

1 **Effect of multiple-dose osimertinib on the pharmacokinetics of simvastatin and**
2 **rosuvastatin**

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31 CYP3A study and the BCRP study was Prof Suresh S Ramalingam and Dr Nicolas Isambert,
32 respectively. Prof Ramalingam invited Dr Donald Harvey to take his place as an author on
33 the manuscript.

34 Summary

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36 Aim: We report on two phase I, open-label, single-arm studies assessing the effect of
37 osimertinib on simvastatin (CYP3A substrate) and rosuvastatin (breast cancer resistance
38 protein substrate [BCRP] substrate) exposure in patients with advanced epidermal growth
39 factor receptor (EGFR)-mutated non-small cell lung cancer who have progressed after
40 treatment with an EGFR tyrosine kinase inhibitor, to determine, upon coadministration,
41 whether osimertinib could affect the exposure of these agents.

42 Methods: 52 patients in the CYP3A study (pharmacokinetic [PK] analysis, $N = 49$), and 44
43 patients in the BCRP study were dosed (PK analysis, $N = 44$). In the CYP3A study, patients
44 received single doses of simvastatin 40 mg on Days 1 and 31, and osimertinib 80 mg once
45 daily on Days 3–32. In the BCRP study, single doses of rosuvastatin 20 mg were given on
46 Days 1 and 32, and osimertinib 80 mg once daily on Days 4–34.

47 Results: Geometric least squares mean (GLSM) ratios (90% confidence intervals) of
48 simvastatin plus osimertinib for area under the plasma concentration-time curve from zero to
49 infinity (AUC) were 91% (77–108): entirely contained within the pre-defined no relevant
50 effect limits, and C_{max} of 77% (63, 94) which was not contained within the limits. GLSM ratios
51 of rosuvastatin plus osimertinib for AUC were 135% (115–157) and C_{max} were 172 (146,
52 203): outside the no relevant effect limits.

53 Conclusions: Osimertinib is unlikely to have any clinically relevant interaction with CYP3A
54 substrates and has a weak inhibitory effect on BCRP. No new safety concerns were
55 identified in either study.

56 **What is the current knowledge on the topic?**

- 57 • Osimertinib is a potent, oral, central nervous system-active, irreversible EGFR-TKI
58 selective for both EGFR-TKI sensitizing (EGFRm) and T790M resistance mutations.
59 • *In vitro* studies show that osimertinib can inhibit or induce CYP3A/5 enzymes, and
60 inhibit breast cancer resistance protein (BCRP) transporter.

61 **What this study adds to our knowledge**

- 62 • Osimertinib is unlikely to have any clinically relevant interaction with CYP3A
63 substrates and has a weak inhibitory effect on BCRP substrates.

64 Introduction

65 Epidermal growth factor receptor (EGFR)-tyrosine kinase inhibitors (TKIs) are the standard
66 first-line treatment for non-small cell lung cancer (NSCLC) patients with TKI sensitising
67 mutations in EGFR (EGFRm) [1-3]. However, the majority of patients who initially respond to
68 EGFR-TKIs ultimately develop resistance, with over 50% of tumours harbouring the EGFR
69 T790M resistance mutation [4-10]. Osimertinib is a potent, oral, central nervous system
70 active, irreversible EGFR-TKI selective for EGFRm and T790M resistance mutations [11-13].
71 Osimertinib is approved and also recommended for the treatment of patients with metastatic
72 EGFR T790M-positive advanced NSCLC [1,3]. In the phase III AURA3 trial, osimertinib
73 provided a higher objective response rate (71% vs 31%) and significantly longer
74 progression-free survival than platinum-based doublet chemotherapy (median 10.1 vs 4.4
75 months; hazard ratio [HR] 0.30; 95% confidence interval [CI] 0.23, 0.41; $p < 0.001$) [14].

