1	Autism spectrum disorder and food neophobia: clinical and subclinical links
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15	Short running head: Autism and Food Neophobia
16	Abbreviations: ASD: Autism Spectrum Disorder; BMI: Body Mass Index; FN: Food
17	Neophobia; TEDS: Twin Early Development Study; SES: Socioeconomic Status; CAST:
18	Childhood Autism Spectrum Test; DAWBA: Development and Well-Being Assessment

19 Abstract

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Background: Autism spectrum disorder (ASD) has been linked with eating and feeding related atypicalities, including food neophobia (refusal to try unfamiliar foods), since its earliest description. Nevertheless, whether associations between ASD traits and food neophobia extend subclinically into the broader population of children and their potential additive health impacts remain unexplored. **Objective:** We examined ASD-control group differences in food neophobia and ASD trait-food neophobia trait associations as well as the ability of food neophobia and autistic traits to predict one index of later health-related outcomes (body mass index). **Design:** Participants in the present study were a large community-based sample of 8-11 year olds (n=4,564), including a relatively small group of children diagnosed with ASD (n=37). Parents of these 8-11-year-old children completed assessments of food neophobia and autistic traits, as well as providing height and weight metrics at 12 years of age. Results: Children with ASD were rated as more food neophobic than their same-age non-ASD peers (2.67+/-0.83 vs. 2.22 +/-0.73; p<.001) and there were subclinical associations between food neophobia and ASD traits (all three of social, communication, and restricted/repetitive behavior) in this communitybased sample of children (ps<.05). Moreover, while food neophobia alone predicted lower body mass index, the interaction of food neophobia and ASD traits predicted higher body mass index (ps<.01), suggesting that elevated ASD traits in combination with food neophobia exert opposing influences on weight to food neophobia alone. **Conclusions:** These findings implicate clinical and subclinical connections between ASD traits and feeding behaviors that could impact health outcomes and therefore should be further explored in future studies of shared etiology and intervention strategy.

- Keywords: autism, autistic traits, food neophobia, food selectivity, picky eating, body 42
- 43 mass index

From its earliest description(1), autism spectrum disorder (ASD), a neurodevelopmental disorder characterized by social-communication deficits and presence of restricted and repetitive behaviors, has been linked with feeding-related problems. Even with changing diagnostic conceptualizations of ASD during the intervening 70+ years, food selectivity or 'picky eating' has remained highly prevalent among individuals with ASD, with reports of as many as 90% of children with ASD exhibiting these atypical feeding behaviors(2,3).

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One core component of food selectivity is food neophobia (FN), the propensity to refuse to try unfamiliar foods. Although a normative aspect of early child development, when FN persists beyond later childhood, a dietary and nutritional cost is incurred. FN limits dietary variety with particularly adverse impacts upon consumption of nutrient-rich fruits and vegetables(4), which cascades to influence broader health and development. There is mixed evidence as to whether FN is associated with body mass index (BMI)(5,6). Moreover, efforts to explore obese versus normal weight differences in eating patterns in the laboratory have sometimes been hampered because of difficulty finding a test food that enough obese participants find acceptable (7). Data from early animal studies also have supported this observation insofar as obesity-inducing lesions in the ventromedial hypothalamus in rats produced 'finicky' behavior such that obese rats would not consume bitter-tasting food, but would overeat the highly palatable food(8). This suggests that children who are highly fussy about eating more nutrient dense and less palatable foods (e.g., fruits and vegetables) are actually more at risk of overweight/obesity than those who have a more varied diet. Taken together, this suggests that further research is needed to elucidate the relationship between FN and BMI,

particularly among school-aged children for whom the likelihood of becoming overweight/obese is increasingly common.

