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Consensus approach for the management of severe combined immune deficiency caused by adenosine deaminase deficiency

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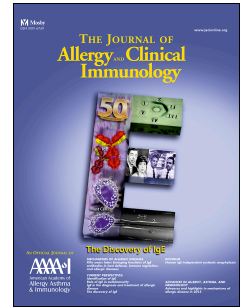
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2 adenosine deaminase deficiency

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43

44 **Abstract**

45 Inherited defects in adenosine deaminase (ADA) cause a subtype of severe combined  
46 immunodeficiency (SCID), known as ADA-SCID. Most affected infants can be diagnosed while  
47 still asymptomatic by a SCID newborn screening test, allowing early initiation of therapy. We  
48 reviewed the evidence currently available and propose a consensus management strategy. In  
49 addition to the treatment of the immune deficiency of ADA-SCID, patients should be followed for  
50 specific non-infectious respiratory, neurological and biochemical complications associated with  
51 ADA deficiency. All patients should initially receive enzyme replacement therapy (ERT),  
52 followed by definitive treatment with either of two equal first line options. If an HLA matched  
53 sibling donor (MSD) or matched family donor (MFD) is available, allogeneic hematopoietic stem  
54 cell transplantation (HSCT) should be pursued. The excellent safety and efficacy observed in  
55 over 100 ADA-SCID patients who received gamma-retrovirus or lentivirus mediated autologous  
56 hematopoietic stem cell gene therapy (HSC-GT) since 2000 now positions HSC-GT as an equal  
57 alternative. If MSD/MFD HSCT or HSC-GT are not available or have failed, ERT can be  
58 continued or re-instituted, and HSCT using alternative donors should be considered. The  
59 outcomes of novel HSCT, ERT and HSC-GT strategies should be evaluated prospectively in  
60 “real life” conditions to further inform these management guidelines.

61

62

63 **Key words**

64 Adenosine deaminase deficiency

65 Enzyme replacement therapy

66 Gene therapy

67 Hematopoietic stem cell transplantations

68 Lentivirus

69 Severe combined immune deficiency

70 **Abbreviations**

71 Ado: adenosine

72 ADA: Adenosine deaminase

73 ADA-SCID: Severe combined immune deficiency caused by adenosine deaminase defects

74 dAdo: deoxyadenosine

75 dATP: deoxyadenosine triphosphate (dATP)

76 dAXP: total deoxyadenosine nucleotides

77 ERT: enzyme replacement therapy

78 HSC-GT: hematopoietic stem cell gene therapy

79 HSCT: hematopoietic stem cells transplantations

80 MFD: HLA matched family donors

81 MUD: HLA matched unrelated donors

82 MSD: HLA matched sibling donors

83 PEG-ADA: ADA coupled to PEG, pegylated ADA

84 PJP: *Pneumocystis jirovecii* Pneumonia

85 RBC: red blood cells

86 SAHase: S-adenosylhomocysteine hydrolase

87 SCID: severe combined immunodeficiency

88 TREC: T-cell receptor excision circles

## 89 Introduction

90 Inherited deficiency of adenosine deaminase (ADA, now often referred to as ADA1)  
91 causes a subtype of severe combined immunodeficiency (SCID) characterized by unique effects  
92 on lymphoid and non-lymphoid cells. The pathogenesis of ADA deficiency has been extensively  
93 studied in humans and in a highly representative murine model, as reviewed recently [1]. The  
94 absence of functional ADA leads to increased concentrations of its substrates adenosine (Ado)  
95 and 2'-deoxyadenosine (dAdo) and their phosphorylated derivatives, (dAXP) and the  
96 inactivation of S-adenosylhomocysteine hydrolase (SAHase) in cells [2]. Excessive levels of  
97 deoxyadenosine triphosphate (dATP) can block DNA synthesis by inhibiting ribonucleotide  
98 reductase, and inactivation of SAHase can interfere with processes dependent on  
99 transmethylation. ADA deficiency has been associated with preferentially with abnormalities in  
100 lymphoid development and function [3-10]. Additional defects with varied clinical significance,  
101 and attributed to diverse mechanisms, have been observed in myeloid cells [11-13], lungs [14-  
102 17], brain [18-23], skeleton [24-26], liver [27-29] and kidneys [30-32], as well as increased risk  
103 for development of tumors [33-37] (Table 1). ADA associated abnormalities have been reviewed  
104 previously [1; 38-39], and will not be detailed here.

105 Since the original description of the condition in 1972 [40], more treatment options have  
106 been developed for ADA than for other genetic forms of SCID (Figure 1). Current treatments  
107 include enzyme replacement therapy (ERT), allogeneic hematopoietic stem cell transplantation  
108 (HSCT), *ex vivo* corrected autologous hematopoietic stem cell gene therapy (HSC-GT), or  
109 combinations of these options. Almost a decade ago, experts in ADA-deficient SCID reviewed  
110 the pathogenesis of this condition and provided guidelines for its management [41]. Since then,  
111 there have been significant advances in the management of ADA deficiency.

112 Long-term outcomes for ADA-deficient patients receiving different therapies have been  
113 reported from single- [17; 42-43; H.B.G., unpublished data, 27 June 2018] and multi-center  
114 studies [44-45]. HSC-GT has been approved for clinical use in the EU [46] and promising results  
115 from lentivirus vector-based HSC-GT studies are emerging [47]. Therefore, it is timely to review  
116 the new information and provide updated guidance for management of affected patients. The  
117 authors, together with clinicians and scientists, as well as patient advocacy groups, the  
118 pharmaceutical industry and USA government representatives interested in ADA deficiency  
119 convened in Toronto, Ontario, Canada on April 29, 2018. The group reached a consensus  
120 regarding new treatment guidelines and a treatment algorithm that are described here.

## 121 Management of adenosine deaminase deficiency

122 Newborn screening for SCID uses DNA from infant dried blood spots to detect T-cell  
123 receptor excision circles (TRECs) as a surrogate marker for new T cell production. The  
124 introduction of newborn screening in all but 3 states in the USA and in an increasing number of  
125 countries worldwide has led to significant changes in the diagnosis of SCID and ADA deficiency  
126 [48]. Currently, where newborn screening for SCID or positive family history are available, ADA-  
127 deficient patients might be asymptomatic when initially evaluated [49, J Puck et al, manuscript  
128 submitted]. Clues to the diagnosis of ADA deficiency include an associated neutropenia,  
129 characteristic bone abnormalities, and in some cases elevated liver enzymes. Diagnosis of ADA  
130 deficiency is usually established by demonstrating absent or very low (<1% of normal) ADA  
131 activity in red blood cells (RBC), which is accompanied by elevated levels of Ado and dAdo in  
132 plasma, urine, or dried blood spots. An elevated level of dATP (also measured as total dAdo  
133 nucleotides, dAXP) in RBC is pathognomonic for ADA deficiency. Demonstrating bi-allelic  
134 mutations in the *ADA* gene should also be done to further confirm ADA deficiency, permit  
135 genetic counseling for the family and possibly help predict the phenotype [50]. A minority of  
136 affected patients carry hypomorphic *ADA* mutations, which result in diminished ADA enzyme  
137 activity and confer a delayed or late onset phenotype [51]. In rare instances, such patients may  
138 have newborn TREC values above the “cut-off” levels in population-based screening and  
139 therefore may not be identified [52-53]. Hence, healthcare providers should maintain vigilance  
140 for the possibility of delayed or late presentation of ADA deficiency.

