 encoding. Participants were asked to identify the image seen during encoding. Performance
57
58 174 on the emotional memory task is reported as "emotional memory bias", calculated as the
59
60

175 percentage accuracy recall of positive scenes minus the percentage accuracy for recall of
176 negative scenes.

177 *Reinforcement learning*

178 The Reinforcement Learning Task (RLT) assessed speed of learning of visual patterns
179 associated with reward (winning points) and punishment (losing points). Participants were
180 shown pairs of colored circles and were instructed to select one of the circles which they
181 thought would be most likely to win money. Participants were expected to learn through
182 sampling the circles which of the two circles was most likely to deliver a win, with
183 probabilities set at 70/30%, unknown to participants. Feedback was given after each
184 selection and a cumulative tally was displayed. The task was presented in two parts. First,
185 there were 120 trials in the learning phase. In each trial a fixation cross was presented
186 (random duration between 500 to 1500 milliseconds) followed by 1 of 4 possible pairs of
187 colored circles. The circles remained until the participant selected one circle, after which
188 feedback was displayed for 1000 milliseconds. There were two conditions: reward (2 pairs /
189 60 trials) or punishment (2 pairs / 60 trials). In the reward condition feedback consists of a
190 win (win 50p) or failure to win (win 0p), and in the punishment condition feedback consists of
191 a loss (lose 50p) or avoidance of loss (lose 0p). Next, in the transfer phase there were 48
192 trials where all possible pairs of circles were presented. Participants were instructed to
193 continue to select their preferred circle, although no feedback was provided in this phase.
194 Performance on the RLT is reported using learning rate (i.e. how fast the participant learned
195 new information related with winning and losing, where high scores show that learning was
196 more rapid), calculated from the learning phase only (not the transfer phase) and the
197 performance temperature (a measure of the randomness in responding). On initial inspection
198 of the learning data, it became clear that some subjects were performing no better than
199 chance (i.e. there was no evidence of learning, with performance on the task at or below
200 50% correct), which resulted in poor model fit. Once we had excluded these non-performers,

1
2
3
4 201 the model that accounted best for the participant's performance was the reinforcement
5
6 202 learning model with separate parameters for rewards and losses. Thus, results for
7
8 203 reinforcement learning data presented below are limited to individuals showing evidence of
9
10 204 learning on the task.

11 12 13 205 *Blood samples*

14
15 206 Blood was collected in 7.5 mL EDTA tubes and centrifuged at 2500 g for 10 minutes at 4 C
16
17 207 in a Thermo Scientific Heraeus 16R Megafuge. Within 30 minutes of venipuncture the
18
19 208 separated plasma was divided into 3 aliquots (minimum 0.5 mL per aliquot) and then frozen
20
21 209 at -80 °C for subsequent assay for C-reactive protein (high sensitivity assay, hs-CRP).

22 23 24 25 210 *Hs-CRP assay*

26
27
28 211 Hs-CRP levels were established using Cardiac C-reactive protein (latex) high sensitive,
29
30 212 particle enhanced immunoturbidimetric assay on the 702 module of a Roche / Hitachi cobas
31
32 213 8000 automated analyzer. The lower detection limit for hs-CRP using this system was 0.15
33
34 214 mg/L. One subject had levels below this lower limit of detection (<0.15 mg/L) and, for the
35
36 215 purposes of analysis hs-CRP as a continuous variable, levels for this individual were
37
38 216 assumed to equal 0.15mg/L. In addition, hs-CRP levels were also divided into low and high
39
40 217 hs-CRP categories (≤ 3 mg/L and >3 mg/L, respectively).

41 42 43 218 **Statistical considerations**

44
45 219 Preliminary examination of the continuous variables using 1-sample Kolmogorov-Smirnov
46
47 220 tests revealed that our a priori assumption that key variables would be normally distributed
48
49 221 was incorrect. In fact, the vast majority of variables were non-parametrically distributed.
50
51 222 Standard transformations did not increase normality, so non-parametric statistical techniques
52
53 223 were used throughout. Socio-demographic, IBD and psychological characteristics are
54
55 224 summarized using median and interquartile range, or number and percentages, as
56
57 225 appropriate. Differences in sociodemographic, IBD and psychological variables according to
58
59
60

1
2
3
4 226 depression status were examined using the Mann Whitney U test for continuous data. Chi-
5
6 227 square tests were used to compare categorical data, with Fisher's Exact test used where
7
8 228 contingency tables included cells with expected frequencies <5.
9
10 229 To identify variables independently associated with depression, multivariable logistic
11
12 230 regression analysis was conducted that included the following independent variables: Block
13
14 231 1: age, sex, socioeconomic status, social support, Block 2: IBD type (Crohn's Disease,
15
16 232 Ulcerative Colitis, Unclassified) and IBD activity (Active vs Inactive IBD), Block 3:
17
18 233 psychological characteristics (bias in emotional processing). Due to the highly non-
19
20 234 parametric distribution of independent variables, for the purposes of the regression analyses
21
22 235 continuous independent variables were converted to binary categories, using a median split
23
24 236 unless other established cut-offs were more appropriate (i.e. PHQ-9 \geq 10 and hs-CRP >3
25
26 237 mg/L).
27
28
29
30 238 We explored the role of emotional recognition bias as a potential mediator of the association
31
32 239 of disease activity with depression using a causal steps approach, based the methods of
33
34 240 Baron & Kenny⁴⁶. A series of 3 logistic regression analyses were conducted: 1) Depression
35
36 241 regressed on disease activity, 2) Emotional recognition bias regressed on disease activity,
37
38 242 and 3) Depression regressed on both disease activity and emotional recognition bias, in the
39
40 243 same model. Mediation was considered to have occurred if all of the following conditions
41
42 244 were met (see Figure 1 for illustration):
43
44
45 245 i. Disease activity predicted depression (the total, unadjusted, effect of predictor on
46
47 246 outcome, *path c*').
48
49 247 ii. Disease activity predicted emotional recognition bias (the direct effect of predictor
50
51 248 on mediator, *path a*).
52
53 249 iii. Emotional recognition bias significantly predicted depression in a model that also
54
55 250 included disease activity (*path b*, the direct effect of mediator on outcome).
56
57
58
59
60

1
2
3
4 251 iv. The regression coefficient of disease activity on depression in the model that also
5
6 252 included emotional recognition bias (*path c*, the direct effect of predictor on
7
8 253 outcome) was smaller than the coefficient of the total effect (*path c'*).
9
10 254 If the causal steps approach indicated findings consistent with mediation, a bootstrapping
11
12 255 method with 5000 samples and bias corrected confidence intervals was used to determine
13
14 256 significance of the mediated effect⁴⁷.