76 As part of treatment with osimertinib, it is important to understand potential drug-drug
77 interactions (DDI) due to the risk of comorbidities requiring concomitant therapy in this
78 patient population. *In vitro* studies have shown that osimertinib has potential to be a
79 competitive inhibitor and inducer of CYP3A and that it is a competitive inhibitor of the breast
80 cancer resistance protein (BCRP) transporter [15]. CYP3A is the most important enzyme
81 involved in the metabolism of drugs [16], while BCRP is involved in the elimination of certain
82 widely prescribed medicines with relatively narrow therapeutic margins, including
83 rosuvastatin at the higher dose [17,18]. Comorbidities commonly associated with NSCLC,
84 such as chronic obstructive pulmonary disease or diabetes [19], may need to be treated with
85 concomitant medications that are metabolised through CYP3A or transport-mediated
86 elimination via BCRP. Moreover, statins are a common co-medication in this patient
87 population. Therefore, it is important to understand any potential implications osimertinib
88 could have on the exposure and thereby, the efficacy and safety of these agents when co-
89 administered.

90 Osimertinib has two active metabolites which circulate at ~10% of the exposure of
91 osimertinib and less than 10% of the total drug related exposure and were not considered for
92 DDI potential.

93 We report two clinical studies designed to investigate the impact of multiple doses of
94 osimertinib on the pharmacokinetics (PK) of simvastatin and simvastatin acid (a sensitive
95 CYP3A substrate and its metabolite; [NCT02197234]), and rosuvastatin (a substrate for
96 BCRP and a medication likely to be administered concomitantly with osimertinib;
97 [NCT02317016]). The two active metabolites of osimertinib (AZ5104 and AZ7550), which
98 represent approximately 10% each of osimertinib exposure [20], were also monitored,
99 though were not considered likely to contribute to any DDI. 4 β -hydroxy-cholesterol (4BHC)
100 concentration ratios were measured in order to understand the overall effect of CYP3A
101 modulation following multiple dose administration of osimertinib. Both studies were
102 conducted in patients with advanced EGFRm NSCLC after disease progression during or
103 after a prior EGFR-TKI. Herein, we report results that show the PK-mediated potential for
104 DDI between these agents.

105

106

107 Methods

108 Details of in vitro CYP inhibition, transporter inhibition and CYP induction potential of
109 osimertinib are provided in Supplementary information.

110 *Clinical Trial design*

111 Both studies were phase I, open-label, single-arm studies in patients with EGFRm
112 NSCLC with disease progression during or after treatment with an EGFR-TKI. They were
113 conducted in accordance with International Conference on Harmonization–Good Clinical
114 Practice guidance, and protocols were reviewed and approved by an Independent Ethics
115 Committee and Institutional Review Board prior to implementation. Written informed consent
116 was obtained from all participants.

117 Each study consisted of two parts. Part A was designed to assess the effect of
118 osimertinib on simvastatin and simvastatin acid (CYP3A study) or rosuvastatin (BCRP study)
119 exposure and was split into three segments: Periods 1–3. Part B allowed patients to have
120 continued access to osimertinib after the PK phase (Part A) and provided additional safety
121 data. Only Part A results are described in this report.

122 In the CYP3A and BCRP studies, patients received a single oral dose of simvastatin
123 40 mg or rosuvastatin 20 mg, respectively, alone on Day 1 (Period 1) and remained in the
124 clinic for approximately 32 to 34 h, during which time blood samples for PK analysis and
125 safety information were collected. Patients then received osimertinib 80 mg orally once daily
126 for 28 Days (Period 2, Days 3 to 30 in the CYP3A study, and Days 4 to 31 in the BCRP
127 study) and returned to the clinic in weekly intervals for collection of osimertinib and
128 metabolite (AZ5104 and AZ7550) trough levels. In Period 3 on Day 31 of the CYP3A study
129 and Day 32 of the BCRP study, patients received a single oral dose of simvastatin 40 mg, or
130 rosuvastatin 20 mg, in combination with osimertinib 80 mg. In the CYP3A study, this was
131 followed by a final oral dose of osimertinib 80 mg on Day 32, whereas In the BCRP study

132 this dosing was followed by subsequent daily doses of osimertinib 80 mg on Days 33 and
133 34. Patients remained in the clinic for approximately 32 to 34 h, during which time blood
134 samples for PK analysis and safety information were collected.

135 In both studies, patients fasted from at least 2 h before dosing to at least 2 h after
136 dosing on simvastatin and rosuvastatin dosing days. Osimertinib was to be given with 1 h of
137 fasting before to 2 h after dosing.

