

**Words:** 3,600

**Tables:** 1

**Figures:** 4

## **Cognitive Change in Schizophrenia and Other Psychoses in the Decade Following the First Episode**

Jolanta Zanelli PhD<sup>\*1</sup>, Josephine Mollon PhD<sup>2</sup>, Sven Sandin PhD<sup>3,4</sup>, Craig Morgan PhD<sup>5</sup>, Paola Dazzan MD, PhD<sup>1</sup>, Izabela Pilecka, PhD<sup>1,12</sup>, Tiago Reis Marques, MD, PhD<sup>1</sup>, Anthony S David, MD<sup>1</sup>, Kevin Morgan PhD<sup>6</sup>, Paul Fearon, MD, PhD<sup>7</sup>, Gillian A Doody, MD<sup>8</sup>, Peter B. Jones MD, PhD<sup>9</sup>, Robin M Murray FRS<sup>1</sup>, Abraham Reichenberg PhD<sup>1,3,10,11</sup>

<sup>1</sup> Department of Psychosis Studies, Institute of Psychiatry, Psychology and Neuroscience, King's College London, UK

<sup>2</sup> Department of Psychiatry, Yale University, Connecticut, USA

<sup>3</sup> Department of Psychiatry, Icahn School of Medicine at Mount Sinai, New York, NY, USA

<sup>4</sup> Department of Medical Epidemiology and Biostatistics, Karolinska Institutet, Sweden

<sup>5</sup> Centre for Public Mental Health, Health Service and Population Research Department, Institute of Psychiatry, Psychology and Neuroscience, King's College London, UK

<sup>6</sup> Department of Psychology, University of Westminster, London, UK

<sup>7</sup> Department of Psychiatry, Trinity College, Dublin, Ireland

<sup>8</sup> Division of Psychiatry and Applied Psychology, University of Nottingham, Nottingham, UK

<sup>9</sup> Department of Psychiatry, University of Cambridge, Cambridge, UK

<sup>10</sup> Department of Preventive Medicine, Icahn School of Medicine at Mount Sinai, New York, USA

<sup>11</sup> Friedman Brain Institute, Icahn School of Medicine at Mount Sinai, New York, USA

<sup>12</sup> Department of Psychology, Institute of Psychiatry, Psychology and Neuroscience, King's College London, UK

## **Acknowledgements**

We wish to acknowledge the contributions of the entire ÆSOP study team. This study was funded by the UK Medical Research Council. We also wish to thank the Stanley Medical Research Institute for their support. This paper represents independent research part funded by the National Institute for Health Research (NIHR) Biomedical Research Centre at South London and Maudsley NHS Foundation Trust and King's College London. The views expressed are those of the authors and not necessarily those of the NHS, the NIHR or the Department of Health and Social Care.

## **Declaration of Interest**

None. Dr. Jones has acted as a consultant to Bristol-Myers Squibb, Eli Lilly, and Otsuka. Dr Murray has received honoraria from Otsuka, Sunovion, and Janssen for lectures

1 **Abstract**

2 **Objective:** Schizophrenia is associated with a large cognitive impairment that is widely believed to  
3 remain stable after illness onset. Yet, even to date, 10-year prospective studies of cognitive  
4 functioning following the first episode with good methodology are rare. We examined whether  
5 schizophrenia patients experience cognitive decline following the first episode, whether this decline  
6 is generalized or confined to individual neuropsychological functions, and whether decline is specific  
7 to schizophrenia.

8 **Method:** Participants were from a population-based, case-control study of patients with first-  
9 episode psychosis that were followed prospectively up to 10 years post first admission. A  
10 neuropsychological battery was administered at index presentation and at follow-up to patients with  
11 a diagnosis of schizophrenia (n=65), or other psychoses (n=41), as well as to healthy comparison  
12 subjects (n=103).

13 **Results:** The schizophrenia group exhibited declines in IQ and in measures of verbal knowledge, and  
14 memory, but not processing speed or executive functions. Processing speed and executive function  
15 impairments were already present at the first episode and remained stable thereafter. Magnitude of  
16 declines ranged between 0.28 and 0.66 standard deviations. Decline in measures of memory was not  
17 specific to schizophrenia and was also apparent in the group of patients with other psychoses.  
18 Healthy individuals with low IQ, on the other hand, showed no evidence of decline, suggesting that a  
19 decline is specific to psychosis.

20 **Conclusions:** Patients with schizophrenia and other psychoses experience cognitive decline after  
21 illness onset, but the magnitude of decline varies across cognitive functions. Distinct mechanisms  
22 consequent upon the illness and/or psychosocial factors may underlie impairments across different  
23 cognitive functions.

## 24 Introduction

25 Cognitive impairment is a core feature of schizophrenia(1, 2). Understanding the nature and course  
26 of this impairment may have important implications for our understanding of the pathophysiology of  
27 the disorder.

28 Research has shown that individuals diagnosed with schizophrenia experience cognitive decline from  
29 the premorbid to post-onset period. There is clear evidence for moderate cognitive deficits in  
30 children and adolescents who later develop schizophrenia, with meta-analyses showing an average  
31 premorbid deficit equal to 8 IQ points (0.5 Standard Deviation (SD))(3, 4). Cognitive deficits in adults  
32 diagnosed with schizophrenia are more pronounced, with meta-analyses reporting a 14-point IQ  
33 deficit (0.90 SD) in first-episode schizophrenia patients (5) and 15- to 21-point IQ deficits (1.0 to 1.5  
34 SD) in chronic schizophrenia patients (1, 6, 7). In line with cross-sectional evidence, longitudinal  
35 studies of cognitive change in schizophrenia from before to after illness onset have shown evidence  
36 for cognitive decline (8). Three population-based studies have reported cognitive declines ranging  
37 from 6 to 12 IQ points (0.4 – 0.8 SD) between childhood and adulthood in individuals later diagnosed  
38 with schizophrenia (8-10).