16 17 257 **Ethical statement**

18
19 258 All participants provided full informed consent. Full ethical permission was granted by South
20
21 259 West – Cornwall and Plymouth research ethics committee, reference: 16/SW/0209.
22
23
24
25 260

For Peer Review

Results*Participant characteristics*

One hundred and twenty patient participants agreed to participate in the study. Sixty-eight patients (57%) had Crohn's disease, 49 (41%) had ulcerative colitis and the remaining 3 (2%) had IBD unclassified. The median duration of IBD was 9.2 years (IQR 4.2-15.2), with the median age of onset being 29.9 years (IQR 22.3-43.6). Forty-six patients (38%) were taking anti-TNF drugs to control their IBD. Full baseline characteristics of study participants can be seen in Table 1.

Of the 120 patients recruited, 35 (29%) were classified as having active IBD. Those with active disease had higher hs-CRP levels (median levels 5.0 mg/L [IQR 2.75-9.38] vs 1.2 mg/L [IQR 0.50-2.70], Mann Whitney, $p < 0.0005$) and higher white cell counts (median $8.4 \times 10^9/L$ [IQR 6.80-9.80] vs $6.6 \times 10^9/L$ [IQR 5.55-7.80], Mann Whitney, $p < 0.0005$). Furthermore, those with active disease were more likely to be taking corticosteroids (20% vs 1.2%, $p = 0.001$) and less likely to be taking anti-TNF drugs (20% vs 45.9%, $p = 0.008$). Participants with active disease had worse generic and disease specific health-related quality of life (EQ-5D index value and VAS; SIBDQ Systemic, Social, Bowel and Emotional domains of the Short IBD questionnaire, all p 's ≤ 0.005).

Overall participants showed a positive bias in emotion recognition [median emotional recognition bias = +15% (IQR 0.0 – 30.0)] and a negative bias in emotional memory [median emotional memory bias = -10% (IQR = -30.0 – 0.0)]. Emotional recognition bias was less positive in people with active disease [median recognition bias +5% (IQR -5.0 – 20.0) vs +15% (IQR 2.50 – 35.0), Mann Whitney, $p = 0.028$], but was not significantly associated with hs-CRP (Spearman's correlation coefficient (ρ) = -0.04, $n = 101$, $p = 0.73$) or white cell count ($\rho = -0.01$, $n = 112$, $p = 0.91$). Emotional memory bias and learning rate (win or loss) were not significantly associated with disease activity or markers of inflammatory activity.

Sociodemographic, IBD and psychological factors associated with depression

287 Of the 120 participants recruited, 105 returned questionnaires, of which 104 included
288 completed depression assessments. There were no significant differences with regards to
289 age, sex, socioeconomic status or disease activity between those 104 returning the
290 depression assessment and the 16 who did not.

291 Twenty-six participants (25%) were depressed (PHQ-9 score ≥ 10). Sociodemographic,
292 clinical and psychological factors that showed univariate associations with depression can
293 be seen in Table 2. Of note, those with depression were significantly more likely to be
294 female, lack social support, have active IBD, not be taking anti-TNF alpha inhibitors, have
295 worse quality of life and exhibit less positive bias on the emotional recognition task [median
296 emotional recognition bias = +2.5 (IQR -25.0 – 15.0) in depressed vs +15% (0.0 – 35.0) in
297 the non-depressed, Mann-Whitney, $p=0.002$]. Depression was not associated with laboratory
298 markers of inflammatory activity (hs-CRP or white cell count), emotional memory,
299 reinforcement learning related to reward or loss.

300 Using multivariable logistic regression, the overall model was significant (Chi-square = 24.9,
301 $p=0.001$, Cox and Snell R-square = 0.22). Within the model, depression was independently
302 associated with less social support [odds ratio (OR) = 0.25 (95% CI = 0.08 – 0.76), $p=0.02$]
303 and greater disease activity [OR = 3.6 (95% CI = 1.14 – 11.60) $p=0.03$] (Table 3). Age, sex,
304 Index of Multiple Deprivation and emotional recognition bias [OR = 0.39, (95% CI = 0.12 –
305 1.27), $p=0.12$] did not make any significant independent contribution to the full regression
306 model.

307 Since disease activity and emotional recognition bias showed a significant univariate
308 association with each other, we explored the effect of removing disease activity from the
309 regression model. When disease activity was removed from the model, the overall model
310 remained significant (Chi-square = 20.1, $p=0.003$, Cox and Snell R-square = 0.182), and
311 less positive emotional recognition bias ($B=-1.20$, $SE=0.58$, $p=0.04$, $\text{Exp}(B) = 0.30$) and less

1
2
3
4 312 social support (B=-1.31, SE=0.55, p=0.02, Exp (B) = 0.27) were the only variables to make a
5
6 313 significant independent contribution to the model.

7
8 314 Using the causal steps approach, disease activity was associated with emotional recognition
9
10 315 bias (B= -0.93, p=0.043) and both disease activity (B = 1.47, p=0.003) and emotional
11
12 316 recognition bias (B=-1.27, p=0.019) predicted depression. The contribution of disease
13
14 317 activity to the model decreased when emotional recognition was added to the model
15
16 318 (B=1.29, p=0.012, Figure 2), consistent with emotional recognition partially mediating the
17
18 319 effects of disease activity on depression (Figures 1b). Bootstrap test of indirect effect was
19
20 320 significant, and proportion of total effect mediated = 19.8%. Disease activity was also
21
22 321 associated with anxiety (B=1.2, p=0.03), though emotional recognition bias did not meet
23
24 322 criteria for mediation in this association, since the association between emotional recognition
25
26 323 bias and anxiety was non-significant (B=-0.48, p=0.38).
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4 324 **Discussion**

5 325 We found that depression affected 25% of people with IBD and was associated with a wide
6
7 326 range of sociodemographic, IBD-related and psychological factors including less positive
8
9 327 biases in emotional recognition. However, on multivariable analysis, depression was
10
11 328 predicted by a lack of social support and greater IBD activity, only. Causal steps analysis
12
13 329 suggested that emotional recognition bias partially mediated the relationship between
14
15 330 disease activity and depression, as we hypothesized.

16
17
18 331 This is the first study to explore links between disease activity and emotional processing
19
20 332 biases, with the aim of understanding mechanisms underpinning the development of
21
22 333 depression among people with IBD. Strengths of our study include the recruitment of a
23
24 334 representative sample of outpatients with IBD and the use of standardized assessments to
25
26 335 record key variables of interest, so we are confident that our findings are generalizable, valid
27
28 336 and reliable. Finally, our measures of emotional processing were selected from a battery of
29
30 337 tests designed specifically to evaluate changes in emotional processing associated with
31
32 338 mental disorders, informed by a systematic review of experimental findings relating to acute
33
34 339 inflammation.