FN appears to be increased in ASD not only during childhood(9), but also adolescence and young adulthood(10). The most frequently utilized approach to explore links between FN and ASD is a case-control design. However, another approach involves examining individual differences in these behavioral traits and their relationships to one another, within a community-based, representative sample. There is ample evidence for the dimensionality of autistic behavior, varying from subclinical traits to clinical expression of symptoms (11-14). Thus, in the present study, we not only compared ASD and control groups in their FN but also examined how individual differences in autistic traits are predictive of individual differences in FN in a large and representative community-based sample of children. Trait-based approaches avoid biases inherent to clinical samples, including sidestepping the influences of frequently co-occurring conditions with ASD (e.g., anxiety, depression, medical, metabolic, genetic disorders). Moreover, most studies of children with ASD utilize clinic-based samples with potential concerns over representativeness of the broader population, which can bias findings and interpretation of results. Therefore, the purpose of the present investigation are threefold: 1) Establish whether FN is associated with autistic traits in a large community-based sample. 2) Examine whether FN is atypical in children with ASD in comparison to sameage peers. 3) Investigate associations between BMI and not only FN and autistic traits separately, but also their interaction.

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Parents and children in the current study participated in the Twins Early Development Study (TEDS), a community-based sample of twins born between 1994-1996 in England and Wales. This sample is representative of the broader population of families with children in the United Kingdom in terms of maternal education (~38% Alevels [i.e., subject-based qualifications needed for matriculation to university] or higher) and race (~93% white). More details about TEDS can be found elsewhere (15). Children were excluded if there were reports of extreme prenatal or perinatal difficulties or severe medical disorders, sex or zygosity was unknown, or they were missing data from initial TEDS contact. Note that individuals with ASD were not excluded in order to include the full spectrum of autistic traits. As is standard for analyses requiring independent individuals, one twin per pair (regardless of whether the twin had an autism spectrum disorder) was chosen at random (based on random number generation with those selected assigned a 1 and those unselected assigned a 0) for statistical analyses described below. Children in TEDS were screened for possible ASD diagnoses using the Childhood Autism Spectrum Test (CAST)(16) and separate questions concerning prior diagnoses of autism or Asperger Syndrome at ages 7, 8, and 9 years. Also considered were families who spontaneously contacted TEDS to report a suspicion or new diagnosis of ASD. CAST screening scores of 15 or higher and those flagged by parents (upon questioning or spontaneously) as having an ASD diagnosis were re-contacted, and phone interviewed using the ASD module of the Development and Well-Being Assessment (DAWBA)(17). The ASD module of the DAWBA has been shown to be a reliable and valid instrument to establish an ASD diagnosis. It demonstrates high correlations with both one of the gold

standard instruments in the field, the Autism Diagnostic Inventory (18,19), and 'best estimate research diagnosis' which also includes information from the Autism Diagnostic Observation Schedule and other gathered clinical information (20). Furthermore, the ASD module of the DAWBA demonstrates excellent sensitivity and specificity(20). See **Table** 1 for sample demographics and supplementary Table 1 for participant flowchart. Note that this study does not prospectively assign participants to an intervention; thus, it is not a clinical trial. Ethical approval for the study was granted by the King's College London Institute of Psychiatry ethics committee. Parents provided informed consent at each data collection wave. Measures Socioeconomic Status (SES) At first contact (when twins were ~18 months old), parental education (highest qualification) and occupation (highest job status) were obtained. An SES composite score was derived by standardizing the education and occupation ratings (via the rank-based van der Waerden transformation), summing these two weighted scores and then standardizing this sum again(21). **Autistic Traits** The CAST(16) is a parent report autistic traits questionnaire designed to be completed in non-clinical settings(22). The CAST is composed of 31 questions answered in a Yes/No

format and demonstrates good internal consistency in the TEDS sample (Cronbach's

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alpha=0.73)(14). The CAST provides not only a Total score indicative of overall autistic traits, but also three components: Social, Communication, and Restricted/Repetitive Behavior (RRB) traits(14). The CAST data used in the present study were collected at age 8 years. Because autistic trait scores were skewed, log-transformed CAST scores were used in all analyses.

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Internalizing Behavioral Traits

Internalizing traits were quantified at age 7 years using the emotional problems subscale of the Strengths and Difficulties Questionnaire (SDQ)(23), which is composed of five items (two anxiety, two depression and one somatic related behaviors) on a three-point Likert scale (never, sometimes, often) and demonstrates adequate internal consistency (Cronbach's alpha=0.63)(24).