141 The availability of newborn screening for SCID has also changed the initial management  
142 of ADA-deficient patients. While previously patients were often sick with infections and required  
143 prolonged hospital admissions upon presentation prior to definitive therapies, currently some  
144 patients may be maintained in protective isolation at home, following guidelines suggested for  
145 other forms of SCID [54], and with the added consideration of providing immediate enzyme  
146 replacement while planning definitive therapy (see below). Immediate management guidelines  
147 include immunoglobulin supplementation appropriate for age and weight and prophylactic  
148 antibiotics for *Pneumocystis jirovecii* Pneumonia (PJP). Trimethoprim-sulfamethoxazole is  
149 considered the most effective method to prevent PJP and is usually initiated after 30 days of life  
150 to avoid risks of kernicterus and bone marrow suppression. Neutropenia is common among  
151 ADA-SCID patients; therefore, neutrophil counts should be monitored frequently. Persistent or  
152 severe neutropenia has often led to the replacement of sulfa-based PJP prophylaxis with  
153 alternative medications, such as pentamidine or atovaquone, although the role of trimethoprim-  
154 sulfamethoxazole in the development of the neutropenia is still not clear [11]. Many centers also  
155 initiate anti-fungal prophylaxis to prevent development of candida-related thrush, diaper

156 dermatitis or more severe fungal infections. Avoidance of herpesvirus infections is also  
157 important, and CMV exposure from breastmilk from CMV IgG seropositive mothers may require  
158 suspending nursing and using prophylactic antiviral therapy. Patients should be monitored  
159 closely for the development of infectious and non-infectious complications associated with ADA  
160 deficiency. The monitoring of ADA-deficient patients should include hematological indexes,  
161 analysis of cellular and humoral immunity, respiratory status, liver and kidney function,  
162 endocrine evaluation, skeletal, neurological and hearing assessment, infectious diseases and  
163 tumor surveillance, etc. Pulmonary alveolar proteinosis can cause respiratory distress with rapid  
164 onset and must be differentiated from infectious pneumonia and promptly treated with ADA  
165 ERT, to which it responds with rapid resolution [J.M.P., unpublished data, 27 June 2018].

#### 166 Enzyme replacement therapy

167 Appreciation that ADA deficiency is a systemic metabolic disease and that nucleosides  
168 can cross biological membranes led to an attempt to provide the missing enzyme by transfusing  
169 RBC from healthy donors [55]. This approach carried substantial risks, failed to restore antigen-  
170 specific immunity, and was eventually abandoned. In 1987, weekly intramuscular injection of a  
171 PEGylated bovine ADA was reported to maintain ADA activity in plasma at a level far higher  
172 than total blood ADA activity achievable by RBC transfusion. By acting extracellularly on the  
173 nucleoside substrates of ADA, "ectopic" PEG-ADA reversed dAXP accumulation and SAHase  
174 inactivation in RBC, leading to improved lymphocyte counts in 2 patients who had failed both  
175 transfusion therapy and transplantation [56]. A clinical trial in these 2 patients and 4 others led  
176 to FDA approval of PEG-ADA (Adagen®, pegademase bovine) in 1990. Trial patients, only 1 of  
177 whom was a newly diagnosed infant, were enrolled serially, and each was started at a low  
178 weekly dose of Adagen, which was then increased to 15-20 U/kg until RBC dAXP normalized  
179 and T lymphocyte counts began to improve. The package insert for Adagen retained the trial's  
180 dose escalation schema.

181 The FDA mandated a 2-year post-approval monitoring of all ERT patients, with  
182 biochemical parameters assayed at Duke University, and clinical status and immune function  
183 followed locally. This yielded a better picture of the response to ERT, including evidence that  
184 infants with SCID and failure to thrive might require higher dosing than had been used in the  
185 clinical trial, and that neutralizing antibodies could develop in about 10% of patients during the  
186 first year of treatment [57-58]. Monitoring of plasma ADA activity, RBC dAXP, and anti-ADA  
187 antibodies has been performed without charge by MSH to patients in >20 countries.



188 Numerous case reports have described the first 1-2 years of ERT, and also significant  
189 events such as the development of anti-ADA neutralizing antibody and malignancies; these  
190 reports have periodically been reviewed [41; 59-61]. At the last workshop on ADA deficiency  
191 management, it was estimated that overall probability of survival among ~180 ERT patients over  
192 the previous 2 decades was 78%, and that a patient alive 6 months after starting ERT had a  
193 90% probability of surviving for the next 12 years [41]. Most deaths occurred during the first 6  
194 months, in patients who were severely ill at diagnosis. Later mortality was due to refractory  
195 hemolytic anemia, progression of chronic pulmonary insufficiency and malignancies.  
196 Lymphomas, often EBV-related, have developed in at least 10 patients, after as few as 3 years  
197 of ERT, but mostly beyond 8 years [33-35; 37]. This may be related to a progressive decline in  
198 lymphocyte counts and function during long-term ERT, which has been documented at several  
199 treatment centers [17; 42-43; 64-67]. The reasons for this decline are uncertain, but were  
200 apparently not related to loss of the biochemical action or a change in the pharmacokinetics of  
201 Adagen, or the development of neutralizing antibody.

202 Given the experience of almost 3 decades, our current workshop consensus is that ERT  
203 should be given to all patients newly diagnosed with ADA-SCID as an immediate stabilizing  
204 measure. In addition to the benefit from restoring immune function, ERT has also been reported  
205 to improve the hepatocellular abnormalities [27-28], pulmonary alveolar proteinosis [15; 52] and  
206 the bone dysplasia [26] that are associated with untreated ADA deficiency. As ERT acts  
207 systemically, it may have the potential to protect from neurologic injury caused by elevated  
208 levels of adenosine and deoxyadenosine. However, clear evidence that ERT reverses already  
209 existing neurologic involvement is lacking. The panel noted that a marked general improvement  
210 in patient alertness, well-being and nutritional status has been observed after initiating ERT.  
211 This may be due to systemic metabolic detoxification, as it occurs prior to restoration of immune  
212 function.

213 ERT leads to rapid increase in ADA activity in the plasma, and over a period of 4-8  
214 weeks results in the return of RBC dAXP to nearly undetectable levels and a significant increase  
215 in SAHase activity. An increase in B cell numbers is evident within the first month of therapy in  
216 some patients, while T cells numbers typically begin to increase by 2-4 months [58]. Production  
217 of antibodies also normalizes [68]. Early treatment may reverse metabolic toxicity to the thymus  
218 and non-lymphoid organs, further stabilizing patients before HSCT or HSC-GT [44]. Whether  
219 early initiation of ERT protects the developing brain and auditory system is uncertain, but it may

220 be possible to document such benefit in patients discovered by newborn screening, who are  
221 well at the time ERT is begun.

222 In recent years many physicians have initiated ERT at a dose of 30 units/kg based on  
223 ideal body weight, administered by intramuscular injections twice weekly (total weekly dose 60  
224 units/kg). This regimen was first employed in two respirator-dependent SCID patients in whom  
225 dosing per the package insert maintained insufficient plasma ADA activity to completely  
226 normalize metabolic abnormalities in RBC, or to restore immune function [58]. The twice-weekly  
227 higher dose regimen was biochemically effective and led to resolution of life-threatening viral  
228 infections. Because Adagen is supplied in single-dose vials, and as dosing twice a week is  
229 inconvenient for patients who must travel long distances to receive injections, some centers  
230 have administered 60 U/kg once weekly, which may require using two injection sites. After 4-6  
231 months, the dose may be reduced to 30 U/kg once weekly, provided that clinical status has  
232 stabilized and there is evidence of protective immunity based on T cell counts and antigen-  
233 specific responses.

