

1 **On the potential of using nanocellulose for consolidation of painting canvases**

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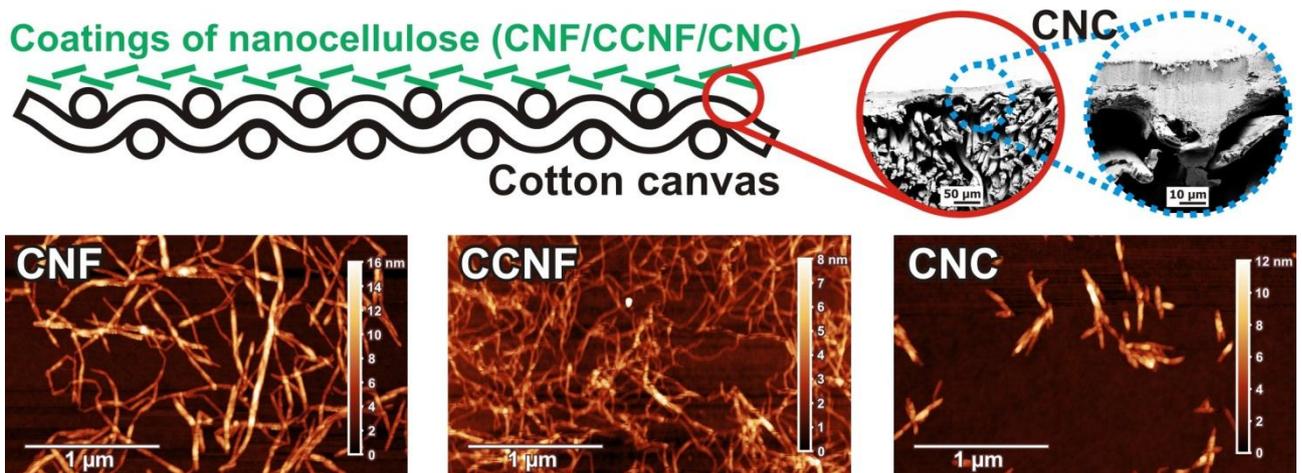
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16

17 **Graphical abstract**



18

19 **Abstract**

20 Nanocellulose has been recently proposed as a novel consolidant for historical papers. Its use for  
21 painting canvas consolidation, however, remains unexplored. Here, we show for the first time how  
22 different nanocelluloses, namely mechanically isolated cellulose nanofibrils (CNF),  
23 carboxymethylated cellulose nanofibrils (CCNF) and cellulose nanocrystals (CNC), act as a bio-  
24 based alternative to synthetic resins and other conventional canvas consolidants. Importantly, we  
25 demonstrate that compared to some traditional consolidants, all tested nanocelluloses provided  
26 reinforcement in the proper elongation regime. CCNF showed the best consolidation per added  
27 weight, however, it had to be handled at the lowest solids content compared to other nanocelluloses,  
28 exposing canvases to larger water volumes. CNC reinforced the least per added weight but could be  
29 used in more concentrated suspensions, giving the strongest consolidation after an equivalent  
30 number of coatings. CNF performed between CNC and CCNF. All nanocelluloses showed better  
31 consolidation than lining with Beva 371 and linen canvas.

32

33 **Keywords**

34 Conservation, restoration, consolidation, easel paintings, cellulose nanofibrils (CNF), cellulose  
35 nanocrystals (CNC)

36

37 **1 Introduction**

38 Painting canvases made from natural fibers (*e.g.*, linen, hemp, cotton or jute), used by artists as  
39 painting support, age over time. The ageing occurs due to temperature and humidity variations, and  
40 hence the dimensional changes of the painting mounted on a stretcher (Hedley, 1988; Hendrickx,  
41 Desmarais, Weder, Ferreira, & Derome, 2016), as well as chemical processes caused by acidity,  
42 originating from primers, paints, glues and absorption of acidic gases from the environment (Ryder,  
43 1986; Oriola et al., 2014). The ageing results in canvas degradation, particularly the reduction of its

44 mechanical properties, which may lead to cracking of the paint layer as well as accidental tears of  
45 the canvas, resulting in irreversible damage of the painting.

46 In order to consolidate degraded canvases two options can be used: (i) consolidating the original  
47 canvas with an adhesive and (ii) lining of the original canvas with a new one, i.e. gluing the new  
48 canvas over the old one (Stoner & Rushfield, 2012). In both strategies, the damaged substrate on the  
49 back side of the painting is treated by an adhesive, which may be natural, such as animal glue and  
50 glue-paste, or synthetic, such as acrylic (Plexisol PB550, Paraloid B72 or Plextol B500) or complex  
51 wax-resin formulations (Beva 371) (Berger, 1972; Ackroyd, 2002; Ploeger et al., 2014). Generally,  
52 water-based adhesives are less favorable due to the hygroscopic character of the cellulosic canvas.  
53 Swelling and shrinkage of the canvas occur as a response to interactions with water, resulting in  
54 dimensional changes of the painting. The choice of proper material for canvas restoration is a major  
55 concern for conservators and the ideal properties of such materials are still under debate. One of the  
56 opinions with respect to lining and lining adhesive is to provide the painting with a stiffer support to  
57 which the mechanical stress is transferred (Ackroyd, 2002; Young, 1999; Berger & Russell, 1988).  
58 This reduces the load accumulated in the paint layer and minimizes the future degradation of the  
59 painting. At the same time, it is important to allow elongation of the lining from 0.3 to 3.0%, which  
60 is the elongation range to which paintings are exposed when mounted on a stretcher. It varies  
61 depending on the type of canvas, warp or weft direction, the pigments used and the age of the  
62 painting (Mecklenburg, 1982, 2005; Mecklenburg & Fuster Lopez, 2008).

63 Lining has traditionally been used for canvas restoration. However, with the growing interest in  
64 methods that provide minimal intervention of the painting, treatments other than lining have  
65 become popular in the last decades (Ackroyd, Phenix, & Villers, 2002; Villers, 2004). The  
66 alternative treatments become favorable mainly due to the issues of reversibility, aesthetic concerns,  
67 excess of added new materials and no access to the original canvas with a lining. Another reason is  
68 that some of the widely used synthetic adhesives, such as Beva 371, are questionable from health  
69 and environmental point of view due to their toxicity (Bianco et al., 2015). Some synthetic  
70 adhesives, such as poly(vinyl acetate), promote canvas degradation due to acidic products formed  
71 during their own degradation (Chelazzi et al., 2014) and are therefore no longer used. These  
72 concerns have resulted in an increased use of natural polymers, such as animal or fish glue, for  
73 canvas reinforcement (Ackroyd, 2002).

74 The degraded canvas generally possesses defects at different length scales, *e.g.*, fiber cracks on  
75 the micrometer scale and depolymerization of cellulose chains on the nanometer scale. In order to  
76 restore the mechanical properties of the original canvas, these issues should be tackled (Kolman,  
77 Nechyporchuk, Persson, Holmberg, & Bordes, 2017). In addition to the physico-chemical  
78 properties of the canvas fibers, the morphology of woven fabric has a strong influence on the

79 mechanical properties (Young & Jardine, 2012). Taking into consideration that the paint layer, as  
80 well as the ground or size, are much stiffer than the canvas, the conservation treatment may aim at  
81 an efficient reinforcement for the canvas, rather than at restoration of the original properties,  
82 including high stretchability and flexibility, as these properties have been lost with the application  
83 of the different preparative layers. In parallel to the mechanical reinforcement, deacidification of the  
84 canvas needs to be carried out in order to arrest further degradation (Giorgi, Dei, Ceccato,  
85 Schettino, & Baglioni, 2002).

86 In the recent development of cellulose-based materials, nanocellulose has emerged and generated  
87 a strong interest, often due to its unique mechanical properties. Nanocellulose can be divided into  
88 three main categories: (i) cellulose nanocrystals (CNC), also referred to as nanocrystalline cellulose  
89 (NCC) or cellulose whiskers (Habibi, Lucia, & Rojas, 2010; Rånby, 1949); (ii) cellulose nanofibrils  
90 (CNF), also known as nanofibrillated cellulose (NFC) or microfibrillated cellulose (MFC) (Turbak,  
91 Snyder, & Sandberg, 1983; Nechyporchuk, Belgacem, & Bras, 2016), and (iii) bacterial  
92 nanocellulose. CNC and CNF are much more common, since they are produced by delamination of  
93 cellulose microscopic fibers (generally, from wood) into nanomaterial (top–down process), whereas  
94 bacterial nanocellulose is generated by a buildup (bottom–up process) from low molecular weight  
95 sugars by bacteria (Nechyporchuk, Belgacem, & Bras, 2016). Bacterial cellulose is produced in the  
96 form of biofilms (pellicles) of determined dimensions that contain interconnected nanofibrils  
97 (Klemm, Heublein, Fink, & Bohn, 2005), whereas CNC and CNF are separate nanoparticles, thus  
98 their deposition is not limited by the physical dimensions of the artifacts. In order to deposit  
99 bacterial nanocellulose from suspensions, post-fibrillation should be performed.

100 The different types of nanocellulose present appealing features for the purpose of canvas  
101 consolidation: they have high strength and form transparent/translucent and lightweight films. Their  
102 non-toxic character and non-abrasiveness for processing equipment, as well as renewable and  
103 biodegradable character, are additional features of interest for the field. Nanocellulose also has a  
104 large surface area and there are well-developed methods for its surface modification (Habibi et al.,  
105 2010; Moon, Martini, Nairn, Simonsen, & Youngblood, 2011; Nechyporchuk, Belgacem, & Bras,  
106 2016). Reinforcing a cellulosic canvas with a material of similar nature can be beneficial for future  
107 preservation of canvas paintings.

108 The interest in using nanocellulose for restoration of cellulosic materials has been increasing  
109 lately. Nanocellulose has recently been employed for consolidation of historical papers (Santos et  
110 al., 2015; Dreyfuss-Deseigne, 2017; Völkel, Ahn, Hähner, Gindl-Altmatter, & Potthast, 2017).  
111 Bacterial nanocellulose has been also reported for reinforcement of historical silk fabrics (Wu, Li,  
112 Fang, & Tong, 2012). To the best of our knowledge, the use of nanocellulose for consolidation of  
113 painting canvases remains unexplored.