35
36
37
38 340 The main weakness of our study was its cross-sectional design, meaning that we could not
39
40 341 determine the direction of causation of any of the observed associations. Despite our causal
41
42 342 steps approach, we recognize that mediation analyses based on cross-sectional data must
43
44 343 be regarded as preliminary, since spurious and inflated associations may occur⁴⁸. Also, ,
45
46 344 since conventional symptom scores are heavily weighted by quality of life and well-being
47
48 345 domains that can be influenced directly by depression, use of such scores to assess IBD
49
50 346 activity risks inflating the apparent association between IBD activity and depression. To
51
52 347 avoid this, we used the opinions of expert gastroenterologists to determine clinical disease
53
54 348 activity via retrospective inspection of medical records, blinded to depression status and the
55
56 349 outcomes of research assessments. Whilst the fact that people whose IBD was classified as
57
58 350 active had significantly higher hs-CRP levels and worse health-related quality of life scores, ,
59
60

1
2
3
4 351 provides some confirmation of the validity of our IBD activity assessment method, we
5
6 352 acknowledge that such an assessment is fundamentally subjective and therefore vulnerable
7
8 353 to bias. Future studies should consider using more valid and reliable measures of IBD activity
9
10 354 such as fecal calprotectin.

11
12 355 We interpret our findings as confirming that depression is common in hospital outpatients
13
14 356 with IBD, and that having active IBD and lacking of social support were the strongest
15
16 357 predictors of depression. This finding is consistent with our research in rheumatoid arthritis,
17
18 358 which showed that depression was more likely among people who experienced life
19
20 359 difficulties in both disease-related and non-disease related domains⁴⁹. Due to our small
21
22 360 population size and the loss of statistical power due to shifting from the planned multivariable
23
24 361 linear regression to logistic regression to accommodate the non-parametric distribution of
25
26 362 our key variables, we cannot conclude that other factors are unimportant in contributing to
27
28 363 depression at an individual level, merely that disease activity and social support were
29
30 364 important predictors of depression among our participants.

31
32
33
34 365 Whilst depression was associated with clinical disease activity, we did not find that
35
36 366 depression was associated with hs- C-reactive protein. This would seem to contradict the
37
38 367 ever growing observational evidence that depression is associated with inflammation. One
39
40 368 explanation could be that most patients recruited to this study were taking drugs that are
41
42 369 recognized to reduce inflammation, such as corticosteroids and TNF-alpha inhibitors, which
43
44 370 could have moderated the association between inflammation and depression⁵⁰⁻⁵². Common
45
46 371 use of such powerful anti-inflammatory drugs in clinical populations could mean that findings
47
48 372 from research into acute inflammation in healthy individuals performed in laboratory settings
49
50 373 or using population based, observational studies cannot necessarily be extrapolated directly
51
52 374 to clinical populations receiving such treatments. Another explanation could be that we did
53
54 375 not measure mediators of inflammation sufficiently thoroughly, being limited to CRP, an
55
56 376 inactive marker of depression. Furthermore, exclusion of IBD sufferers with most severe
57
58 377 depression and most severe IBD may have weakened associations that would have
59
60

1
2
3 378 otherwise been apparent if people with more severe health problems had been included.

4
5 379 Finally, of course, this lack of association could indicate that there is no association between
6
7 380 inflammation and depression among people with IBD.

8
9
10 381 Our finding of a reduction of positive bias during emotional recognition in people with active
11
12 382 compared to inactive IBD was robust and consistent with the previous small fMRI study of
13
14 383 patients with ulcerative colitis⁵³. Our findings that less positive biases in emotional
15
16 384 recognition partially mediate the association between IBD activity and depression are new
17
18 385 and start to elucidate the mechanisms underpinning depression among people with IBD, and
19
20 386 possibly other long term conditions.

21
22
23 387 Further research is required to investigate mechanisms underlying the development and
24
25 388 maintenance of depression and, in particular, to test our hypotheses that that the association
26
27 389 between disease activity / inflammation and depression might be mediated via emotional
28
29 390 processing biases. Larger participant numbers will increase statistical power so possibly
30
31 391 identifying other factors that are associated with depression but also facilitate analysis on
32
33 392 subgroups not taking anti-inflammatory drugs, which may influence the associations
34
35 393 between depression and disease activity. Study of populations with other chronic
36
37 394 inflammatory conditions may reveal subtle differences in the effects of inflammation and anti-
38
39 395 inflammatory drugs on depression. Assessment of cytokines and a broader range of
40
41 396 cognitive processes may provide a more comprehensive investigation of mechanisms
42
43 397 underlying depression. Prospective study design will enable stronger causal inferences to be
44
45 398 drawn if the nature of the temporal relationships between presumed predictors and
46
47 399 dependent variables can be established. Emotional recognition biases did not mediate the
48
49 400 association between disease activity and anxiety in this preliminary study, though anxiety
50
51 401 should be considered alongside depression in future studies of the impact of inflammation.

52
53 402 Our findings raise the possibility that psychological interventions targeting emotional
54
55 403 recognition biases among people with IBD, could be used to treat or even prevent
56
57
58
59
60

1
2
3
4 404 depression in high risk individuals, such as those with active IBD, and thereby possibly
5
6 405 improve medical as well as psychological outcomes.
7

8 406
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For Peer Review

1
2
3 407 **Acknowledgements, funding and disclosures**
4
5 408
6
7

8 409 **Authors' contributions**
9

- 10 410 1) Wilkinson: contributed to design, conducted data collection, conducted initial analyses,
11 wrote first draft and provided final approval
12 411
13 412 2) Dickens: conceived the original idea, provided the initial design and provided overall
14 supervision for data collection, analyses, interpretation, draft writing and final approval
15 413
16 414 3) Goodhand, Kennedy, Ahmad, Trick, Knight and Heerasing contributed to: design, data
17 collection, interpretation, draft writing and final approval
18 415
19 416 4) Bland, Elliott, Valton Roiser contributed to: analyses, interpretation, draft writing and
20 final approval
21 417
22
23 418
24
25
26
27
28
29

30 419 **Funding**
31

32 420 The submitted research was funded by the College of Medicine and Health, University of
33 Exeter.
34 421
35
36
37
38
39

40 423 **Disclosures**
41

42 424 None of the authors have conflicts of interest that relate directly to the submitted work.
43
44

45 425 For transparency, the author declare the following potential conflicts that are unrelated to the
46 current work:
47 426
48
49

50 427 **Goodhand** has received honoraria from Falk, Abbvie and Shield Therapeutics; grant funding
51 from Pharmacosmos (co-app); support from the Royal Devon and Exeter Externally Funded
52 Research (EFR) scheme.
53 429
54
55
56

57 430 **Kennedy** has received: grants from International Serious Adverse Events Consortium and
58 Pharmacosmos; personal fees from Falk, Allergan, Takeda and Pharmacosmos.
59 431
60

1
2
3
4 432 **Ahmad** has received: honoraria from Celltrion, NAPP, MSD, Abvie, Pfizer, Takeda, Janssen
5
6 433 and Immunodiagnostik; research grants from Celltrion, NAPP, MSD, Abvie, Pfizer, Tillots
7
8 434 and Immunodiagnostik; education grants / travel grants or fellowship from NAPP, MSD,
9
10 435 Abvie, Takeda and Tillots ; Equipment grants from Immunodiagnostik; sponsorship of post
11
12 436 doc within department from Immunodiagnostik.