39 Despite evidence for cognitive decline from before to after illness onset, the course of cognitive  
40 decline in schizophrenia remains unclear. While it is widely believed that cognitive impairments  
41 stabilize after illness onset (11-13), at least until older adult life (12, 14), few longitudinal studies  
42 have examined cognitive change from illness onset through to a decade later (**sTable 1**), and findings  
43 across studies and cognitive domains are mixed. Studies have reported a stabilization of the  
44 cognitive deficits, cognitive decline, as well as amelioration of cognitive functioning (**sTable 1** and ref  
45 # (15)).

46 Previous studies have been unable to comprehensively chart the course of cognitive deficits for  
47 several reasons. First, the majority of studies have used clinical samples, which may not be fully  
48 representative of the population of individuals with schizophrenia (8). Second, most studies followed  
49 participants for only 1 to 3 years from illness onset (**sTable 1**). We previously reported a slow,  
50 gradual increase in premorbid cognitive deficits, with losses equal to between 0.5 and 1 IQ point per  
51 year (16). Studies with short follow-ups, therefore, may be underpowered to capture decline. Third,  
52 few studies have included comparison groups, and therefore have not considered the potential  
53 impact of normative age-associated changes in cognitive functioning, which is necessary to  
54 rigorously test for cognitive change. Since, brain maturation continues into the third decade of life  
55 (17), previous estimates of the magnitude of cognitive decline may be biased. Finally, few studies

56 have examined the effect of medication on cognitive functioning, and yet recent findings suggest  
57 that antipsychotic medications may contribute to the severity of cognitive decline (18).

58 In a previous report on this population-based, case-control study, we provided evidence for an IQ  
59 deficit, as well as varying degrees of impairment across individual cognitive domains following the  
60 first psychiatric diagnosis of schizophrenia (19). Study participants have since been followed-up and  
61 underwent neuropsychological testing a second time. Using identical neuropsychological measures  
62 at first assessment and follow-up, we were able to directly examine change in IQ and in individual  
63 cognitive functions after the first episode. To provide an accurate estimate of cognitive change over  
64 time, we compared patients to the healthy comparison subjects in the study followed during the  
65 same period. We tested three hypotheses. First, we examined the “IQ decline” hypothesis to  
66 establish whether schizophrenia patients exhibit a static IQ deficit or IQ decline. Second, we tested  
67 the “generalized decline” hypothesis to determine whether decline occurs across multiple cognitive  
68 domains, namely verbal knowledge, memory, language, processing speed, executive  
69 function/working memory and visuospatial ability. Finally, we tested the “specificity” hypothesis to  
70 establish whether any cognitive decline is specific to schizophrenia or common to other psychoses  
71 by examining cognitive change in individuals with psychotic disorders other than schizophrenia.

72

## 73 **Methods**

### 74 ***AESOP Study***

75 Data were derived from the Aetiology and Ethnicity in Schizophrenia and Other Psychoses (AESOP)  
76 study, a population-based, case-control study of first-episode psychosis. AESOP was approved by  
77 local research ethics committees and each participant gave written informed consent after receiving  
78 a complete description of the study. The study identified all first-episode psychosis cases (ICD-10:  
79 F10–F29 and F30–F33) aged 16 to 65 years presenting to specialist mental health services in tightly  
80 defined catchment areas of the United Kingdom (southeast London, Nottingham and Bristol)  
81 between September 1997 and August 2000. All potential cases making contact with psychiatric  
82 services (including adult community mental health teams, inpatient units, forensic services, learning  
83 disability services, adolescent mental health services, and drug and alcohol units) for the first time  
84 were screened. Exclusion criteria were previous contact with health services for psychosis, organic  
85 causes of psychotic symptoms, transient psychotic symptoms as the result of acute intoxication (as  
86 defined by ICD-10), and IQ<50. A random sample of control subjects with no past or present

87 psychotic disorder were recruited using a sampling method that matched cases and controls by area  
88 of residence. Hereafter, data collected at this phase of the AESOP study is referred to as 'baseline'.

89 At baseline, detailed information was collected to enable patients to be traced, re-contacted and re-  
90 interviewed approximately 10 years later ('follow-up'). At follow-up, patients currently in contact  
91 with mental health services were invited to participate through their clinical teams. Letters of  
92 invitation were sent to last known addresses of those not in contact with services. Non-responders  
93 were sent a second letter two to three weeks later. If patients were thought to have moved, contact  
94 was sought through their GP. Control subjects also provided contact details at baseline. Letters of  
95 invitation were sent and were followed-up with phone calls if no reply had been received within 2  
96 weeks. If no reply had been received after 4 weeks, or where telephone numbers could not be  
97 obtained, in-person visits were made to the subject's address. A detailed overview of the AESOP  
98 study design and methods, as well as the follow-up has been published elsewhere (20, 21).

### 99 ***Analytic Cohort***

100 Derivation of the sample included in the present analysis is illustrated in **Figure 1**. The analytic  
101 cohort consisted of healthy comparison subjects and subjects who had a consensus ICD-10 diagnosis  
102 at last follow-up of schizophrenia (F20), bipolar disorder or mania (F30.2, F31.2, F31.5), depressive  
103 psychoses (F32.3, F33.3) or other psychotic disorders including persistent delusional disorders and  
104 psychosis NOS (F22, F23, F28, F29). Both case and comparison subjects were required to be native  
105 English speakers or to have migrated to the UK by age 11. The latter ensured that all participants had  
106 a good command of English, even as a non-native language, by verifying that participants had  
107 completed at least their secondary education in the UK. Thus, this minimized the effect of linguistic  
108 or cultural biases on cognitive performance in a multiethnic sample.

