1	Title: Mechanical damage induced by the appearance of rectified bubble growth in a
2	viscoelastic medium during boiling histotripsy exposure
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#### 1 Abstract

2 In boiling histotripsy, the presence of a boiling vapour bubble and understanding of its 3 dynamic behaviour are crucially important for the initiation of the tissue fractionation process and for the control of the size of a lesion produced. Whilst many in vivo studies have shown 4 the feasibility of using boiling histotripsy in mechanical fractionation of solid tumours, not 5 6 much is known about the evolution of a boiling vapour bubble in soft tissue induced by 7 boiling histotripsy. The main objective of this present study is therefore to investigate the 8 formation and dynamic behaviour of a boiling vapour bubble which occurs under boiling 9 histotripsy insonation. Numerical and experimental studies on the bubble dynamics induced in optically transparent tissue-mimicking gel phantoms exposed to the field of a 2.0 MHz 10 High Intensity Focused Ultrasound (HIFU) transducer were performed with a high speed 11 12 camera. The Gilmore-Zener bubble model coupled with the Khokhlov-Zabolotskaya-Kuznetsov and the Bio-heat Transfer equations was used to simulate bubble dynamics driven 13 by boiling histotripsy waveforms (nonlinear-shocked wave excitation) in a viscoelastic 14 medium as functions of surrounding temperature and of tissue elasticity variations. In vivo 15 animal experiments were also conducted to examine cellular structures around a freshly 16 created lesion in the liver resulting from boiling histotripsy. To the best of our knowledge, 17 this is the first study reporting the numerical and experimental evidence of the appearance of 18 rectified bubble growth in a viscoelastic medium. Accounting for tissue phantom elasticity 19 20 adds a mechanical constraint on vapour bubble growth, which improves the agreement 21 between the simulation and the experimental results. In addition the numerical calculations 22 showed that the asymmetry in a shockwave and water vapour transport can result in rectified bubble growth which could be responsible for HIFU-induced tissue decellularisation. Strain 23 24 on liver tissue induced by this radial motion can damage liver tissue while preserving blood vessels. 25

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Keywords: high intensity focused ultrasound; boiling histotripsy; acoustic cavitation;
rectified bubble growth; tissue decellularisation.

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#### 1 1. Introduction

High Intensity Focused Ultrasound (HIFU) is a promising non-invasive ultrasound technique 2 3 which has been used to thermally necrose soft tissue [1, 2]. Recent in vivo studies on kidney, prostrate, heart and liver have reported that mechanical tissue fractionation with a high degree 4 5 of precision can also be achieved by HIFU [3–11]. This technique is known as histotripsy and 6 utilises a number of micro or millisecond-long ultrasound pulses with high acoustic peak 7 positive  $(P_+)$  and negative  $(P_-)$  pressures at the HIFU focus to fractionate soft tissue [12,13]. 8 For histotripsy, peak pressure values of  $P_+ > 40$  MPa and  $P_-$  of < 10-15 MPa at the focus are 9 typically employed which are comparable to those in the shockwaves used in lithotripsy for kidney stone fragmentation [14, 15]. 10

11

In general two different methods, known as cavitation cloud histotripsy and boiling 12 histotripsy, are currently being used for inducing mechanical tissue fractionation [16]. The 13 mechanisms of actions involved in these two methods are different; however, both methods 14 can produce a well-defined mechanically fractionated lesion which contains tissue fragments 15 16 and is sharply demarcated between treated and untreated regions with no sign of thermal 17 damage at the periphery of the lesion [16]. Cavitation cloud histotripsy uses microsecondlong HIFU pulses with driving frequencies of 0.75 MHz to 1.0 MHz and  $P_+ > 80$  MPa and  $P_-$ 18 19 = 15-30 MPa at the focus [17, 18]. Because the pressure threshold for cavitation clouds is -20 28 MPa for most soft tissues [18], peak pressure values used in cavitation cloud histotripsy 21 can lead to the formation of cavitation clouds at the focus, resulting in the mechanical 22 disruption of soft tissue. One to twenty acoustic cycles are generally delivered in a single 23 HIFU pulse with the pulse repetition frequency (PRF) of 10 Hz to 1 kHz and a low duty cycle (less than 1%) to prevent an accumulation of heat at the HIFU focus [17–19]. Though 24 25 cavitation cloud histotripsy has been shown to be a promising HIFU technique to

1 mechanically fractionate tissue, one of its drawbacks is that the formation of cavitation clouds is a probabilistic event due to the stochastic nature of cavitation activity which depends upon 2 the location and number of pre-existing bubble nuclei within soft tissue [13, 20]. Cavitation 3 activity can, therefore, stop unexpectedly during the course of HIFU exposure [13]. In 4 5 addition, very large and highly focused HIFU transducers (f-number < 0.8) driven by an 6 extremely high radio frequency power amplifier (of the order of several kilowatts) are 7 generally required to achieve high focal peak negative pressures for producing and 8 maintaining the bubble clouds at the focus [8].

9

An alternative to cavitation cloud histotripsy has been proposed and its efficacy demonstrated 10 11 [13, 21]. This is known as boiling histotripsy, which uses shock wave heating to produce a boiling vapour bubble and fractionate bulk tissue with a number of millisecond long HIFU 12 pulses. The time taken to form a boiling bubble (i.e., time to boil,  $t_b$ ) can be predicted 13 theoretically [21]. In boiling histotripsy, peak positive and negative pressures of  $P_+ > 40$  MPa 14 and  $P_{-} < 10-15$  MPa are generally produced at the HIFU focus with driving frequencies 15 16 between 1 MHz and 3 MHz, a PRF of 0.5 - 1 Hz and a duty cycle of ~1%. In contrast to 17 cavitation cloud histotripsy, boiling histotripsy requires significantly less power (of the order of hundreds of watts) and the requirements on the size, focusing gain (f-number  $\sim$  1) and 18 19 frequency of the HIFU source are relatively less restrictive. Therefore, commercially 20 available HIFU systems can be used to perform boiling histotripsy [8, 19, 22]. The shape of a lesion resulting from boiling histotripsy is tadpole like, consisting of a "head" and a "tail" 21 22 with the "head" closest to the HIFU transducer [23]. The mechanisms of boiling histotripsy 23 are as follows. The production of a shock wavefront at the HIFU focus due to nonlinear wave propagation effects in tissue enables the tissue heating rate to be increased significantly. This 24 25 localised heating can increase tissue temperature to boiling temperature in a few milliseconds

1 followed by the formation of a boiling bubble at the HIFU focus [21]. After the creation of a boiling bubble, the interaction between incoming shockwaves and the acoustic fields 2 3 backscattered by the bubble can lead to the generation of an inertial cavitation cluster in front of the boiling bubble towards the HIFU source [24]. The shear forces produced around 4 5 oscillating boiling bubbles in a localised heated region within the HIFU focal volume may 6 tear off tissue and inertial cavitation clouds enable the mechanical disruption of tissue 7 through violent bubble collapses. These two different types of bubble dynamics, a boiling 8 bubble in the HIFU focus and a cavitation cluster in between the boiling bubble and the HIFU 9 transducer, can result in the production of a "tail" and a "head" of the lesion, respectively 10 [24].

11

In boiling histotripsy, the presence of a boiling vapour bubble and understanding of its 12 dynamic behaviour are essentially important to initiate the tissue fractionation process as well 13 as to control the size of a lesion produced at a given HIFU exposure condition [24]. Whilst 14 many in vivo studies have shown the feasibility of using boiling histotripsy to mechanically 15 16 break down solid tumours, not much is known about the evolution of a boiling vapour bubble 17 at the HIFU focus in a viscoelastic medium during boiling histotripsy exposure. To that end, the main objective of this study is to investigate the formation and dynamic behaviour of a 18 19 boiling vapour during boiling histotripsy insonation. Numerical and experimental studies of 20 the bubble dynamics induced in an optically transparent tissue mimicking gel phantom 21 exposed to HIFU fields are performed with a high speed camera and a passive cavitation 22 detection (PCD) system. The Gilmore, the Zener viscoelastic, the Khokhlov-Zabolotskaya-23 Kuznetsov (KZK) and the Bio-heat Transfer (BHT) equations are used to simulate the dynamics of a single spherical bubble driven by nonlinear-shocked waves in a viscoelastic 24 25 medium as a function of the surrounding temperature and of elasticity variations.

- Furthermore, *in vivo* experiments are conducted to examine cellular structures around a
   mechanically fractionated lesion resulting from boiling histotripsy exposure.
- 3

#### 4 2. Numerical Bubble Model

5 The three most used numerical models describing the dynamics of a single spherical bubble 6 exposed to ultrasound fields in a liquid are the Rayleigh-Plesset (RP) [26], Herring-Trilling 7 (HT) [27, 28] and the Gilmore [29] equations. It is extremely important to choose a suitable 8 bubble model to study bubble dynamics since all the models are based on slightly different 9 assumptions.