13
14
15 437 **Dickens** has received research funding (co-app) from Pharmacosmos.

16
17 438

18
19 439
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For Peer Review

440 **References**

- 441 1. Fuller-Thomson E, Sulman J. Depression and inflammatory bowel disease: findings
442 from two nationally representative Canadian surveys. *Inflamm Bowel Dis*.
443 2006;12(8):697-707. doi:00054725-200608000-00005 [pii].
- 444 2. Roman AL, Munoz F. Comorbidity in inflammatory bowel disease. *World J*
445 *Gastroenterol*. 2011;17(22):2723-2733. doi:10.3748/wjg.v17.i22.2723 [doi].
- 446 3. Walker JR, Ediger JP, Graff L a, et al. The Manitoba IBD cohort study: a population-
447 based study of the prevalence of lifetime and 12-month anxiety and mood disorders.
448 *Am J Gastroenterol*. 2008;103(8):1989-1997. doi:10.1111/j.1572-0241.2008.01980.x.
- 449 4. Walker EA, Gelfand MD, Gelfand AN, Creed F, Katon WJ. The relationship of current
450 psychiatric disorder to functional disability and distress in patients with inflammatory
451 bowel disease. *Gen Hosp Psychiatry*. 1996;18(4):220-229. doi:0163834396000369
452 [pii].
- 453 5. Vidal A, Gomez-Gil E, Sans M, et al. Health-related quality of life in inflammatory
454 bowel disease patients: the role of psychopathology and personality. *Inflamm Bowel*
455 *Dis*. 2008;14(7):977-983. doi:10.1002/ibd.20388 [doi].
- 456 6. Hyphantis TN, Tomenson B, Bai M, Tsianos E, Mavreas V, Creed F. Psychological
457 distress, somatization, and defense mechanisms associated with quality of life in
458 inflammatory bowel disease patients. *Dig Dis Sci*. 2010;55(3):724-732.
459 doi:10.1007/s10620-009-0762-z [doi].
- 460 7. Guthrie E, Jackson J, Shaffer J, Thompson D, Tomenson B, Creed F. Psychological
461 disorder and severity of inflammatory bowel disease predict health-related quality of
462 life in ulcerative colitis and Crohn's disease. *Am J Gastroenterol*. 2002;97(8):1994-
463 1999. doi:10.1016/S0002-9270(02)04198-9.
- 464 8. Zhang CK, Hewett J, Hemming J, et al. The influence of depression on quality of life

- 1
2
3
4 465 in patients with inflammatory bowel disease. *Inflamm Bowel Dis*. 2013;19(8):1732-
5 466 1739. doi:10.1097/MIB.0b013e318281f395 [doi].
6
7
8 467 9. Farrokhyar F, Marshall JK, Easterbrook B, Irvine EJ. Functional gastrointestinal
9 468 disorders and mood disorders in patients with inactive inflammatory bowel disease:
10 469 prevalence and impact on health. *Inflamm Bowel Dis*. 2006;12(1):38-46.
11
12
13
14
15 470 10. de Boer AG, Sprangers MA, Bartelsman JF, de Haes HC. Predictors of health care
16 471 utilization in patients with inflammatory bowel disease: a longitudinal study. *Eur J*
17 472 *Gastroenterol Hepatol*. 1998;10(9):783-789.
18 473 <http://www.ncbi.nlm.nih.gov/pubmed/9831274>.
19
20
21
22
23
24 474 11. Drossman DA, Leserman J, Mitchell CM, Li ZM, Zagami EA, Patrick DL. Health status
25 475 and health care use in persons with inflammatory bowel disease. A national sample.
26 476 *Dig Dis Sci*. 1991;36(12):1746-1755. <http://www.ncbi.nlm.nih.gov/pubmed/1748045>.
27
28
29
30
31 477 12. Mittermaier C, Dejaco C, Waldhoer T, et al. Impact of depressive mood on relapse in
32 478 patients with inflammatory bowel disease: a prospective 18-month follow-up study.
33 479 *Psychosom Med*. 2004;66(1):79-84.
34
35
36
37
38 480 13. Porcelli P, Leoci C, Guerra V, Taylor GJ, Bagby RM. A longitudinal study of
39 481 alexithymia and psychological distress in inflammatory bowel disease. *J Psychosom*
40 482 *Res*. 1996;41(6):569-573. doi:S0022399996002218 [pii].
41
42
43
44
45 483 14. Persoons P, Vermeire S, Demyttenaere K, et al. The impact of major depressive
46 484 disorder on the short- and long-term outcome of Crohn's disease treatment with
47 485 infliximab. *Aliment Pharmacol Ther*. 2005;22(2):101-110. doi:10.1111/j.1365-
48 486 2036.2005.02535.x.
49
50
51
52
53
54 487 15. Mikocka-Walus A, Pittet V, Rossel JB, von KR. Symptoms of Depression and Anxiety
55 488 Are Independently Associated With Clinical Recurrence of Inflammatory Bowel
56 489 Disease. *Clin Gastroenterol Hepatol*. 2016;14(6):829-835. doi:S1542-3565(16)00047-
57
58
59
60