138 A sufficient number of patients were enrolled to address the primary PK study
139 objectives, as measured by AUC and C_{max} . The studies were powered based on a within-
140 subject coefficient of variation of 45% for simvastatin and 41% for rosuvastatin, assuming an
141 increase of approximately 20% in the coefficient of variation observed in healthy subjects.
142 No change in exposure for simvastatin and rosuvastatin when given with osimertinib was
143 assumed. It was estimated that 40 and 34 patients would be needed to ensure evaluation for
144 PK analysis in the CYP3A and BCRP studies, respectively. These sample sizes were
145 expected to provide 90% power for the 90% CIs for both AUC and C_{max} ratios to be within
146 70% to 143%. The relevant no-effect boundary was determined based on the high variability
147 of simvastatin and rosuvastatin. Also, with the exposure response of simvastatin and
148 rosuvastatin, a change of 0.7 to 1.43 fold is unlikely to alter its benefit risk and hence, this
149 margin was used [21].

150 *Participants*

151 Adult patients with a histological or cytological confirmed diagnosis of EGFRm NSCLC, and
152 radiological confirmation of disease progression during previous continuous treatment with
153 an EGFR-TKI, were enrolled. Inclusion criteria included local confirmation that tumours
154 harboured an EGFR mutation known to be associated with EGFR-TKI sensitivity, an Eastern
155 Cooperative Oncology Group performance status 0–1 with no deterioration over the previous
156 2 weeks, and a life expectancy of ≥ 12 weeks as estimated at the time of screening.

157 Exclusion criteria included inadequate bone marrow reserve or organ function and
158 unresolved toxicities from any prior therapy exceeding CTCAE Grade 1. In both studies,
159 patients were required to avoid any food/drugs with known CYP3A inducer/inhibitor effects; if
160 patients were taking CYP3A inhibitors/inducers, a sufficient wash out was required before
161 enrolment. Based on the prescribing information of simvastatin and rosuvastatin, patients
162 treated with concomitant medications likely to cause PK interaction, or another statin, were
163 excluded. The BCRP study was limited to patients of non-Asian ethnicity to avoid BCRP
164 polymorphism [17,22]. Intake of Seville oranges or grapefruits was prohibited in both studies
165 as these act as potent inhibitors of CYP3A [23].

166 *Objectives*

167 The primary objective of both studies was to assess the exposure (AUC and C_{max}) of
168 simvastatin or rosuvastatin when administered as a single dose alone and in combination
169 with osimertinib. Secondary objectives were to assess the PK of simvastatin (and
170 simvastatin acid) and rosuvastatin, respectively, when administered as a single dose alone
171 and in combination with osimertinib, and to assess the PK of osimertinib (and metabolites)
172 when administered in combination with simvastatin and rosuvastatin, respectively. Safety
173 and tolerability of osimertinib alone and in combination with simvastatin and rosuvastatin,
174 respectively, were also evaluated. The potential for osimertinib to induce CYP3A through
175 changes in post-dose to pre-dose ratios for 4BHC concentration was assessed as an
176 exploratory objective.

177 *Statistical methods*

178 The PK analysis set was defined as dosed patients with at least one quantifiable plasma
179 concentration collected post-dose without any important deviations or events that could alter
180 the evaluation of the PK. Important deviations or events included dosing deviations, vomiting
181 following oral dosing, and administration of or changes in concomitant medications thought
182 to affect simvastatin or rosuvastatin PK. With respect to osimertinib, any deviations or events

183 resulting in osimertinib AUC_τ (AUC during the dosing interval) falling below the 10th
184 percentile of exposure of the overall patient population resulted in exclusion of the patients'
185 simvastatin or rosuvastatin PK data from the analyses.