109 **Figure 1.** Derivation of first-episode psychosis patients and healthy comparison subjects from  
110 the Aetiology and Ethnicity in Schizophrenia and Other Psychoses (AESOP) Project Baseline  
111 and 10-Year Follow Up.  
112

### 113 ***Neuropsychological assessment***

114 At baseline and follow-up, participants underwent cognitive testing with a neuropsychological  
115 battery, which assessed general intellectual ability (IQ), as well as specific cognitive functions.  
116 Administration and scoring followed standard procedures. Full-scale IQ was estimated using the  
117 vocabulary, comprehension, digit symbol coding and block design subtests of the WAIS-R (22). Short

118 forms of the WAIS-R have been shown to produce accurate estimates of full-scale IQ (23, 24).  
119 Specific functions were assessed using the following neuropsychological tests: *Memory* using the Rey  
120 Auditory-Verbal-Learning Test (RAVLT) trials 1 to 7 (learning, immediate and delayed verbal recall)  
121 (25), and the Visual Reproduction subtest of the Wechsler Memory Scale - Revised (WMS-R) (26);  
122 *Verbal knowledge* using the Vocabulary and Comprehension subtests of the WAIS-R (22); *Processing*  
123 *speed* using the WAIS-R digit symbol coding and the Trails-Making-Test Part A (27); *Executive*  
124 *function/working memory* using Trails-Making-Test - Part B (27), and Letter-Number Span (28);  
125 *Language* using Category (semantic) and Letter Fluency (categories: 'body parts'; 'fruits'; 'animals',  
126 letters: F; A; S) (29), and *Visuospatial ability* using the WAIS-R Block Design subtest.

### 127 ***Diagnostic Assessment***

128 Clinical data were collected using the Schedules for Clinical Assessment in Neuropsychiatry  
129 (SCAN)(30). The SCAN incorporates the Present State Examination, Version 10, to elicit symptom-  
130 related data at time of presentation. Ratings on the SCAN are based on clinical interview, case note  
131 review, and information from informants (e.g. health professionals, close relatives). Researchers  
132 were trained on the SCAN with a World Health Organization-approved course and reliability was  
133 established prior to commencement of the study using independent ratings of videotaped  
134 interviews. Rater agreement was evaluated using Kappa statistics, which ranged from 1.0 for  
135 psychosis as a category to between 0.6 and 0.8 for individual diagnoses. ICD-10 diagnoses were  
136 determined using SCAN data through consensus meetings with one of the PIs and other team  
137 members. Symptom severity was classified based on the SCAN Symptom Severity Rating Scale 2 as: 0  
138 = Absent, 1 = Mild, 2 = Moderate and 3 = Severe (21).

### 139 ***Covariates and medication information***

140 Age was collected at baseline and follow-up. Sex, ethnicity, and level of education were collected at  
141 baseline. Treatment history with typical and/or atypical antipsychotic medication was ascertained  
142 for all patients from interview data and record review at follow-up.

### 143 ***Creating Norms for Neuropsychological Tests***

144 A regression-based approach was used to create normative standards for the neuropsychological  
145 tests. Age at assessment, sex, ethnicity, and education were regressed on each of the  
146 neuropsychological measures in the healthy comparison sample at baseline and follow-up. Next,  
147 scores were adjusted on the basis of the regression results, and standard scores (i.e., z-scores) were

148 created. The same adjustment and standardization procedure were applied to the patient groups,  
149 using the normative standards from the healthy comparison group.

### 150 ***Statistical analysis***

151 Demographic and clinical characteristics of the baseline and follow-up cohorts were compared using  
152 summary statistics. For descriptive purposes, we compared patients with schizophrenia or other  
153 psychoses (including bipolar disorder, mania, depressive psychoses and other psychotic disorders) to  
154 the comparison group on normative-adjusted IQ and specific neuropsychological tests at baseline  
155 and follow-up using analysis of variance (ANOVA) models.

156 To examine the “IQ decline” “generalized decline” and “specificity” hypotheses, we compared the  
157 schizophrenia and other psychoses groups to the comparison group on change in normative-  
158 adjusted IQ and specific neuropsychological tests from baseline to follow-up. Change scores were  
159 calculated by subtracting follow-up test scores from baseline test scores, so that positive scores  
160 indicate cognitive amelioration and negative scores indicate cognitive decline. ANCOVA models with  
161 planned orthogonal comparisons of each psychosis group to the comparison group, adjusting for  
162 time from baseline assessment and baseline test score were used. Adjustment for baseline  
163 performance is common in studies on cognitive change (31, 32). For the “IQ decline” hypothesis, the  
164 significance level was set at  $p=0.05$  (two-sided). For the “generalized decline” hypothesis, the  
165 significance level was set at a Bonferroni-corrected level of 0.0038 (0.05/13). All analyses were  
166 conducted using IBM SPSS Statistics version 24.

167

### 168 **Results**

169 Demographic characteristics of the baseline cohort and the cohort assessed at follow-up are  
170 presented in **Table 1**. Follow-up neuropsychological assessments were completed on 106 patients  
171 (63 males), and 103 comparison subjects (40 males). Average follow-up duration was 109.3 months  
172 (SD=29.5) for patients and 102.9 (SD=34.1) for comparisons. Overall, the patients and comparisons  
173 assessed at follow-up were similar to the respective patients and comparisons assessed at baseline  
174 in terms of demographic variables, suggesting that the cohort at follow-up was representative of the  
175 original cohort.