- 1
2
3
4 490 1 [pii];10.1016/j.cgh.2015.12.045 [doi].
5
6 491 16. North CS, Clouse RE, Spitznagel EL, Alpers DH. The relation of ulcerative colitis to
7
8 492 psychiatric factors: a review of findings and methods. *Am J Psychiatry*.
9
10 493 1990;147(8):974-981. doi:10.1176/ajp.147.8.974.
11
12
13 494 17. Acosta-Ramirez D, Pagan-Ocasio V, Torres EA, Rodriguez M, Caro O. Profile of the
14
15 495 inflammatory bowel disease patient with depressive disorders. *P R Heal Sci J*.
16
17 496 2001;20(3):215-220. <http://www.ncbi.nlm.nih.gov/pubmed/11776721>.
18
19
20 497 18. Freitas TH, Andreoulakis E, Alves GS, et al. Associations of sense of coherence with
21
22 498 psychological distress and quality of life in inflammatory bowel disease. *World J*
23
24 499 *Gastroenterol*. 2015;21(21):6713-6727. doi:10.3748/wjg.v21.i21.6713 [doi].
25
26
27 500 19. Goodhand JR, Wahed M, Mawdsley JE, Farmer AD, Aziz Q, Rampton DS. Mood
28
29 501 disorders in inflammatory bowel disease: relation to diagnosis, disease activity,
30
31 502 perceived stress, and other factors. *Inflamm Bowel Dis*. 2012;18(12):2301-2309.
32
33 503 doi:10.1002/ibd.22916.
34
35
36 504 20. Nahon S, Lahmek P, Durance C, et al. Risk factors of anxiety and depression in
37
38 505 inflammatory bowel disease. *Inflamm Bowel Dis*. 2012;18(11):2086-2091.
39
40 506 doi:10.1002/ibd.22888 [doi].
41
42
43 507 21. Panara AJ, Yarur AJ, Rieders B, et al. The incidence and risk factors for developing
44
45 508 depression after being diagnosed with inflammatory bowel disease: a cohort study.
46
47 509 *Aliment Pharmacol Ther*. 2014;39(8):802-810. doi:10.1111/apt.12669 [doi].
48
49
50 510 22. Howren MB, Lamkin DM, Suls J. Associations of depression with C-reactive protein,
51
52 511 IL-1, and IL-6: a meta-analysis. *Psychosom Med*. 2009;71(2):171-186.
53
54 512 doi:10.1097/PSY.0b013e3181907c1b.
55
56
57 513 23. Gimeno D, Kivimäki M, Brunner EJ, et al. Associations of C-reactive protein and
58
59 514 interleukin-6 with cognitive symptoms of depression: 12-year follow-up of the
60

- 1
2
3
4 515 Whitehall II study. *Psychol Med.* 2010;39(3):413-423.
5
6 516 doi:10.1017/S0033291708003723.Associations.
7
8
9 517 24. Stewart JC, Rand KL, Muldoon MF, Kamarck TW. A prospective evaluation of the
10
11 518 directionality of the depression-inflammation relationship. *Brain Behav Immun.*
12
13 519 2009;23(7):936-944. doi:10.1016/j.bbi.2009.04.011.
14
15 520 25. Reichenberg A, Yirmiya R, Schuld A, et al. Cytokine-associated emotional and
16
17 521 cognitive disturbances in humans. *Arch Gen Psychiatry.* 2001;58(5):445-452.
18
19 522 <http://www.ncbi.nlm.nih.gov/pubmed/11343523>.
20
21
22 523 26. Wright CE, Strike PC, Brydon L, Steptoe A. Acute inflammation and negative mood:
23
24 524 mediation by cytokine activation. *Brain Behav Immun.* 2005;19(4):345-350.
25
26 525 doi:10.1016/j.bbi.2004.10.003.
27
28
29 526 27. Guloksuz S, Wichers M, Kenis G, et al. Depressive Symptoms in Crohn's Disease:
30
31 527 Relationship with Immune Activation and Tryptophan Availability. Mazza M, ed. *PLoS*
32
33 528 *One.* 2013;8(3):e60435. doi:10.1371/journal.pone.0060435.
34
35
36 529 28. Loftus E V, Feagan BG, Colombel JF, et al. Effects of adalimumab maintenance
37
38 530 therapy on health-related quality of life of patients with Crohn's disease: patient-
39
40 531 reported outcomes of the CHARM trial. *Am J Gastroenterol.* 2008;103:3132-3141.
41
42 532 <http://www.ncbi.nlm.nih.gov/pubmed/18853973>.
43
44
45 533 29. Minderhoud IM, Samsom M, Oldenburg B. Crohn's disease, fatigue, and infliximab: is
46
47 534 there a role for cytokines in the pathogenesis of fatigue? *World J Gastroenterol.*
48
49 535 2007;13:2089-2093. <http://www.ncbi.nlm.nih.gov/pubmed/17465453>.
50
51
52 536 30. Bollen J, Trick L, Llewellyn D, Dickens C, Bollen, J.; Trick, L.; Llewellyn, D.; Dickens
53
54 537 C. The effects of acute inflammation on cognitive functioning and emotional
55
56 538 processing in humans: A systematic review of experimental studies. *J Psychosom*
57
58 539 *Res.* 2017;94:47-55. doi:10.1016/j.jpsychores.2017.01.002.
59
60

- 1
2
3
4 540 31. Clark L, Chamberlain SR, Sahakian BJ. Neurocognitive mechanisms in depression:
5 541 implications for treatment. *AnnuRevNeurosci*. 2009;32(0147-006X (Linking)):57-74.
6
7
8 542 32. Roiser JP, Elliott R, Sahakian BJ. Cognitive mechanisms of treatment in depression.
9 543 *Neuropsychopharmacology*. 2012;37(1):117-136. doi:10.1038/npp.2011.183.
10
11
12
13 544 33. Green SB. How Many Subjects Does It Take To Do A Regression Analysis?
14 545 *Multivariate Behav Res*. 1991. doi:10.1207/s15327906mbr2603_7.
15
16
17
18 546 34. Kroenke K, Spitzer RL, Williams JBW. The PHQ-9: Validity of a brief depression
19 547 severity measure. *J Gen Intern Med*. 2001;16(9):606-613. doi:10.1046/j.1525-
20 548 1497.2001.016009606.x.
21
22
23
24
25 549 35. Spitzer RL, Kroenke K, Williams JBW, Löwe B. A brief measure for assessing
26 550 generalized anxiety disorder: the GAD-7. *Arch Intern Med*. 2006;166(10):1092-1097.
27 551 doi:10.1001/archinte.166.10.1092.
28
29
30
31
32 552 36. Berkman LF, Blumenthal J, Burg M, et al. Effects of treating depression and low
33 553 perceived social support on clinical events after myocardial infarction: the Enhancing
34 554 Recovery in Coronary Heart Disease Patients (ENRICH) Randomized Trial. *JAMA*.
35 555 2003;289(23):3106-3116. doi:10.1001/jama.289.23.3106.
36
37
38
39
40
41 556 37. Mitchell PH, Powell L, Blumenthal J, et al. A short social support measure for patients
42 557 recovering from myocardial infarction: the _ENRICH_ Social Support Inventory. *J*
43 558 *Cardiopulm Rehabil*. 2003;_2_3:398__-403.
44
45
46
47
48 559 38. Vaglio J, Conard M, Poston WS, et al. Testing the performance of the ENRICH
49 560 Social Support Instrument in cardiac patients. *Health Qual Life Outcomes*.
50 561 2004;2(1):24. doi:10.1186/1477-7525-2-24.
51
52
53
54
55 562 39. Brugha T, Bebbington P, Tennant C, Hurry J. The List of Threatening Experiences: a
56 563 subset of 12 life event categories with considerable long-term contextual threat.
57 564 *Psychol Med*. 1985;15(1):189-194. <http://www.ncbi.nlm.nih.gov/pubmed/3991833>.
58
59
60