186 To evaluate the effect of osimertinib on simvastatin, simvastatin acid or rosuvastatin
187 exposure, natural log-transformed AUC (and AUC from zero to the last quantifiable
188 concentration at time "t" [AUC_{0-t}]) and C_{max}, were compared between treatments using a
189 mixed effects analysis of variance, with treatment as a fixed effect and patient as a random
190 effect. The mean differences and the CIs were back transformed to the original scale in
191 order to give estimates of the geometric mean ratios ([osimertinib + simvastatin/rosuvastatin]
192 vs simvastatin/rosuvastatin alone) and the associated 90% CIs. No effect on the PK of
193 simvastatin/rosuvastatin after co-administration of osimertinib was concluded if the 2-sided
194 90% CIs for the ratios of simvastatin/rosuvastatin AUC (or AUC_{0-t}) and C_{max} were within the
195 range of 70% to 143%. For simvastatin/rosuvastatin and simvastatin acid, analyses of time
196 to maximum concentration (t_{max}) were performed using the Wilcoxon Signed Rank Test. The
197 Hodges-Lehman median estimator of the difference in treatments ([osimertinib +
198 simvastatin/rosuvastatin] – simvastatin/rosuvastatin alone) and 90% CIs are presented.

199 The safety analysis set included all patients who received at least one dose of
200 osimertinib or either statin. Safety assessments in both studies included AE reporting graded
201 by CTCAE v4.0, physical examination, vital signs, electrocardiogram, ophthalmic
202 examination, clinical chemistry, coagulation, hematology, and urinalysis. For additional
203 information, see the supplementary appendix.

204 *Bioanalysis*

205 Samples for the determination of simvastatin, simvastatin acid, rosuvastatin, 4BHC, and
206 osimertinib and its metabolites (AZ5104 and AZ7550) in plasma were analysed by Covance
207 Laboratories at their sites globally using validated bioanalytical methods. Simvastatin,
208 simvastatin acid, and 4BHC were detected in plasma containing K₂EDTA using high

209 performance liquid chromatography (HPLC) followed by tandem mass spectrometric
210 (MS/MS) detection. Rosuvastatin was detected in plasma containing lithium heparin using
211 supported-liquid extraction, and analysed using HPLC- MS/MS. Calibration, quality control
212 and clinical study samples (40 µL) were spiked with (¹³C, ²H₃) osimertinib as an internal
213 standard, processed by protein precipitation and then simultaneously assayed for
214 osimertinib, AZ5104 and AZ7550 using reversed-phase HPLC with Turbo Ion Spray[®]
215 MS/MS. Drug-to-internal standard peak area ratios for the standards were used to create a
216 calibration curve using 1/x² weighted least-squares regression analysis. Concentrations of
217 each analyte were quantified by comparing ratios in trial samples with the relevant
218 calibration curve. During validation of all assays, no analytically significant interferences from
219 endogenous matrix components were observed. All methods demonstrated acceptable
220 selectivity with mean normalised matrix factors of 1.00 ± 0.08 observed at the concentrations
221 tested. The lower limit of quantification of the method was 16 nM for osimertinib, 1.65 nM for
222 AZ5104 and AZ7550, 0.04 ng/mL for rosuvastatin, 0.05 ng/mL for simvastatin and
223 simvastatin acid and 4 ng/mL for 4BHC. Accuracy ranged from 93% to 112% and precision
224 from 2.5% to 10.1% for all analytes in both studies.

225 PK parameters for plasma osimertinib, AZ5104, AZ7550, simvastatin, simvastatin
226 acid and rosuvastatin non-compartmental methods were calculated and summarised with
227 Phoenix[®] WinNonlin[®] Version 6.4, (Pharsight Corp., A Certara Company, Princeton,
228 New Jersey, USA). PK and safety summaries, as well as the inferential analyses for
229 simvastatin/rosuvastatin and simvastatin acid, were performed by IQVIA using SAS[®] Version
230 9.2 (SAS Institute, Inc., Cary, North Carolina, USA).

231 Results

232

233 *In vitro studies*

234

235 In human liver microsomes, only CYP3A4/5 using nifedipine as the substrate showed
236 inhibition at less than 25 uM (IC₅₀ = 5.1 uM with nifedipine as substrate and >25 uM for
237 midazolam as substrate). Osimertinib is not an inhibitor (IC₅₀ > 30 uM) for CYP1A2, 2A6,
238 2B6, 2C8, 2C9, 2C19, 2D6 and 2E1. No time dependent inhibition was observed for any of
239 the enzymes.