### 176 ***Cognitive impairment in schizophrenia and other psychoses at baseline and follow-up***

177 As we have previously shown in the AESOP study cohort (19), patients with schizophrenia and



178 patients with other psychoses showed deficits in IQ and individual neuropsychological tests at  
179 baseline. **Figure 2** illustrates that that schizophrenia patients exhibited widespread, persistent,  
180 cognitive impairment, performing significantly worse than comparison subjects at both baseline and  
181 follow-up on 11 out of the 14 measures. Patients with other psychoses also showed widespread  
182 impairments, but these were generally of smaller magnitude than schizophrenia patients (**Figure 2**).  
183 (**sTable2** presents the non-adjusted performance in IQ and specific neuropsychological tests at  
184 baseline and follow-up)

185 **Figure 2.** Neuropsychological Performance Among Patients with Schizophrenia and Other  
186 Psychoses at Baseline and Follow-Up<sup>a</sup>.  
187

188 <sup>a</sup> - Effect sizes (expressed in standardized [z] scores) and 95% Confidence Intervals (95%CI) of  
189 difference from comparison subjects at baseline and follow up. Comparison subjects set to zero  
190 (dotted line). Effect sizes are adjusted for age, sex, ethnicity, and level of education. 95% CI that  
191 do not include zero indicate statistical significance level  $p < 0.05$ . Trailmaking A=Trail Making  
192 Test, Part A; Trailmaking B=Trail Making Test, Part B.  
193

#### 194 ***Cognitive change in schizophrenia and other psychoses***

195 Next, we compared cognitive change over time in each of the psychoses groups (schizophrenia and  
196 other psychoses) to cognitive change in controls to test the “IQ decline”, “generalized decline” and  
197 “specificity” hypotheses. **Figure 3** presents effect sizes of the difference in the within group change  
198 from baseline to follow-up in IQ and individual neuropsychological tests between the psychoses  
199 groups and controls. Effect sizes of 0.20, 0.50, and 0.80 reflect small, medium, and large effects,  
200 respectively (33).

201 ***IQ decline hypothesis:*** IQ decline in the schizophrenia group was significantly larger than in controls,  
202 who showed no evidence of IQ decline. The IQ decline in the schizophrenia group compared to  
203 controls was of small magnitude (ES=-0.28, 95% Confidence Intervals: -0.47 to -0.09,  $p=0.003$ ), but  
204 was not attenuated when adjusting for education, ethnicity, sex, age-at-baseline assessment, or  
205 duration of follow-up, suggesting that IQ decline could not be attributed to these variables.

206 ***Generalized decline hypothesis:*** Compared to controls, the schizophrenia group showed a larger  
207 cognitive decline across tests in the memory and verbal knowledge domains (**Figure 3**). In the  
208 memory domain, the schizophrenia group declines on verbal learning ( $p=0.001$ ), immediate recall  
209 ( $p < 0.00006$ ), and delayed recall ( $p < 0.00001$ ) reached the Bonferroni-corrected level of significance.  
210 In the verbal knowledge domain, decline on vocabulary ( $p=0.003$ ) reached the Bonferroni-corrected  
211 level of significance. Compared to controls, the schizophrenia group showed no significant cognitive

212 changes on Digit Symbol Coding and Trail-making-test Part A in the processing speed domain, Block  
213 Design in the visuospatial domain, and Trail-making-test Part B, Letter-Number Span, Letter Fluency  
214 and Category Fluency in the executive functions and working memory domain.

215 **Specificity hypothesis:** There was no evidence for IQ decline in the other psychoses group compared  
216 to controls (ES=-0.09, 95% Confidence Intervals: -0.30 to 0.11; p=0.37), (**Figure 3**). In terms of  
217 cognitive domains, like the schizophrenia group, the other psychoses group showed larger declines  
218 than controls across test in the memory domain, with verbal learning (p=0.001) reaching the  
219 Bonferroni-corrected level of significance. Like schizophrenia patients, the other psychoses group  
220 showed static deficits in tests of processing speed, executive functions and working memory, and  
221 visuospatial ability (**Figure 3**).

222 **Figure 3.** Change in Neuropsychological Performance Among Patients with Schizophrenia and  
223 Other Psychoses<sup>a,\*</sup>.

224

225 <sup>a</sup> - Presented are effect sizes and 95% Confidence Intervals of difference in change from baseline  
226 to follow up between the diagnostic group and comparison group. 95% Confidence Intervals  
227 that do not include zero indicate statistical significance level p<0.05. Effect sizes are adjusted for  
228 age, sex, ethnicity, level of education, time from baseline assessment and baseline test score.  
229 Trailmaking A=Trail Making Test, Part A; Trailmaking B=Trail Making Test, Part B.

230 \* - Presents Bonferroni corrected level (p≤0.0038)

231

### 232 **Medication**

233 We examined the potential moderating effect of antipsychotic medication on IQ decline in the  
234 schizophrenia group. There was no statistically significant difference in IQ decline (p=0.23) between  
235 patients with a history of treatment with typical antipsychotics only (45% of sample) and those with  
236 a history of treatment with both typical and atypical antipsychotics (55% of sample). Duration of  
237 antipsychotic medication (mean = 323±192 weeks) did not attenuate IQ decline in schizophrenia  
238 (F=7.30, p=0.008 vs. F=7.20, p=0.009 for ANCOVA models with vs. without duration of treatment as a  
239 covariate).