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Food Neophobia (FN)

Parent reports of FN (on a four-point-scale ranging from 'strongly agree' to 'strongly disagree') were obtained using the four-item version of the Child Food Neophobia Scale(25) when twins were 8-11 years old. Items constituting this short form of the instrument include the following: "My child is constantly sampling new and different foods" (reversed), "My child doesn't trust new foods," "My child is afraid to eat things s/he has never had before." and "If my child doesn't know what's in a food s/he won't try it." The short form of the Child Food Neophobia Scale demonstrates good reliability and validity, including high internal consistency (Cronbach's alpha=0.88)(26). FN served as

the primary dependent variable for several (i.e., t-test, chi-square, and regression) analyses described below.

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Body Mass Index (BMI)

Parents reported their children's height and weight at age 12 years, which were used to calculate BMI (BMI=weight in kilograms/height in meters²). Using the 1990 British growth reference curves, BMI standard deviation scores (M=0, SD=1 at each age) were calculated using Microsoft Excel Growth Macro software (27). BMI served as a primary dependent variable for one of the regression analyses described below. The International Obesity Task Force criteria, which identify BMI values for each age associated with predicted BMIs of 25 and 30 at 18 years of age, were used to determine underweight (non-ASD n=630; ASD n=6), healthy weight (non-ASD n=2763; ASD n=17), overweight (non-ASD n=492; ASD n=3), and obese (non-ASD n=74; ASD n=0) status(28).

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Data Analysis

Analyses were carried out using SPSS 24(29). To this end, an independent samples t-test was used to examine ASD-control group differences in mean FN score. Furthermore, chi-square analysis was used to examine whether children with ASD were more likely to be food neophobic than non-ASD children. For the purposes of these analyses, children were categorized as food neophobic at three different cutoffs of the 80th, 90th, and 95th percentiles on the Child Food Neophobia Scale, given variable estimates of FN across child development.

Hierarchical multiple regressions were completed with FN score serving as the dependent variable. In order to examine more specific links between autistic traits and FN, demographic predictors (age, sex, SES) were entered in the first block, followed by the autistic traits scores in the second block. Separate regression models were run for each of the autistic traits scores (Total, Social, Communication, and Repetitive/Restricted Behavior). To ensure that the potential associations between FN and autistic traits were specific and not a product of elevated behavioral ratings overall, these same regression models were run again, with SDQ internalizing behavioral trait scores (given the link between anxiety/depression and food-related issues in the broader population(30) and those with ASD(31)) added to the first block of demographic predictors described above.

Finally, the association between age- and sex-standardized BMI at age 12 years and FN, autistic traits, and the interaction of FN and autistic traits were examined in a separate hierarchical multiple regression after accounting for the effects of demographic factors. Demographic predictors (age, sex, SES) were again entered in the first block, followed by the overall autistic traits score (using the Total CAST score), FN ratings, and the interaction of autistic traits and FN in the second block. Note that fewer families were contacted at age 12 than age 8 resulting in a smaller sample size (n=3,136) for the regression including BMI data.

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199 **Results**

> Children with ASD (n=37: 33 males and 4 females) were rated as demonstrating significantly more trait-based FN than the non-ASD TEDS sample (n=4564: 2221 males and 2343 females) (ASD M=2.67, SD=0.83 vs. non-ASD M=2.22, SD=0.73; t=3.73,

p<0.001, d=0.57; see **Figure 1**), and were significantly more likely to be rated as food neophobic than their non-ASD peers at all three designations of the 80^{th} ($X^2=12.23$, p < 0.001), 90^{th} ($X^2 = 11.29$, p = 0.001), and 95^{th} ($X^2 = 12.26$, p < 0.001) percentile scorers (see Table 2).

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Hierarchical multiple regressions revealed several significant associations with food neophobia ratings (see **Tables 3-6**). Among the demographic factors examined in model 1, age and sex were significantly associated with FN (ps<0.05) such that younger children, and males had higher FN scores. In model 2, significant positive associations of overall autistic trait ratings with FN score, above and beyond the influence of the demographic factors (i.e., age, sex, SES) were found (p<0.001), along with the emergence of an association between higher SES and higher FN ratings not observed in model 1 (p < 0.05). Follow-up hierarchical multiple regressions demonstrated that higher scores for all three components of autistic traits (CAST Social, Communication, and Repetitive/Restricted Behavior scores) were predictive of higher FN scores after taking into account these demographic factors (see Tables 3-6; ps<0.05). After adding SDQ internalizing behavioral trait ratings to the first model, subsequent hierarchical regressions revealed the same pattern of results, except that CAST Repetitive/Restricted Behavior scores were no longer a significant predictor of FN ratings.