10

11 The Rayleigh-Plesset (RP) equation is one of the simplest models to describe radial bubble motion. This model assumes that the sound velocity is infinite in an incompressible fluid of 12 constant density. The RP equation provides satisfactory numerical results only for small 13 bubble oscillation amplitudes with relatively low flow velocity. Neppiras [30] numerically 14 showed that the RP equation gives validated results for stable cavitation over limited number 15 16 of acoustic cycles and for inertial cavitation only where the bubble wall velocity is below one 17 fifth of the speed of sound in the liquid. The derivation of the RP model ignores the compressibility of the surrounding liquid and its influence upon bubble dynamics. The effects 18 19 of the compressibility on radial bubble oscillations, however, become significant for cases in 20 which the bubble wall velocity is comparable with the acoustic velocity in the surrounding 21 medium. Herring [27] and Trilling [28] rederived and modified the RP equation to take into 22 account both the energy stored in the liquid during radial bubble oscillations and the damping 23 effect introduced by the re-radiation of sound fields from bubble oscillations. The HT equation is valid when the bubble wall velocity is small compared to the speed of sound in 24 25 the liquid. This bubble model is therefore only suitable for small to medium bubble radial

1 oscillations with relatively moderate flow velocity, and violent bubble oscillations (i.e., 2 bubble wall velocity  $\geq$  speed of sound in the surrounding medium) cannot be studied. 3 Gilmore [29] improved the HT model using the Kirkwood-Bethe hypothesis [31] to take into account the compressibility of the liquid and the variation of sound velocity in the liquid as a 4 5 function of the radial bubble motion. The Kirkwood-Bethe hypothesis [31] states that the sound velocity is equal to the sum of the velocity of the surrounding liquid and the local 6 7 velocity of sound at the bubble wall. The assumptions behind this model make it suitable for 8 studying the dynamics of a single spherical bubble subjected to high acoustic pressure 9 amplitudes such as those encountered in lithotripter shockwave pulses [32–34]. The Gilmore equation can provide satisfactory results in the region of violent radial oscillations where the 10 11 bubble wall velocity is comparable to, or even greater than, the speed of sound in the liquid [32]. Because the Gilmore equation is particularly well suited to conditions of high acoustic 12 pressures in which the liquid compressibility plays an important role upon bubble dynamics 13 [32, 33], this bubble model was implemented in the present study to describe the dynamic 14 15 behaviour of a single spherical bubble excited by a nonlinear-shocked wave.

16

17 In the literature of HIFU-induced bubble dynamics in soft tissue, it has been pointed out that both tissue relaxation and elasticity should be taken into account for the modelling of bubble 18 19 dynamics during HIFU exposure [35, 36]. Since the Zener viscoelastic model effectively 20 describes both tissue relaxation time and tissue elasticity, and is considered to be more 21 accurate in modelling tissue viscoelastic behaviour than the Maxwell and the Kelvin-Voigt 22 models [25], the Zener model was adopted here to describe soft tissue viscoelasticity. A 23 detailed justification of using the Gilmore and the Zener models in modelling a single spherical bubble in soft tissue excited by a shockwave can be found elsewhere [25, 32]. 24

1 The underlying assumptions of the bubble model developed in the present study are that the 2 single spherical bubble is initially at rest, the bubble remains spherical during its oscillations, 3 there is no bubble fragmentation process after the bubble collapses, the internal pressure and 4 temperature inside the bubble are spatially uniform, there is no bubble coalescence process, 5 the initial bubble radius is much smaller than the wavelength of an acoustic excitation, the 6 bubble is initially filled with air (78% N<sub>2</sub>, 21% O<sub>2</sub>, 1% Ar) and water vapour (H<sub>2</sub>O), the gas 7 in the bubble follows the ideal gas law and there is no gravity acting on the bubble. The 8 effects of shape oscillations of the bubble would likely enhance rectified diffusion. This is because of an enlarged bubble surface area available for a greater net flux of gas into the 9 10 bubble during expansion. But these are assumed to be negligible in the present study. This is 11 because surface tension tends to preserve the spherical shape of an uncoated microbubble and suppress the development of non-spherical shape modes [80]. 12

13

The Gilmore bubble model coupled with the Zener viscoelastic model is a nonlinear secondorder differential equation, and is given by [25]

$$16 \qquad R\ddot{R}\left(1-\frac{\dot{R}}{C}\right) + \frac{3}{2}\dot{R}^{2}\left(1-\frac{\dot{R}}{3C}\right) = \left(1+\frac{\dot{R}}{C}\right)\left(H-\frac{\tau_{rr}+3q}{\rho_{L}}\right) + \frac{R}{C}\left[\dot{R}\frac{dH}{dR}\left(1-\frac{\dot{R}}{C}\right) - \frac{\dot{\tau}_{rr}+3\dot{q}}{\rho_{L}}\right] (1)$$

17 
$$H = \frac{m}{m-1} A^{1/m} \rho_L^{-1} \Big[ (P_w + B)^{(m-1)/m} - (P_\omega + B)^{(m-1)/m} \Big] (2)$$

18 
$$C = \sqrt{c_0^2 + (m-1)H}$$
 (3)

$$19 \qquad P_w = P_i - \frac{2\sigma}{R} - \frac{4\mu R}{R} (4)$$

20 
$$\dot{\tau}_{rr} = \frac{1}{\lambda_{\text{relax}}} \left[ \frac{-4G}{3} \left( 1 - \frac{R_0^3}{R^3} \right) - \frac{4\mu \dot{R}}{R} \right] - \frac{\tau_{rr}}{\lambda_{\text{relax}}} (5)$$

21 
$$\dot{q} = \frac{1}{3\lambda_{\text{relax}}} \left[ \frac{-4G}{3R^3} \left( R^3 - R_0^3 \right) - \frac{4\mu\dot{R}}{R} \right] - \frac{\dot{R}\tau_{rr}}{R} - \frac{q}{\lambda_{\text{relax}}}; \quad q = \int_{R}^{\infty} \frac{\tau_{rr}}{r} dr (6)$$

1 A detailed derivation of the Gilmore-Zener model is well documented in [25]. The dot denotes time derivatives,  $R_0$  is the initial bubble radius, R is the bubble radius,  $\dot{R}$  is the 2 velocity of the bubble wall,  $\ddot{R}$  is the acceleration of the bubble wall, C is the local speed of 3 sound,  $c_0$  is the infinitesimal speed of sound in the liquid,  $\rho_L$  is the equilibrium liquid density, 4 5 *H* is the liquid enthalpy,  $P_{\infty} = P_0 + P_a$  is the pressure far from the bubble,  $P_w$  is the pressure at 6 the bubble wall,  $P_0$  is the ambient pressure of the surrounding liquid and  $P_a$  is the applied 7 acoustic pressure.  $\sigma$  and  $\mu$  are the surface tension and viscosity of the liquid respectively. B =8  $A - P_0$  and m are the empirical constants of the modified Tait equation of the state for the 9 liquid [37].  $\tau_{rr}$  is the stress for motion in radial direction r, G is the tissue elasticity modulus 10 and  $\lambda_{relax}$  is the tissue relaxation time. The pressure inside the bubble  $P_i$  can be described using 11 the van der Waals equation of state with the inclusion of both heat and mass transfer at the bubble wall [38] 12

13 
$$P_i = \frac{R_{\text{gas}}T_b}{v - b_v} - \frac{a_v}{v^2}; \ v = \frac{N_A \frac{4}{3}\pi R^3}{N_{\text{tot}}}$$
(7)

where  $R_{\text{gas}}$  is the universal gas constant,  $T_b$  is the temperature inside the bubble, v is the mixture molar volume in the bubble,  $N_A$  is the Avogadro's number and  $N_{\text{tot}} = N_{\text{air}} + N_{\text{vap}}$  is the total number of molecules in the bubble.  $N_{\text{air}}$  and  $N_{\text{vap}}$  are the number of air and water vapour molecules, respectively. The van der Waals constants  $a_v$  and  $b_v$  for the van der Waals forces and the volume occupied by the molecules are determined by [38]

19 
$$a_{v} = a_{air} \left(\frac{N_{air}}{N_{tot}}\right)^{2} + a_{vap} \left(\frac{N_{vap}}{N_{tot}}\right)^{2} + 2\sqrt{a_{air}a_{vap}} \left(\frac{N_{air}}{N_{tot}}\right) \left(\frac{N_{vap}}{N_{tot}}\right)$$
(8)

20 
$$b_{v} = b_{air} \left(\frac{N_{air}}{N_{tot}}\right)^{2} + b_{vap} \left(\frac{N_{vap}}{N_{tot}}\right)^{2} + 2\left\{\left[\frac{1}{2}\left(b_{air}^{1/3} + b_{vap}^{1/3}\right)\right]\right\}^{1/3} \left(\frac{N_{air}}{N_{tot}}\right) \left(\frac{N_{vap}}{N_{tot}}\right) (9)$$

1  $a_{air}$  and  $b_{air}$ , and  $a_{vap}$  and  $b_{vap}$  are the van der Waals constants of air and water vapour, 2 respectively. The constant values are:  $a_{air} = 1.402 \times 10^{-1}$ ,  $a_{vap} = 5.536 \times 10^{-1}$  (J m<sup>3</sup> mol<sup>-2</sup>),  $b_{air} =$ 3  $3.753 \times 10^{-5}$ ,  $b_{vap} = 3.049 \times 10^{-5}$  (m<sup>3</sup> mol<sup>-1</sup>). 4

5 The Gilmore-Zener bubble model employed in the present study accounted for water vapour 6 transport, non-condensable gas transport and heat transfer at the bubble wall using the same 7 formulas used in [39]. A brief explanation of the main components of the model developed is 8 only presented in the following sections, whereas its detailed description can be found in 9 [39].