234 In most patients, ERT should be used a “bridge” for relatively short periods (a few  
235 months to ~2 years) prior to undergoing HSCT or HSC-GT [41]. The optimal time to discontinue  
236 ERT before HSCT or HSC-GT has not been systematically studied. Concern that the immunity  
237 provided by ERT could interfere with engraftment, especially in the non-conditioned setting, led  
238 to the former practice of stopping ERT 2-4 weeks before transplant. However, the potential  
239 benefits of systemic detoxification, particularly when conditioning is employed, have led some to  
240 suggest continuation of ERT until and possibly for a time after HSCT [47]. For HSC-GT, the  
241 approach used in the initial gamma-retrovirus vector trials in Milan [69], and now for the  
242 approved Strimvelis, has been to stop ERT 2-3 weeks before HSC-GT to avoid blunting the  
243 selective advantage for ADA-replete lymphocytes over ADA-deficient cells. In contrast, studies  
244 in the ADA-deficient mouse model showed improved engraftment and thymus reconstitution  
245 when ERT was continued for one month after HSC-GT, compared to mice for whom ERT was  
246 stopped a week before HSC-GT [70]. The approach of continuing ERT was adopted in  
247 subsequent clinical trials (Figure 2) that used lentiviral vector [47]. Continuing ERT for one  
248 month after the infusion of gene-replete cells did not prevent the rapid increase in ADA activity  
249 in RBC (Figure 3A) yet delayed the rise in RBC dAXP (Figure 3 B) and the decline of T and B  
250 cell numbers (Figure 3C and 3D) that typically occurred following ERT cessation, associated  
251 with remarkable increase in T and B cells numbers to near normal values [71]. However direct  
252 comparison of the effects of ERT discontinuation timing relative to HSC-GT is not available, As

253 Strimvelis is a commercial licensed product and the lentiviral vector is advancing towards  
254 registration, ERT cessation relative to HSC-GT must presently follow its current guidelines.

255 Over the almost 3 decades since its approval, the number of patients in whom ERT has  
256 been employed long term has steadily decreased, and there have been no systematic studies of  
257 long-term survivors. Continued good health after 25 years has been reported in one patient [67],  
258 whereas 2 others experienced increased susceptibility to infections and other non-infectious  
259 complications over time [17]. The deterioration in lymphocyte counts and function over time,  
260 noted above, may eventually lead to a decline in antiviral immunity and immune tumor  
261 surveillance, contributing to an increasing risk of malignancies. For all of these reasons, ERT  
262 longer than 5-8 years should be avoided, and employed on a continuous basis only when  
263 neither HSCT nor HSC-GT have been available or effective, and in older patients with a delayed  
264 or late onset phenotype who may be poor candidates for those definitive procedures.

265 Regular assessment of the effects of ERT should include metabolic and immune testing.  
266 Ideally, trough plasma ADA activity and RBC dAXP should be measured monthly until immune  
267 function improves, then every 2 months in the 1<sup>st</sup> year, every 3-4 months in the 2<sup>nd</sup> year of  
268 treatment, and twice yearly thereafter. Monitoring frequency should be increased when doses of  
269 ERT are changed, a new formulation is used, compliance might be compromised, or antibodies  
270 to PEG-ADA are detected. An unexplained decrease in plasma ADA activity, particularly when  
271 associated with increase in RBC dAXP, should lead to testing for neutralizing antibodies to  
272 ADA. Increasing the dose of PEG-ADA or dividing a weekly dose into two administrations have  
273 been proposed as measures to overcome ADA-neutralizing antibodies [42; 57]. Immune testing,  
274 including enumeration of lymphocyte subpopulations should be done monthly until T cell  
275 reconstitution is evident, then every 3 months for the initial year of treatment. Additional  
276 functional testing of immune reconstitution should follow the guidelines established for patients  
277 with SCID after allogeneic HSCT, such as those published by the Pediatric Blood and Marrow  
278 Transplant Consortium [72]. Immunoglobulin supplementation should be continued until B cell  
279 function is evident by increased B cell numbers, normalization of IgA and IgM levels and  
280 appearance of isohemagglutinin antibodies. After discontinuing immunoglobulin  
281 supplementation, specific antibody responses to vaccination must be documented.

282 Adagen was the first PEGylated drug to receive FDA approval. The need to obtain  
283 bovine tissue as a source of purified ADA has posed significant challenges to reliable and  
284 consistent production of Adagen, and use of bovine products has raised concerns about safety.  
285 Therefore, the manufacturer has developed a recombinant version of bovine ADA conjugated to

286 PEG, which is now in late stages of clinical evaluation (NCT01420627, Clinicaltrials.gov). Once  
287 US Food and Drug Administration approval is obtained, the recombinant protein-based PEG-  
288 ADA will replace Adagen. The performance of the new drug will then be evaluated in regular  
289 clinical use, and no doubt will be discussed at a future workshop on the management of ADA  
290 SCID.

#### 291 Allogeneic hematopoietic stem cell transplantation

292 Single- and multi-center studies have demonstrated the ability of HSCT from HLA  
293 matched sibling donors (MSD) or matched family donors (MFD) to provide long-term correction  
294 of the metabolic and immune abnormalities in ADA-deficient patients. The outcome of HSCT  
295 further improved after the year 2000, reflecting improved supportive care [73; H.B.G.,  
296 unpublished data, 27 June 2018]. Therefore, once the diagnosis of ADA-SCID is verified, HLA  
297 typing of the patient, all full siblings and the parents must be performed. In highly  
298 consanguineous pedigrees, it would also be important to undertake HLA typing of close  
299 relatives and to see if a matched family donor can be identified. If a MSD/MFD is available,  
300 HSCT may be attempted as soon as feasible. Nearly all MSD/MFD HSCT for ADA-SCID have  
301 been undertaken without cytoreductive or immune ablative conditioning. A multicenter study  
302 demonstrated that among 54 ADA-deficient patients who received MSD/MFD HSCT, there were  
303 46 survivors (85.2%), with 3 patients (5.6%) dying from treatment-related causes [44]. Donor  
304 engraftment was reported in 100% of the patients who did not receive any conditioning, and  
305 >90% in patients who did receive conditioning. Only 1 of 27 patients (3.7%) required continuing  
306 immunoglobulin replacement. Restoration of T, B and NK cell function and engraftment of donor  
307 HSC was reported in 85-95% of ADA-deficient SCID patients receiving non-conditioned MSD  
308 HSCT. Recently, data from a single center's experience with unconditioned MSD/MFD HSCT  
309 for ADA deficiency showed that 4 of 16 patients (25%) required a repeat procedure [H.B.G.,  
310 unpublished data, 27 June 2018]. The reasons for the high rate of HSCT failure are not clear,  
311 but may relate to the level of immune function established by ERT at the time of HSCT, which  
312 may have mediated rejection or non-engraftment of donor cells. These data suggest that if there  
313 is significant immune reconstitution with ERT, then ERT should be discontinued prior to HSCT  
314 or that mild conditioning be used to deplete cells generated while on ERT. Yet, while reduced  
315 intensity conditioning might further improve donor engraftment [74], the expert panel concluded  
316 that currently there is insufficient evidence to recommend the routine use of conditioning in most  
317 patients with ADA-SCID receiving MSD HSCT.

#### 318 Autologous hematopoietic stem cell gene therapy

319 ADA deficiency was the first human disease to be treated with autologous gene therapy  
320 [75-77]. Studies using gamma-retrovirus vectors to deliver the ADA gene demonstrated the  
321 safety and efficacy of this strategy as well as the importance of conditioning in ensuring long-  
322 term persistence of adequate multi-lineage gene corrected cells [78-79]. Since the year 2000,  
323 major modifications have led to marked progress in HSC-GT for ADA deficiency (Figure 4), with  
324 more than 100 ADA-deficient patients having received HSC-GT (Table 2). Remarkably, all ADA-  
325 deficient patients who received HSC-GT are reported to be alive, although approximately 10-  
326 20% had to either restart ERT or receive subsequent HSCT/HSC-GT. Most patients had near  
327 normal T, B and NK cell numbers, with adequate response of T cells to stimulation, and were  
328 able to discontinue immunoglobulin replacement [80-85].