Finally, a hierarchical multiple regression showed that even after accounting for associated demographic factors, both FN alone as well as its interaction with autistic traits were predictive of BMI at age 12 years (see **Table 7**). Specifically, higher FN was associated with having a lower BMI, while the interaction of autistic and FN trait ratings was associated with higher BMI. Two of the three demographic factors examined in

model 1 demonstrated significant associations with BMI (ps<0.01): sex (males having lower BMI) and SES (negative correlation). In model 2, unlike autistic traits alone, higher FN ratings alone (p < 0.001) were predictive of lower BMI at 12 years. In contrast, the interaction of FN with autistic traits (p=0.01) was predictive of higher BMI at age 12 years.

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233 **Discussion**

> This is the first study to examine links between FN and autistic behavior at the clinical and subclinical levels in a large community-based sample. Based on these findings, not only are children with ASD more likely to be food neophobic than their same-age non-ASD peers, but this relationship extends subclinically. FN was positively associated with overall autistic traits, as well as its three subcomponents (social, communication, and restricted/repetitive behavior), in a community representative sample of school-aged children. Furthermore, while autistic traits were not independently associated with body weight, FN was negatively associated with BMI. However, the interaction of FN and autistic traits was positively associated with BMI, suggesting that neophobic children who also exhibit elevated levels of autistic traits may have mitigated risk of underweight. This is both a novel and potentially clinically informative finding requiring further investigation.

> This study joins many others in demonstrating atypical eating-related behaviors in ASD (for review, see(32,33)). While most other ASD-control group comparisons have examined broader concepts like food selectivity and 'picky' eating, the current

investigation focused on FN specifically. Thus, this study replicates and extends the few studies to demonstrate empirically increased FN in ASD, which have included samples of children of the same age and younger than those studied here(9) as well as adolescents/young adults(10). Combining the results here with these prior studies suggests that FN is a stable and persistent eating behavioral trait in ASD across child and adolescent development.

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The current study also extends the relationship between ASD and FN to subclinical levels in a large and representative community-based sample. Increases in overall autistic trait ratings, as well as its three subcomponents (social, communication, and restricted/repetitive behavior) were associated with increased FN in this large community-based sample of children. The current study joins one other, which examined the relationship between separate, but related food avoidant 'picky' eating behavior (measured using two items asking parents if their child "does not eat well" or "refuses to eat"), and ASD-like behavior in a large community-based sample of young children from the Netherlands (n=3,748). Persistent 'picky' eating from 1.5-6 years was found to be predictive of ASD behavior (unlike behavioral or emotional problems) at age 7(34). Taken together, these studies indicate a broader population-wide linkage between ASDlike behavior and atypical eating patterns characterized by food avoidance. Nevertheless, longitudinal studies are needed to determine the directionality of the relationships of these two early emerging classes of behavior. Furthermore, given the early emergence of FN behaviors (35) and its linkage to ASD, its predictive power as an early marker of ASD should be further investigated.

Although autistic traits alone were not predictive of later BMI in the present study, there was evidence that autistic traits not only mitigate the association between increased FN and decreased BMI but also exert an opposing influence. One possibility is that elevated autistic traits might lessen the impact of FN on food intake. There is emerging evidence that some children with ASD exhibit a greater propensity to overeat (a risk factor for overweight/obesity in the general population) compared to typically developing children(36), in spite of the increased prevalence of co-occurring FN and other food selectivity patterns. Speculatively, within this interactive effect, increasing FN could serve to limit the dietary repertoire while increasing autistic traits could drive this limited diet towards more palatable and calorie-rich foods via sensory-related mechanisms(32), which might then counteract the negative impact of FN on BMI. Regardless, other health-related impacts of FN may be exacerbated by elevated autistic traits. FN presents barriers to adequate consumption of fruits and vegetables(4), and thus to adequate nutritional intake. Inadequate macronutrient and micronutrient intake has been observed among children with ASD(3), suggesting that autistic traits may imbue their own as well as additive risks for poor nutrition in the general population; a possibility that should be investigated in future research.