10

## 11 2.1. Water vapour, non-condensable gas transports and heat transfer

The rates of change of water vapour (H<sub>2</sub>O) and non-condensable gas (air) with respect to time are modelled separately at the bubble wall. To model the evaporation and condensation of vapour, the Hertz-Knudsen equation derived from the classical kinetic theory of gasses is employed, which estimates the change of molar rate of water vapour  $\dot{n}_{vap}$  (mol s<sup>-1</sup>) at the bubble interface [40]

17 
$$\dot{n}_{vap} = \dot{n}_{vap}^{evap} - \dot{n}_{vap}^{cond} = \frac{4\pi R^2}{M_{vap}} \frac{\alpha_m \bar{c}(T_s)}{4} \left[ \rho_{vap}^{sat} - \rho_{vap}(R,t) \right]; \bar{c}(T_s) = \sqrt{\frac{8R_{gas}T_s}{\pi M_{vap}}}$$
(10)

18 
$$\rho_{\rm vap}^{\rm sat} = 322 \exp\left(b_1 \theta^{2/6} + b_2 \theta^{4/6} + b_3 \theta^{8/6} + b_4 \theta^{18/6} + b_5 \theta^{37/6} + b_6 \theta^{71/6}\right) \text{ with } \theta = 1 - T_0 / 647.096 \quad (11)$$

19 
$$\rho_{vap} = \frac{M_{vap} \left(\frac{N_{vap}}{N_{tot}}\right)}{v}$$
 (12)

20  $\dot{n}_{vap}^{evap}$  and  $\dot{n}_{vap}^{cond}$  are the molar rates of evaporation and condensation of water vapour and  $M_{vap}$  is 21 the molar mass of vapour.  $\alpha_m$  is the accommodation coefficient for evaporation or 22 condensation,  $\bar{c}$  is the average velocity of molecules,  $\rho_{vap}^{sat}$  is the saturated density of water 1 vapour and  $\rho_{vap}$  is the time-varying density of water vapour. In Eq. (11), the constants are:  $b_1 =$ 2 -2.0315024,  $b_2 = -2.6830294$ ,  $b_3 = -5.38626492$ ,  $b_4 = -17.2991605$ ,  $b_5 = -44.7586581$  and  $b_6$ 3 = -63.9201063 [41].

4

5 Fick's law with the boundary layer approximation [42–44] is used to estimate the 6 instantaneous rate of change of non-condensable gas  $\dot{n}_{g}$  (mol s<sup>-1</sup>)

7 
$$\dot{n}_{g,i} = 4\pi R^2 D_i \frac{c_{\infty,i} - c_{s,i}}{L_{g,i}}$$
 (13)

8 
$$L_{g,i} = \min\left(\sqrt{\frac{RD_i}{|\dot{R}|}}, \frac{R}{\pi}\right)$$
 (14)

9 
$$D_{\rm i} = \frac{1.4 \times 10^{-8}}{\left[1000\,\mu(T_{\rm 0})\right]^{1.1}V_{m,{\rm i}}^{0.6}}$$
 (15)

10 The non-condensable gas diffusion boundary layer is presented at the outer boundary of the 11 bubble wall. In Eqs. (13)–(15), the subscript i denotes different gas species (Nitrogen  $N_2$ ,

12 Oxygen O<sub>2</sub>, Argon Ar),  $L_g$  is the instantaneous characteristic diffusion length,  $D_i$  is the

13 empirically determined diffusivity of gas in liquids [45] and  $c_s = p_i (n_g / n_{tot}) K_{H}^{-1}$  is the

14 instantaneous concentration of molecules per unit volume (mol  $m^{-3}$ ) at the bubble wall.

15  $c_{\infty} = p_0 K_{\rm H}^{-1}$  is the concentration of dissolved gas far from the bubble, and is used as the initial 16 concentration everywhere in the liquid. Henry's constant  $K_{\rm H}$  (Pa m<sup>3</sup> mol<sup>-1</sup>) for different gas 17 species i as function of temperature can be obtained by [46]

18 
$$K_{\rm H,i}(T_0) = \left[\frac{\rho_0}{P_0 M_i} \exp\left(A_h + \frac{B_h}{\tau_h} + C_h \ln \tau_h\right)\right]^{-1}$$
 with  $\tau_h = \frac{T_0}{100}$  (16)

19 where,  $M_i$  is the molar mass for gas species i and  $V_{m,i}$  is the diffusion volume of gas. The 20 alphabetical constants  $A_h$ ,  $B_h$ ,  $C_h$ ,  $V_m$  for N<sub>2</sub>, O<sub>2</sub> and Ar in Eq. (16) are given in Table 1. Analogously to Eqs. (13) and (14), the rate of heat transferred to the bubble Q and the thermal
boundary layer thickness *L*<sub>th</sub> can be approximated by [43]

$$3 \qquad \dot{Q} = 4\pi R^2 \lambda_{\rm mix} \frac{T_0 - T_b}{L_{\rm th}} (17)$$

4 
$$L_{\rm th} = \min\left(\sqrt{\frac{RK_{\rm mix}}{\left|\dot{R}\right|}}, \frac{R}{\pi}\right)$$
 (18)

5 The thermal boundary layer is presented at the outer boundary of the bubble wall. The 6 thermal conductivity of an air-vapour mixture  $\lambda_{mix}$  (W m<sup>-1</sup>K<sup>-1</sup>) depends on temperature and 7 density of the gas and vapour. The temperature dependence of the thermal conductivities of 8 air  $\lambda_{air}$  and of water vapour  $\lambda'_{vap}$  are assumed to be linear and calculated follows [38]

9 
$$\lambda_{air} = \alpha_{air}T_b + \beta_{air}$$
 (19)

$$10 \qquad \lambda'_{\rm vap} = \alpha_{\rm vap} T_b + \beta_{\rm vap} \quad (20)$$

11 where  $\alpha_{air} = 5.39 \times 10^{-5}$  (W m<sup>-1</sup> K<sup>-2</sup>),  $\beta_{air} = 0.0108$  (W m<sup>-1</sup> K<sup>-1</sup>) for air and  $\alpha_{vap} = 9.98 \times 10^{-5}$  (W

12 m<sup>-1</sup> K<sup>-2</sup>),  $\beta_{vap} = -0.0119$  (W m<sup>-1</sup> K<sup>-1</sup>) for water vapour. The temperature dependence of the

13 thermal conductivity of the mixture (air and vapour)  $\lambda'_{mix}$  is then expressed as [50]

14 
$$\lambda'_{\text{mix}} = \left(\frac{n_{\text{vap}}}{n_{\text{tot}}}\sqrt{\lambda'_{\text{vap}}} + \frac{n_{\text{air}}}{n_{\text{tot}}}\sqrt{\lambda'_{\text{air}}}\right)^2 (21)$$

15 The density dependence of the thermal conductivity of the mixture  $\lambda_{mix}$  is calculated by [51]

16 
$$\lambda_{\text{mix}} = \frac{b_v}{v} \left( \frac{1}{y_a} + 1.2 + 0.755 y_a \right) \lambda'_{\text{mix}} (22)$$

17 
$$y_a = \frac{b_v}{v} + 0.6250 \left(\frac{b_v}{v}\right)^2 + 0.2896 \left(\frac{b_v}{v}\right)^3 + 0.1150 \left(\frac{b_v}{v}\right)^4 (23)$$

18 The thermal diffusivity  $K_{mix}$  (m<sup>2</sup> s<sup>-1</sup>) of the air-vapour mixture in Eq. (18) can be expressed as 19 [43]

 $20 K_{\rm mix} = \frac{\lambda_{\rm mix}}{c_p} (24)$ 

21 
$$c_p = \sum_{i=1}^{4} \frac{f_i + 2}{2} K_{\rm B} \frac{N_i}{V}$$
 (25)

1 where  $c_p$  is the specific heat capacity per unit volume at constant pressure (J m<sup>-3</sup> K<sup>-1</sup>),  $N_i/V$  is 2 the molecular concentration (m<sup>-3</sup>) at the bubble wall,  $V = 4\pi R^3/3$  is the bubble volume and  $f_i$  is 3 the number of translational and rotational degrees of freedom of gas species i.  $K_B$  is the 4 Boltzmann constant.