329 To reduce potential adverse effects from the conditioning on early growth and  
330 development and achieve adequate detoxification, most newly diagnosed ADA-SCID patients  
331 are treated with ERT until they are at least 3-6 months old and able to undergo HSC-GT. Since  
332 the groundbreaking study in The San Raffaele Telethon Institute for Gene Therapy (SR-TIGET),  
333 Milan, Italy, the importance of low dose busulfan conditioning in ensuring engraftment and  
334 expansion of sufficient ADA-corrected cells has become well established. Indeed, such strategy  
335 of reduced intensity conditioning was subsequently adapted for HSC-GT trials for both ADA and  
336 non-ADA defects [86]. The pharmacokinetic-adjusted busulfan dosage typically used for ADA  
337 HSC-GT is well tolerated by ADA-deficient patients with essentially no acute symptoms except  
338 for transient grade 3 to 4 neutropenia and grade 2 to 3 thrombocytopenia. No serious adverse  
339 events related to gene therapy or events indicative of clonal proliferation were reported in a  
340 recent comprehensive review of the initial SR-TIGET experience [87]. The success of the SR-  
341 TIGET trial has led to its commercialization as Strimvelis, which has been approved for clinical  
342 use in the European Union since 2016.

343 Although none of the ADA-deficient patients who received HSC-GT experienced  
344 leukemia, in contrast to patients enrolled in the X-linked SCID, Wiskott-Aldrich Syndrome and  
345 Chronic Granulomatous Disease HSC-GT trials using gamma-retroviral vectors [86], concerns  
346 about the safety of the delivery vector led to the development of a newer self-inactivating  
347 lentivirus vectors. Several studies (NCT01852071, NCT02999984, NCT01380990) with more  
348 than 50 ADA-deficient patients have demonstrated the safety and efficacy of this approach [85].

349 Patients may be ineligible to undergo HSC-GT in cases of insufficient amounts of BM  
350 HSC collected, which could be particularly challenging for older patients. This may be  
351 circumvented by the use of mobilized peripheral blood or repeated collections. Another potential

352 limitation is related to active infections with specific viral pathogens that could prevent HSC  
353 manipulation in the manufacturing facility. Recent experience in an HCV infected infant  
354 suggests that the use of new antivirals can bring about sufficient clearance of the HCV infection  
355 to allow successful subsequent gene therapy treatment [88]. Similarly, another patient, who  
356 presented with CMV disease as a neonate and was treated with antiviral medications,  
357 successfully received HSC-GT [D.B.K., unpublished data, 27 June 2018].

358 One of the limitations of current HSC-GT is the need to infuse the cells shortly after the  
359 transduction and to maintain the patient in hospital isolation pending T cell recovery, which has  
360 required the patients and their caregivers to travel to the few centers capable of performing such  
361 procedures in an effective and safe manner. Strimvelis requires patients to remain in Milan,  
362 Italy, for 4-6 months. To overcome these limitations, a current study using the lentiviral vector is  
363 evaluating the possibility of cryopreserving transduced cells (NCT02999984). Cryopreservation  
364 will provide the time needed for full characterization of the product prior to the infusion;  
365 furthermore, in the case of lentivirus gene therapy, pharmacokinetic adjustment of busulfan  
366 levels may be performed in the recipient prior to thawing and infusion of the gene corrected cells  
367 (Figure 5). Cryopreservation may also allow patients to remain at their home hospital, where  
368 stem cells can be collected and shipped to a central facility for processing, transduction and  
369 freezing. Subsequently, the cryopreserved transduced cells can be shipped back to the  
370 transplant center closer to the patient's home for thawing and infusion.

371 Data on the outcome of HSC-GT for ADA deficiency was acquired from few prospective  
372 clinical trials with carefully selected patients, yet evaluation of such procedures demonstrated  
373 remarkable safety profile and success. Moreover, although direct scientific comparison of HSC-  
374 GT with HSCT is not possible as prospective randomized studies are not available, HSC-GT  
375 provides important advantages, such as avoidance of severe graft versus host disease (Table  
376 3). Accordingly, there was a consensus that HSC-GT should now be considered alongside  
377 MSD/MFD-HSCT as one of the first line treatment choices for ADA-deficient patients (Figure 6).  
378 This recommendation represents a major change from previous guidelines, such as the recent  
379 guidelines by the European Society for Blood and Marrow Transplantation /European Society  
380 for Immunodeficiencies guidelines for treatment of ADA-SCID [89], and reflects the promising  
381 results of HSC-GT. Nevertheless, it should be noted that Strimvelis is currently indicated in the  
382 European Union only for patients for whom no suitable MSD is available. Also, Strimvelis is  
383 currently considered more expensive than the estimated costs of HSCT, at least in the USA  
384 [90], although additional clinical costs as well as travel and accommodations expenses



385 associated with HSCT need also to be factored. As additional data from “real life” experience  
386 accumulates, the role of HSC-GT for ADA deficiency will become clearer.

#### 387 HSCT using alternative donors for ADA-deficient patients

388 The management for patients with ADA deficiency who do not have a MSD/MFD or access to  
389 HSC-GT is particularly challenging. In contrast to the success of MSD/MFD HSCT for ADA  
390 deficiency, survival after alternative HSCT with alternative donors has been disappointing [44].  
391 In many instances, patients lacking MSD/MFD have continued ERT for extended periods.  
392 However, due to the frequent inability of ERT to support long-term immunity, as well as its high  
393 cost, it is recommended that ERT should not be used indefinitely, particularly for new patients.  
394 Accordingly, the possibility of HSCT using alternative donors needs to be considered in all  
395 newly diagnosed patients.

396 Among alternative donors, HLA matched unrelated donors (MUD) historically provided  
397 better outcomes than haplo-identical HSCT [44], although it is possible that earlier identification  
398 of ADA-deficient patients by newborn screening for SCID, prior to acquiring infections, might  
399 improve the outcome from both groups. The intensity of conditioning required for successful  
400 MUD HSCT in ADA deficiency is not known. Because of the relatively high risk posed by  
401 myeloablative conditioning for ADA-SCID HSCT [44], reduced intensity conditioning regimens  
402 should be considered, although the efficacy with specific agents and dosages needs to be  
403 established. Adult bone marrow or peripheral blood stem cells are preferred over umbilical cord  
404 blood, as the results with the latter have been relatively poor, based on limited numbers [44].

405 The data on haplo-HSCT for ADA-deficient patients are relatively limited, as in recent  
406 years some centers have abandoned altogether the use of such donors [H.B.G., unpublished  
407 data, 27 June 2018]. A large multi-center study previously reported 43% survival among 30  
408 patients who underwent haplo-HSCT, although the data stretched back to the middle of the  
409 1980s, when techniques for T cell depletion were less rigorous and supportive care less  
410 advanced than currently [44]. Among these patients, myeloablative conditioning was used in 23  
411 patients, 6 were not conditioned and 1 received reduced intensity conditioning [44]. The use of  
412 unconditioned T cell depleted haplo-identical transplants has also been considered by some  
413 centers. However, in the largest such series reported, only 7 of 19 patients (33%) demonstrated  
414 effective T cell engraftment [91].

415 It is also expected that the outcome of HSCT using alternative donors will continue to  
416 improve in the upcoming years as allogeneic HSCT technologies continue to advance.

417 Sequence-based HLA typing has been shown to improve outcomes over the earlier era when  
418 the less discriminatory serological-based typing methods were used [92]. Improved methods for  
419 graft engineering such as TCR alpha-beta+/CD19+ or CD45RA+ (naïve) T cell immunomagnetic  
420 bead depletion are showing excellent results in many settings [92]. Haplo-identical HSCT with  
421 post-transplant cyclophosphamide for in vivo depletion of allo-reactive donor T cells has also  
422 been shown to be effective with low rates of GVHD [94-96]. Thus, these novel techniques may  
423 change the approach to ADA-SCID patients needing HSCT and should be implemented in the  
424 context of clinical trials to obtain maximal information.