### 240 **Symptom severity**

241 Since illness severity might influence cognition, we also examined the association between baseline  
242 symptom severity and change in cognitive functioning, as well as change in symptom severity  
243 between baseline and follow up and change in cognitive functioning. Schizophrenia patients with  
244 severe symptoms at baseline showed statistically significantly greater cognitive decline than patients

245 with mild or moderate symptoms across multiple tests in the memory domain (Figure 4). However,  
246 there was no association between change in symptom severity and change in cognitive functioning  
247 (sTable 2 and sFigure 1), and no evidence for a dose-response relationship across levels of severity  
248 (Figure 4). In the other psychoses group there was no evidence for an association between symptom  
249 severity, or change in symptom severity, and change in cognitive functioning (Figure 4, sFigure1).

250 **Figure 4.** Change in Neuropsychological Performance Among Patients With Schizophrenia and  
251 Other Psychoses in Relation to Symptom Severity at Baseline<sup>a</sup>

252 <sup>a</sup> - Presented are effect sizes and 95% Confidence Intervals of difference in change from baseline  
253 to follow up between the diagnostic group and comparison group as a function of symptom  
254 severity at baseline. 95% Confidence Intervals that do not include zero indicate statistical  
255 significance level  $p < 0.05$ . Effect sizes are adjusted for age, sex, ethnicity, level of education, time  
256 from baseline assessment and baseline test score. Trailmaking A=Trail Making Test, Part A;  
257 Trailmaking B=Trail Making Test, Part B.  
258

### 259 ***Sensitivity analyses***

260 We also examined the potential impact of attrition by applying linear mixed models which permit  
261 varying numbers of measurements per person and time point, while adjusting for within-individual  
262 (i.e. between measures) variation. Similar results were obtained in models that included only cases  
263 and controls with data from both assessment time points, and in models that also included cases  
264 and controls with data from a single assessment, indicating results were not biased by attrition.

265 As a further comparison, we examined IQ change in controls with lower IQ (IQ<90 at baseline, equal  
266 to 1SD below the control group mean, N=17, 16.5% of sample). These individuals are of interest  
267 because, like schizophrenia patients, they also exhibit lower IQ, and yet they did not develop  
268 psychosis. In contrast to patients with schizophrenia, individuals with lower IQ did not show  
269 evidence of IQ decline, neither in absolute terms nor relative to controls without a cognitive  
270 impairment since mean IQ at baseline was 84.9, and at follow up was 89.8 ( $F=0.97$ ,  $p=0.35$ ).

271

### 272 **Discussion**

273 Using a population-based, case-control sample followed prospectively from the first psychotic  
274 episode we provide evidence for cognitive decline after illness onset in patients with schizophrenia.

275 These findings advance knowledge in three important ways. First, the results lend support to the “IQ

276 decline” hypothesis. As a group, schizophrenia patients showed IQ decline between baseline and  
277 follow up assessments, with an effect size of small magnitude ( $ES=0.28$ ). This finding is in contrast  
278 with earlier studies reporting stabilization of cognitive deficits after the onset of psychosis (15).  
279 However, previous studies had important methodological limitations, including a short follow-up  
280 period of patients, and lack of a comparison group that is similarly followed-up. The finding of IQ  
281 decline is in line with findings from neuroimaging studies of greater age-associated brain volume loss  
282 (34), as well as deviated gyrification trajectories in schizophrenia patients in adulthood (35).  
283 Moreover, reduction in cortical volume has been associated with IQ decline in schizophrenia  
284 patients (36).

285 Second, the current findings do not support the “generalized decline” hypothesis. Decline was not  
286 ubiquitous and varied across cognitive domains. The schizophrenia group exhibited declines in verbal  
287 knowledge and memory. In contrast, processing speed, executive functions and visuospatial ability  
288 did not decline. These contrasts can be generally viewed as reflecting differences between the  
289 impact of the illness on crystallized (verbal knowledge) vs. fluid (processing speed, executive  
290 functions, visuospatial) abilities. Our findings of decreasing crystallized abilities and memory scores  
291 between baseline and follow-up is in line with previous evidence (37) and suggest that increasing  
292 deficits in these domains may reflect actual loss of ability, rather than abnormal cognitive  
293 development (i.e. “lag”) (16). Alternatively, our findings may reflect difficulties with the maintenance  
294 and acquisition of new verbal knowledge due to substantial and increasing memory deficits. While  
295 most cognitive abilities in the general population start to show stabilization or even decline in early  
296 adulthood, crystallized abilities may peak much later (38-40). In our study, measures of fluid abilities  
297 showed a large deficit already at the first episode, which remained static thereafter. While previous  
298 longitudinal epidemiological studies have shown cognitive decline in schizophrenia from the  
299 premorbid period in childhood to the chronic stage in mid-adulthood (8-10), they were unable to  
300 determine when this decline occurred. Our findings suggest that most of the decline in fluid abilities  
301 occurs before the first episode, while crystallized abilities may continue to decline after onset.  
302 Importantly, the decline in IQ after onset is likely to be due to the decline seen in crystallized  
303 abilities.