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It is becoming increasingly clear that health outcomes in ASD are poor across the board with elevated rates of risk factors for cardiovascular disease (among many others) during adolescence (e.g., dyslipidemia)(37) and well into adulthood (e.g., diabetes, high blood pressure)(38). One of the most salient and well-replicated health-related risk factors in ASD is elevated rates of obesity during childhood and adolescence(39). It is possible that in the context of ASD, FN alone, and in conjunction with other factors

(behavioral, metabolic, pharmacological, etc.), leads to risk for overeating of desired foods (e.g., high fat, high carbohydrate foods) that cascades to risks of becoming overweight/obese. Learning more about the health implications of FN and related eating atypicalities in ASD and those with elevated ASD traits is critical. Unfortunately, the limited size of the ASD sample in the current study prevented us from examining associations between FN and BMI in this group, but future research, including large studies like those from the Healthy Weight Research Network (40), might endeavor to answer such unresolved questions.

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Although the present study relies upon a large representative community-based sample, limitations should be considered, such as generalizability concerns. For example, twins may be more likely to experience feeding difficulties and have lower birth weights than their singleton peers. However, feeding concerns typically associated with twin births as well as lower weights would be largely resolved by the 8-12 year age range investigated here, though the group as a whole remains fairly lean. It is also important to note that autistic trait ratings do not differ for twins and singletons based on findings from at least one large study(41). Another potential limitation is the reliance upon parent report for these data. However, such an approach conveys considerable advantages to inperson testing, including enabling data collection from large samples and facilitating observation of consistently expressed behavioral traits across contexts and time, thus providing an accurate picture of everyday behavior. Finally, inclusion of other body composition indices (e.g., % body fat) and dietary information (e.g., food diaries) would have been helpful to assess potential links with nutritional intake. Future research should endeavor to address these shortcomings.

context of ASD. Further work is needed to clarify the health implications, both short-term

and long-term, of FN and related food selectivity in ASD.

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328	Drs. Ronald, Llewellyn, and Fildes conceptualized and designed the study, and critically
329	reviewed the manuscript. All authors approved the final manuscript as submitted and
330	agree to be accountable for all aspects of the work.

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Table 1. Demographic characteristics and summary scores for the study groups: mean (standard deviation) and range.

	Non-ASD Sam n=4,564	-	ASD Sample (max n=37)		
	Mean (SD)			Range	
Age	9.88 (0.87)	8.32-	10.05 (0.91)	8.67-11.39	
		11.61			
SES	0.26 (0.97)	-2.49-2.65	0.37 (0.99)	-1.54-2.03	
Mean Food	2.22 (0.73)	1-4	2.67 (0.83)	1-4	
Neophobia Score					
CAST Total	4.91 (3.25)	0-19	17.08 (4.09)	3-28	
CAST Social	1.56 (1.49)	0-11	6.22 (2.58)	1-11	
CAST	1.91 (1.76)	0-10	7.06 (2.23)	1-11	
Communication					
CAST RRB	1.45 (1.23)	0-7	3.81 (1.63)	0-7	
SDQ Internalizing	2.26 (1.88)	0-10	2.14 (2.01)	0-7	
Behaviors					
BMI	17.77 (3.01)	12.08-	16.84 (2.60)	12.82-	
		39.39		22.48	

Note: SES=Socioeconomic Status; CAST=Childhood Autism Spectrum Test; RRB=Restricted/Repetitive Behavior; SDQ=Strengths and Difficulties Questionnaire; BMI=Body Mass Index

Table 2. Food neophobia rates at various cutoff scores on the Child Neophobia Scale for the autism spectrum disorder (ASD) and non-ASD general community samples.