5

## 6 2.2. Temperature change of the bubble

7 The first law of thermodynamics is employed for calculating the internal energy change
8 inside the bubble [42, 52]

9 
$$\dot{E} = \sum_{i=1}^{4} (h_i - u_i) \dot{N}_i + \dot{Q} - \dot{W}$$
 (26)

10 
$$h_{\rm i} = \frac{f_{\rm i} + 2}{2} K_{\rm B} T_{\rm 0}$$
 (27)

11 
$$u_{i} = \left[\frac{f_{i}}{2} + \sum_{1}^{n} \frac{\theta_{n}/T_{b}}{\exp(\theta_{n}/T_{b}) - 1}\right] K_{B}T_{b}$$
 (28)

12 where  $\dot{E}$  is the rate of total energy change,  $(h_i - u_i)\dot{N}_i$  is the energy loss due to mass diffusion, 13  $\dot{W} = P_i\dot{V}$  is the work done by the bubble expansion and  $\dot{V} = 4\pi R^2 \dot{R}$  is the rate of bubble volume 14 change.  $h_i$  is the molecular enthalpy and  $u_i$  is the internal energy.  $\theta_n$  represents the 15 characteristic vibrational temperatures in kelvin units. n is the number of the characteristic 16 vibrational temperatures. The values  $f_i$ ,  $\theta_n$  and n for air (N<sub>2</sub>, O<sub>2</sub>, Ar) and water vapour H<sub>2</sub>O are 17 given in Table 2. From Eqs. (17)–(28), the rate of temperature change inside the bubble  $\dot{T}_b$  (K 18 s<sup>-1</sup>) can be obtained algebraically

19 
$$\dot{T}_{b} = \frac{\dot{E}}{C_{v,\text{mix}}} = \frac{\sum_{i=1}^{4} (h_{i} - u_{i}) \dot{N}_{i}}{C_{v,\text{mix}}} + \frac{\dot{Q}}{C_{v,\text{mix}}} - \frac{\dot{W}}{C_{v,\text{mix}}}$$
 (29)

20 
$$C_{\nu,\text{mix}} = K_{\text{B}} \sum_{i=1}^{4} \left( \frac{f_i}{2} + \sum_{i=1}^{n} \left( \frac{(\theta_n/T_b)^2 \exp(\theta_n/T_b)}{(\exp(\theta_n/T_b) - 1)^2} \right) \right) N_i$$
 (30)

where  $C_{v,mix}$  is the heat capacity of the gas mixture (air and vapour) at constant volume (J K<sup>-1</sup>) 1 2 [42, 52]. The physical constants for the gas dynamics used in the model are displayed in 3 Table 3. In fact, the gas inside the bubble is heated when the bubble is compressed, and heat 4 is conducted away from the bubble into the surrounding liquid when the surrounding medium 5 is cooler. The temperature at the centre of the bubble is, therefore, higher than that of the 6 bubble surface [76]. For simplicity, in the present study, we assumed that the temperature 7 inside the bubble was spatially uniform. A numerical model describing an internal temperature variation as a function of the distance from the centre of the bubble such as the 8 approach used in [79], could possibly be employed. This is, however, beyond the scope of the 9 present study. 10

11

#### 12 **2.3.** Initial boundary conditions

13 The initial boundary conditions (at t = 0) were taken as

$$R = R_{0}; \quad \dot{R} = 0; \quad n_{\text{vap}} = \frac{P_{v}(T_{0})}{K_{\text{B}}T_{0}} \frac{4\pi}{3} R_{0}^{3}; \quad n_{\text{N}_{2}} = 0.78 \frac{P_{0}}{K_{\text{B}}T_{0}} \frac{4\pi}{3} R_{0}^{3};$$

$$n_{\text{O}_{2}} = 0.21 \frac{P_{0}}{K_{\text{B}}T_{0}} \frac{4\pi}{3} R_{0}^{3}; \quad n_{\text{Ar}} = 0.01 \frac{P_{0}}{K_{\text{B}}T_{0}} \frac{4\pi}{3} R_{0}^{3}; \quad T_{b} = T_{0}; \quad \dot{\tau}_{rr} = 0; \quad \dot{q} = 0$$
(31)

15 where  $P_{y}$  is the water vapour pressure at a given ambient temperature  $T_{0}$ , and is given by [53]

16 
$$P_{\nu} = 610 \exp\left[\left(\frac{T_0(K)}{273.16} - 1\right)\left(22.486\frac{273.16}{T_0(K)} + 0.3182\frac{T_0(K)}{273.16} - 2.9558\right)\right]$$
 (32)

The sets of seven coupled ordinary differential Eqs. (1), (5), (6), (10), (13), (17) and (29)
were numerically integrated with ode15s in MATLAB<sup>®</sup> (MathWorks Inc., R2017a).

### 20 2.4. The effects of shear modulus on bubble dynamics

The effects of the variation of the tissue elasticity modulus G (from 0 to 1 MPa) on the radial bubble motion R(t) were computed using the present bubble model with the same parameters

1 as employed by [25]: a sinusoidal wave  $A\sin(2\pi ft)$ , A = -1 MPa, f = 1.0 MHz,  $P_0 = 101.3$ kPa,  $\rho_L = 1060 \text{ kg m}^{-3}$ ,  $c_0 = 1500 \text{ m s}^{-1}$ ,  $\lambda_{\text{relax}} = 3 \text{ ns}$ ,  $\sigma = 0.056 \text{ N m}^{-1}$ ,  $\mu = 0.015 \text{ kg m}^{-1} \text{s}^{-1}$ ,  $R_0 = 1000 \text{ kg}^{-1}$ 2 3 1 µm and  $T_0 = 20^{\circ}$ C. Numerical results obtained from the present model show qualitative agreement to [25] within an order of magnitude difference. The calculated radius vs time 4 5 curve with varying G depicted in Fig. 1a clearly indicates that an increase of tissue elasticity 6 G leads to a significant reduction of the bubble radial oscillation. In addition, the present 7 bubble model enables vapour trapping effects as well as an increase in bubble temperature 8 during bubble collapse [54] to be captured (Figs. 1b and c). When the bubble collapses, the 9 water vapour inside the bubble cannot completely diffuse out since the time scale of the bubble collapse becomes much faster than that of diffusion of water vapour out of the bubble. 10 11 Thus, water vapour is eventually trapped in the bubble. This can result in an increased heat capacity because of the additional number of water vapour particles limiting both the 12 13 maximum temperature and pressure in the bubble [75].

14

### 15 2.5. Temperature dependent physical properties of liver

The density, speed of sound, viscosity and the surface tension of the liver as a function of temperature (independent of acoustic pressure fields) were assumed to follow similar trends to those of water (72.8% of adult human liver tissue constitute is water [55]), as it is acknowledged that information regarding this is not readily available [56]. The same equations (33)–(36) used in [39] were employed to initially calculate the temperature dependences of the physical properties of water

22 
$$\rho_{0,\text{water}} = 1000 \left[ 1 - \frac{(T_c - 4)^2}{119000 + 1365T_c - 4T_c^2} \right] \text{ with } T_c = T_0(^{\circ}\text{C}) (33)$$

23 
$$c_{0,\text{water}} = 1402.4 + 5.0384 \tau - 5.8117 \times 10^{-2} \tau^{2} + 3.3464 \times 10^{-4} \tau^{3}, \text{ with } \tau = T_{0}(\text{K}) - 273.16 (34)$$
$$-1.4826 \times 10^{-6} \tau^{4} + 3.1659 \times 10^{-9} \tau^{5}$$

1 
$$\mu_{0,\text{water}} = \frac{1.779\mu(T_0 = 20^\circ\text{C})}{1 + 0.03367T_c + 2.2099 \times 10^{-4}T_c^2}$$
 (35)

2  $\sigma_{0,\text{water}} = 0.2358 \,\gamma^{1.256} (1 - 0.625 \,\gamma), \text{ with } \gamma = 1 - T_0(\text{K})/647.1 \ (36)$ 

3 Liver
$$(T_0)$$
 = water<sub>calculated</sub>  $(T_0)$  × Ratio (37)

4 Eqs. (33)–(36) respectively give the variation of water density ρ<sub>0</sub> [57], speed of sound c<sub>0</sub> [58],
5 dynamic viscosity μ<sub>0</sub> [59] and surface tension σ<sub>0</sub> [60] with temperature. To estimate the liver
6 properties, the calculated water properties at a given ambient temperature using Eqs. (33)–
7 (36) were multiplied by the ratio of the liver and water properties measured at 20°C (see Eq.
8 (37)). Table 4 shows the properties of water and of liver at 20°C.

