# 1 Direct whole genome sequencing of sputum accurately identifies drug resistant

2 Mycobacterium tuberculosis faster than MGIT culture sequencing

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- 4 Ronan M. Doyle<sup>1,2</sup>\*, Carrie Burgess<sup>1</sup>, Rachel Williams<sup>1</sup>, Rebecca Gorton<sup>3</sup>, Helen Booth<sup>4,5</sup>,
- 5 James Brown<sup>6,12</sup>, Josephine M. Bryant<sup>7</sup>, Jackie Chan<sup>8</sup>, Dean Creer<sup>6</sup>, Jolyon Holdstock<sup>8</sup>,
- 6 Heinke Kunst<sup>9</sup>, Stefan Lozewicz<sup>10</sup>, Gareth Platt<sup>3</sup>, Erika Yara Romero<sup>1</sup>, Graham Speight<sup>8</sup>,
- 7 Simon Tiberi<sup>9</sup>, Ibrahim Abubakar<sup>11</sup>, Marc Lipman<sup>6,12</sup>, Timothy D. McHugh<sup>3</sup>, Judith Breuer<sup>1</sup>

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9 1. Division of Infection and Immunity, University College London, London, UK 2. Microbiology, Virology and Infection Control, Great Ormond Street Hospital NHS 10 Foundation Trust, London, UK 11 3. Centre for Clinical Microbiology, Division of Infection and Immunity, Royal Free 12 Campus, UCL, London, UK 13 4. University College London Hospitals NHS Foundation Trust, London, UK 14 5. North Central London TB Service-South Hub, Whittington Hospital NHS Trust, 15 London, UK 16 6. Royal Free London NHS Foundation Trust, London UK 17 7. Molecular Immunity Unit, MRC Laboratory of Molecular Biology, Department of 18 Medicine, University of Cambridge, Cambridge, UK 19 8. Oxford Gene Technology, Oxford Begbroke Science Park, Begbroke, Oxfordshire, 20 UK 21 9. Blizard Institute, Barts and The London School of Medicine and Dentistry, Queen 22 Mary University of London, UK 23 10. North Middlesex University Hospital NHS Trust, London, UK 24

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Abstract

32	The current methods available to diagnose antimicrobial resistant Mycobacterium
33	tuberculosis infections require positive culture or only test a limited number of resistance-
34	associated mutations. Rapid, accurate identification of antimicrobial resistance enables
35	prompt initiation of effective treatment. Here, we determine the utility of whole-genome
36	sequencing (WGS) M. tuberculosis directly from routinely obtained diagnostic sputum
37	samples to provide a comprehensive resistance profile compared to Mycobacterial Growth
38	Indicator Tube (MGIT) WGS. We sequenced <i>M. tuberculosis</i> from 43 sputum samples by
39	targeted DNA enrichment using the Agilent SureSelectXT kit, and 43 MGIT positive samples
40	from each participant. Thirty two (74%) sputum samples and 43 (100%) MGIT samples
41	generated whole genomes. Time to antimicrobial resistance profile and concordance was
42	compared with Xpert MTB/RIF and phenotypic resistance testing from culture of the same
43	samples. Antibiotic susceptibility could be predicted from WGS of sputum within 5 days of
44	sample receipt and up to 24 days earlier than WGS from MGIT culture and up to 31 days
45	earlier than phenotypic testing. Direct sputum results could be reduced to 3 days with faster
46	hybridisation and if only regions encoding drug resistance are sequenced. We show that
47	direct sputum sequencing has the potential to provide comprehensive resistance detection

11. UCL Institute for Global Health, University College London, London, UK

Running title: WGS of drug resistant TB directly from sputum

\*Corresponding Author: Ronan M. Doyle, ronan.doyle@gosh.nhs.uk

12. UCL Respiratory, Division of Medicine, University College London, London, UK

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significantly faster than MGIT whole genome sequencing or phenotypic testing of resistance
from culture in a clinical setting. This improved turnaround time enables prompt, appropriate
treatment with associated patient and health service benefit. Improvements in sample
preparation are necessary to ensure comparable sensitivity and complete resistance profile
predictions in all cases.

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54 Keywords: *Mycobacterium tuberculosis*; Whole-genome sequencing; Pathogen DNA
55 enrichment; Antimicrobial resistance.