- 1
2
3
4 565 40. W.H.O. EQ-5D. <https://euroqol.org/eq-5d-instruments/eq-5d-5l-about/>. Published
5 566 2018.
6
7
8 567 41. Han SW, Gregory W, Nylander D, et al. The SIBDQ: further validation in ulcerative
9 568 colitis patients. *Am J Gastroenterol*. 2000;95(1):145-151. doi:S0002927099007352
10 569 [pii];10.1111/j.1572-0241.2000.01676.x [doi].
11
12
13
14
15 570 42. Irvine EJ, Zhou Q, Thompson AK. The Short Inflammatory Bowel Disease
16 571 Questionnaire: a quality of life instrument for community physicians managing
17 572 inflammatory bowel disease. CCRPT Investigators. Canadian Crohn's Relapse
18 573 Prevention Trial. *Am J Gastroenterol*. 1996;91(8):1571-1578.
19
20
21
22
23
24 574 43. Silverberg MS, Satsangi J, Ahmad T, et al. Toward an integrated clinical, molecular
25 575 and serological classification of inflammatory bowel disease: report of a Working Party
26 576 of the 2005 Montreal World Congress of Gastroenterology. *Can J Gastroenterol*.
27 577 2005;19 Suppl A:5A-36A.
28
29
30
31
32
33 578 44. 2015 D for C and LG. *The English Indices of Deprivation 2015*. London
34
35
36 579 45. Bland AR, Roiser JP, Mehta MA, et al. EMOTICOM: A Neuropsychological Test
37 580 Battery to Evaluate Emotion, Motivation, Impulsivity, and Social Cognition. *Front*
38 581 *Behav Neurosci*. 2016;10:25. doi:10.3389/fnbeh.2016.00025.
39
40
41
42
43 582 46. Baron RM, Kenny DA. The moderator-mediator variable distinction in social
44 583 psychological research: conceptual, strategic and statistical considerations. *J Pers*
45 584 *Soc Psychol*. 1986;51(6):1173-1182. doi:10.1037/0022-3514.51.6.1173.
46
47
48
49
50 585 47. Preacher KJ, Hayes AF. SPSS and SAS procedures for estimating indirect effects in
51 586 simple mediation models. *Behav Res Methods, Instruments, Comput*. 2004;36(4):717-
52 587 731. doi:10.3758/BF03206553.
53
54
55
56
57 588 48. Maxwell S, Cole D. Bias in cross-sectional analyses of longitudinal mediation. *Psychol*
58 589 *Methods*. 2007;12:23-44.
59
60

- 1
2
3
4 590 49. Dickens C, Jackson J, Tomenson B, Hay E, Creed F. Association of depression and
5
6 591 rheumatoid arthritis. *Psychosomatics*. 2003;44(3). doi:10.1176/appi.psy.44.3.209.
7
8 592 50. Abbott R, Whear R, Nikolaou V, et al. Tumour necrosis factor- α inhibitor therapy in
9
10 593 chronic physical illness: A systematic review and meta-analysis of the effect on
11
12 594 depression and anxiety. *J Psychosom Res*. 2015;79(3).
13
14 595 doi:10.1016/j.jpsychores.2015.04.008.
16
17 596 51. Müller N, Schwarz MJ, Dehning S, et al. The cyclooxygenase-2 inhibitor celecoxib has
18
19 597 therapeutic effects in major depression: Results of a double-blind, randomized,
20
21 598 placebo controlled, add-on pilot study to reboxetine. *Mol Psychiatry*. 2006;11(7):680-
22
23 599 684. doi:10.1038/sj.mp.4001805.
24
25
26 600 52. Brown ES. Effects of glucocorticoids on mood, memory, and the hippocampus:
27
28 601 Treatment and preventive therapy. In: *Annals of the New York Academy of Sciences*.
29
30 602 Vol 1179. ; 2009:41-55. doi:10.1111/j.1749-6632.2009.04981.x.
31
32
33 603 53. Agostini A, Filippini N, Cevolani D, et al. Brain functional changes in patients with
34
35 604 ulcerative colitis. *Inflamm Bowel Dis*. 2011;17(8):1769-1777. doi:10.1002/ibd.21549.
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

606 **Tables**607 **Table 1 Characteristics of subjects recruited (Median (IQR) or n (%))**

Subject characteristic		
Socio-demographic characteristics		
Age	Years	44.0 (33.3-56.0)
Sex	Male	52 (43.3)
Ethnicity	White British	120 (100)
Socio-economic	IMD decile	6.0 (4.0-8.0)
Education (n=98)	Years	15.0 (12.0-18.0)
Employment	Working	68 (64.8)
Smoking	Current	10 (8.3)
	Ex	21 (17.5)
	Never	89 (82.5)
Relationships	In a relationship	73 (69.5)
Lives alone		14 (13.3)
ENRICHHD (n=105)		26.0 (22.0-29.0)
IBD characteristics		
Disease type	Crohn's	68 (56.7)
	UC	49 (40.8)
	IBD-U	3 (2.5)
Disease duration	Years	9.2 (4.2-15.2)
Age at diagnosis	Years	29.9 (22.3-43.6)
Disease activity	Active disease	35 (29.2)
Crohn's Disease Montreal Classification (n=68)		

Subject characteristic		
Age	A1: Age <17	9 (13.2)
	A2: 17-40	42 (61.8)
	A3: >40	17 (25.0)
Location of Crohn's	L1: Ileal	28 (41.2)
	L2: Colonic	17 (25.0)
	L3: Ileocolonic	23 (33.8)
	+ L4: Upper GI	12 (17.6)
Crohn's behaviour	B1: Inflammatory	41 (60.3)
	B2: Stricturing	21 (30.9)
	B3: Penetrating	6 (8.8)
	+ p: Perianal	10 (14.7)
UC Montreal Classification	E1: Proctitis	8 (15.4)
	E2: Distal colitis	20 (38.5)
	E3: Total colitis	24 (46.2)
Medications	5 ASA	32.26.7
	Corticosteroids	8 (6.7)
	Thiopurine	46 (38.3)
	Methotrexate	4 (3.3)
	Anti-TNF	46 (38.3)
	Vedolizumab	22 (18.3)
	Ustekinumab	2 (1.7)
Prior surgeries	None	94 (78.3)
	Ileocecal resection	20 (16.7)
	Subtotal colectomy	3 (2.5)
	Small bowel resection	2 (1.7)
	Right hemicolectomy	1 (0.8)