425 Several options are available for ADA-deficient patients for whom the first definitive  
426 therapy failed to restore immunity. Many centers re-institute ERT while a second definitive  
427 therapy is planned [Figure 6]. If the first treatment was an allogeneic HSCT, this may be  
428 repeated, possibly with a different graft source or more intense conditioning. Second allogeneic  
429 HSCTs carry increased risks of complications from added conditioning, infections and GVHD.  
430 HSC-GT after an unsuccessful conditioned HSCT is should be carefully considered, as the  
431 effects of the conditioning regimen on the bone marrow may compromise its usefulness as a  
432 source for the hematopoietic stem cells needed for GT. If HSC-GT as first treatment was not  
433 successful, it may be repeated, depending on interval since initial HSC-GT, as exemplified by 2  
434 ADA-deficient patients who failed gamma-retrovirus HSC-GT and then underwent successful  
435 lentivirus HSC-GT with reduced intensity conditioning [Gaspar – personal communication].  
436 Alternatively, if HSC-GT is unsuccessful, an allogeneic HSCT may be pursued. Indeed 6  
437 patients, in whom HSC-GT failed, underwent an allo-HSCT, with successful outcomes in 5  
438 patients and chronic GvHD leading to death in the other [A.A., D.B.K and H.B.G., unpublished  
439 data, 27 June 2018].

#### 440 **Discussion and recommendation**

441 The information detailed in the Management of adenosine deaminase section led the  
442 meeting's participants to the development of a consensus algorithm for the management of  
443 ADA-SCID (Figure 6). The authors recognize that management choices depend on experience  
444 and knowledge of health care providers, the patient's and family's preferences, institutional  
445 policies, access and availability of treatments, national health systems and insurers decisions,  
446 new information in the rapidly developing field of ERT, HSCT and HSC-GT. Therefore, the  
447 proposed algorithm should serve as a guideline, rather than a mandated structured treatment  
448 map.



449            Given the number of important issues concerning optimal treatments and the long-term  
450 outcomes of immune as well as neurological, developmental, hearing, fertility, endocrine and  
451 other complications, it is vital to establish an unbiased independent registry to encompass all  
452 ADA-deficient patients. It is only by collecting these data longitudinally that optimal therapies  
453 can be designed for future patients.

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455 **Figure legends**

456 **Figure 1: Timeline for the institution of treatments for adenosine deaminase deficiency**

457 Since the identification of adenosine deaminase defects as a cause for severe combined  
458 immune deficiency in 1972, there have been 3 main treatment approaches. Allogeneic  
459 hematopoietic stem cells with HLA matched sibling donors (MSD) or matched family donors  
460 (MFD) are most commonly used followed by HLA haploidentical and HLA matched unrelated  
461 donors (MUD). The stem cells have been obtained from bone marrow, peripheral blood  
462 mononuclear cells or umbilical cord blood. Enzyme replacement therapy relied initially on  
463 transfusions of red blood cells from healthy donors and subsequently on frequent injections of  
464 polyethylene glycol coupled to bovine ADA (PEG-ADA). Autologous ex-vivo corrected  
465 hematopoietic stem cell gene therapy used initially gamma-retroviruses to transduce the gene of  
466 interest into stem cells, while in recent years the ability of lentivirus is being studied. The  
467 addition of reduced intensity conditioning prior to gene therapy is now recognized as critical for  
468 the success of the procedure. In the last year, benefits from cryopreservation of the lentiviral  
469 vector transduced hematopoietic stem cells are being explored.

470

471 **Figure 2: Scheme of gamma-retrovirus and lentivirus based gene therapy with busulfan  
472 and discontinuation of enzyme replacement therapy.**

473 After obtaining consent of patients/guardians for autologous hematopoietic stem cell (HSC)  
474 gene therapy, patients are screened and admitted for bone marrow (BM) harvest and  
475 conditioning with low dose busulfan, with adjustment in accordance to pharmacokinetics (pK)  
476 predetermined targets. CD34+ HSC are isolated, transduced with gamma retroviral vector (A) or  
477 lentiviral vector (B) containing the ADA gene, and reinfused through a central venous catheter  
478 (CVC). (A) For gamma-retrovirus, enzyme replacement therapy (ERT) is usually discontinued  
479 14-21 days before gene therapy and patients are treated for 2 days with busulfan. (B) For  
480 Lentivirus based HSC-GT, patients are treated for 1 day with busulfan, and ERT is continued  
481 during gene therapy until 30 days after infusion.

482

483 **Figure 3: Effects of busulfan and continued enzyme replacement therapy for 30 days  
484 following lentiviral vector gene therapy for ADA deficiency on ADA and dAXP in patients'  
485 red blood cells and Immune reconstitution.**

486 Adenosine deaminase (ADA) activity (A), expressed as units of activity and deoxyadenosine  
 487 phosphates (dAXP) percentage in red blood cells (B), as well as the number of CD3+ T cells (C)  
 488 and CD19+ B cells (D) in the peripheral blood of patients with ADA deficiency 0-24 months after  
 489 hematopoietic stem cell gene therapy. Results are the mean and standard deviation from  
 490 interim analysis of 20 patients treated at the UCLA Mattel Children's Hospital, Los Angeles, Cal,  
 491 through their most recent study time-point. Normal ranges are ADA activity (A) are  $63 \pm 41$   
 492 nmol/h/mg, %dAXP (B)  $<0.2\%$ . Normal ranges ( $10^{\text{th}}$ - $90^{\text{th}}$ ile) at 1-2 years of age for CD3+ and  
 493 CD19+ cells are  $2.10\text{-}6.20$  cells/ $\mu\text{l} \times 10^{-3}$  and  $0.72\text{-}2.60$  cells/ $\mu\text{l} \times 10^{-3}$ ; respectively.

494

495 **Figure 4: Timeline for the development of adenosine deaminase deficiency hematopoietic**  
 496 **stem cell gene therapy**

497 After the identification and cloning of the cDNA for ADA, retrovirus vectors were  
 498 developed to efficiently deliver ADA. In 1990 the first gene therapy trial was initiated at the  
 499 National Institute of Health (NIH), using patient's peripheral blood lymphocytes (PBL) followed  
 500 by the use of CD34+ hematopoietic stem cells. Since 2000, the use of busulfan has been  
 501 gradually incorporated into HSCT-GT, including lentivirus based trials. In 2016, Strimvelis was  
 502 approved for clinical use in the EU. Currently the effect of cryopreservation of transduced cells  
 503 is being explored.

504

505 **Figure 5: Scheme of cryopreserved lentivirus based gene therapy with pK-adjusted**  
 506 **busulfan and continued enzyme replacement therapy.**

507 After obtaining consent of patients/guardians for autologous hematopoietic stem cell  
 508 gene therapy patients are screened and admitted for bone marrow (BM) harvest. CD34+ cells  
 509 are isolated from the bone marrow, transduced by lentivirus containing the ADA gene, and  
 510 cryopreserved. Approximately 30 days later, the patient is admitted again, central venous  
 511 catheter (CVC) is inserted and the patient is treated with busulfan at dosages that are adjusted  
 512 in accordance to predetermined pharmacokinetics (pK) targets. Enzyme replacement therapy is  
 513 discontinued 30 days after the hematopoietic stem cell-gene therapy (HSC-GT).

514

515 **Figure 6: Consensus algorithm for the management of infants diagnosed with ADA-SCID**

516           Following the diagnosis of severe combined immune deficiency (SCID) caused by  
517 inherited defects in adenosine deaminase (ADA) deficiency is established, all patients should  
518 receive enzyme replacement therapy, while monitoring for efficacy. Human Leukocyte Antigens  
519 (HLA) typing of the patient and close family members should be completed. Infections  
520 prophylaxis should be provided in accordance to the guidelines for SCID. Two equal first line  
521 treatment options should then be considered. Patients should proceed to receive allogeneic  
522 hematopoietic stem cell transplantation (HSCT) from HLA matched sibling donor (MSD) or HLA  
523 matched family donor (MFD) donor, if available. Alternatively, eligible patients should proceed to  
524 receive autologous hematopoietic stem cell gene therapy (HSC-GT), if available. If HSC-GT or  
525 HSCT are not available or are unsuccessful, patients should continue ERT while considering  
526 HSCT using alternative sources such as HLA matched unrelated donor (MUD) or HLA haplo-  
527 identical family members. Following treatment, patients should be monitored for abnormalities  
528 associated with ADA deficiency and for maintained immune reconstitution. Should treatment fail,  
529 patients should be re-considered for the different management options.