114 In this work, different types of nanocellulose, namely mechanically isolated cellulose nanofibrils  
115 (CNF), carboxymethylated cellulose nanofibrils (CCNF) and cellulose nanocrystals (CNC), were  
116 tested and compared in terms of structural reinforcement of degraded canvases. The mechanical  
117 properties of newly prepared and real paintings were first studied to determine the elongation  
118 regime where canvas consolidation should act. Then, model aged canvases were treated with  
119 different nanocellulose-based formulations to investigate their film-forming properties on canvases  
120 and their response to static and periodic uniaxial stress at different relative humidity values. The  
121 reinforcing effect of the nanocelluloses was also compared with that obtained with different  
122 traditional consolidants.

123

## 124 **2 Materials and methods**

### 125 *2.1 Materials*

126 CNF in the form of an aqueous suspension was kindly provided by Stora Enso AB (Sweden).  
127 The CNF was produced from softwood pulp (*ca.* 75% of pine and 25% of spruce, containing 85%  
128 of cellulose, 15% of hemicellulose, and traces of lignin, as determined by the supplier). CCNF, also  
129 in the form of an aqueous suspension, was kindly provided by RISE Bioeconomy (Sweden). The  
130 CCNF was produced from a softwood sulphite dissolving pulp (Domsjö Dissolving plus, Domsjö  
131 Fabriker AB, Sweden) by carboxymethylation, as described previously (Wågberg et al., 2008),  
132 followed by mechanical fibrillation. CNC in powder form was purchased from CelluForce  
133 (Canada). It was produced from bleached kraft pulp by sulfuric acid hydrolysis. Charge densities of  
134  $-20.7 \pm 0.6$ ,  $-151 \pm 2$  and  $-259 \pm 4$   $\mu\text{eq/g}$  at pH 5.2 were measured for CNF, CCNF and CNC,  
135 respectively, using a particle charge detector PCD-02 (Mütek Analytic GmbH, Germany), titrated  
136 using poly(diallyldimethylammonium chloride). Tetrabutylammonium hydroxide (TBAOH) as a 20  
137 wt % aqueous solution and calcium chloride ( $\geq 96.0\%$ ) were purchased from Sigma-Aldrich,  
138 Sweden.

139 Cotton canvas with a basis weight of  $417 \pm 3$   $\text{g/m}^2$  and a plain weave was obtained from Barna  
140 Art (Barcelona, Spain). Dry animal glue from Lienzos Levante (Spain) was used as a sizing agent or  
141 as a consolidant. Lefranc & Bourgeois® Gesso acrylic-based medium with titanium dioxide,  
142 calcium carbonate and potassium hydroxide was used as a primer. Titanium White Rutile acrylic  
143 paint from Vallejo® (Acrylic artist colour. Extra fine quality acrylic, ref 303), Cadmium Red  
144 Medium acrylic paint from Vallejo® (Acrylic artist color. Extra fine quality acrylic, ref 805) and  
145 Liquitex® professional gloss varnish were used to prepare the painted canvas samples. A cellulose  
146 ether (hydroxypropyl cellulose) Klucel® G, an acrylic resin Paraloid® B72 and Beva Original  
147 Formula® 371 Film lining were products from CTS Spain.

### 148 *2.2 Samples of painted canvas and real paintings*

149 The cotton canvas was washed by soaking overnight in a water bath. It was then dried and  
150 mounted onto a stretcher. One layer of animal glue at 9.6 w/v% and *ca.* 60 °C was applied on the  
151 canvas with a brush. Then, two layers of primer were applied with a plastic serigraphy squeegee in  
152 cross directions. After that, two thin paint layers were applied using a soft foam roller in cross  
153 directions. Finally, one varnish layer was applied using a flat soft brush. All the layers were let dry  
154 several weeks before applying the next one.

155 The real painting used in this study had an acrylic paint layer on a modern commercially  
156 prepared cotton canvas that was about 15 years old. It had very thin and flexible preparation and  
157 paint layers on a thin canvas too.

### 158 *2.3 Canvas accelerated ageing*

159 A model of the degraded canvas was prepared as reported previously (Nechporchuk, Kolman,  
160 et al., 2017). In brief, the method consists of treating pristine cotton canvas (70 × 80 mm) with a  
161 mixture of 200 mL hydrogen peroxide solution (35 wt%) and 10 mL sulfuric acid during 72 hours  
162 at 40 °C. As a result, the cellulose degree of polymerization (DP) decreased from *ca.* 6250 to *ca.*  
163 450 and the breaking force for a 10 mm wide canvas stripe was reduced from  $176 \pm 8$  N to  $42 \pm 4$  N  
164 (Nechporchuk, Kolman, et al., 2017). The canvas basis weight was reduced to  $374 \pm 3$  g/m<sup>2</sup>.

### 165 *2.4 Application of nanocellulose consolidation treatments*

166 In order to achieve similar viscosity, aqueous suspensions of CNF, CCNF and CNC were  
167 prepared by dilution with deionized water at concentrations of 1.00, 0.25 and 3.00 wt.%,  
168 respectively, and then homogenized using a Heidolph DIAX 900 (Heidolph Instruments, Germany)  
169 equipped with a 10 F shaft at power 2 (around 11,600 rpm). These suspensions were  
170 homogeneously spread on the surface of the aged cotton canvas samples (70 × 80 mm) using a  
171 plastic serigraphy squeegee. The coatings were deposited in 1–3 passes with an interval of 20 min  
172 to allow some water to evaporate. Table 1 shows the increase of the canvas basis weight after  
173 coating, measured by gravimetry. After drying, one batch of CCNF canvas samples, with different  
174 amount of deposited nanocellulose, was treated with a 0.5 M CaCl<sub>2</sub> aqueous solution (*ca.* 2 g of  
175 solution per m<sup>2</sup>) to cross-link the nanofibrils (Dong, Snyder, Williams, & Andzelm, 2013), which  
176 was applied by spraying with a Cotech Airbrush Compressor AS18B (Clas Ohlson AB, Sweden) at  
177 a pressure of 2 bar. One batch of samples was prepared by mixing CCNF suspensions with TBAOH  
178 (5/1 wt/wt dry) to reduce the hydrophilicity of the cellulose (Shimizu, Saito, Fukuzumi, & Isogai,  
179 2014).

180

181 **Table 1** List of treatments used for aged canvas consolidation and the basis weight uptake after the coating.

Sample name	Description	Basis weight uptake (%) with number of coatings		
		1	2	3
CNF	Canvas coated with cellulose nanofibril suspension at 1 wt.%	2.5	5.0	7.2
CCNF	Canvas coated with carboxymethylated cellulose nanofibril suspension at 0.25 wt.%	0.6	1.2	1.8
CNC	Canvas coated with cellulose nanocrystal suspension at 3 wt.%	7.4	14.8	22.2

182

183 *2.5 Application of conventional consolidants*

184 Three different adhesives, animal glue, Klucel G and Paraloid B72, which have been  
 185 traditionally used to consolidate painting canvases, were applied on the aged cotton canvas as  
 186 shown in Table 2. A lining of the aged canvas using a Beva 371 film and a new linen canvas was  
 187 also performed. The canvas was fixed on a flat rigid surface along the borders to avoid shrinkage  
 188 during the treatment. When brushing, a flat 4 cm wide brush was used. When using an airbrush,  
 189 samples were set in an upright position and applications were performed from a distance of 10 cm  
 190 to cover the canvas homogeneously in horizontal and vertical directions. A limited amount of  
 191 consolidant was applied during spraying to avoid flooding the canvas, which is important in order  
 192 to avoid canvas shrinkage. Coatings were left to dry for 5–10 minutes between applications. Profi-  
 193 AirBrush Compact II airbrush was used, with a 0.3 mm needle, consolidant gravity feed and 2.5 bar  
 194 pressure.

195

196 **Table 2** List of traditional consolidants applied on the aged canvases

Sample name	Concentration and solvent	Application system and number of coatings
Animal Glue	5 w/v% in water	Brush, 1 coating, soaking the canvas
Klucel® G	1 w/v% in ethanol	Airbrush, 4 coatings without soaking the canvas
Paraloid® B72	5 w/v% in acetone	Airbrush, 1 coating without soaking the canvas
		Brush, 1 coating, soaking the canvas
Beva Original Formula® 371 Film (lining)	Film	Lining onto a new linen canvas. Beva film first attached to the lining canvas, then to the cotton sample with a hot spatula at 65°C

197

198 *2.6 Tensile testing*

199 Mechanical testing was carried out according to the ASTM D5034 – 09 method (“ASTM D5034  
 200 – 09 (2013) Standard Test Method for Breaking Strength and Elongation of Textile Fabrics (Grab  
 201 Test),” 2013) with slight deviations. The measurements were performed using Instron 5565A  
 202 (Norwood, MA, USA) equipped with a static load cell of 100 or 5000 N and pneumatic clamps  
 203 operated at a pressure of 5 bar. Rectangular specimens with a length of 70 mm and a width of  
 204 10 mm were cut parallel to the warp or the weft direction along the threads. The samples were

205 conditioned at least 12 h before the measurements at a relative humidity (RH) of 60% and a  
206 temperature of 23 °C. Sandpaper was used between the canvas sample and the clamps (with the  
207 grains facing the canvas) to avoid slippage. The measurements were carried out at a constant  
208 extension rate of 300 mm/min and a gauge length of 20 mm. The force was measured as a function  
209 of elongation and then expressed in Newtons per meter of canvas length (Berger & Russell, 1988) .  
210 Seven measurements were performed for each specimen and the average values were then  
211 calculated. A digital video camera operating at 30 frames per second was used for video recording  
212 during the tensile testing of the samples of painted canvas and real painting in order to detect the  
213 point where the cracking became visible.

#### 214 *2.7 Atomic force microscopy (AFM)*

215 AFM was performed in tapping mode using NTEGRA Prima equipped with a NSG01 cantilever  
216 (NT-MDT, Russia) to examine the morphology of the nanocellulose samples. For sample  
217 preparation, the CNF/CCNF and the CNC suspensions were diluted to a concentration of  $10^{-2}$  and  
218  $10^{-3}$  wt.%, respectively, and a droplet of each suspension was placed on a freshly polished silicon  
219 wafer substrate and dried. The AFM height images were then processed with the Gwyddion  
220 software. The nanoparticle diameter was determined from the height profiles of AFM height images  
221 as an average of 100 measurements.

#### 222 *2.8 Scanning electron microscopy (SEM)*

223 The cross-section of the coated canvases was analyzed using Leo Ultra 55 field emission gun  
224 (FEG) SEM (Carl Zeiss SMT GmbH, Germany). The SEM was operated at an acceleration voltage  
225 of 3 kV. The canvas cross-section was prepared by clear cut with a new razor blade punched with a  
226 hammer. The samples were mounted onto stubs and sputtered with a gold layer of *ca.* 10 nm using a  
227 Sputter Coater S150B (Edwards, UK).