304 Third, the current findings do not support the “specificity” hypothesis since patients with  
305 schizophrenia, but also other psychoses, experienced cognitive decline. However, while patients  
306 with schizophrenia showed decline in IQ, memory and verbal knowledge, patients with other  
307 psychoses showed decline only in certain memory functions. Moreover, in line with previous reports  
308 (41, 42), the other psychoses group showed an overall impairment profile that was qualitatively

309 similar, yet quantitatively smaller than the schizophrenia group. Thus, our findings suggest that  
310 cognitive decline is not specific to schizophrenia, but also evident in other psychoses. However,  
311 large, widespread, cognitive decline may still be specific to schizophrenia, since the other psychoses  
312 group showed a smaller and less generalized cognitive decline. Interestingly, there was no evidence  
313 of decline in a key comparison group, namely individuals with lower IQ who did not develop  
314 psychosis. This group may in fact experience a different process of regression-to-the-mean.

315 The current findings should be viewed in the context of certain limitations. First, although we found  
316 evidence for cognitive decline after illness onset, we could not fully map the course of deficits and  
317 cognitive functions may vary in the timing of decline following the first episode. Second, group sizes  
318 did not allow for an analysis of the heterogeneity of cognitive course and also limited our ability to  
319 investigate more specific diagnostic sub-groups, such as bipolar/mania. Third, we ruled out two  
320 explanations for the observed cognitive decline, namely, type or duration of antipsychotic  
321 treatment. Unfortunately, we did not have information to examine other potential moderators of  
322 cognitive decline, such as social isolation, smoking and illicit drug abuse, victimization, or physical  
323 health problems such as obesity, diabetes and hypertension. Moreover, despite the fact that we  
324 adjusted for education in all our analyses, poor education in the schizophrenia group after the first  
325 psychotic episode could still partly explain some of the group differences.

326 There is conflicting evidence regarding the relationship between change in symptoms and cognitive  
327 functioning (43, 44). In our study, change in severity of psychosis was only minimally associated with  
328 cognitive change. These results are consistent with cross-sectional findings of only a weak  
329 association between positive symptoms and cognitive impairment (45). Longitudinal evidence also  
330 suggests a minimal association between change in positive as well as negative symptoms, and  
331 change in cognition (43, 44, 46). Interestingly, in our study, schizophrenia patients with severe  
332 symptoms at baseline showed greater cognitive decline than patients with mild or moderate  
333 symptoms. While this group was small (21% of overall group), the magnitude of decline in the  
334 memory domain was large. Thus, this finding points to a potential subgroup of schizophrenia  
335 patients that may greatly benefit from being specifically targeted for cognitive remediation.

336 Our findings have important implications for understanding the nature and course of cognitive  
337 impairment in schizophrenia, as well as other psychoses. Integrating the current findings with those  
338 of previous studies (16) suggests that cognitive dysfunction in schizophrenia may result from a  
339 complex interplay between an early, static neuropathology (47, 48) and dynamic age-related  
340 processes (49, 50). As such, cognitive functions that develop and peak relatively early in life, such as  
341 processing speed and visuospatial abilities (39) may show aberrant development, resulting in slowed

342 growth prior to the onset of schizophrenia (16), but relative stabilization throughout the illness  
343 course. On the other hand, cognitive functions that continue to evolve through adult life, such as  
344 language (39), may show further deterioration throughout the course of schizophrenia. Finally,  
345 functions sensitive to age-related cognitive decline, such as memory, may begin to decline in middle  
346 adulthood before normative aging becomes apparent (40).

347 In conclusion, the present study demonstrates that while a substantial proportion of the cognitive  
348 impairment seen in adult patients with schizophrenia, as well as other psychoses, is present already  
349 at the first episode, these patients continue to experience cognitive decline after illness onset.  
350 However, the nature of this decline varies across neuropsychological functions. While large deficits  
351 in processing speed are already apparent at the first episode, deficits in verbal knowledge and  
352 memory continue to increase. These findings suggest that different pathophysiological mechanisms  
353 may underlie individual neuropsychological deficits seen in adult psychosis patients. Future research  
354 should determine which of these are consequent upon the illness itself, and which on the  
355 psychosocial factors patients experience. Finally, these findings highlight the importance of targeting  
356 early developmental stages in future studies of the causes of cognitive deficits associated with  
357 psychosis, as well as in cognitive remediation efforts.