	80 th Percentile Scorers	90 th Percentile Scorers	95 th Percentile Scorers
	(Neophobic:Not Neophobic)*	(Neophobic:Not Neophobic)*	(Neophobic:Not Neophobic)*
Non-ASD Sample	915:3649	464:4100	314:4250
ASD Sample	16:21	10:27	8:29

Note: Analysis completed using Chi-square. *ps<0.001

Table 3. Food neophobia ratings regressed onto age, sex, socioeconomic status, and overall autistic trait ratings.

n=4,245	R^2	F Change	В	SE B	t	p		
Predictor			Food Neophobia					
Model 1	0.007	9.91						
Age			-0.03	0.01	-2.11	0.04		
Sex			0.11	0.02	4.74	< 0.001		
SES			0.02	0.01	1.46	0.15		
Model 2	0.015	32.90				<u>, </u>		
Age			-0.03	0.01	-1.98	0.05		
Sex			0.08	0.02	3.43	0.001		
SES			0.03	0.01	2.44	0.02		
CAST Total			0.26	0.05	5.74	< 0.001		

Table 4. Food neophobia ratings regressed onto age, sex, socioeconomic status, and autistic social trait ratings.

n=4,246	R^2	F Change	В	SE B	t	p
Predictor		, ,	F	ood Neophobia	•	
Model 1	0.007	9.94				
Age			-0.03	0.01	-2.11	0.04
Sex			0.11	0.02	4.75	< 0.001
SES			0.02	0.01	1.46	0.14
Model 2	0.011	15.60		•	•	•
Age			-0.03	0.01	-2.11	0.04
Sex			0.08	0.02	3.56	< 0.001
SES			0.02	0.01	1.79	0.07
CAST Social			0.19	0.05	3.95	< 0.001

Table 5. Food neophobia ratings regressed onto age, sex, socioeconomic status, and autistic communication trait ratings.

n=4,245	R^2	F Change	В	SE B	t	p
Predictor			F	ood Neophobia		·
Model 1	0.007	9.90				
Age			-0.03	0.01	-2.12	0.03
Sex			0.11	0.02	4.74	< 0.001
SES			0.02	0.01	1.45	0.15
Model 2	0.016	36.99		·		·
Age			-0.03	0.01	-2.02	0.04
Sex			0.09	0.02	4.11	< 0.001
SES			0.03	0.01	2.47	0.01
CAST Communication			0.26	0.04	6.08	< 0.001

Table 6. Food neophobia ratings regressed onto age, sex, socioeconomic status, and autistic repetitive behavior trait ratings.

n=4,238	R^2	F Change	В	SE B	t	p			
Predictor	Food Neophobia								
Model 1	0.007	9.99							
Age			-0.03	0.01	-2.10	0.04			
Sex			0.11	0.02	4.77	< 0.001			
SES			0.02	0.01	1.47	0.14			
Model 2	0.008	4.42			<u>.</u>				
Age			-0.03	0.01	-2.02	0.04			
Sex			0.10	0.02	4.58	< 0.001			
SES			0.02	0.01	1.66	0.10			
CAST RRB			0.10	0.05	2.10	0.04			

Table 7. Body mass index standard deviation scores at 12 years regressed onto age, sex, socioeconomic status, overall autistic trait ratings, food neophobia ratings, and the interaction of autistic traits and food neophobia scores.

n=3,136	R^2	F Change	В	SE B	t	p		
Predictor	Body Mass Index Standard Deviation Scores at 12 years							
Model 1	0.012	13.19						
Age			-0.03	0.03	-1.23	0.22		
Sex			0.15	0.04	3.31	0.001		
SES			-0.12	0.02	-5.30	< 0.001		
Model 2	0.021	9.05				•		
Age			-0.03	0.03	-1.37	0.17		
Sex			-0.15	0.05	3.26	0.001		
SES			-0.11	0.02	-4.90	< 0.001		
CAST Total			-0.50	0.28	-1.78	0.08		
Food Neophobia			-0.35	0.09	-3.75	< 0.001		
CAST Total x Food Neophobia			0.29	0.12	2.43	0.01		

Figure 1. Significant differences in food neophobia scores for the autism spectrum disorder (ASD; n=37) and non-autism spectrum disorder (non-ASD; n=4,564) groups. *Note*: Analysis was completed using an independent samples t-test.