240 No induction in mRNA or activity was observed for CYP2B6 and up to 16% of
241 positive control for CYP1A2 was observed. A concentration dependent maximal induction of
242 up to 173-fold (89% of positive control) in one lot and 4.9 fold (45% of positive control) in the
243 other two lots in mRNA and activity was observed for CYP3A4/5.

244 For transporter inhibition, the inhibition values and the potential for interaction are
245 shown in Supplementary Table 1. The results indicate that BCRP inhibition (mostly via
246 intestinal) inhibition is likely. Based on *in vitro* data, osimertinib is not likely to be a clinically
247 relevant inhibitor of Pgp, OATP1B1, OATP1B3, OCT2, OAT1, OAT3, MATE1 and MATE2K
248 transporters.

249 *Patients*

250 In the CYP3A study, 57 patients were enrolled across 17 centres in Asia, North
251 America and Western Europe. Of these patients, 52 were assigned to and received
252 treatment, of whom 49 were included in the PK analysis set. Of the three patients excluded
253 from PK analyses, two were excluded as their clinical imaging showed excessive hepatic
254 metastases which was significantly reduced after 4 weeks of treatment with osimertinib,
255 which likely confounds the DDI results, and one was excluded due to changes in
256 concomitant medication (a CYP3A4 inducer) dosing during the treatment period. In the
257 BCRP study, 55 patients were enrolled from 13 centers across Western Europe and North
258 America (no Asian patients in the BCRP study). Of these, 44 patients were assigned to and
259 received treatment, all of whom were included in the PK analysis set. Baseline

260 demographics, disease characteristics and allowed concomitant medications are shown in
261 Table 1.

262 *CYP3A study: simvastatin PK*

263 Geometric mean plasma concentrations of simvastatin are shown in Figure 1. Geometric
264 mean simvastatin concentrations were slightly lower following co-administration of
265 osimertinib over the initial 4 hours while the terminal concentrations appeared to exhibit a
266 similar decline. The simvastatin acid profiles were similar to each other following
267 administration of simvastatin alone and simvastatin with osimertinib throughout the time
268 course. With rosuvastatin, the concentrations were higher for the first 24 hours, following
269 administration of osimertinib and rosuvastatin, compared with rosuvastatin alone. After 24
270 hours, both rosuvastatin concentrations appeared to exhibit a similar decline. Administration
271 of osimertinib with simvastatin decreased the area under the plasma concentration–time
272 curve from zero to infinity (AUC) for simvastatin by approximately 9%, and the maximum
273 plasma concentration (C_{max}) by approximately 23%, compared with administration of
274 simvastatin alone (Table 2). Table 2 shows that exposure of simvastatin acid relative to
275 simvastatin was similar across treatments, based on arithmetic mean metabolite-to-parent
276 ratios (MR) for AUC and C_{max} . Individual and geometric mean AUCs of simvastatin and
277 simvastatin acid alone, versus in combination with osimertinib are shown in Figure S.1,
278 supplementary appendix.

279 The geometric least squares mean (GLSM) ratios of evaluable patients receiving simvastatin
280 plus osimertinib to simvastatin alone for AUC and C_{max} are shown in Table 3: the 90% CI of
281 GLSM ratio for AUC was entirely contained within the no relevant effect limits of 70% to
282 143%, but the reduction seen for C_{max} was not entirely contained within these limits. No
283 effect of osimertinib on AUC or C_{max} of simvastatin acid was observed.

284 Osimertinib did not affect the time to maximum concentration (t_{\max}) or the half-life of
285 simvastatin or simvastatin acid (Table 3). The mean apparent plasma clearance (CL/F) was
286 slightly higher with osimertinib and simvastatin versus simvastatin alone (Table 2).