358

359

360

361 **REFERENCES**

- 362 1. Reichenberg A, Harvey PD. Neuropsychological impairments in schizophrenia: Integration of  
363 performance-based and brain imaging findings. *Psychological bulletin*. 2007;133:833-858.
- 364 2. Kahn RS, Keefe RS. Schizophrenia is a cognitive illness: time for a change in focus. *JAMA*  
365 *psychiatry*. 2013;70:1107-1112.
- 366 3. Woodberry KA, Seidman LJ, Giuliano AJ, Verdi MB, Cook WL, McFarlane WR. Neuropsychological  
367 profiles in individuals at clinical high risk for psychosis: relationship to psychosis and intelligence.  
368 *Schizophrenia research*. 2010;123:188-198.
- 369 4. Khandaker GM, Barnett JH, White IR, Jones PB. A quantitative meta-analysis of population-based  
370 studies of premorbid intelligence and schizophrenia. *Schizophrenia research*. 2011;132:220-227.
- 371 5. Mesholam-Gately RI, Giuliano AJ, Goff KP, Faraone SV, Seidman LJ. Neurocognition in first-  
372 episode schizophrenia: a meta-analytic review. *Neuropsychology*. 2009;23:315.
- 373 6. Heinrichs RW, Zakzanis KK. Neurocognitive deficit in schizophrenia: a quantitative review of the  
374 evidence. *Neuropsychology*. 1998;12:426.
- 375 7. Fioravanti M, Carlone O, Vitale B, Cinti ME, Clare L. A meta-analysis of cognitive deficits in adults  
376 with a diagnosis of schizophrenia. *Neuropsychology review*. 2005;15:73-95.
- 377 8. Meier MH, Caspi A, Reichenberg A, Keefe RS, Fisher HL, Harrington H, Houts R, Poulton R, Moffitt  
378 TE. Neuropsychological decline in schizophrenia from the premorbid to the postonset period:  
379 evidence from a population-representative longitudinal study. *American Journal of Psychiatry*.  
380 2013;171:91-101.
- 381 9. Seidman LJ, Buka SL, Goldstein JM, Tsuang MT. Intellectual decline in schizophrenia: evidence  
382 from a prospective birth cohort 28 year follow-up study. *Journal of clinical and experimental*  
383 *neuropsychology*. 2006;28:225-242.
- 384 10. Kremen WS, Vinogradov S, Poole JH, Schaefer CA, Deicken RF, Factor-Litvak P, Brown AS.  
385 Cognitive decline in schizophrenia from childhood to midlife: a 33-year longitudinal birth cohort  
386 study. *Schizophrenia research*. 2010;118:1-5.
- 387 11. Heaton RK, Gadsjo JA, Palmer BW, Kuck J, Marcotte TD, Jeste DV. Stability and course of  
388 neuropsychological deficits in schizophrenia. *Archives of general psychiatry*. 2001;58:24-32.
- 389 12. Kurtz MM. Neurocognitive impairment across the lifespan in schizophrenia: an update.  
390 *Schizophrenia research*. 2005;74:15-26.
- 391 13. Lewandowski KE, Cohen BM, Ongur D. Evolution of neuropsychological dysfunction during the  
392 course of schizophrenia and bipolar disorder. *Psychological medicine*. 2011;41:225-241.
- 393 14. Friedman JI, Harvey PD, Coleman T, Moriarty PJ, Bowie C, Parrella M, White L, Adler D, Davis KL.  
394 Six-year follow-up study of cognitive and functional status across the lifespan in schizophrenia: a  
395 comparison with Alzheimer's disease and normal aging. *American Journal of Psychiatry*.  
396 2001;158:1441-1448.
- 397 15. Bozikas VP, Andreou C. Longitudinal studies of cognition in first episode psychosis: a systematic  
398 review of the literature. *Aust N Z J Psychiatry*. 2011;45:93-108.
- 399 16. Reichenberg A, Caspi A, Harrington H, Houts R, Keefe RS, Murray RM, Poulton R, Moffitt TE.  
400 Static and dynamic cognitive deficits in childhood preceding adult schizophrenia: a 30-year study.  
401 *American Journal of Psychiatry*. 2010;167:160-169.
- 402 17. Casey B, Tottenham N, Liston C, Durston S. Imaging the developing brain: what have we learned  
403 about cognitive development? *Trends in cognitive sciences*. 2005;9:104-110.
- 404 18. Seidman LJ, Shapiro DI, Stone WS, Woodberry KA, Ronzio A, Cornblatt BA, Addington J, Bearden  
405 CE, Cadenhead KS, Cannon TD. Association of neurocognition with transition to psychosis: baseline  
406 functioning in the second phase of the North American Prodrome Longitudinal Study. *Jama*  
407 *psychiatry*. 2016;73:1239-1248.
- 408 19. Zanelli J, Reichenberg A, Morgan K, Fearon P, Kravariti E, Dazzan P, Morgan C, Zanelli C, Demjaha  
409 A, Jones PB. Specific and generalized neuropsychological deficits: a comparison of patients with  
410 various first-episode psychosis presentations. *American Journal of Psychiatry*. 2010;167:78-85.