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549

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565

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- 863



864 **Table 1. Abnormalities associated with adenosine deaminase deficiency**

Affected cells/tissues	Mechanism	Clinical significance	References
Lymphoid cells	Depressed numbers and function of T, B and NK lymphocytes	Increased susceptibility to infections and autoimmunity, Omenn's syndrome,	3-10
Myeloid cells	Neutropenia and myeloid dysplasia	Not known	11-13
Lungs	Alveolar proteinosis, increased airway resistance	Respiratory distress, bronchiectasis	14-17
Brain	Not known	Neuro-development, cognitive, behavior seizures, hearing defects	18-23
Skeletal	Osteoblast insufficiency	Skeletal dysplasia	24-26
Liver	Not known	Hepatic dysfunction	27-29
Renal	Not known	Atypical hemolytic uremic syndrome	30-32
Tumors	Impaired DNA repair, defective immune surveillance	Dermatofibrosarcoma Protuberans, Lymphoma, Liver cancer	33-37

Dermatofibrosarcoma Protuberans

**Table 2. Experience with autologous hematopoietic stem cell gene therapy for ADA deficiency since 2000**

	Site, period	Vector Type	Cryo-preserved	Busulfan	ERT* after HSC-GT†	Number of patients	Treatment failure number (%)‡	Reference
1	SR-TIGET§, 2000-2016	Gamma	No	Yes	No	22	5 (23%)	83; A.A., unpublished data, 27 June 2018
2	SR-TIGET§, (Strimvelis) 2017	Gamma	No	Yes	No	5	0 (%)	A.A., unpublished data, 27 June 2018
3	LA¶/NIH#, 2001-09	Gamma	No	No Yes	No	4 6	4 (100%) 3 (50%)	79
4	GOS, 2003-13	Gamma	No	Yes	No	8	4 (50%)	81; H.B.G., unpublished data, 27 June 2018
5	LA¶/NIH#,, 2009-12	Gamma	No	Yes	No	10	1 (10%)	84
6	LA¶/NIH#,, 2013-16, GOS**, 2012-16	Lenti	No	Yes	Yes – 1 month	40	1 (2%)	D.B.K and H.B.G., unpublished data, 27 June 2018
7	¶LA, 2017	Lenti	Yes	Yes	Yes – 1 month	13	1 (8%)	D.B.K and H.B.G., unpublished data,

								27 June 2018
Total						108	19 (18%)	

‡Need to restart ERT or need for HSCT/HSC-GT

\*ERT- enzyme replacement therapy; ††Gamma- Gamma-retrovirus; \*\*GOS- Great Ormond Street, London, UK; †HSC-GT- gene therapy; ¶LA- Los Angeles, California, US; #NIH- National Institute of Health, Bethesda, US;. §SR-TIGET- San Raffaele Telethon Institute for Gene Therapy, Milan, Italy.

**Table 3. Comparison of matched sibling donor hematopoietic stem cell transplantation with autologous HSC-gene therapy for ADA deficiency\***

	MSD#* HSCT†	HSC-GT‡
Minimum time to procedure (months)	0.5-1	3-6
Performed at close specialized HSCT center†	Yes	Not currently§
Donor availability	<20%	100%
Cost of procedure	<120,000 dollars	594,000 Euro¶
Chemotherapy conditioning	No	Yes
ERT prior to procedure	Usually not given	Usually given
Bone marrow/PBSC harvest from patient	No	Yes
Bone marrow/PBSC harvest from donor	Yes	No
Years of successful experience	>40	6***-17***
Procedure failure frequency (%)	10-20%	5-20%
Potential for graft versus host disease	Yes	No
Graft versus host prophylaxis	No	No
Procedure related mortality	5.6%	0%
Time to immune reconstitution	3-6 months	6-24 months
Immunoglobulin replacement need	5%	7-10%

\*- The data provided in the MSD HSCT and the HSC-GT columns represent compiled results from multiple studies performed at different conditions. Accordingly, the two treatment modalities are not directly comparable.

#MSD- matched sibling donor

†HSCT- hematopoietic stem cell transplantation

‡HSC-GT- hematopoietic stem cell transplantation with autologous gene therapy

§- Cryopreservation might allow in the future for the procedure to be done at a closer HSCT center

||- Does not include added clinical costs, travel and accommodation at HSCT site.

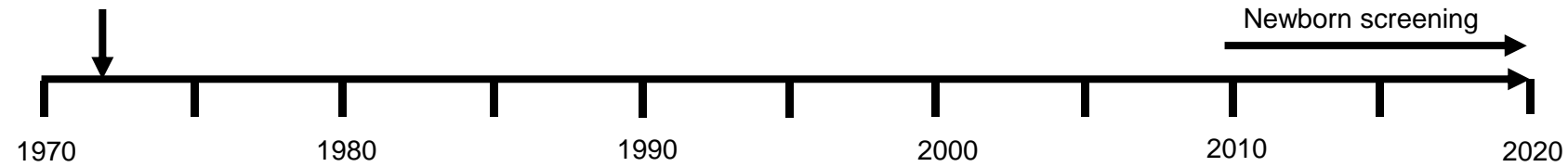
¶- Cost of Strimvelis. Does not include added clinical costs, travel and accommodation at HSC-GT site.

\*- Years of experience with lentivirus vectors

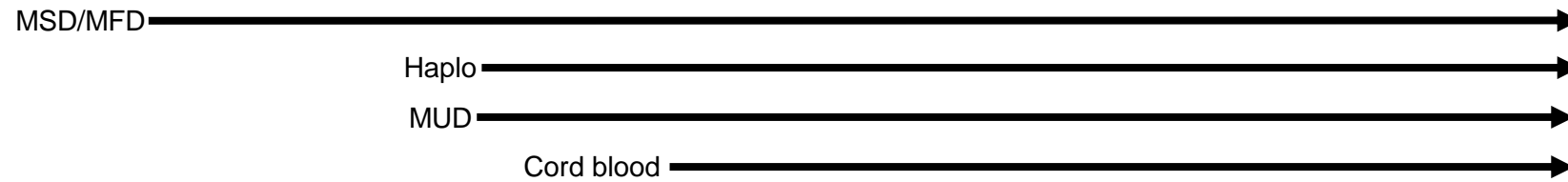
\*\*\*- Years of experience with gamma-retrovirus vectors

ACCEPTED MANUSCRIPT

Adenosine deaminase defects identified as a cause for severe combined immune deficiency (1972)



Allogeneic hematopoietic stem cell transplantations



Enzyme replacement therapy



Autologous hematopoietic stem cell gene therapy

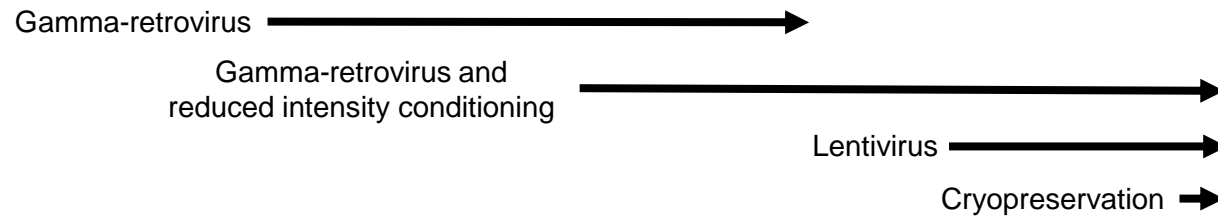


Figure 2: Scheme of gamma- and lenti- virus based gene therapy with busulfan and continued enzyme replacement therapy.