Subject characteristic		
Baseline laboratory indices	Haemoglobin (g/L)	134.0 (124.0-141.8)
	MCV (fL)	89.3 (85.6-94.0)
	White cell count (x10 ⁹ /L)	6.9 (5.8-8.6)
Baseline laboratory indices	Platelet count (x10 ⁹ /L)	242.0 (212.3-304.8)
	Haematocrit (vol%)	39 (37-41)
	Hs-CRP (n=107 mg/L)	1.7 (0.80-4.70)
	Hs C-reactive protein >3 mg/L (n=107)	40 (37.4)
SIBDQ subscales	Systemic (n=105)	4.5 (3.3-5.5)
	Social (n=104)	6.0 (5.0-7.0)
	Bowel (n=104)	5.3 (4.3-6.0)
	Emotional (n=104)	5.0 (3.7-6.0)
Total SIBDQ	(n=105)	4.9 (4.3-5.8)
EQ-5D VAS	(n=105)	75.0 (62.5-85.0)
EQ-5D index value	(n=105)	0.70 (0.72-0.95)
Psychological characteristics		
PHQ-9	(n=104)	5.5 (3.0-10.5)
PHQ-9≥10	(n=104)	26 (25.0)
<u>GAD-7</u>	<u>(n=105)</u>	<u>5.0 (1.0 – 8.0)</u>
<u>GAD-7≥10</u>	<u>(n=105)</u>	<u>18 (17.1)</u>
Recent Life stresses	Yes	60 (57.1)
Cognitive assessments		
Emotional recognition bias (n=112)		15.0 (0.0-30.0)

Subject characteristic		
Emotional memory bias (n=108)		-10 (-30 – 0.0)
Reward and punishment processing	Learning rate Win (n=48*)	0.10 (0.02-0.56)
	Temperature Win (n=48)	0.17 (0.03-0.46)
	Learning rate Loss (n=48*)	0.22 (0.06-0.53)
	Temperature loss (n=48)	1.0 (0.72-1.08)

608

609 Number of participants (n) = 120, unless otherwise stated; IMD = Index of multiple

610 deprivation; SIBDQ = Short Inflammatory Bowel Disease questionnaire

611 IQR=interquartile range

612 *Individuals showing no evidence of learning were excluded from these results

For Peer Review

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Table 2 Comparing depressed with non-depressed

Characteristic		Depressed (N=26)	Non-depressed (N=78)	Comparison
Socio-demographic factors				
Age	Years	42.5(33.8-50.8)	49.5(35.0-59.0)	MW, p=0.09
Sex	Male	7 (26.9)	40 (51.3)	$\chi^2(1)=4.7$, p=0.031
Ethnicity	White British	26 (100)	78 (100)	
Socio-economic	IMD decile	5.0(4.0-8.0)	6.0(4.0-8.0)	MW, p=0.45
Education	Years	13(12.5-18.0)	15(12.0-17.8)	MW, p=0.83
Employment	Working	13 (50)	54 (69.2)	$\chi^2(1)=3.1$, p=0.08
Smoking	Current	3 (11.5)	3 (3.8)	$\chi^2(2)= 3.9$, p=0.14
	Ex	7 (26.9)	13 (16.7)	
	Never	16 (61.5)	62 (79.5)	
Relationships	In a relationship	16 (61.5)	56 (71.8)	$\chi^2(1)=0.96$, p=0.33
Lives alone		3 (11.5)	11 (14.1)	FET, p=1.0

Characteristic		Depressed (N=26)	Non-depressed (N=78)	Comparison
ENRICHD		21.0(15.8-23.3)	27.5(24.0-29.3)	MW, p<0.0005
IBD characteristics				
Disease type	Crohn's	15 (57.7)	40 (51.3)	$\chi^2(2)=1.17, p=0.56$
	UC	10 (38.5)	37 (47.4)	
	IBD-U	1 (3.8)	1 (1.3)	
Disease duration	Years	5.9(1.0-14.7)	10.1(4.3-16.0)	MW, p=0.054
Age at diagnosis	Years	29.6(20.9-47.0)	33.0(24.3-47.6)	MW, p=0.45
Disease activity	Active disease	13 (50)	17 (21.8)	$\chi^2(1)=7.4, p=0.007$
Crohn's Disease Montreal Classification	A1: Age <17	2 (13.3)	4 (10.0)	$\chi^2(2)=0.23, p=0.89$
	A2: 17-40	8 (53.3)	24 (60.0)	
	A3: >40	5 (33.3)	12 (30.0)	
	L1: Ileal	7 (46.7)	13 (32.5)	$\chi^2(2)=1.05, p=0.59$
	L2: Colonic	3 (20.0)	12 (30.0)	
	L3: Ileocolonic	5 (33.3)	15 (37.5)	
	+ L4: Upper GI	5 (33.3)	4 (10.0)	FET, p=0.095

Characteristic		Depressed (N=26)	Non-depressed (N=78)	Comparison
	B1: Inflammatory	9 (60.0)	24 (60.0)	$\chi^2(2)=0.17, p=0.92$
	B2: Stricturing	5 (33.3)	12 (30.0)	
	B3: Penetrating	1 (6.7)	4 (10.0)	
	+ p: Perianal	2 (13.3)	6 (15.0)	
UC Montreal Classification	E1: Proctitis	4 (36.4)	4 (10.5)	$\chi^2(2)=4.27, p=0.12$
	E2: Distal colitis	3 (27.3)	17 (44.7)	
	E3: Total colitis	4 (36.4)	17 (44.7)	
Medications	5 ASA	9 (34.6)	22 (28.2)	$\chi^2(1)=0.38, p=0.54$
	Corticosteroids	3 (11.5)	4 (5.1)	FET, p=0.36
	Thiopurine	7 (26.9)	32 (41.0)	$\chi^2(1)=1.66, p=0.20$
	Methotrexate	1 (3.8)	3 (3.8)	FET, p=1.0
	Anti-TNF	3 (11.5)	33 (42.3)	$\chi^2(1)=8.2, p=0.004$
	Vedolizumab	6 (23.1)	13 (16.7)	FET, p=0.56
	Ustekinumab	1 (3.8)	1 (1.3)	FET, p=0.44
Prior surgeries	None	20 (76.9)	64 (82.1)	$\chi^2(4)=2.9, p=0.57$