9

#### 10 **3. Experimental Methods**

#### 11 3.1. High Speed Camera Experiments

The same high speed camera experimental setup and the HIFU exposure protocols used in 12 [24] were employed in this present study to investigate the formation and dynamic behaviour 13 14 of a boiling vapour bubble induced in an optically transparent liver tissue phantom during 15 boiling histotripsy (Fig. 2). The HIFU experiment was conducted in an acrylic water bath filled with degassed and de-ionised water at 20°C. A 2 MHz single element bowl shaped 16 transducer (Sonic Concepts H106, Bothell, WA, USA) with an aperture size of 64 mm, a 17 geometric focal length of 62.6 mm and lateral and axial full width half maximum pressure 18 dimensions of 1.05 mm and 6.67 mm was used for the boiling histotripsy experiments. This 2 19 20 MHz transducer, which was previously characterised using a calibrated 0.2 mm PVDF needle hydrophone (Precision Acoustics Ltd, UK) in water [24], was driven by a waveform 21 generation software (Agilent Waveform Builder, CA, USA), a function generator (Agilent 22 23 33220A, CA, USA) and a 55 dB linear power amplifier (ENI 1040L, Rochester, NY, USA). 24 During the experiments, an acoustic absorber (AptFlex F28, Precision Acoustics Ltd, UK)

was placed in the water bath to minimise ultrasonic reflections. Furthermore, acoustic
emissions emitted at the HIFU focus in the tissue phantom were obtained using a 10 MHz
focused PCD transducer (Sonic Concepts Y107, Bothell, WA, USA) connected to a digital
oscilloscope (LeCroy HDO 6054, Berkshire, UK) capturing the data at a sampling frequency
of 0.5 GHz.

6

7 The optically transparent tissue phantom used in the present study with a chemical 8 composition given in Table 5, has similar acoustic and thermal properties to those of liver 9 [13] and has been employed in a number of other boiling histotripsy studies [13, 21, 24]. This gel phantom model is clinically relevant to normal liver tissue with G of 4.85 kPa [69]. A 10 11 detailed recipe for making the liver tissue phantom can be found in [24]. Prior to the HIFU exposure, the liver tissue phantom was clamped in a tissue sample holder, which was then 12 attached to a 3-axis positioning system for alignment with the HIFU focus. During the 13 experiments, the HIFU focus was 5 mm below the surface of the tissue phantom. This 14 phantom penetration distance was chosen according to our previous high speed camera [24] 15 16 and *in vivo* experiments [9, 10] which show the production of a well-defined lesion in the 17 liver as well as in the gel phantom without rupturing their surfaces.

18

Bubble dynamics induced at the HIFU focus in the tissue phantom during a single boiling
histotripsy pulse was filmed using a high speed camera (FASTCAM-ultima APX, Photron,
San Diego, CA, USA) with a 12 X Navitar lens (Navitar, Rocester, NY, USA). This camera
was operated at 100,000 frames per second (i.e., 1 frame per 20 acoustic cycles), a shutter
speed of 1/100,000 s and a pixel resolution of 128 × 32 (24 µm/pixel). All experiments were
backlit with an illuminating system (Solarc ELSV-60, General Electric Company, Farfield,
CT, USA) whereby optical images appeared as shadowgraphs where HIFU-induced bubbles

in the phantom appeared black and the gel phantom appeared grey colour. A camera
processor (FASTCAM-ultima APX, Photron, San Diego, CA, USA) was used to trigger the
camera and the function generator at the same time to synchronise the image capturing
process with HIFU insonation. All captured optical images were then post-processed with
Photron FASTCAM Viewer (Photron, San Diego, CA, USA) in order to examine the change
in the size of a boiling bubble over time by counting the pixels representing the bubble. Each
measurement was repeated three times.

8

9 A single 10 ms-long HIFU pulse with  $P_{+}$  of 85.4 MPa and  $P_{-}$  of -15.6 MPa at the HIFU focus in the liver tissue phantom (corresponding electrical power supplied to the 2.0 MHz 10 HIFU transducer  $P_{\text{elect}}$  was 200 W) was used in the experiments. These *in situ* acoustic peak 11 pressure values were obtained by numerically solving the Khokhlov-Zabolotskaya-Kuznetsov 12 (KZK) non-linear wave equation for a given set of input parameters using the HIFU 13 Simulator v1.2 [62]. With this HIFU exposure condition, the corresponding time to reach the 14 boiling temperature of the tissue phantom  $t_b$  was calculated using the Bio-Heat Transfer 15 16 (BHT) equation [63]. Here, boiling is assumed to be at 100°C for aqueous media since the gel phantom consists of 71% water (Table 5). The following physical properties of the liver 17 tissue phantom at the ambient temperature of 20°C were used in the KZK and the BHT 18 models: the speed of sound of 1544 m s<sup>-1</sup>, mass density of 1044 kg m<sup>-3</sup>, absorption coefficient 19 of 15 dB m<sup>-1</sup>MHz<sup>-1</sup>, coefficient of nonlinearity of 4.0, specific heat capacity per unit volume 20 of  $5.3 \times 10^6$  J m<sup>-3o</sup>C<sup>-1</sup> and the thermal diffusivity of  $1.3 \times 10^{-7}$  J m<sup>-2</sup>s<sup>-1</sup> [13]. From the BHT 21 22 simulation, the computed  $t_b$  was 3.66 ms [24].

23

24 The KZK equation used in the present study modelled weak shocks because only the first and 25 the second order terms of the Taylor series expansion of the pressure-density relation were 1 used to describe nonlinearity (i.e., accounting for the effects of quadratic nonlinearity). 2 Therefore, a large error between numerically obtained and actual focal pressure values at the 3 HIFU focus may appear at extreme cases. In such cases, a higher order approximation of nonlinearity is necessary (e.g., cubic nonlinearity term). However, the quadratic 4 5 approximation used in the present study is still valid, because it has been reported that the effects of the neglected higher order terms (i.e., cubic nonlinearity) in the Taylor series 6 7 expansion in modelling nonlinear acoustics are insignificant for pressure changes of less than 8 100 MPa [82]. This is in contrast to the Gilmore bubble model used where a considerable 9 calculation error starts to occur when the pressure in the liquid exceeds 10 GPa [33].

10

#### 11 3.2. In vivo experiments

We have previously observed that there two different types of cavitation activity (i.e., a 12 boiling bubble and an inertial cavitation cloud) occurred during the course of boiling 13 14 histotripsy exposure which contribute to the production of a tadpole-shaped lesion in tissue 15 phantom [24]. This observation led us to suggest that the nature of the damage in cellular 16 structures around a "head" and a "tail" of a lesion must be distinct from each other. In this 17 paper, *in vivo* experiments on male Sprague-Dawley rats (6–8 week old and weighing 200– 250g) obtained from the Charles-River Laboratories UK Ltd (Margate, Kent, UK) were 18 19 performed to investigate a cellular structure around a freshly created boiling histotripsy-20 induced lesion in the liver. This animal's liver is clinically relevant to normal healthy liver 21 tissue with G of 0.6 kPa [83]. The animals were housed in a temperature controlled room 22  $(23^{\circ}C)$  with a relative humidity of  $50 \pm 10\%$  and alternate light/dark conditions. All animal 23 experiments were conducted according to the Home Office guidelines under the UK Animals and Scientific Procedures Act 1986. All experiments were performed under isoflurane 24 25 general anesthesia.

1 A schematic diagram of the *in vivo* HIFU experimental set up is shown in Fig. 3. The same 2 2.0 MHz HIFU source and the electronics used to drive the transducer used in the high speed 3 camera experiments were employed for the *in vivo* experiments. The transducer coupled with a custom built transducer-holder filled with degassed and deionised water was placed on the 4 5 animal's exteriorised liver and the field coupled through a Myler film (PMX 980, HiFi 6 Industrial Film, Stevenage, UK). The holder was then attached to a 3 axis-positioning system 7 (5430, Sherline Products, Vista, CA, USA). Consistent with the high speed camera 8 experiments, the HIFU focus was 5 mm below the surface of the liver and an adjustable laser 9 pointer aligned with the transducer axis was mounted on the positioning system for guiding the HIFU beam on the liver surface laterally. The same HIFU exposure conditions used in the 10 11 high speed camera experiments with 1% duty cycle, 1 Hz pulse repetition frequency and 10 HIFU pulses were applied to produce a well-defined mechanically fractionated lesion in the 12 liver. This particular set of the exposure condition was confirmed for the generation of a 13 cavity in the liver in vivo reported earlier [9, 10]. With an electrical power of Pelect 200 W 14 supplied to the HIFU transducer, the simulated *in situ* peak pressure values were  $P_{+} = 80$ 15 16 MPa and  $P_{-} = -15.6$  MPa, and the corresponding  $t_b$  was predicted to be 2.05 ms. The 17 physical properties of *in vivo* liver used in the KZK and the BHT simulations are displayed in Table 6. 18

19

After the HIFU exposure, animals were sacrificed immediately and the liver tissue containing
a boiling histotripsy-induced lesion was removed. Sliced liver was then collected for
morphological and histological observations. Paraffin-embedded tissue sections were used for
haematoxylin and eosin staining (H&E).