#### 228 *2.9 Controlled relative humidity dynamic mechanical analysis (DMA-RH)*

229 Dynamic mechanical analysis was carried out using a Tritec 2000 B (Lacerta Technology Ltd.,  
230 UK) equipped with a humidity controller. The samples were cut in warp direction with a width of  
231 10 threads and a gauge length of 5 mm. The measurements were carried out at a frequency of 1 Hz,  
232 an amplitude of 0.1% of strain and a temperature of 25 °C. The samples were subjected to ramps in  
233 the region of 20–60 %RH at a rate of 4 %RH/min with an equilibration at each RH of 30 min. Three  
234 RH cycles (20–60%RH) were performed for each sample.

235

### 236 **3 Results and Discussion**

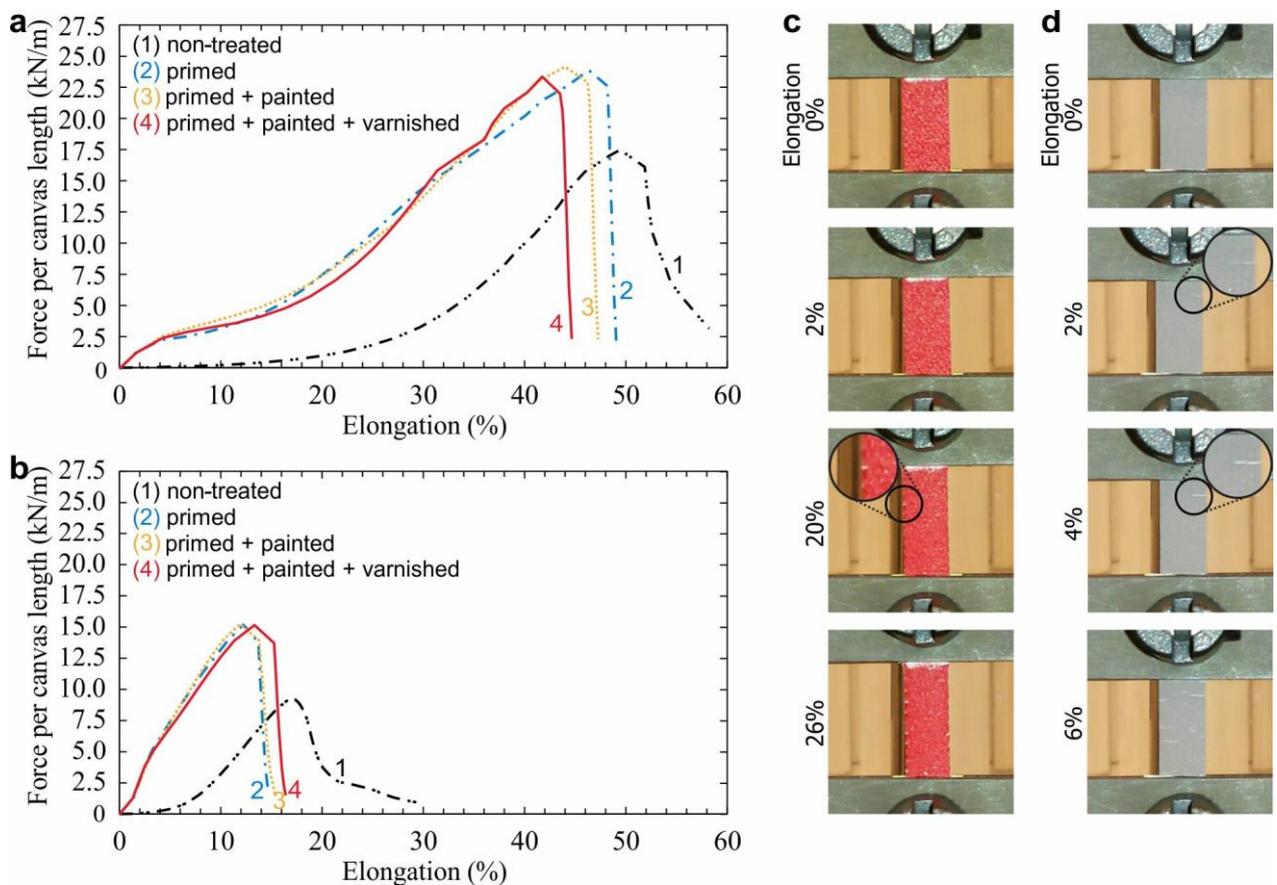
#### 237 *3.1 Mechanical properties of canvas paintings*

238 In order to provide a rational reinforcement of the degraded canvases, it was necessary to  
239 determine the elongation regime where the reinforcement should be provided, *i.e.*, to specify

240 whether the initial stretchable character of the canvas should be reproduced or if the consolidation  
 241 treatment should stiffen the canvas. New cotton canvas was coated with prime, paint and varnish,  
 242 and was examined after each layer deposition in both warp and weft directions using tensile testing.

243 The force-elongation curves both in warp and weft directions are shown in Fig. 1a and b,  
 244 respectively. The measurements revealed an increase of the breaking force and a slight reduction of  
 245 elongation at break in both directions when the canvas was primed. The values went from  
 246  $17.6 \pm 0.8$  kN/m to  $24.0 \pm 1.4$  kN/m for the breaking force and from  $52.7 \pm 1.1\%$  to  $48.9 \pm 2.7\%$  for  
 247 the elongation at break in warp direction. A sharp increase of the slope of the curve in low  
 248 elongation regime after priming indicates its stiffening effect. Taking into account an increase of  
 249 canvas thickness from 0.814 mm to 0.948 mm as a result of the priming, and applying the reduction  
 250 factor of 25% for the canvas cross-section (area of the threads parallel to the force direction)  
 251 (Mecklenburg, McCormick-Goodhart, & Tumosa, 1994), the Young's modulus in the linear domain  
 252 of elongation ( $<2\%$ ) in the warp direction was quantified as  $17.6 \pm 0.8$  MPa and  $356.0 \pm 18.0$  MPa  
 253 for the original and the primed canvas, respectively. The subsequent application of paint and  
 254 varnish, which were both much thinner than the prime layer, did not significantly affect the  
 255 mechanical behavior.

256



257

258 **Fig. 1.** Mechanical properties of new cotton canvas treated with prime, paint and varnish layers, measured in (a) warp  
 259 and (b) weft directions. Images of the primed and painted new canvas (c) and real painting (d), both captured during  
 260 tensile testing at various elongations, measured in warp direction. The circles in c and d show crack propagation.

261  
262 The linear region of deformation of the painted canvases was found to be quite short (<2%  
263 elongation). Outside this region the deformation is known to be irreversible (Stachurski, 1997) and  
264 the paint layer is likely to deteriorate. Therefore, the consolidation treatment should provide  
265 substantial reinforcement in this region to prevent paint cracking. The samples that were primed and  
266 painted were first examined visually to detect possible cracks. On Fig. 1c, which relates to a freshly  
267 made painting, the propagation of cracks became noticeable only at *ca.* 20% elongation. In  
268 comparison, for the real painting samples shown in Fig. 1d, the paint layer started to crack already  
269 at 2% elongation. The increased brittleness of aged paintings is a known phenomenon and is due to  
270 chemical changes, such as gradually increasing degree of crosslinking and loss of plasticizer  
271 (Michalski, 1991). Prevention of this process is crucial; otherwise, it will eventually lead to flaking  
272 and to the deterioration of the paint layer. Such a low elongation regime for paint cracking  
273 suggested that the consolidation treatment should provide a stiff support at low elongation in order  
274 to prevent paint cracking, which was also suggested previously (Berger & Russell, 1988).

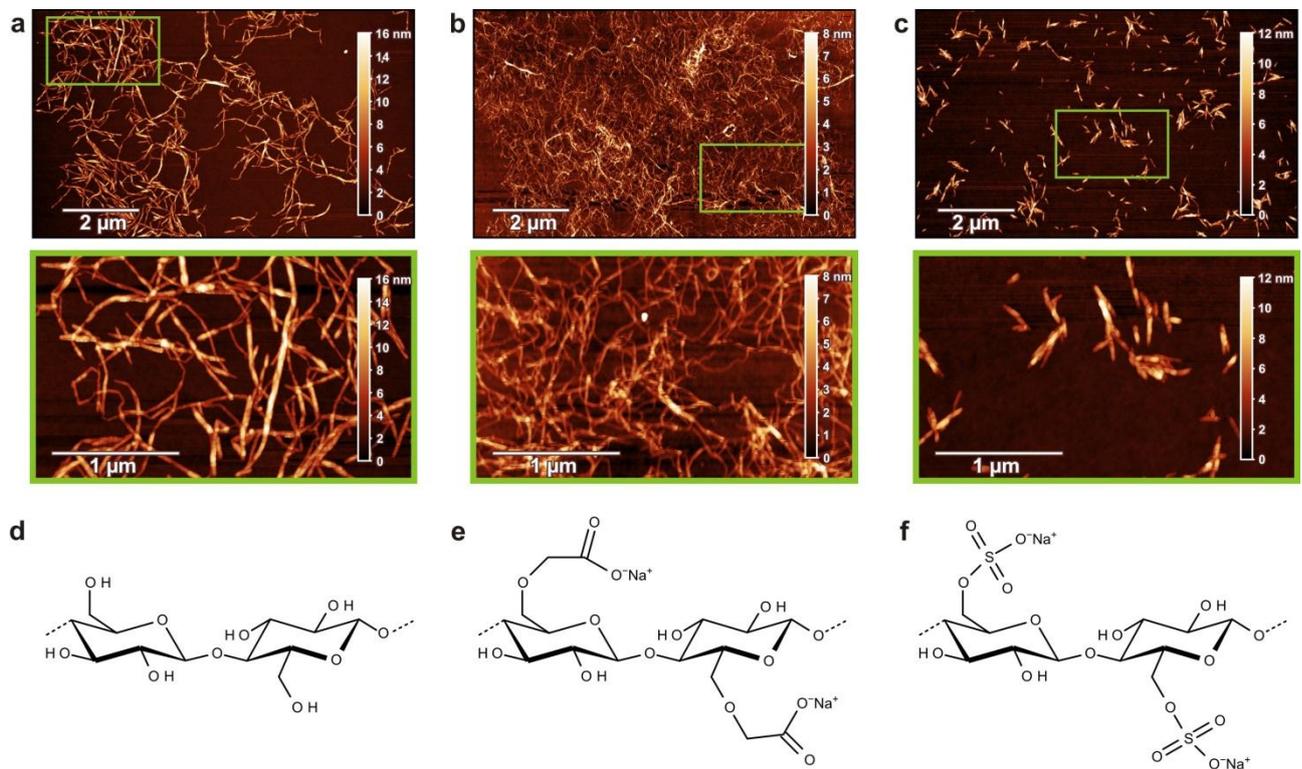
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### 276 3.2 Consolidation of aged canvas with nanocellulose: morphological characterization

277 The reinforcement potential of the different nanocellulose samples, *viz.*, CNF, CCFN and CNC,  
278 was analyzed in this study as an alternative to conventional consolidation practices. The  
279 nanocellulose formulations were examined on a model of degraded cotton canvas developed  
280 previously (Nechyporchuk, Kolman, et al., 2017). The morphology of these nanocelluloses is  
281 shown in Fig. 2a–c. CNF (Fig. 2a) had a thickness of  $7.0 \pm 2.8$  nm and a length of several  
282 micrometers. CNC (Fig. 2c) had similar diameter,  $7.5 \pm 2.8$  nm, but was smaller in length, *ca.*  
283 0.5  $\mu$ m. Finally, CCFN (Fig. 2b) was much thinner compared to the others,  $2.4 \pm 0.9$  nm, and had a  
284 length of several micrometers.