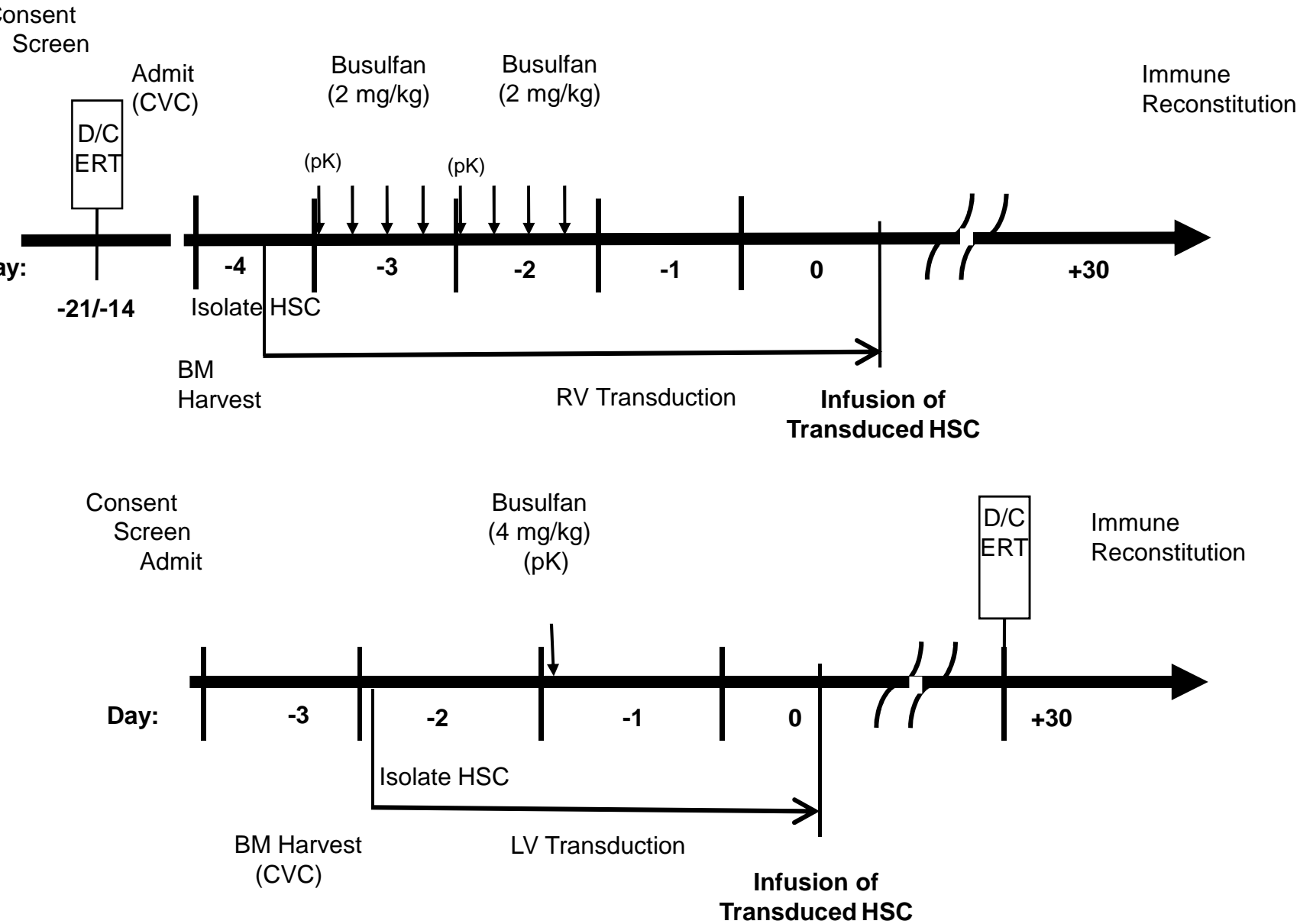


Figure 3: Effects of busulfan and continued enzyme replacement therapy for 30 days following lentiviral vector gene therapy for ADA deficiency on ADA and dAXP in patients' red blood cells

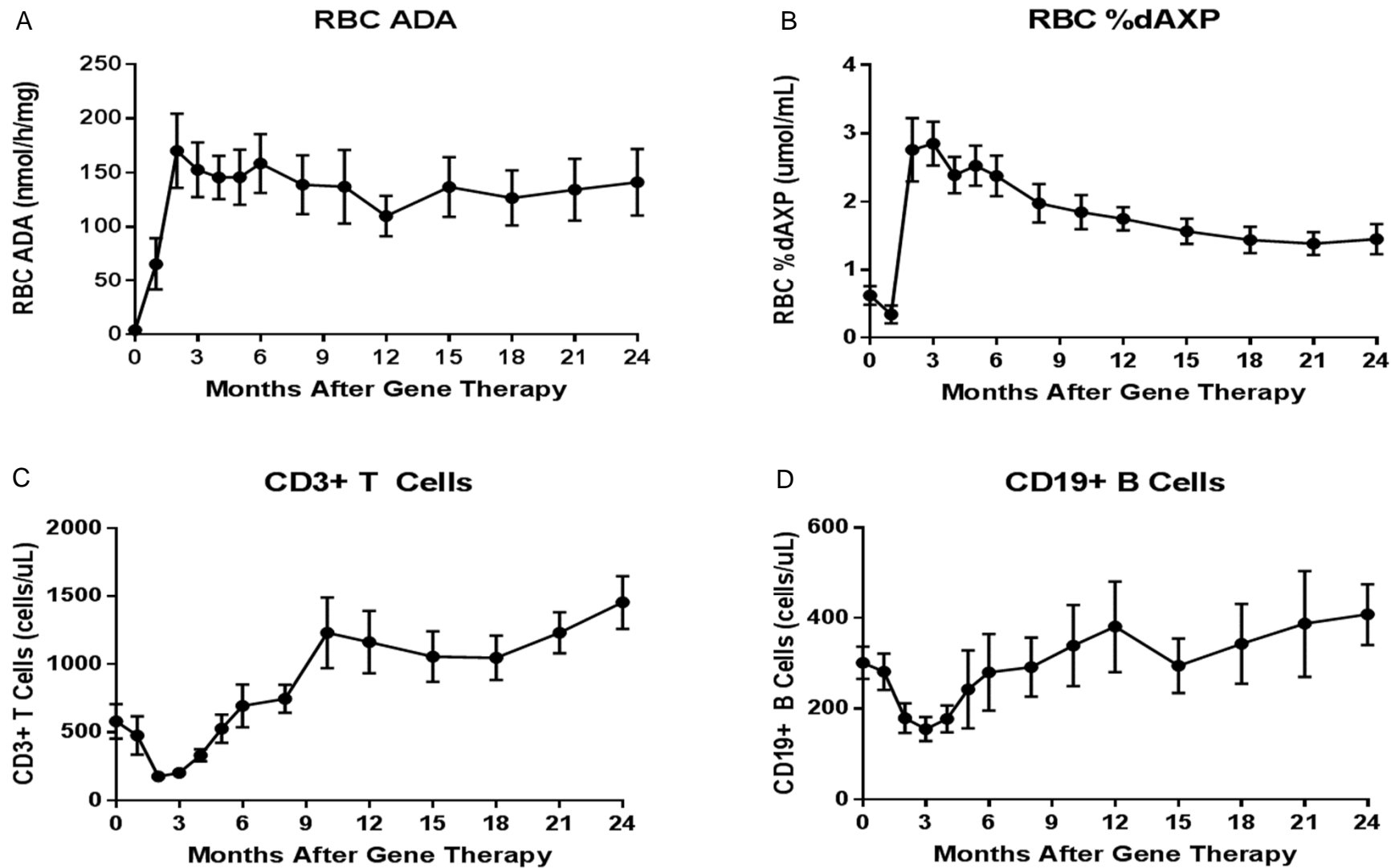




Figure 4: Timeline for the development of adenosine deaminase deficiency hematopoietic stem cell gene therapy