24

#### 1 **4. Results**

#### 2 4.1. Numerical simulation of a single bubble dynamics in the liver

3 The present bubble model was used to study the dynamics of a single spherical bubble induced in a viscoelastic medium during boiling histotripsy exposure as a function of 4 5 temperature  $T_0$  and shear modulus G variations of the medium. The simulated dynamic 6 behaviour of a 1  $\mu$ m bubble in the liver exposed to a nonlinear shocked-wave ( $P_{+} = 85.4$ 7 MPa;  $P_{-} = -15.6$  MPa) as a function of temperature at a constant G of 2 kPa is plotted in Fig. 8 4b. It shows that the time of the bubble growth phase increases as  $T_0$  increases followed by 9 the occurrence of rectified growth at  $T_0 = 100^{\circ}$ C (indicated by the red solid line). Shear modulus of G = 2 kPa was chosen in the computation because this is a typical value for 10 11 normal healthy liver [66]. In addition, a gradual reduction in the amplitude of the bubble radial motion at  $T_0 = 100^{\circ}$ C with increasing G (0, 2, 5, 8, 10 kPa) is observed in Fig. 4(c). 12 Rectified bubble growth can also be obtained with a sinusoidal wave excitation ( $P_{-} = -15.6$ 13 MPa) at  $T_0 = 100^{\circ}$ C (Fig. 5); however, the average bubble growth rate is 1.87 times lower 14 than that under the nonlinear shocked waves ( $P_{+} = 85.4$  MPa;  $P_{-} = -15.6$  MPa). The peak 15 16 negative pressure amplitude of the sinusoidal wave used in the calculation was matched to 17 that of the shockwave since the acoustic cavitation threshold is dependent upon the peak negative pressure at a given insonation frequency [67]. In Fig 5, the initial bubble radius of 18 19 40  $\mu$ m was chosen, as this simply demonstrated the effect of the different shapes of the 20 acoustic pressure waveforms (i.e., strongly asymmetric vs symmetric waveforms) on the 21 bubble growth in the absence of any heat or mass transfer after only a few acoustic cycles at 22  $T_0 = 100^{\circ}$ C and G = 2 kPa. No bubble collapses were observed over 100 acoustic cycles with  $R_0 = 40 \ \mu m$  in the computation. In fact, the size of the initial bubble radius can affect the 23 numerical results obtained in the present work because of the resonance frequency of a given 24 initial bubble radius  $f_{res}$  and the ratio of the  $f_{res}$  to the insonation frequency  $f_0$ . A smaller 25

1 bubble, for instance, has a higher  $f_{res}$  (i.e., short characteristic timescale) which results in more oscillations in a given period of time and eventually leads the bubble to grow faster and 2 3 larger compared with a larger bubble with a lower  $f_{res}$ . Furthermore, the bubble oscillation is in- or out of phase with an applied ultrasound when  $f_{res} > f_0$  and  $f_{res} < f_0$ , respectively, and the 4 5 radial bubble motion becomes increasingly large for frequencies ( $f_{res}$ ) near  $f_0$ . With the 6 insonation frequency (2 MHz) used in the present work, a resonant bubble radius is around 7 1.5  $\mu$ m [76]. The physical properties of the liver used in the bubble simulation are provided in 8 Table 7.

9

10 Additional simulations were conducted to investigate whether this rectified growth of an 11 oscillating boiling bubble induced by boiling histotripsy can mechanically fractionate soft 12 tissue (i.e., liver). A time-varying strain  $\varepsilon$  at the bubble wall (r = R) was estimated as 13  $\varepsilon = -2(R^3 - R_0^3)/(3r^3)$  [25], and is plotted in Fig.6. It is interesting to note that corresponding 14 calculated strain produced in the liver is well above the ultimate strain of *ex vivo* liver of 15 0.54; however, is below that of *ex vivo* femoral artery of 0.69 [68].

16

#### 17 4.2. High speed camera and in vivo experimental results

18 A series of high speed camera images captured during a single 10-ms long HIFU pulse in the 19 liver tissue gel phantom with  $P_+$  of 85.4 MPa and  $P_-$  of -15.6 MPa are shown in Fig. 7. 20 These images were obtained at 100,000 fps with one frame taken every 20 acoustic cycles. Similar to our previous experimental observations [24], a boiling vapour bubble of 141 µm in 21 22 diameter appears (indicated by the red arrow in Fig. 7i) in a localised heated region in the tissue phantom after 3.47 ms of HIFU exposure with difference of 0.19 ms between the 23 temperature simulation (calculated  $t_b = 3.66$  ms, Fig. 7z-ii, [24]) and the high speed camera 24 25 experiment. This discrepancy is most likely because the boiling temperature of the gel

1 phantom used was assumed to be at 100°C in the present study. Since the refractive index of the phantom changes with temperature during the course of boiling histotripsy exposure, this 2 3 localised heated region can be seen as a dark elliptical shape at the HIFU focus (Figs. 7b, d, f and h). The extent of the heated region broadens with time due to the accumulation and 4 5 diffusion of heat which corresponds well to the simulated temperature contour plots depicted 6 in Figs. 7c, e and g. Localised shockwave heating has been experimentally observed in an 7 optically transparent tissue phantom in previous studies [13, 21, 24]. Alongside the 8 generation of a boiling bubble, an increase in the PCD voltage amplitude as well as a sudden 9 appearance of higher order multiple harmonic components of the fundamental frequency (2 MHz) in the spectrogram is observed in Fig. 8. These significant changes in the PCD voltage 10 11 versus time plot (Fig. 8a) and the corresponding spectrogram (Fig. 8b) are indications of the production of a boiling bubble during boiling histotripsy [21, 39]. Assuming the speed of 12 sound is constant (1482 m/s), a time delay between the PCD signal and the high speed 13 camera results for the formation of a boiling bubble can simply be calculated using the 14 geometric focal length of the PCD transducer of 6.4 cm (provided by the manufacturer, Sonic 15 16 Concepts, USA). The time delay is to be 0.043 ms. Since a boiling bubble was optically 17 detected at t = 3.47 ms (Fig. 7i), the appearance of this boiling bubble was expected to be around at t = 3.51 ms in the PCD results. Our PCD experimental observations depicted in Fig. 18 19 8, however, showed that the indications of the formation of a boiling bubble occurred at t =3.44 ms. This discrepancy of 2% (i.e., 0.07 ms) is most probably because of the insufficient 20 21 temporal and spatial resolution of the optical system used in the present study.

22

23

1 After the formation of a boiling bubble at 3.47 ms, its size gets larger and larger over each acoustic cycle (Fig. 7i-r). Fig. 9 shows the simulated radius vs time curve obtained from the 2 3 present bubble model, demonstrating a qualitative agreement between the simulation and the experimental results. Calculated strain induced on the tissue phantom by this bubble growth 4 5 is above the fractional strain of liver reported (Fig. 10). Following the rectified growth event, 6 a cavitation cluster in front of the primary boiling bubble toward the HIFU transducer 7 (indicated by the red arrow in Fig. 7t) and a secondary boiling bubble (indicated by the red arrow in Fig. 7u) within the HIFU focal region are visible at 5.17 ms and 5.21 ms 8 9 respectively, progressing toward the HIFU transducer until the HIFU insonation ceases at 10 ms. Though the bubble activities beyond the pixel resolution of  $128 \times 32$  (24 µm/pixel) used 10 11 in the present experimental set up cannot be captured, an overall shape of a tadpole like region occupied by the cavitation clouds and the boiling bubbles for a head and a tail 12 respectively can be observed in the gel phantom at the end of the HIFU exposure (Fig. 7w). 13 14 High speed camera experimental results showing the formation of a well-defined tadpole-15 shaped lesion in an optically transparent tissue phantom resulting from boiling histotripsy 16 with a larger pixel resolution of  $1028 \times 128$  (24  $\mu$ m/pixel) and a lower frame rate of 15,000 17 fps used are available in our previous study [24].

18

After the HIFU is turned off, the cavitation clouds and the boiling bubbles shrunk slowly and
disappeared with time followed by visualisation of a damaged area in the gel (Fig. 7x to z-i).

21

Fig. 11 shows a freshly created lesion in the liver *in vivo* resulting from the same boiling histotripsy exposure conditions used in the high speed camera experiments with 1 % duty cycle, 1 Hz PRF and 10 HIFU pulses. A tadpole-like mechanically fractionated lesion filled with blood is observed in Fig. 11a. Upon histological examination, broken hepatocyte plates and pits with ragged boundaries between the treated and untreated regions are noticed around
the "head" shaped lesion (Fig. 11c-e), whereas the margins of the "tail" are sharply
demarcated with smooth boundaries (Fig. 11f, g).