285 Simplified surface chemical structures of CNF, CCFN and CNC are shown in Fig. 2d, e and f,  
286 respectively. These nanocellulose samples were extracted from wood using different processing  
287 routes, including surface functionalization for CCFN and CNC. Carboxymethyl and sulfate ester  
288 groups resulted in the presence of negative charges on the surface at basic and neutral pH (charge  
289 densities are shown in the Materials and Methods section). This introduced repulsive interactions  
290 between the nanofibers and gave better dispersibility, which may enhance the penetration into the  
291 canvas. The dimensional and surface charge differences among the nanocelluloses may influence  
292 the film-forming properties on canvases and the final mechanical properties of the coated canvases.  
293 Additionally, CCFN and CNC can exhibit acidic character, as the pKa of the functional groups is  
294 below 7, which should be considered for achieving long-term stability of the consolidation  
295 treatment. However, when deacidification of the canvas is performed and a certain alkaline reserve

296 is present (Giorgi et al., 2002), its buffering activity may avoid the acidity issue. This question  
297 remains beyond the present work and requires further investigation.  
298

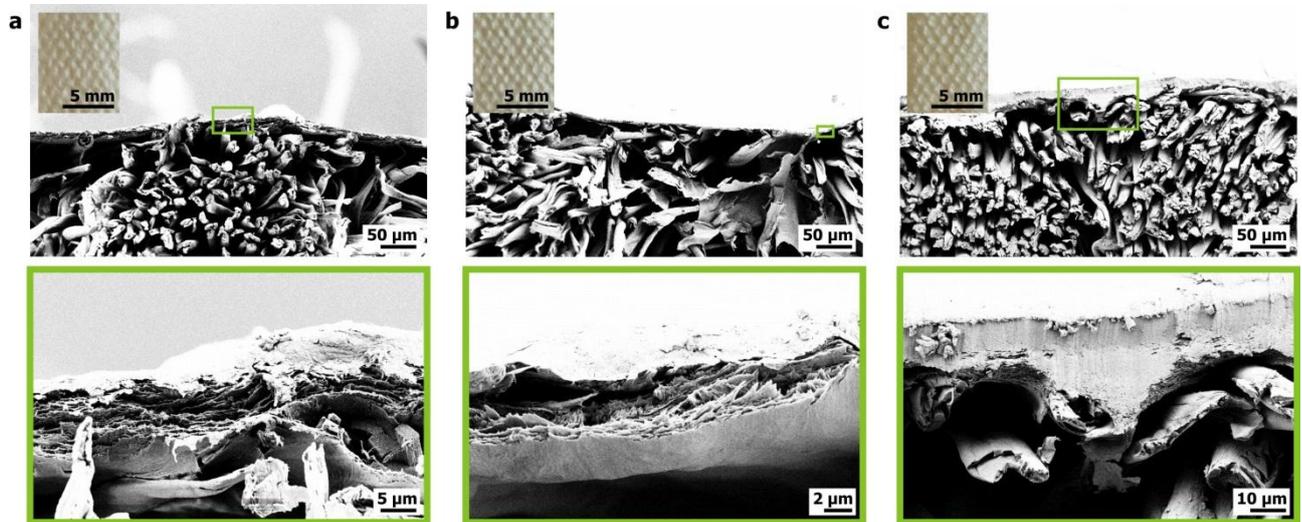


299  
300 **Fig. 2.** Atomic force microscopy images (**a, b, c**) and the corresponding simplified surface chemistries (**d, e, f**) of: (**a, d**)  
301 mechanically isolated cellulose nanofibrils (CNF); (**b, e**) carboxymethylated cellulose nanofibrils (CCNF) and (**c, f**)  
302 cellulose nanocrystals (CNC). The color gradient bars shown in the AFM images represent the height scale, also  
303 referred to as the thickness.

304  
305 Fig. 3a, b and c show SEM images of cross-sections for the canvas samples coated with 3 layers  
306 of CNF, CCNF and CNC, respectively. From the upper SEM images, the nanocellulose coatings are  
307 barely seen. Instead, the canvas structure, consisting of microscopic fibers, is clearly visible. It is  
308 seen that none of the nanocelluloses penetrated much into the canvas bulk, instead, forming a film  
309 on the canvas surface. It is interesting that this was the case also for CNC, which, as discussed  
310 above, consists of short nanoparticles that unlike CNF do not form highly entangled flocs  
311 (Nechporchuk, Pignon, & Belgacem, 2015). One may anticipate large flocs present in CNF to be  
312 trapped by the canvas fibers and, therefore, not penetrate much into the porous material. However,  
313 it is obvious that a non-flocculated suspensions of charged CCNF and CNC also resist penetration.  
314 Similar film-forming properties have been observed previously when coating textiles with CNF  
315 (Nechporchuk, Yu, Nierstrasz, & Bordes, 2017).

316 We assume that the poor penetration is related to fast water absorption by canvas fibers from the  
317 nanocellulose suspensions, which leads to increased viscosity of the suspensions and arrested flow  
318 into the canvas depth. Application of further coating layers leads to a better-developed continuous

319 film on the canvas surface. Such good film-forming properties on the canvas surface without  
320 noticeable penetration have a good potential to result in reversible consolidation treatment, which  
321 can be further removed from the surface, if necessary.  
322



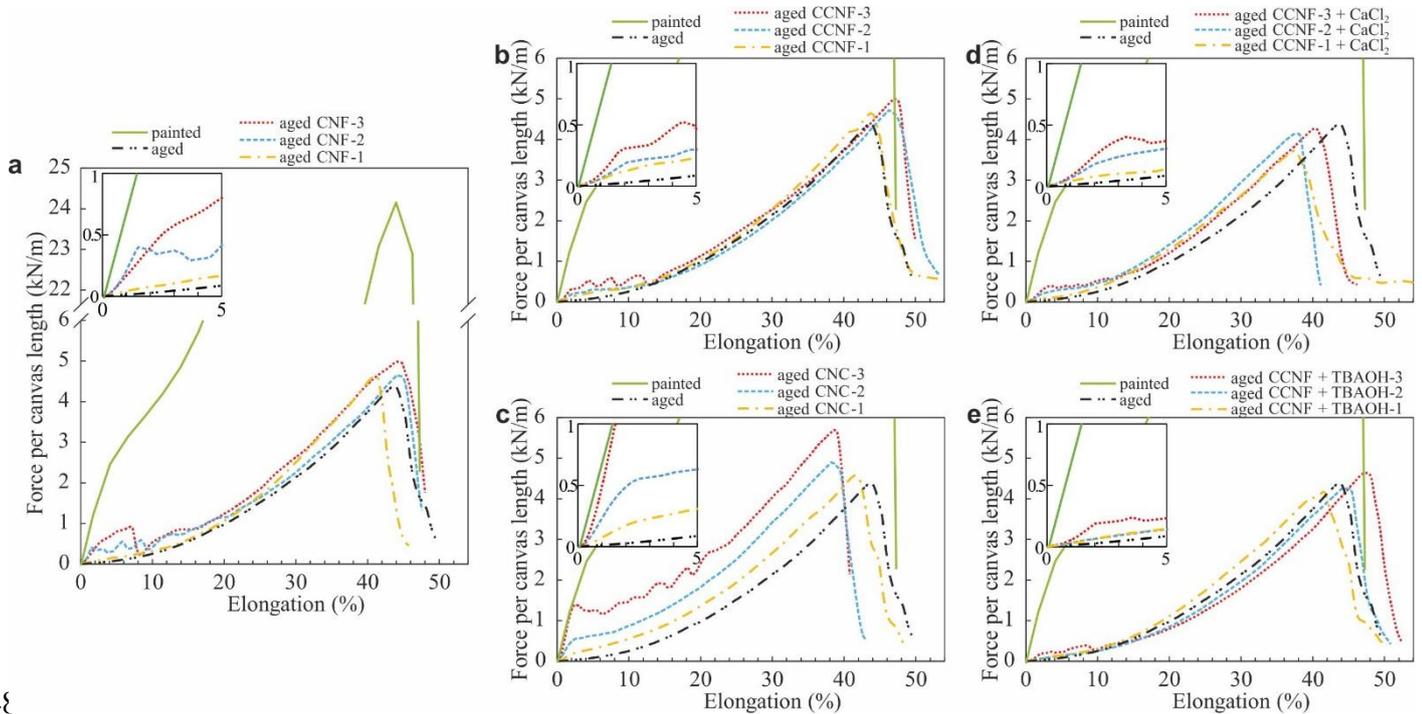
323  
324 **Fig. 3.** Scanning electron microscopy images of aged cotton canvases coated 3 times with: (a) CNF; (b) CCNF and (c)  
325 CNC, with optical microscopy images as insets (left top).

326  
327 It was also observed that CNF and CCNF formed highly porous films with lamellar self-  
328 assembled structure (see Fig. 3a, b). Similar structures have been previously reported for self-  
329 standing CNF films prepared by different methods (Henriksson, Berglund, Isaksson, Lindström, &  
330 Nishino, 2008; Li et al., 2016) and for CNF coatings on fabrics (Nechyporchuk, Yu, Nierstrasz, &  
331 Bordes, 2017). CNC tended to form more dense structures (see Fig. 3c) due to better packing  
332 capacity of rod-like nanoparticles, compared to the flexible nanofibrils. Additionally, the insets (top  
333 left) in Fig. 3a, b and c show that such nanocellulose films do not distinctly change the visual  
334 appearance of the canvases, which is in line with the minimal intervention principle of canvas  
335 restoration (Ackroyd et al., 2002), especially compared to lining with a new canvas.