287 *BCRP study: rosuvastatin PK*

288 Geometric mean rosuvastatin plasma concentration–time profiles are shown by treatment in
289 Figure 1. AUC, AUC_{0-t} and C_{max} of rosuvastatin were higher with osimertinib and rosuvastatin
290 versus rosuvastatin alone (Table 2). Individual and geometric mean AUCs of rosuvastatin
291 alone versus in combination with osimertinib are shown in Figure S.2, supplementary
292 appendix. GLSM ratios of rosuvastatin plus osimertinib to rosuvastatin alone for AUC and
293 C_{max} were 135% (115–157) and 172% (146–203), respectively (Table 3). The 90% CIs of the
294 GLSM ratios for these parameters were not contained within the predefined no relevant
295 effect range of 70% to 143%. Co-administration of osimertinib had no effect on rosuvastatin
296 t_{\max} (Table 3). The half-life of rosuvastatin was similar: 19.8 h when given with osimertinib
297 versus 19.5 h with rosuvastatin alone.

298 CL/F and volume of distribution (V_z/F) were both lower with rosuvastatin plus
299 osimertinib compared with rosuvastatin alone as shown in Table 2.

300 *Osimertinib and metabolites PK*

301 PK parameters for osimertinib and the metabolites AZ5104 and AZ7550 after 29
302 days of dosing are shown in Table 4. In both studies, visual observations indicated that
303 steady state was attained for osimertinib and its metabolites at the time of Period 3
304 evaluation of PK interaction. Across the two studies, the metabolite-to-parent ratio for AUC
305 during the dosing interval (MRAUC_τ) and MRC_{max} for AZ5104 and AZ7550 were
306 approximately 10% of osimertinib.

307 *4 β -hydroxy-cholesterol*

308 Following multiple doses of osimertinib, plasma concentrations of 4BHC increased by
309 approximately 10% relative to baseline (Day 1 pre-dose) in the CYP3A study and
310 approximately 15% in the BCRP study, following 4 weeks of osimertinib dosing. Geometric
311 mean (90% CI) post/pre-dose 4BHC concentration ratios were 1.139 (1.10, 1.22) and 1.087
312 (1.04, 1.19) on Day 24 and Day 31 in the CYP3A study, and 1.147 (1.08, 1.22) and 1.153
313 (1.08, 1.23) on Day 25 and Day 32 in the BCRP study.

314 *Safety*

315 Mean (standard deviation) total treatment duration of osimertinib in the CYP3A study
316 was 29.3 (2.93) days, with a median of 30.0 days (range 14 to 35 days). In the BCRP study,
317 mean total treatment duration of osimertinib was 27.4 (3.77) days, with a median of 26.0
318 days (range 22 to 47 days); mean of 4.2 (1.78) days for Period 3 (osimertinib plus
319 rosuvastatin). The actual treatment duration (excluding dose interruptions) was similar to
320 total treatment duration in both studies.

321 The number and percentage of patients with an adverse event (AE) in any category
322 during Part A (see Methods) is summarised in Table 5. Across treatment periods, 44 patients
323 (85%) in the CYP3A study and 40 patients (91%) in the BCRP study, experienced AEs. Of
324 the all causality AEs in both studies, the majority were mild or moderate in severity; three
325 (6%) and seven (16%) reported Grade ≥ 3 AEs in the CYP3A and BCRP studies
326 respectively, none of which were considered related to study treatment. There were no
327 possibly causally related AEs leading to death or discontinuation of osimertinib, simvastatin
328 or rosuvastatin. Two patients died due to disease progression in the BCRP study.

329 The most common all causality AEs in the CYP3A study they were dry skin (grouped
330 term, 11 patients [21%]), rashes and acnes (grouped term, 10 patients [19%]) and diarrhea
331 (eight patients [15%]). In the BCRP study they were dyspnoea (11 patients [25%]),
332 decreased appetite and diarrhea (nine patients [20%] each). In the CYP3A study there was

333 one AE of a cardiac event: a non-serious, Grade 1 event of electrocardiogram QT prolonged
334 that was considered possibly causally related to osimertinib by the investigator. There were
335 no cases of interstitial lung disease reported in either study.

336 More details on patient safety can be found in the Supplementary Appendix.

337 *Discussion*

338 Based on *in vitro* data, osimertinib was shown to have potential to be an inhibitor and
339 inducer of CYP3A and an inhibitor of intestinal BCRP transport. Hence, we evaluated the
340 impact of osimertinib on the PK of simvastatin, a sensitive CYP3A substrate, and
341 rosuvastatin, a BCRP substrate, in patients with EGFRm NSCLC following progression on
342 an EGFR-TKI. For further details of the *in vitro* data see the supplementary appendix.
343 Baseline demographics in both studies were consistent with other osimertinib clinical trials,
344 except with regard to race in the BCRP study [14,24,25].