4

#### 5 5. Discussion

## 6 5.1. Rectified bubble growth during boiling histotripsy

7 The Gilmore bubble model coupled with the Zener viscoelastic equation was implemented to 8 study bubble dynamics in soft tissue excited by boiling histotripsy exposure (nonlinear 9 shocked waves with  $P_{+}$  of 85.4 MPa and  $P_{-}$  of -15.6 MPa) as a function of surrounding temperature  $T_0$  and of shear modulus G variations. The shear modulus was varied to represent 10 11 the different pathological conditions of the liver: G = 0, 2 (normal healthy liver), 5 (fibrotic liver), 8 and 10 (malignant tumour) kPa [66]. From the numerical simulations, it was 12 observed that the radial bubble growth phase persisted for a longer time period with a larger 13 14 oscillation amplitude with increasing surrounding temperature  $T_0$  (Fig. 4b). Water vapour is 15 the main component of the bubble content at the end of the growth phase at  $t = 50 \ \mu s$  (i.e., 16 99.99 % molar basis, Fig 4d). This overall trend is likely to be due to the increased number of 17 available water vapour molecules in the surrounding medium that can transport into a bubble [39], which increases vapour pressure inside the bubble resulting in an enhanced bubble 18 19 growth (Figs. 4d, e). This increased amount of water vapour in the bubble is due to rectified 20 heat transfer [77, 78]. In contrast, rectified non-condensable gas diffusion has relatively little 21 effect on the bubble growth during the course of boiling histotripsy [39] as the characteristic 22 timescale of gas diffusion is about five orders of magnitude longer than that of the bubble 23 period (Table 8). Since the peak negative pressure phase has a longer duration than the positive pressure phase in a shockwave, this asymmetry in the acoustic waveform shape can 24 25 further enhance bubble growth as the bubble undergoes expansion relatively longer than

1 contraction [67]. As a result of the effects of the asymmetry in the acoustic waveform together with water vapour transport, rectified bubble growth can appear in the liver; 2 3 however, its oscillation amplitude is gradually reduced with an increase in shear modulus (Figs. 4, 5). Since the size of a lesion resulting from boiling histotripsy is likely to be 4 5 proportional to the size of a boiling bubble at the HIFU focus [24], the lesion size produced in 6 normal healthy liver (G = 2 kPa) would be larger than that in a malignant tumour in the liver 7 (G = 10 kPa) at a given HIFU exposure condition (Fig. 6b). This should be taken into account 8 when designing a boiling histotripsy pulsing protocol for targeting different pathological 9 conditions of the liver.

10

11 Rectified growth behaviour was also observed in the high speed camera experiments (Fig. 7). Simulated bubble radius vs time curve obtained from the present bubble model plotted in Fig. 12 9 shows a qualitative agreement with the experimental measurements between t = 3.47 ms 13 14 and 3.54 ms. There is, however, a discrepancy in bubble radius after t = 3.54 ms where the 15 bubble growth rate accelerated as the bubble grew in the simulation, whereas the 16 experimentally measured size of the bubble did not change significantly after t = 3.54 ms 17 (Figs. 7p–w and 9). This is most probably because of the acoustic shielding effects caused by the production of a cavitation cluster in front of the primary boiling bubble towards the HIFU 18 19 source [24], which could possibly lead to the reduction of the pressure magnitude as well as 20 the deformation of the acoustic waveform at the focus.

21

## 22 5.2. Mechanical damage induced by bubble dynamics during boiling histotripsy

The overall shape of a mechanically fractionated lesion by boiling histotripsy is tadpole like consisting of a "head" and a "tail" with the head facing towards the HIFU transducer [23]. In [24], with the help of the high speed camera observations of the lesion formation in an

1 optically transparent tissue phantom, we proposed that shear forces on tissue resulting from a rapid boiling bubble expansion produce a "tail" shaped lesion, whereas emissions of micro 2 3 jetting and shockwaves resulting from an inertial cavitation cluster induce the "head" shaped lesion. To support this hypothesis, one would expect there to be a difference in the nature of 4 5 the damage in cellular structures between a "tail" and a "head". Based on histological 6 examination of a freshly created cavity (Fig. 11b–g), a number of broken hepatocytes plates 7 and remnants of the interlobular septa (matrix) with some pits were observed around a "head" 8 region. A similar form of cellular damage was observed in a number of cavitation cloud 9 histotripsy studies [17, 70]. Furthermore, the presence of pits is generally the result of inertial cavitation [71]. Contrary to the histologic observations of a "head" lesion, the margins of a 10 11 "tail" shaped lesion were sharply demarcated between the treated and untreated regions with the absence of broken hepatic plates (Fig. 11f, g). Along with the experimental evidences of 12 inertial cavitation-induced and of shear forces-induced cell damage for a head and a tail 13 respectively, our numerical results reveal that shear forces produced by the dynamics of a 14 vapour bubble during boiling histotripsy can cause selective cellular damage. Calculated 15 16 time-varying strain on liver tissue plotted in Figs. 6, 10 depicted that strain induced by 17 rectified bubble growth is well above the ultimate fractional strain of liver, but interestingly, is below that of femoral artery (ex vivo porcine). This result is of paramount importance for 18 19 cell therapy (e.g., cell transplantation) suggesting that HIFU-induced liver tissue 20 decellularisation where hepatocytes are selectively destroyed while an extracellular matrix 21 (ECM) scaffold and blood vessels are intact [10] may be achieved by this rectification growth 22 driven by boiling histotripsy. An ECM scaffold with intact blood vessels in a decellularised 23 lesion may enhance cell integration due to the fact that (a) three-dimensional support with an appropriate biological microenvironment promotes cell attachment and proliferation, and (b) 24 cells cannot survive more than a distance of 200 µm away from a blood vessel [16, 72–74]. 25

Production of a boiling histotripsy-induced decellularised lesion in soft tissue with a desired
degree of intact ECM through precisely controlling rectified bubble growth phase would,
therefore, be of much interest for cell therapy. For this to be achieved, an accurate and
reliable numerical bubble model accounting for bubble-bubble interactions or bubble
oscillations near cell walls would be required. These could be potentially modelled using
boundary element methods such as the approach developed in [81].

7

#### 8 6. Conclusions

9 In this study, a numerical and experimental study of bubble dynamics in a viscoelastic medium induced by boiling histotripsy was performed with a high speed camera and a 10 11 passive cavitation detection system. To the best of our knowledge, this is the first study reporting the numerical and experimental evidence of the appearance of rectified bubble 12 growth which could be responsible for the production of a HIFU-induced decellularised 13 14 lesion. Numerical results presented in this study suggest that the asymmetry in a shockwave 15 together with water vapour transport can result in rectified bubble growth that can potentially 16 tear off liver tissue while preserving blood vessels. Further investigations of the prediction of 17 the size of a boiling vapour bubble and the precise control of its dynamics during boiling histotripsy are necessary in the future. 18

19

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#### 1 Figures





<sup>3</sup> Time ( $\mu$ s) <sup>4</sup> **Fig. 1.** Simulated bubble dynamics for validation purpose. (a) Radius vs time *R*(*t*) curve with <sup>5</sup> varying tissue elasticity modulus *G* (0, 1, 10, 100 and 1000 kPa). (b) *R*(*t*) curve with

- 6 (indicated by the red dashed lines) and without (indicated by the black solid lines) heat and
- 7 mass transport at G = 100 kPa. (c) Corresponding bubble temperature profile of (b). The
- 8 same parameters as employed by [25]: a sinusoidal wave  $A\sin(2\pi ft)$ , A = -1 MPa, f = 1.0
- 9 MHz,  $P_0 = 101.3$  kPa,  $\rho_L = 1060$  kg m<sup>-3</sup>,  $c_0 = 1500$  m s<sup>-1</sup>,  $\lambda_{relax} = 3$  ns,  $\sigma = 0.056$  N m<sup>-1</sup>,  $\mu =$
- 10 0.015 kg m<sup>-1</sup>s<sup>-1</sup>,  $R_0 = 1 \mu m$  and  $T_0 = 20^{\circ}$ C, were used in the present calculation.
- 11





Fig. 2. A schematic diagram of the HIFU experimental setup used.



Fig. 3. A schematic diagram of the *in vivo* experimental setup used.



Fig. 4. Simulated single bubble dynamics under a 2.0 MHz nonlinear-shocked wave in the liver over 100 acoustic cycles. (a) Nonlinear shocked-waves obtained by numerically solving the KZK equation used to excite a single bubble. (b) Radius vs time curve with varying  $T_0$ 

- 6 2, 5, 8 and 10 kPa) at  $T_0 = 100^{\circ}$ C. (d) Molecular contents (water vapour and air) vs time

1 curve at  $T_0 = 100^{\circ}$ C and 90°C, G = 2 kPa. (e) Vapour pressure inside the bubble at  $T_0 = 100^{\circ}$ C 2 and G = 2 kPa. The initial bubble radii  $R_0$  and the tissue relaxation time  $\lambda_{relax}$  were 1 µm and 3 3 ns [25] respectively.











sinusoidal ( $P_{-} = -15.6$  MPa) and nonlinear-shocked ( $P_{+} = 85.4$  MPa;  $P_{-} = -15.6$  MPa)

9 excitations at  $T_0 = 100^{\circ}$ C over 100 acoustic cycles. In the simulation, the initial bubble radius

10 was chosen as  $R_0 = 40 \ \mu m$  as this clearly demonstrated the effect of the different shapes of the

11 acoustic pressure waveforms on the bubble growth at 100°C without bubble collapses.



13

14 **Fig. 6.** Calculated a time-varying strain produced in the liver caused by rectified bubble

15 growth at 100°C with varying shear modulus G. (a) Corresponding strain vs time curve of 16 Fig. 4(c) over 100 acoustic cycles. (b) A percentage difference in strain (G = 0, 5, 8, 10 kPa)

- 1 relative to strain calculated at G = 2 kPa. The ultimate fractional strain values of liver tissue
- 2 (0.54) and of femoral artery (0.69) ex vivo were obtained from [68].