### 336 337 *3.3 Mechanical properties of the consolidated aged canvases*

338 Fig. 4 shows force-elongation curves for model aged canvases coated with different  
339 nanocellulose-based formulations measured in warp direction. Mechanical properties of the painted  
340 pristine canvas are also given as reference. The canvases with one, two or three coatings with a  
341 given consolidation formulation are shown, as well as the bare degraded canvas. The curve  
342 representing an average of seven measurements for each sample is plotted. The mechanical  
343 properties in low elongation regime are the most important here, as discussed previously, and are  
344 shown in insets. However, we also present the whole curves in order to compare the performance of

345 nanocellulose treatments further with conventional consolidants, since some of them provide more  
 346 distinct features in the whole elongation range.  
 347



348  
 349 **Fig. 4.** Mechanical properties of the aged canvases coated with different number of coatings of: (a) CNF, (b) CCNF, (c)  
 350 CNC, (d) CCNF + CaCl<sub>2</sub> and (e) CCNF + TBAOH. The curves for painted new canvas are also shown.

351  
 352 As can be seen from Fig. 4a, the slope of the tensile curves enhanced drastically in the low  
 353 elongation region (< 5%) by applying CNF, see Fig. 4a, indicating the increase of stiffness. Since  
 354 the coatings did not much influence the canvas thickness, this led to an increase of Young's  
 355 modulus. The larger the number of coatings on the canvas, the larger the increase of the modulus.  
 356 The use of CNF gave an increased force over the entire elongation range and increased the breaking  
 357 force. In the elongation range of 5–10%, some fluctuations of the force were observed, which can  
 358 be attributed to cracking of the nanocellulose coating. In this case, the periodic decrease of the  
 359 measured force occurred due to inertia created after breakage of the coating.

360 The inset in Fig. 4a demonstrates better the low elongation regime of the canvas coated with  
 361 CNF. The CNF consolidation with 3 layers exhibits linear (reversible) deformation up to ca.  
 362 500 N/m at an elongation of up to 3%, which exceeds the maximum sustainable tension of 200–  
 363 300 N/m above which an average painting canvas is torn (Berger & Russell, 1990; Iaccarino  
 364 Idelson, 2009; Roche, 1993). Even though the curve had a lower slope than a painted new canvas,  
 365 the improved stiffness compared with that of the aged canvas was significant. The coating with 2  
 366 CNF layers can be considered as an acceptable level of consolidation as well. Such stiffening effect  
 367 is well in line with previous studies (Völkel et al., 2017; Nechporchuk, Yu, et al., 2017).

368 The use of CCFNF resulted in a smaller increase of the stiffness, as compared to CNF. This  
369 occurred since a lower concentration of nanocellulose was used in the case of CCFNF suspension,  
370 resulting in lower dry weight increase of the coating (see Table 1). A lower concentration was used  
371 because of the higher nanofibril aspect ratio of CCFNF, which led to more viscous gels at equivalent  
372 concentrations (Nechyporchuk, Belgacem, & Pignon, 2016). With CCFNF as coating material, the  
373 canvas exhibited not only a higher breaking force compared to neat canvas, it gave higher  
374 elongation at break as well, which is probably also related to the higher nanofibril aspect ratio.  
375 Three coatings with CCFNF, which in terms of mass gain is close to one coating with CNF, yielded a  
376 higher curve slope than the canvas coated with one layer of CNF, suggesting that a higher level of  
377 reinforcement can be achieved with the same deposited dry weight of coating.

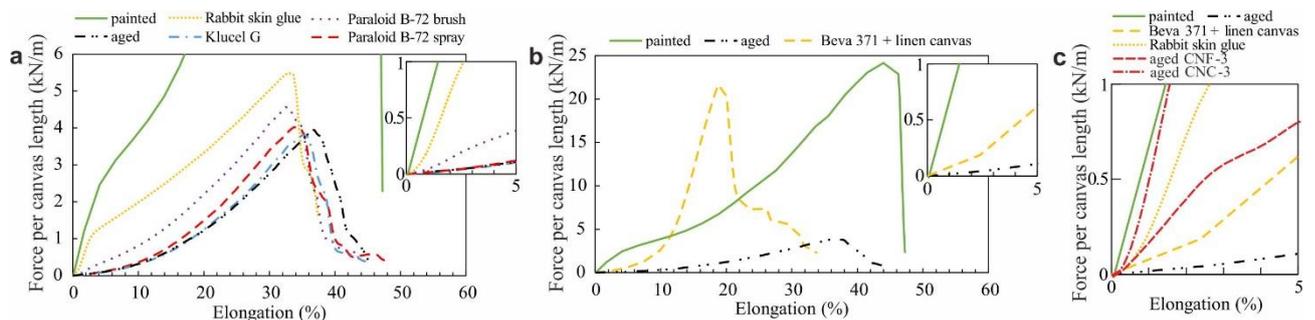
378 CNC coatings provided the lowest level of reinforcement normalized by the deposited weight,  
379 which can be explained by the fact that they possess the lowest aspect ratio. On the other hand, the  
380 possibility of coating with a suspension of higher concentration resulted in better reinforcement  
381 compared to the others when three coating layers were deposited. When using CNC, both Young's  
382 modulus and the breaking force increased, while the elongation at break was reduced. The  
383 mechanical behavior of the coated canvas with 3 layers of CNC in the low elongation regime (up to  
384 3%) matched perfectly the behavior of newly painted canvas, thus suggesting that such level of  
385 reinforcement can well support the paint layer, see inset in Fig. 4c. The coating with 2 layers of  
386 CNC also provided an acceptable level of reinforcement.

387 Attempts to improve the mechanical properties of CCFNF by ionic cross-linking or to reduce its  
388 sensitivity to water by hydrophobization with TBAOH did not give major improvements, as shown  
389 in Fig. 4d and e.

390 The nanocellulose suspensions used are all aqueous, which means that each application  
391 introduces water into the canvas, which is then evaporated. These events should be minimized in  
392 order to prevent dimensional variations of the canvas due to swelling and shrinkage. Therefore, the  
393 canvas consolidation treatment will be a compromise between the highest possible reinforcement,  
394 the lowest mass uptake (which are both best provided by CCFNF) and the lowest water content in the  
395 suspension (best provided by CNC). CNF is in-between CCFNF and CNC in these regards. The  
396 suspensions were manipulated in this work at concentrations that allowed them to be sprayed on the  
397 canvas using an airbrush. This may reduce the amount of water exposed to the canvas due to  
398 enhanced evaporation during spraying. No distinct difference in the extent of nanocellulose  
399 penetration into the canvas was observed when comparing spraying and application using a brush.

400 The newly developed consolidation treatments can be seen as an alternative to the conventional  
401 ones. Therefore, the mechanical properties of the model aged cotton canvases treated with some  
402 traditional restoration materials were studied and compared with the values obtained with the

403 nanocellulose coatings. Fig. 5a shows that Klucel G (hydroxypropyl cellulose), a popular leather  
 404 and paper consolidant, reduced slightly the elongation at break without affecting much Young's  
 405 modulus and the breaking force. Therefore, at that deposited quantity, it did not provide proper  
 406 canvas reinforcement. Similar behavior was observed for sprayed Paraloid B-72 (acrylic resin).  
 407 When the same formulation was applied by brush, a distinct improvement of the mechanical  
 408 properties was observed, however. There was an increase in both Young's modulus and the  
 409 breaking force. Finally, the use of rabbit skin glue resulted in a strong enhancement of both stiffness  
 410 and strength.  
 411



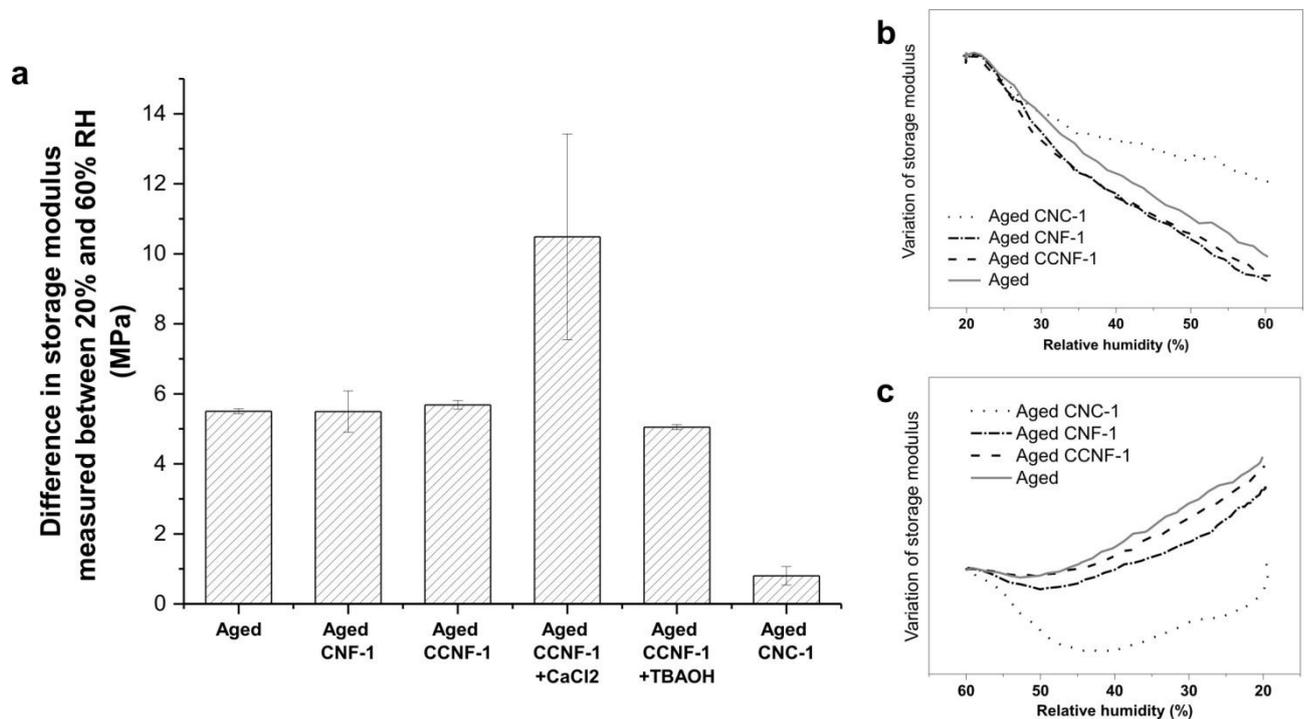
412  
 413 **Fig. 5. Mechanical properties of aged canvases after various consolidation treatments**