Characteristic		Depressed (N=26)	Non-depressed (N=78)	Comparison
	Ileocecal resection	5 (19.2)	9 (11.5)	
	Subtotal colectomy	0 (0.0)	3 (3.8)	
	Small bowel resection	1 (3.8)	1 (1.3)	
	Right hemicolectomy	0 (0.0)	1 (1.3)	
Baseline laboratory indices	Haemoglobin (g/L)	130.5(117.8-143.0)	134.5(127.8-143.5)	MW, p=0.18
	MCV (fL)	88.7(84.0-93.0)	89.3(85.7-94.2)	MW, p=0.53
	White cell count (x10 ⁹ /L)	7.4(6.0-9.2)	6.7(5.7-8.2)	MW, p=0.24
	Platelet count (x10 ⁹ /L)	253.5(220.0-355.0)	234.0(207.5-299.3)	MW, p=0.07
	Haematocrit (vol%)	0.38(0.33-0.41)	0.40(0.37-0.42)	MW, p=0.13
	Hs-C-reactive protein > 3mg/L (n=99)	9 (39.1)	23 (31.1)	$\chi^2(1)=5.1, p=0.473$
SIBDQ subscales	Systemic	3.5(2.4-4.1)	4.5(3.5-5.5)	MW, p<0.0005
	Social	5.0(3.5-5.6)	6.5(5.0-7.0)	MW, p<0.0005
	Bowel	4.3(3.5-5.3)	5.7(4.7-6.3)	MW, p<0.0005
	Emotional	3.3(2.9-3.7)	5.3(4.3-6.3)	MW, p<0.0005

Characteristic		Depressed (N=26)	Non-depressed (N=78)	Comparison
Total SIBDQ		4.0(3.3-4.6)	5.4(4.6-6.1)	MW, p<0.0005
EQ-5D VAS		62.5(43.8-70.0)	80.0(70.0-85.3)	MW, p<0.0005
EQ-5D index value		0.72(0.53-0.76)	0.88(0.74-1.00)	MW, p<0.0005
Psychological characteristics				
PHQ-9		13.0(12.0-16.0)	5.0(2.0-6.3)	MW, p<0.0005
GAD-7		9.0 (6.0 – 12.0)	2.0 (0.0-5.250)	MW, p<0.0005
Recent Life stresses	Yes	22 (84.6)	37 (47.4)	$\chi^2(1)=11.0, p=0.001$
Cognitive assessments				
Emotional recognition		2.5(-25.0-15.0)	15.0(0.0-35.0)	MW, p=0.002
Emotional memory		-10.0(-30.0-0.0)	-20.0(-30.0-0.0)	MW, p=0.72
Reward and punishment processing				
- Learning rate Win (n=43*)		0.05 (0.03-0.21)	0.12 (0.02-0.56)	MW, p=0.69
- Temperature Win (n=43*)		0.14 (0.03-0.36)	0.19 (0.02-0.48)	MW, p=0.71

Characteristic	Depressed (N=26)	Non-depressed (N=78)	Comparison
- Learning rate Loss (n=43*)	0.30 (0.11-0.64)	0.20 (0.02-0.53)	MW, p=0.34
- Temperature Loss (n=43*)	0.96 (0.72-1.07)	1.0 (0.66-1.08)	MW, p=0.99

Number of participants (n) = 104 (the number completing the depression assessment), unless otherwise stated;

IMD = Index of multiple deprivation

SIBDQ = Short Inflammatory Bowel Disease questionnaire;

IQR=interquartile range; FET = Fisher's Exact Test (2-sided), used

when cross-tabulation includes cells with expected count<5

*Individuals showing no evidence of learning were excluded from these results

Table 3 Multivariable predictors of depression

	O.R.	95% CI	Sig.
Age < 45 yrs versus ≥45 yrs	0.71	0.23 - 2.24	0.56
Sex	2.11	0.68 – 6.56	0.20
IMD category (high vs low)	0.82	0.27 – 2.51	0.72
Social support (high versus low)	0.25	0.08 – 0.76	0.02
Type of IBD (Crohn's versus UC)	1.44	0.54 – 3.88	0.47
Disease activity (Active versus inactive)	3.64	1.14 – 11.60	0.03
Emotional Recognition categorical (more positive versus more negative)	0.39	0.12 – 1.27	0.12
Constant	0.18		0.30

OR = Odds ratio

CI = confidence intervals

IMD = Index of multiple deprivation.



1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Figure Legends**Figure 1**

C' = total effect of predictor on outcome

a = direct effect of predictor on mediator

b = direct effect of mediator on outcome

c = direct effect of predictor on outcome

a x b = indirect effect of predictor on outcome via mediator

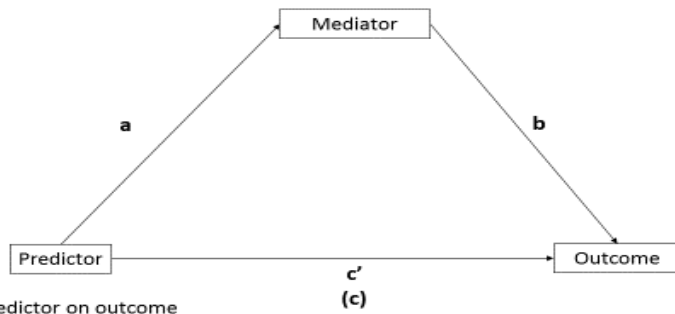
Figure 2

^ap ≤ 0.001, ^bp ≤ 0.01, ^cp ≤ 0.05

Paths annotated with unstandardized regression coefficients

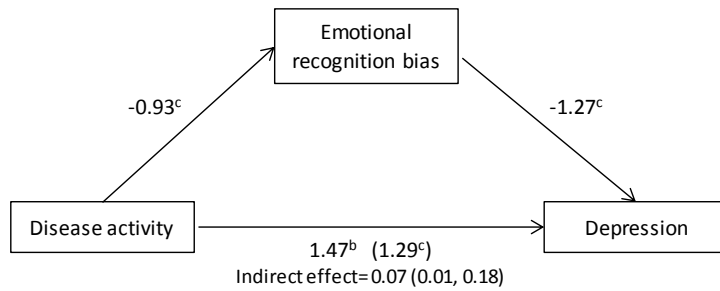
For Peer Review

Figure 1 Method used for testing mediation



c' = total effect of predictor on outcome
a = direct effect of predictor on mediator
b = direct effect of mediator on outcome
c = direct effect of predictor on outcome
a x b = indirect effect of predictor on outcome via mediator

Figure 2 Path diagram of mediation



^a $p \leq 0.001$ ^b $p \leq 0.01$ ^c $p \leq 0.05$.
Paths annotated with unstandardised regression coefficients.