5 tissue mimicking gel phantom during the single 10-ms HIFU exposure with  $P_+$  of 85.4 MPa

and P. of -15.6 MPa. These images were filmed at a 100,000 fps. The 2.0 MHz HIFU beam

7 propagates from left to right. The times at 0 ms (a) and 10 ms (w) correspond to the start and 2 the and a f the HIEH emperature structure (a) (b) and (c) models at a singleted terms are structure.

- 8 the end of the HIFU exposure respectively. (c), (e) and (g) represent simulated temperature
- 9 contour plots at t = 1, 2 and 3 ms respectively. Images (x, y, z-i) were captured 0.83, 3.44 and
- 10 9.32 ms after the HIFU ceased at 10 ms. (z-ii) Computed peak temperature at the HIFU focus

41

11 in the tissue phantom.





4

**Fig. 8.** Acoustic signal emitted from the HIFU focus in the gel phantom during the single 10ms HIFU pulse. (a) The PCD voltage versus time plot and (b) the corresponding spectrogram. Acoustic emissions were recorded at a sampling frequency of 0.5 GHz. The time at 0 ms

5 represents the start of the HIFU exposure.

6





9 deviation SD) and the simulated radius vs time curves. The simulation was performed at the

- 1 temperature of  $T_0 = 95.62^{\circ}$ C which was obtained from the BHT simulation at t = 3.47 ms.
- 2 Physical properties of the tissue phantom were obtained using Eqs. (33)–(37) at  $T_0 = 95.62^{\circ}$ C.
- 3 Shear modulus of G = 4.85 kPa of the liver tissue phantom [69] used in the present study was
- 4 included in the simulation with  $R_0 = 1 \mu m$ . Photron FASTCAM Viewer software (Photron,
- 5 San Diego, CA, USA) was employed for the size measurement (24  $\mu$ m/pixel). Each
- 6 measurement was repeated three times. A movie showing the presence of rectified bubble
- 7 growth starting from at t = 3.47 ms is available in supplementary 1.
- 8



9

- Fig. 10. Corresponding computed strain curve of Fig. 9 at G = 4.85 kPa. The ultimate
- 11 fractional strain of the tissue phantom was assumed to be equal to that of the porcine *ex vivo* 12 liver tissue of 0.54 [68].



**Fig. 11.** Histological examination around a freshly created cavity produced by an electrical power of 200 W (simulated *in situ*  $P_+ = 80$  MPa,  $P_- = -15.6$  MPa) with 1% duty cycle, 1 Hz PRF and 10 HIFU pulses. (a) A photograph showing the cavity creation *in vivo*. The 2.0 MHz HIFU beam propagates from top to bottom. (b) Corresponding H&E stained lesion. Images (c) to (f) show the highlighted areas in (b) at higher magnifications. Arrows indicate broken hepatocyte plates.

- 8
- 9
- 10

#### **Tables**

Table 1. The alphabetical constants in Henry's law and the diffusion volumes for N<sub>2</sub>, O<sub>2</sub> and Ar.

Gases	$A_h$	$B_h$	$C_h$	<b>V</b> <sub>m</sub> [49]
<b>N</b> <sub>2</sub> [47]	-67.4	86.3	24.8	18.5
<b>O</b> <sub>2</sub> [48]	-66.7	87.5	24.5	16.3
<b>Ar</b> [49]	-57.7	74.8	20.1	16.2

**Table 2.** The number of translational and rotational degrees of freedom  $f_i$ , the characteristic

vibrational temperatures  $\theta_n$  and the number of the characteristic vibrational temperatures n for N<sub>2</sub>, O<sub>2</sub>, Ar and H<sub>2</sub>O [43].

Gases	$f_{ m i}$	$\theta_n$	n
$N_2$	5	3350	1
$O_2$	5	2273	1
Ar	3	-	-
$H_2O$	6	2295, 5255, 5400	3

Table 3. Physical constants for the gas dynamics used in the bubble model [41, 50].

Symbol	Definition	Value	Units
$R_{\rm gas}$	Universal gas constant	8.314472	J mol <sup>-1</sup> K <sup>-1</sup>
$K_{ m B}$	Boltzmann constant	1.3806503 ×10 <sup>-23</sup>	$J K^{-1}$
$N_{ m A}$	Avogadro's constant	$6.02214179  imes 10^{23}$	$mol^{-1}$
$M_{ m air}$	Molar mass of air	$28.97 \times 10^{-3}$	kg mol <sup>-1</sup>
$M_{ m vap}$	Molar mass of water vapour	$18.015268 \times 10^{-3}$	kg mol <sup>-1</sup>
$M_{ m N2}$	Molar mass of nitrogen	$28  imes 10^{-3}$	kg mol <sup>-1</sup>
$M_{ m O2}$	Molar mass of oxygen	$31.9988 \times 10^{-3}$	kg mol <sup>-1</sup>
$M_{ m Ar}$	Molar mass of argon	$39.95 \times 10^{-3}$	kg mol <sup>-1</sup>

Table 4. Physical properties of water and of liver measured at 20°C. These values were from 

[55, 56, 61].

		Values measur	red at 20°C	
Symbol	Definition	Water	Liver	Ratio
$\rho_0$	density [kg m <sup>-3</sup> ]	998.2	1060	1.06
$c_0$	speed of sound [m s <sup>-1</sup> ]	1482	1575	1.06
$\mu_0$	viscosity [kg m <sup>-1</sup> s <sup>-1</sup> ]	$1.0019 \times 10^{-3}$	$9 \times 10^{-3}$	8.98
$\sigma_0$	surface tension [N m <sup>-1</sup> ]	0.073	0.056	0.77

- Table 5. Composition of 50 mL gel with 7% concentration of BSA. BSA = bovine serum
- albumen. TRIS = tromethamine. APS = ammonium persulfate. TEMED =
- tetramethylethylenediamine.

Quantity	Percent (%)
35.805 mL	71.61
3.5 g	7
5 mL	10
8.75 mL	17.5
0.42 mL	0.84
0.025 mL	0.05
	Quantity 35.805 mL 3.5 g 5 mL 8.75 mL 0.42 mL 0.025 mL

- **Table 6.** Physical properties of the liver *in vivo* used in the KZK and the BHT computations.
- 2 These values were obtained from [64, 65].

Parameters	Values
small-signal speed of sound	1575 ms <sup>-1</sup>
mass density	1060 kgm <sup>-3</sup>
absorption at 1 MHz	$52 \text{ dBm}^{-1}$
exponent of absorption vs frequency curve	1.1
coefficient of nonlinearity	4.4
specific heat capacity	3628 Jkg <sup>-1</sup> K <sup>-1</sup>
perfusion rate	19.5 kgm <sup>-3</sup> s <sup>-1</sup>
ambient temperature	37°C

**Table 7.** Physical properties of the liver used in the present bubble model. These values were

- 6 obtained using Eqs. (33) (37).

		Liver properties at $T_0$			
	Definition	$T_0 = 20^{\circ}\mathrm{C}$	60°C	90°C	100°C
$\rho_L$	density [kg m <sup>-3</sup> ]	1058	1042	1023	1015
$c_0$	speed of sound [m s <sup>-1</sup> ]	1575	1648	1647	1640
$\mu_0$	viscosity [kg m <sup>-1</sup> s <sup>-1</sup> ]	0.0087	0.004	0.0026	0.0023
$\sigma_0$	surface tension [N m <sup>-1</sup> ]	0.056	0.051	0.047	0.045
$P_0$	ambient pressure [Pa]	$1.01325  imes 10^{5}$			
M	empirical material dependent constant	5.527 [9]			
A	empirical material dependent constant	614.6 [MPa]			
В	empirical material dependent constant	$A - p_0$ [MPa]			

**Table 8.** Characteristic timescales of gas diffusion and of bubble dynamics over 100 acoustic 11 cycles. The diffusion coefficients at  $T_0 = 100^{\circ}$ C were obtained from [39]. Corresponding

12 bubble radius vs time curve at  $100^{\circ}$ C is plotted in Figure 4(b).

_		Equations	Diffusion coefficients D at	Characteristic
		used [76]	$T_0 = 100 \ ^{\circ}\text{C} \ (\text{m}^2/\text{s}) \ [39]$	timescales (s)
	O <sub>2</sub> diffusion		$1.0336 \times 10^{-9}$	19.39
	N <sub>2</sub> diffusion	$\tau_{\rm gas} = {\rm mean}(R^2/D)$	$9.58  imes 10^{-10}$	20.92
	Ar diffusion	-	$1.0374 \times 10^{-9}$	19.31
	Bubble period	$\tau_{\text{bubble}} = \text{mean}(R/ \dot{R} )$	-	$1.01  imes 10^{-4}$
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## 1 Supplementary file

# 2

3 **Supplementary 1.** The movie shows the appearance of rectified bubble growth at the HIFU

- 4 focus in the optically transparent tissue phantom during the course of boiling histotripsy
- 5 exposure. A boiling vapour bubble forms at t = 3.47 ms.