414  
 415 **Fig. 5b** shows the mechanical properties of the aged canvas coated with Beva Original Formula®  
 416 371 Film and lined with a linen canvas. The strength of the consolidated canvas almost reached the  
 417 value of the newly painted canvas. However, the stiffness was not increased much in the low  
 418 elongation region; thus, the treatment did not provide a stiff support for the paint. In the range  
 419 usually used to stretch paintings (0 N/m to 300 N/m and 0% to 3% elongation) among all the  
 420 materials shown in Fig. 5 only the animal glue reinforced the canvas in a proper way. On the other  
 421 hand, deposition of animal glue is known to cause strong contraction of the canvas upon drying  
 422 (Ackroyd, 2002). **Fig. 5c provides direct comparison of the best performing traditional consolidants**  
 423 **with nanocellulose coatings (3 layers) in low elongation region. Compared to the conventional**  
 424 **consolidants, CNC showed the highest level of consolidation. Both CNC and CNF provided better**  
 425 **reinforcement than conventional lining with Beva Original Formula® 371 Film and linen canvas.**  
 426

### 427 *3.4 Influence of relative humidity (RH) variations on the mechanical stability of the* 428 *consolidated canvases*

429 In order to confirm the suitability of nanocelluloses as an alternative to traditional consolidants,  
 430 it is important to assess the influence of variations in RH on the mechanical properties of the treated  
 431 models of degraded canvas. DMA-RH has been used previously to evaluate effects of  
 432 environmental conditions and preventive conservation treatment on painting canvases (Foster,

433 Odlyha, & Hackney, 1997). Variations in RH can influence the dimensional stability of the canvas  
 434 and a nanocellulose layer responding too strongly to environmental changes would be detrimental.  
 435 Fig. 6a shows the variation of storage modulus ( $E'$ ) between two relative humidity levels measured  
 436 with DMA-RH on the 2<sup>nd</sup> cycle. The humidification and dehumidification profiles are shown  
 437 separately in Fig. 6b and c, respectively. It can be seen that the response to RH variations for coated  
 438 and uncoated samples was similar: all the samples exhibited higher stiffness at low RH (20%) and  
 439 lower stiffness at high RH (60%). This effect can be explained by a plasticizing action of water  
 440 molecules on the cellulosic chains. An increased water content will lead to reduced intermolecular  
 441 cellulose interactions through hydrogen bonding.  
 442



443  
 444 **Fig. 6.** Variation of the storage modulus of consolidated aged canvases applying different relative humidity levels (a),  
 445 including humidification (b) and dehumidification (c) profiles.

446  
 447 The variation of  $E'$  was similar for the aged canvas and the one coated with CNF and CCNF  
 448 (Fig. 6a). The smallest differences in stiffness at the RH plateaus were observed for CNC despite  
 449 this material having highly hydrophilic sulfate groups (see Fig. 2f) on the surface. This may be  
 450 explained by the higher density of the CNC coatings as compared to the coatings with CNF and  
 451 CCNF, as shown previously in Fig. 3. The use of calcium chloride for ionic cross-linking of the  
 452 CCNF coating resulted in a much enhanced variation of  $E'$ . Most likely, this is due to the excess of  
 453 salt that was introduced. Free salt in the material will make it more responsive towards moisture  
 454 changes. These results demonstrate the difficulties of such a cross-linking approach. Finally, the use

455 of TBAOH did not much influence the stiffness variations, although one may expect that the  
456 TBAOH treatment will induce hydrophobicity to the coating.

457 Analysis of the transition regions of RH (humidification and dehumidification) revealed that  
458 during the moistening (see Fig. 6b) the canvas coated with CNC had the lowest decrease of  $E'$ .  
459 However, during the dehumidification (see Fig. 6c), the CNC-coated canvas exhibited a strong  
460 decrease followed by an increase of the storage modulus, which was not so pronounced or even  
461 absent in all the other samples. From these results, it seems that before reaching a certain steady  
462 state, the canvas might have to experience several RH cycles, which would in practice be achieved  
463 in the early lifetime of the treatment. The reasons behind such behavior are complex, and it could be  
464 that an equilibrium in terms of moisture diffusion through the nanocellulose layer and the canvas  
465 has to be reached.

466

#### 467 **4 Conclusions**

468 Canvas degradation is one of the crucial issues of easel paintings, which leads to their  
469 irreversible damage. In this work, we demonstrate for the first time that different types of natural  
470 cellulose nanomaterials have a potential for use as a mechanical reinforcement of degraded  
471 cellulosic canvases. Such treatments are also in line with the strategy of minimal intervention. The  
472 results show that nanocellulose can provide a substantial reinforcement in the low elongation  
473 region, *i.e.* below 3%, that is where strengthening should be provided. In this region, the stiffening  
474 effect of CNF, CCFN and CNC is much higher than that achieved using traditional wax-resin  
475 formulation (Beva 371). Despite the high porosity of the canvas, nanocellulose, irrespectively of the  
476 aspect ratio of the nanofibers, formed a film after deposition from a diluted suspension. The  
477 structure of the reinforcing film was markedly influenced by the aspect ratio of the nanocelluloses  
478 — short CNC formed a dense homogeneous layer, while longer CNF and CCFN yielded layered  
479 structures.

480 When comparing different types of nanocellulose, CCFN showed better performance per gained  
481 weight. However, it could only be handled at a low solids content, which means that the canvas was  
482 exposed to larger water volumes than with the other nanocelluloses. Attempts to reduce the  
483 sensitivity of CCFN to water by ionic cross-linking and by hydrophobization did not exhibit major  
484 improvements. CNC showed the smallest reinforcement per gained weight but the highest  
485 reinforcement per equivalent number of coatings, due to the possibility to use higher solids content  
486 in the aqueous dispersion. Moreover, CNC gave the lowest mechanical changes upon RH  
487 variations, which can be beneficial for further preservation of canvas upon storage. CNF  
488 compromised the mass uptake and the mechanical reinforcement and did not change the  
489 responsiveness of the treated canvas to humidity variations. Unlike CCFN and CNC, CNF does not

490 carry acidic chemical groups and therefore has a potential to have better long-term stability. On the  
491 other hand, when deacidification of the canvas is performed and a certain alkaline reserve is  
492 present, this acidic character of CCNF and CNC may not induce any problems. Acidity remains  
493 beyond the scope of this work and should be addressed by further research. Additionally, the  
494 dimensional changes of the canvas upon wetting and drying affected by deposition of nanocellulose  
495 suspensions should be studied.

496 Nanocellulose is similar in nature to cotton and is an attractive alternative to the synthetic  
497 polymers used today for canvas consolidation. Some of the other advantages are: no alteration of  
498 canvas color and low depth of impregnation Nanocellulose also has higher degree of crystallinity  
499 compared to canvas fibers, which may be a key towards long-term stability. Another crucial aspect  
500 is the reversibility of the treatment. The good film forming properties of the nanocelluloses on the  
501 surface of the canvas mean that there is limited penetration into the bulk of the canvas, thus  
502 providing potential for removing it if needed at a later stage.

503

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509

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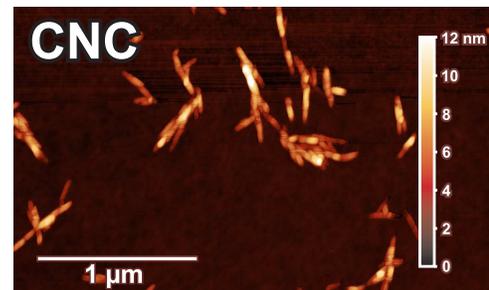
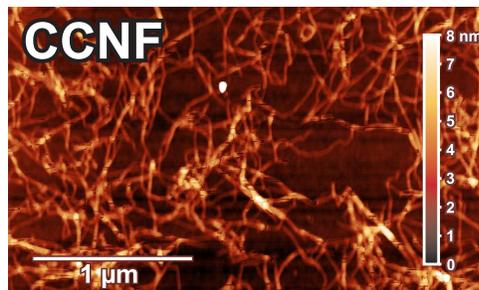
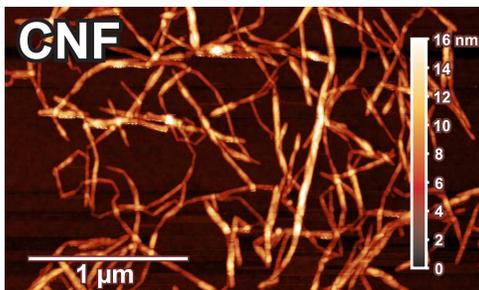
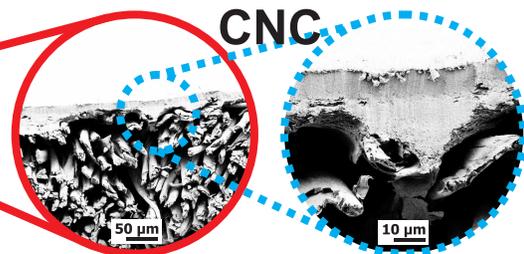
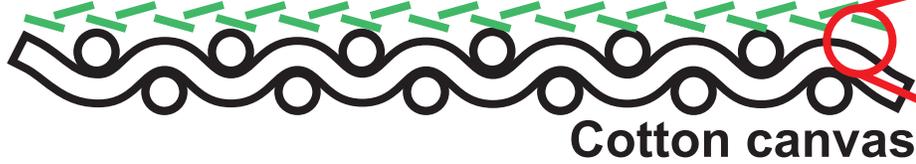
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Coatings of nanocellulose (CNF/CCNF/CNC)