- 411 20. Kirkbride JB, Fearon P, Morgan C, Dazzan P, Morgan K, Tarrant J, Lloyd T, Holloway J, Hutchinson  
412 G, Leff JP. Heterogeneity in incidence rates of schizophrenia and other psychotic syndromes: findings  
413 from the 3-center AeSOP study. *Archives of general psychiatry*. 2006;63:250-258.
- 414 21. Morgan C, Lappin J, Heslin M, Donoghue K, Lomas B, Reininghaus U, Onyejiaka A, Croudace T,  
415 Jones PB, Murray RM. Reappraising the long-term course and outcome of psychotic disorders: the  
416 AESOP-10 study. *Psychological medicine*. 2014;44:2713-2726.
- 417 22. Wechsler D: WAIS-R manual: Wechsler adult intelligence scale-revised, Psychological  
418 Corporation; 1981.
- 419 23. Silverstein A. Two-and four-subtest short forms of the Wechsler Adult Intelligence Scale-Revised.  
420 *Journal of Consulting and Clinical Psychology*. 1982;50:415.
- 421 24. Roth DL, Hughes CW, Monkowski PG, Crosson B. Investigation of validity of WAIS-R short forms  
422 for patients suspected to have brain impairment. *J Consult Clin Psychol*. 1984;52:722-723.
- 423 25. Schmidt M: Rey auditory verbal learning test: a handbook, Western Psychological Services Los  
424 Angeles; 1996.
- 425 26. Wechsler D. Instruction Manual for the Wechsler Memory Scale Revised. New York,  
426 Psychological Corp. 1987.
- 427 27. Reitan RM: Trail Making Test: Manual for administration and scoring, Reitan Neuropsychology  
428 Laboratory; 1992.
- 429 28. Gold JM, Carpenter C, Randolph C, Goldberg TE, Weinberger DR. Auditory working memory and  
430 Wisconsin Card Sorting Test performance in schizophrenia. *Arch Gen Psychiatry*. 1997;54:159-165.
- 431 29. Spreen O, Strauss E: A compendium of neuropsychological tests : administration, norms, and  
432 commentary. New York, Oxford University Press; 1991.
- 433 30. Wing JK, Babor T, Brugha T, Burke J, Cooper J, Giel R, Jablenski A, Regier D, Sartorius N. SCAN:  
434 Schedules for Clinical Assessment in Neuropsychiatry. *Archives of general psychiatry*. 1990;47:589-  
435 593.
- 436 31. MM. G, J. W, LF. B, I. K, JM. R. When is baseline adjustment useful in analyses of change? An  
437 example with education and cognitive change. *American Journal of Epidemiology*. 2005;162:267-  
438 278.
- 439 32. Overall J, Woodward J. Unreliability of difference scores: A paradox in the measurement of  
440 change. *Psychological bulletin*. 1975;82:185-186.
- 441 33. Cohen J. A power primer. *Psychological bulletin*. 1992;112:155.
- 442 34. Kahn RS, Sommer IE, Murray RM, Meyer-Lindenberg A, Weinberger DR, Cannon TD, O'Donovan  
443 M, Correll CU, Kane JM, van Os J, Insel TR. Schizophrenia. *Nat Rev Dis Primers*. 2015;1:15067.
- 444 35. Cao B, Mwangi B, Passos IC, Wu MJ, Keser Z, Zunta-Soares GB, Xu D, Hasan KM, Soares JC.  
445 Lifespan Gyriification Trajectories of Human Brain in Healthy Individuals and Patients with Major  
446 Psychiatric Disorders. *Sci Rep*. 2017;7:511.
- 447 36. Kubota M, van Haren NE, Haijma SV, Schnack HG, Cahn W, Hulshoff Pol HE, Kahn RS. Association  
448 of IQ Changes and Progressive Brain Changes in Patients With Schizophrenia. *JAMA Psychiatry*.  
449 2015;72:803-812.
- 450 37. MacCabe JH, Wicks S, Lofving S, David AS, Berndtsson A, Gustafsson JE, Allebeck P, Dalman C.  
451 Decline in cognitive performance between ages 13 and 18 years and the risk for psychosis in  
452 adulthood: a Swedish longitudinal cohort study in males. *JAMA Psychiatry*. 2013;70:261-270.
- 453 38. Czaja SJ, Charness N, Fisk AD, Hertzog C, Nair SN, Rogers WA, Sharit JJP, aging. Factors predicting  
454 the use of technology: findings from the Center for Research and Education on Aging and  
455 Technology Enhancement (CREATE). 2006;21:333.
- 456 39. Hartshorne JK, Germine LT. When does cognitive functioning peak? The asynchronous rise and  
457 fall of different cognitive abilities across the life span. *Psychological science*.  
458 2015;0956797614567339.
- 459 40. Salthouse TA. When does age-related cognitive decline begin? *Neurobiology of aging*.  
460 2009;30:507-514.



- 461 41. Koenen KC, Moffitt TE, Roberts AL, Martin LT, Kubzansky L, Harrington L, Poulton R, Caspi A.  
462 Childhood IQ and Adult Mental Disorders: A Test of the Cognitive Reserve Hypothesis *American*  
463 *Journal of Psychiatry*. 2009;166:50-57.
- 464 42. Reichenberg A, Caspi A, Harrington H, Houts R, Keefe R, Murray RM, al. e. Static and Dynamic  
465 Cognitive Deficits in Childhood Preceding Adult Schizophrenia: A 30-Year Study. *American Journal of*  
466 *Psychiatry*. 2010;167:160-169.
- 467 43. Hughes C, Kumari V, Soni W, Das M, Binneman B, Drozd S, O'Neil S, Mathew V, Sharma T.  
468 Longitudinal study of symptoms and cognitive function in chronic schizophrenia. *Schizophrenia*  
469 *research*. 2003;59:137-146.
- 470 44. Bergh S, Hjorthoj C, Sorensen HJ, Fagerlund B, Austin S, Secher RG, Jepsen JR, Nordentoft M.  
471 Predictors and longitudinal course of cognitive functioning in schizophrenia spectrum disorders,  
472 10years after baseline: The OPUS study. *Schizophrenia research*. 2016;175:57-63.
- 473 45. Ventura J, Helleman GS, Thames AD, Koellner V, Nuechterlein KH. Symptoms as mediators of  
474 the relationship between neurocognition and functional outcome in schizophrenia: a meta-analysis.  
475 *Schizophrenia research*. 2009;113:189-199.
- 476 46. Hoff AL, Svetina C, Shields G, Stewart J, DeLisi LE. Ten year longitudinal study of  
477 neuropsychological functioning subsequent to a first episode of schizophrenia. *Schizophrenia*  
478 *research*. 2005;78:27-34.
- 479 47. Weinberger DR. Implications of normal brain development for the pathogenesis of  
480 schizophrenia. *Arch Gen Psychiatry*. 1987;44:660-669.
- 481 48. Murray RM, Lewis SW. Is schizophrenia a neurodevelopmental disorder? *British medical journal*  
482 *(Clinical research ed)*. 1987;295:681.
- 483 49. Kirkpatrick B, Messias E, Harvey PD, Fernandez-Egea E, Bowie CR. Is schizophrenia a syndrome of  
484 accelerated aging? *Schizophrenia bulletin*. 2008;34:1024-1032.
- 485 50. Koutsouleris N, Davatzikos C, Borgwardt S, Gaser C, Bottlender R, Frodl T, Falkai P, Riecher-  
486 Rössler A, Möller H-J, Reiser M. Accelerated brain aging in schizophrenia and beyond: a  
487 neuroanatomical marker of psychiatric disorders. *Schizophrenia bulletin*. 2013:sbt142.

488