345 Simvastatin is particularly sensitive to CYP3A inhibition due to high first-pass
346 metabolism, leading to very low bioavailability [26]. Simvastatin was chosen as the sensitive
347 substrate in the CYP3A, rather than midazolam, as the study was performed in patients who
348 would be at risk of impaired respiratory function if treated with midazolam [27]. Moreover, the
349 common use of simvastatin in the NSCLC patient population, makes the use of simvastatin a
350 more relevant substrate to study the CYP3A interaction potential of osimertinib. In this study,
351 a small decrease in C_{max} of simvastatin and no effect on the AUC of simvastatin, or on the
352 AUC and C_{max} of simvastatin acid (all within the pre-defined limits) when dosed with
353 osimertinib was observed. Although the decrease in C_{max} was not within the pre-defined no
354 relevant effect limits, the changes in C_{max} are unlikely to be of clinical relevance as AUC is
355 considered the PK parameter of interest for efficacy of most compounds. Simvastatin acid,
356 which is also formed predominately via CYP3A in the liver, showed no effect after
357 osimertinib treatment; therefore, no clinically meaningful impact on CYP3A substrate

358 exposure is expected when co-dosed with osimertinib. This lack of change in the PK of
359 simvastatin and simvastatin acid suggests that there is a lack of effect on CYP3A by
360 osimertinib. As bioavailability of simvastatin is so low (5%), in comparison to other statins
361 that utilise the CYP3A pathway (such as atorvastatin, bioavailability: 12%), it is probable that
362 other statins that use this pathway are less likely to have any clinically meaningful impact
363 when co-dosed with osimertinib [26].

364 In the BCRP study, rosuvastatin was chosen as the BCRP substrate as it is another
365 statin that is likely to be co-administered with osimertinib. Rosuvastatin is eliminated mostly
366 through an efflux-mediated process in the gut and in the bile (minimal elimination via
367 metabolism). This study showed an effect on the exposure of rosuvastatin after
368 co-administration with osimertinib; AUC of rosuvastatin was increased by approximately 35%
369 and C_{max} by approximately 72%, compared with the administration of rosuvastatin alone; the
370 90% CIs of AUC and C_{max} were not contained within the predefined range. These changes
371 are likely due to inhibition of BCRP-mediated efflux by osimertinib during the first pass
372 (osimertinib is not an inhibitor of OATP1B1 or OATP1B3 and does not cause any clinically
373 relevant DDI via this pathway) [15,28]. Based on our results, the inhibition of BCRP by
374 osimertinib most likely occurs in the absorption/distribution phase, as opposed to the
375 elimination phase. As BCRP is found in both efflux from the blood to the intestines and efflux
376 from the liver to bile ducts to the intestines,[29] and rosuvastatin is largely eliminated by
377 faeces;[30] it is likely that osimertinib-mediated BCRP inhibition increased rosuvastatin
378 absorption by both blocking efflux into bile, which allowed recirculation into blood, and
379 blocking efflux from blood back to intestines. This leads to a notable extension of time taken
380 for rosuvastatin to be eliminated through efflux into the gut and, thereby, an increased
381 absorption and/or slower elimination due to reduced efflux by the intestinal mucosa. Though
382 V_z/F was lower with rosuvastatin co-administration, compared with rosuvastatin alone, there
383 was no difference in the half-life of rosuvastatin with and without osimertinib, suggesting that
384 any inhibition of the elimination of the circulating rosuvastatin levels by osimertinib (after first

385 pass) is negligible. The decrease in V_z/F is likely a byproduct of non-compartmental
386 analysis, where because AUC was greater, CL was lower, and thus so too was V_z/F (due to
387 the elimination rate being similar with and without osimertinib); therefore, this result should
388 be interpreted with caution. These small (<2-fold) changes to the PK of rosuvastatin suggest
389 that osimertinib acts as a weak inhibitor of BCRP transporter.