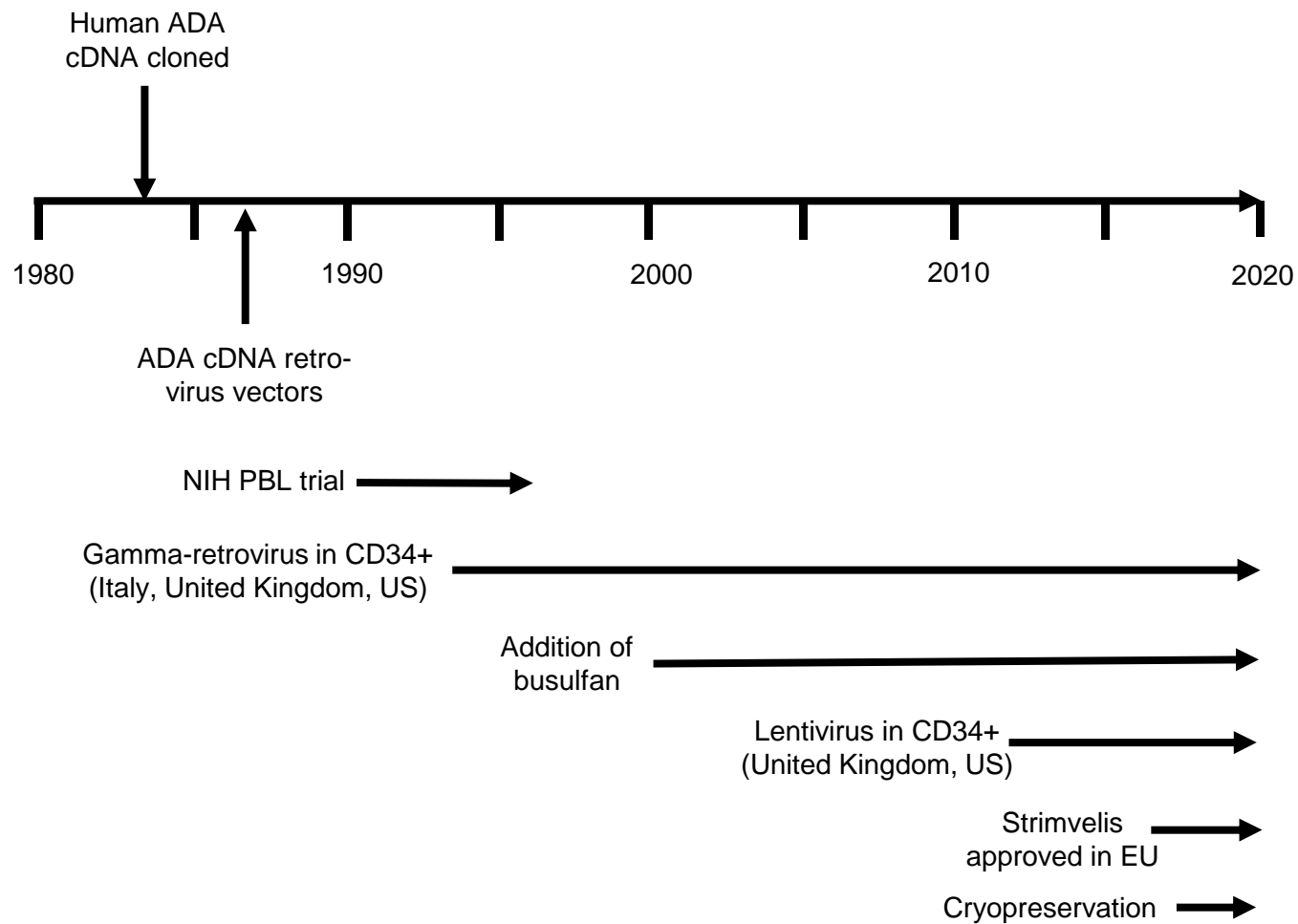
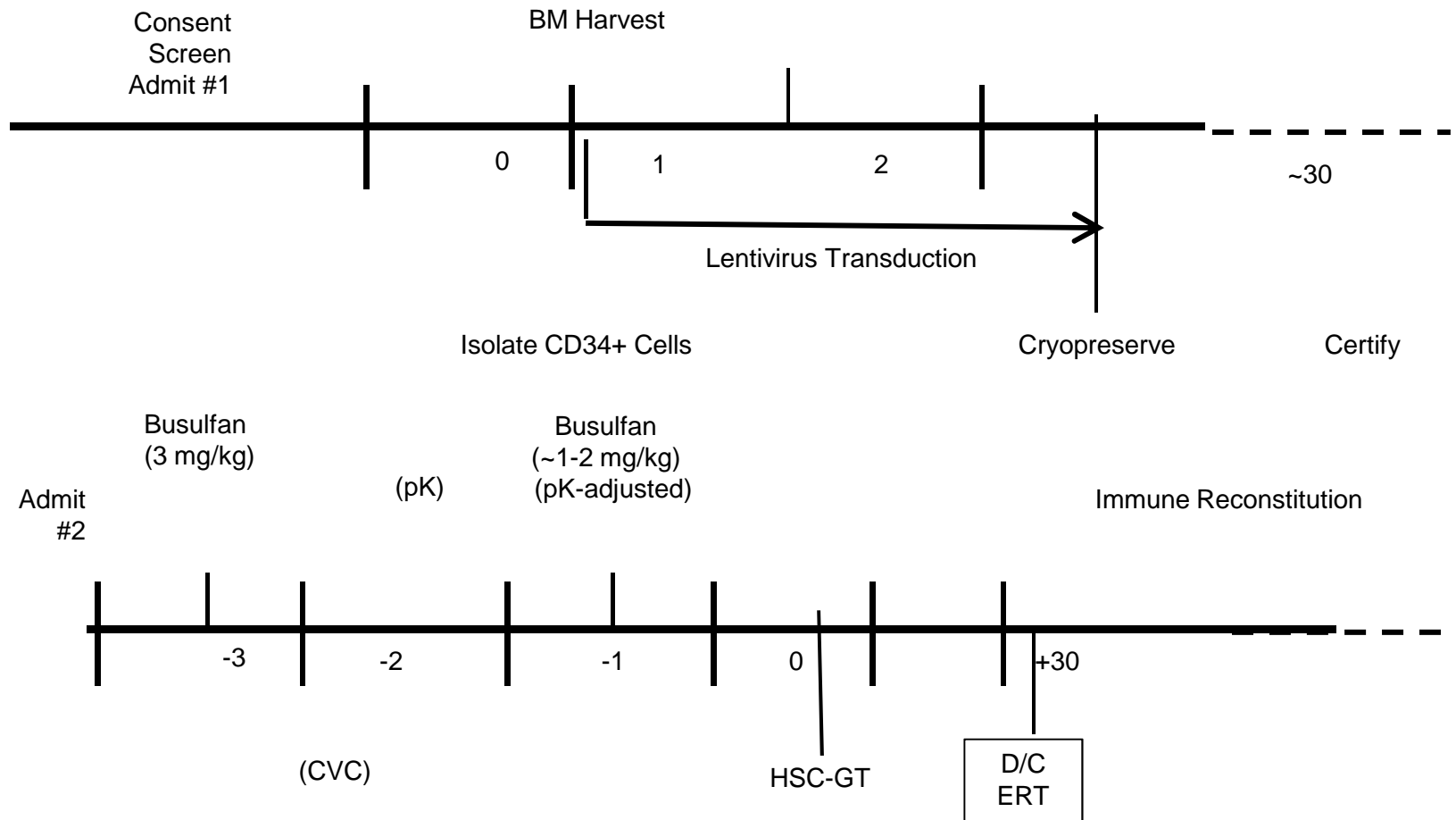


Figure 5: Scheme of cryopreserved lentivirus based gene therapy with pK-adjusted busulfan and continued enzyme replacement therapy.



6: Consensus algorithm for the management of infants diagnosed with ADA-SCID