**Figure 1**

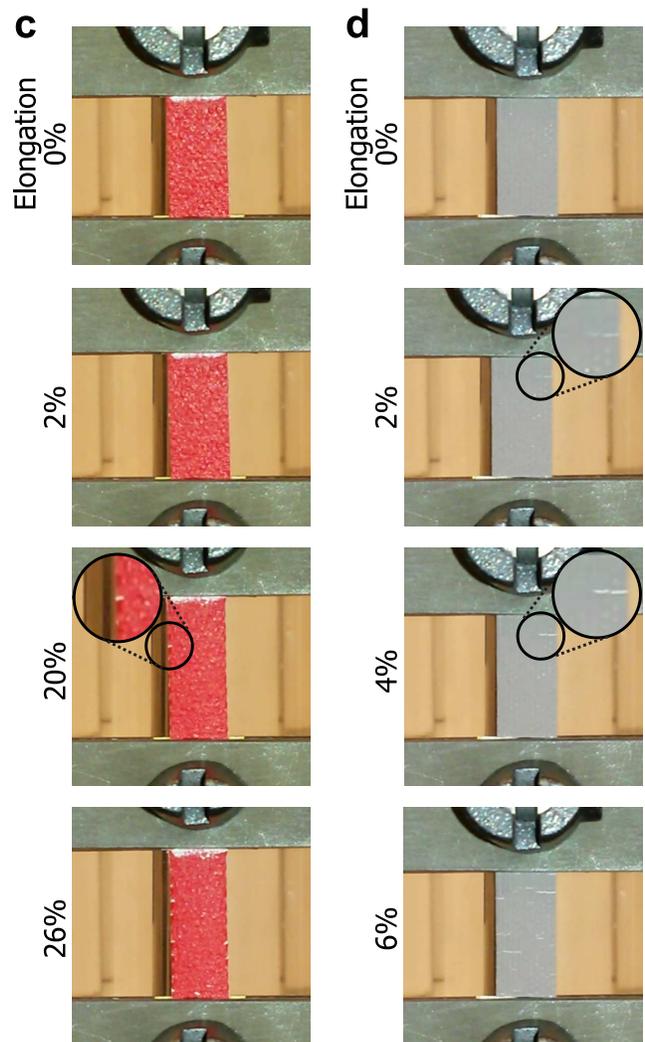
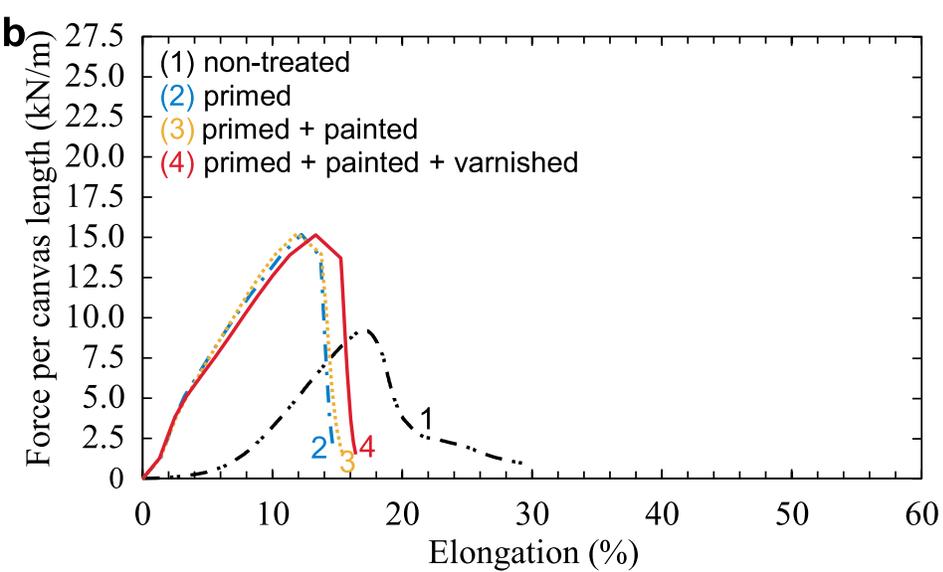
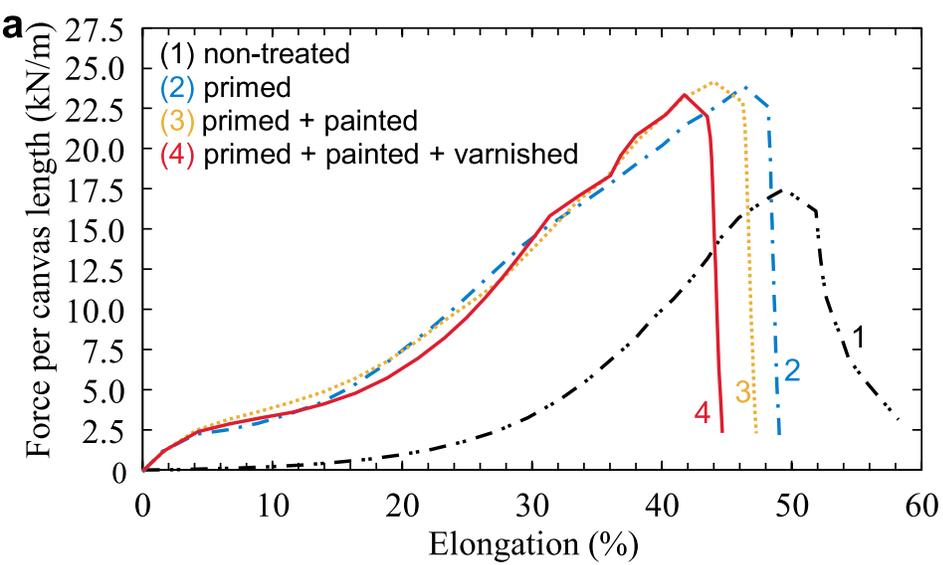


Figure 2

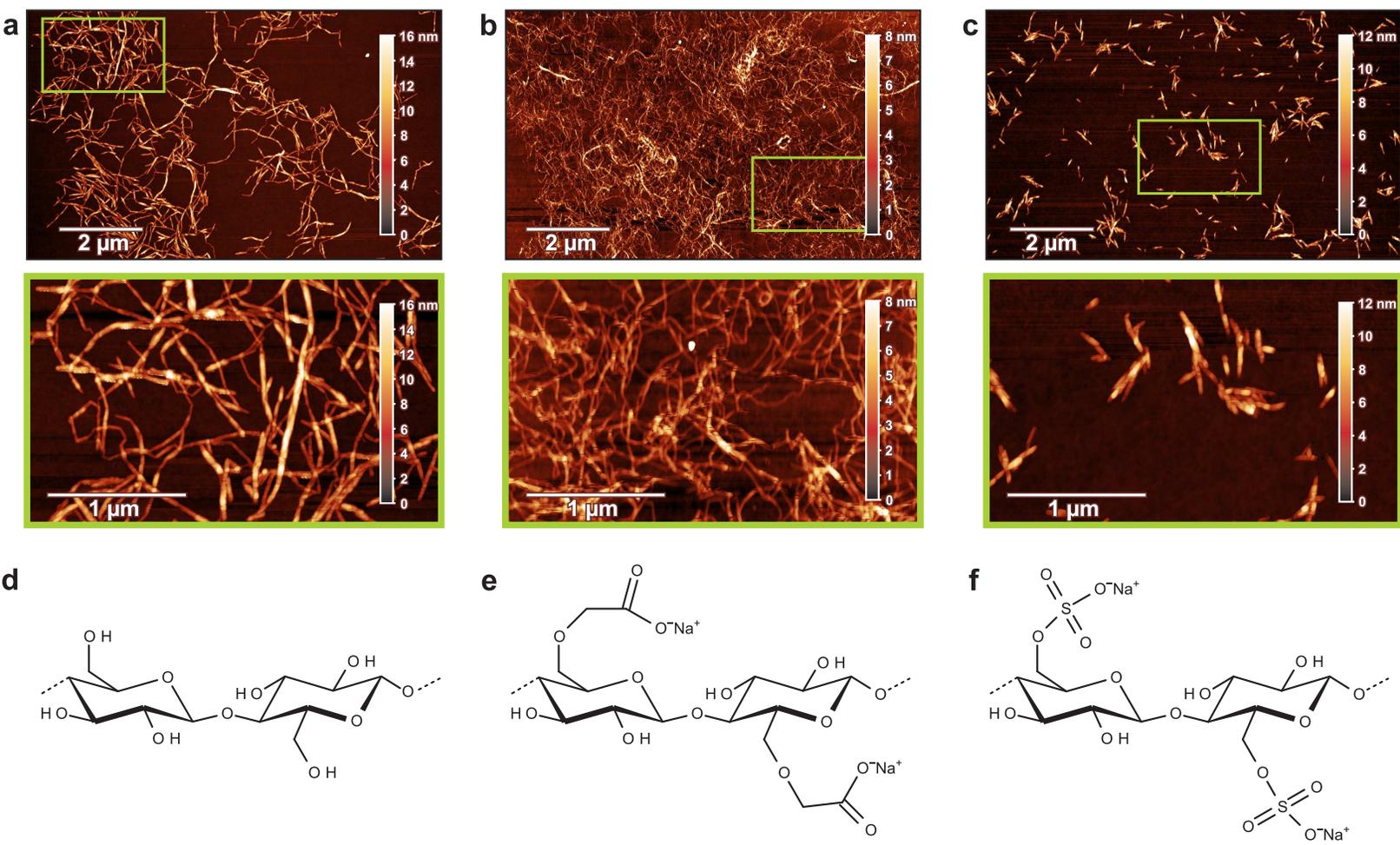
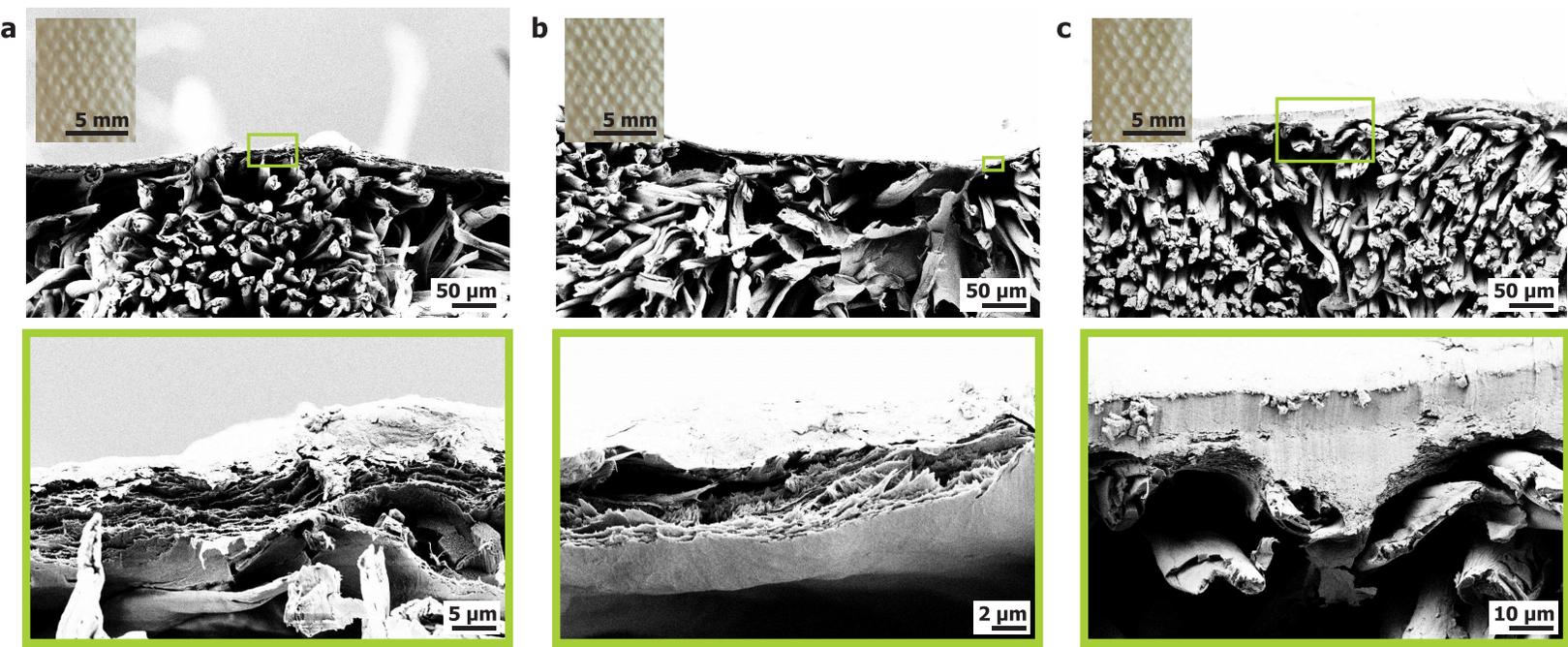