390 4BHC levels were measured in an exploratory capacity in order to gauge the induction
391 potential of osimertinib on CYP3A. In both studies, an increase in 4BHC levels of 10–15%
392 relative to baseline following 28 days of osimertinib administration was observed. As 4BHC
393 is the product of a CYP3A-catalysed reaction, plasma concentrations of 4BHC are expected
394 to increase when CYP3A induction occurs [31]. However, it is important to note that 4BHC
395 has a half-life of approximately 17 days and the length of dosing in these studies was 4
396 weeks, compared with a dosing period of around 2 weeks in similar studies [32,33]. Even
397 with a longer dosing duration, this increase was not deemed to be clinically significant and
398 the data reported here suggest a low potential for CYP3A induction.

399 The exclusion of two patients from the CYP3A study's PK analysis was due to their
400 PK results. Both had higher (~10 fold) simvastatin exposure in Period 1 (simvastatin alone)
401 compared with all other patients dosed in that period and computed tomography scans prior
402 to study entry indicated significant tumour burden in the liver. By week 6 of the study, there
403 were reductions of approximately 50% and 80% in liver metastases from baseline and the
404 patients returned to within normal simvastatin exposure ranges. It is possible that treatment
405 with osimertinib reduced this tumour burden. A limitation of this study was that due to its
406 fixed sequence design, patients could have clinically improved during the intervening period
407 between the two doses of simvastatin and efficacy determination was not an objective in this
408 study. Therefore, liver function could have been slightly different between the doses as
409 occurred with the two patients discussed here.

410 In the CYP3A study, steady-state exposures observed for osimertinib and its
411 metabolites were similar to those observed in other osimertinib clinical trials [20]. Slightly

412 higher mean exposures were observed in the BCRP study, but were within the expected
413 exposures of osimertinib across clinical studies; however, overall PK parameter ranges and
414 geometric mean metabolite-to-parent ratios for the metabolites (approximately 10%) were
415 similar to other clinical trials [20]. The higher exposure of osimertinib in the BCRP study may
416 have resulted in increased inhibition of BCRP, potentially presenting an overestimation of the
417 DDI between the two drugs. The numbers of AEs reported here were lower, the majority of
418 AEs were mild or moderate and similar to those reported in the AURA studies [14,25,34].
419 Overall, in both studies, osimertinib was well tolerated in patients with EGFRm-positive
420 NSCLC whose disease had progressed during treatment with an EGFR-TKI and for whom
421 no new safety concerns were identified.

422 In conclusion, as osimertinib neither strongly induces nor strongly inhibits CYP3A to
423 a clinically relevant extent, PK-mediated interactions are unlikely and hence, osimertinib can
424 be used concomitantly with CYP3A substrates. Osimertinib had a minor (<2-fold change)
425 inhibitory effect on rosuvastatin exposure; therefore, caution is recommended when using
426 osimertinib with sensitive BCRP substrates with a narrow therapeutic index.

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435 Conflict of interest

436 All authors have completed the Unified Competing Interest form at
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438 and declare: J. Vansteenkiste reports honoraria for AstraZeneca, during the conduct of the
439 study. P. A. Dickinson is a former employee of, and shareholder in; AstraZeneca; his current
440 organisation provides services to AstraZeneca. K. Bui and K. Thomas declare contract work
441 for AstraZeneca. D. Weilert is an employee of IQVIA, Clinical Research Organization, which
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443 Vishwanathan are employees of, and shareholders in AstraZeneca. The other authors have
444 nothing to disclose.

445 Author contributions

446 P.A.D., R.D.H., N.I., N.R.A., K.S., K.T., J.V., and K.V. wrote the manuscript.

447 P.A.D., R.D.H., K.S., K.T., K.V., and D.W. designed the research.

448 T.A., R.D.H., N.I., J.-S.L., N.R.A., J.V., and K.V. performed the research.

449 T.A., K.B., R.D.H., K.S., K.T., K.V., and D.W. analyzed the data.

450 K.V. contributed new reagents/analytical tools.

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555

556 **Figure legend**

557 **Figure 1:** Geometric mean plasma concentration (ng/mL) vs time by treatment [semi-log
558 scale] (pharmacokinetic analysis set). **A**, simvastatin. **B**, simvastatin acid. **C**, rosuvastatin