Figure 3



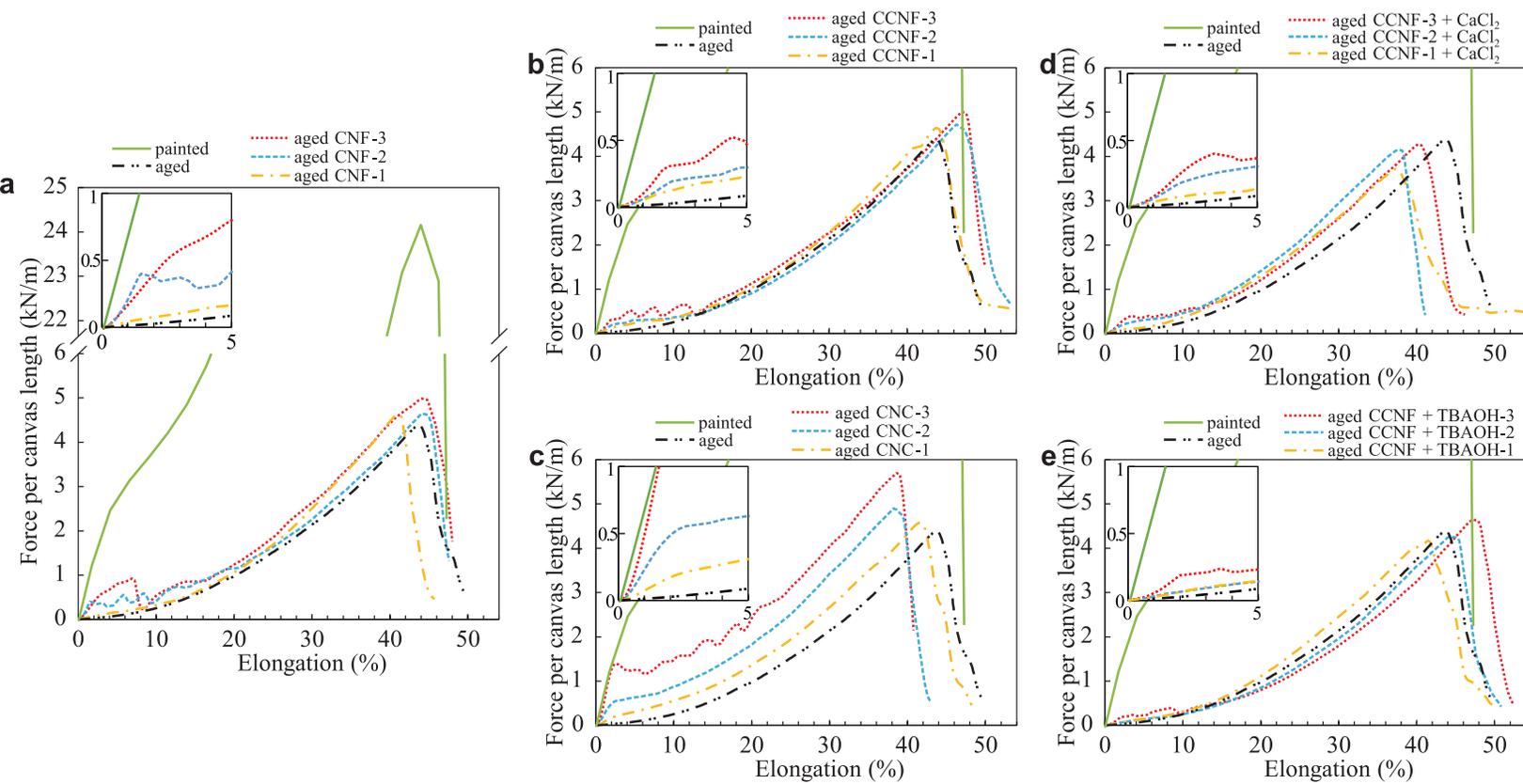
**Figure 4**

Figure 5

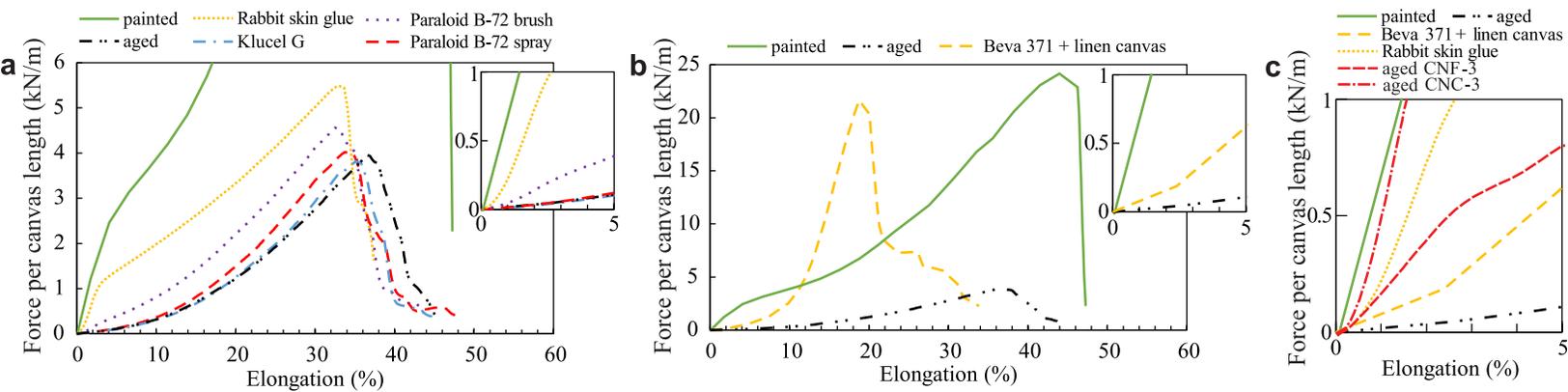
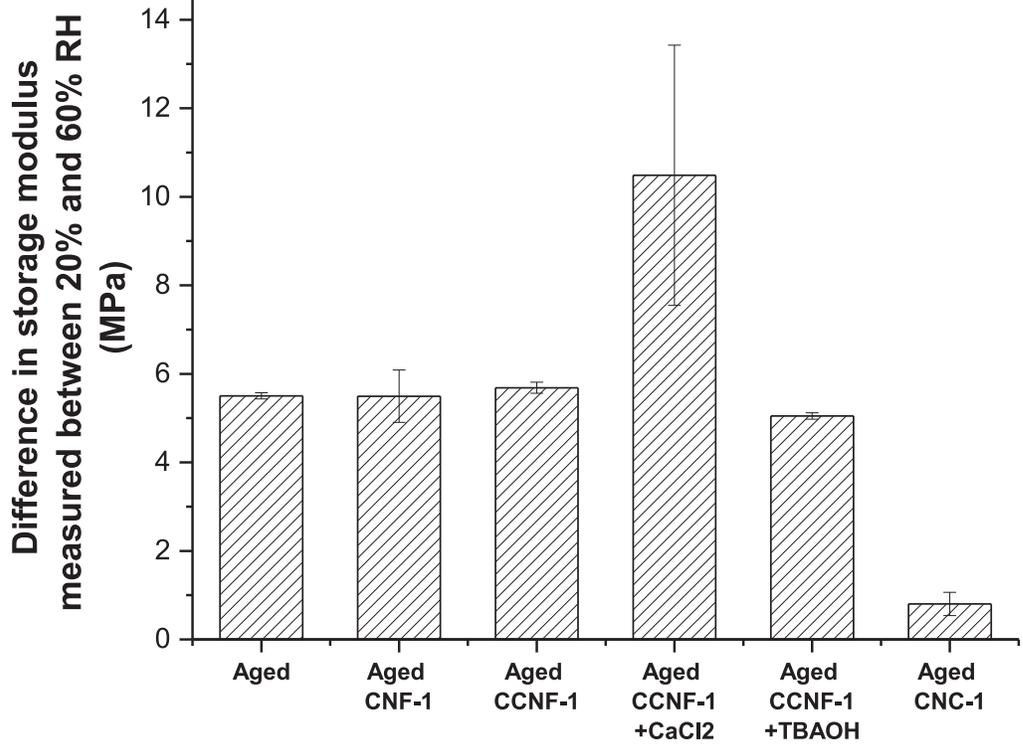
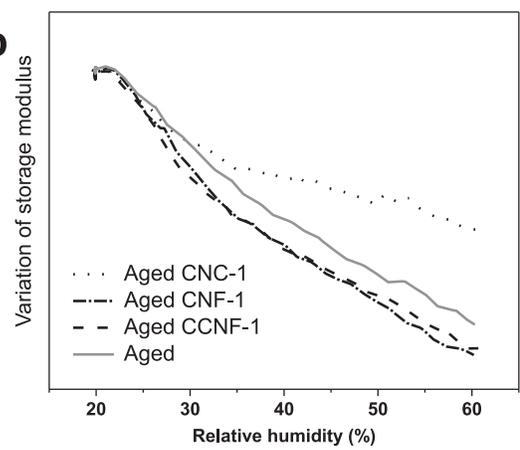


Figure 6

**a**



**b**



**c**