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### 57 Introduction

Tuberculosis (TB) infection is a global emergency associated with an increasing burden of 58 drug resistant Mycobacterium tuberculosis complex infections (1). Phenotypic testing for 59 60 antimicrobial resistance detection is slow with results typically a month to six weeks after initial culture confirmation - leading to the potential for prolonged, suboptimal antibiotic 61 treatment. Molecular assays such as the Xpert MTB/RIF (Cepheid), MTBDRplus and 62 63 MTBDRsl (Hain Lifescience) can rapidly detect a limited number of first and second line drug resistance mutations (2). However, none are currently able to identify the full range of 64 65 antibiotic resistance mutations needed for appropriately targeted therapy in people with multi-drug resistant (MDR) TB. Further, these assays recognise only a fixed number of target 66 67 mutations, missing less common resistance mutations; (3) whilst Xpert MTB/RIF can only 68 detect DNA mutations and not predict amino acid changes, resulting in potential false positives (4). 69

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70	Whole-genome sequencing (WGS) of <i>M. tuberculosis</i> allows comprehensive identification of
71	all known drug resistant mutations for all classes of TB drugs and also can provide valuable
72	contact tracing information (5). Recently the sequencing of organisms cultured in
73	Mycobacterial Growth Indicator Tubes (MGIT) has been shown to be both an accurate
74	method for detecting first and second line resistance mutations across the genome and
75	cheaper than present routine diagnostic workflows (6). Although it is being rolled out in
76	England, (7) it relies on bacterial culture which can delay the time to result by several weeks.
77	We have previously described a successful method for capturing <i>M. tuberculosis</i> DNA
78	directly from sputum samples using biotinylated RNA baits (8). This protocol provides a
79	possible faster alternative to sequencing <i>M. tuberculosis</i> whole genomes and could therefore
80	offer quicker diagnosis of antibiotic resistance, leading to tailored treatment regimens with
81	less use of antimicrobials and associated toxicity, fewer days in hospital, reduced cost and
82	improved outcomes.
83	Mixed strain infections of <i>M. tuberculosis</i> are well-documented (9) and may lead to poor
84	treatment outcomes and the possible emergence of minority drug resistant strains (10-12).
85	Culture of <i>M. tuberculosis</i> is known to impact negatively on detection of mixtures and
86	minority variant mutations (13), with short term MGIT culture being particularly poor at
87	identifying mixed infections (14).
88	The aims of this study were: (1) to compare the utility of performing WGS directly from
89	routinely-obtained diagnostic sputum with MGIT samples taken from the same participant
90	(time to diagnosis plus their ability to predict antimicrobial resistance, AMR); (2) identify
91	mixed infections and minority populations within samples.

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### 93 Materials and methods

### 94 Study recruitment

Individuals aged 16 years or older attending a TB service with suspected pulmonary TB at
seven clinics in London, UK were invited to take part in this study.

### 97 **DNA extraction**

DNA was extracted from 1ml clinical samples and MGIT cultures using mechanical ribolysis 98 99 and automated DNA extraction workflow. Samples were centrifuged for 30 minutes at 16,200 x g and the supernatant discarded. For MGIT cultures only, a saline prewash method was 100 101 utilised to reduce the human nucleic acid component of the sample (15). 1ml of sterile saline 102 was added to the pellet (0.9% w/v), the pellet was re-suspended and centrifuged for 15 minutes at max speed (16,200 x g). The supernatant was discarded and the process was 103 104 repeated. For sputum and MGIT cultures approximately 50ul of glass beads (425-600µm) 105 were added to each sample pellet and ribolysis was performed on a FastPrep24 platform for 45 seconds at 6.4 m/s. 240ul of extraction buffer 2 and 10ul of proteinase K was added to 106 107 each sample, vortexed then incubated at 56°C for 10 minutes. DNA was extracted from samples lysates on the Diasorin IXT (Arrow) automated platform using DNA extraction 108 cartridges eluting into 100ul. 109

### 110 Quantification of extracted Mycobacterium tuberculosis DNA

111 The Xpert MTB/RIF (Cepheid) assay was performed on sputum samples as per

manufacturer's instructions; reporting the *M. tuberculosis* (MTB) quantity as either very low, low, medium or high alongside  $C_T$  values. The Xpert MTB/RIF assay also reported rifampicin resistance as 'detected' or 'not detected'. Drug susceptibility testing was based on phenotypic culture for first-line drugs on solid media using the resistance ratio method and was carried

out by the National Mycobacterium Reference Service using their standard protocols. A 116 second MTB specific qPCR targeting the 16S rRNA gene (rrs) was utilised to quantify the 117 MTB DNA extracted from sputum samples and MGIT cultures. For MGIT culture extracts a 118 1/1000 dilution was prepared prior to qPCR analysis. qPCR was performed using forward 119 primer 5'-GTGATCTGCCCTGCACCTC-3' and reverse 5'-120 121 ATCCCACACCGCTAAAGCG-3' with a TaqMan probe ROX-AGGACCACGGGATGCATGTCTTGT-BHQ2 (16). The MTB specific qPCR reaction 122 123 consisted of 12.5µl of Quantitect Muliplex NoROX mix (Qiagen), 0.2µM primers and probes and 5µl template per reaction in a total volume of 25µl. Reactions were performed in 124 duplicate on a Rotorgene 8000 platform. PCR cycling conditions were as follows:  $50^{\circ}$ C for 125 30 mins, 95°C for 15 mins and 40 cycles of 94°C for 45 secs and 60°C for 45 secs. Standards 126 were prepared from commercially sourced MTB genomic DNA (Vircell), reconstituted as 127 directed by the manufacturers. 128 Sequencing library preparation and whole genome sequencing 129 Total DNA was quantified in sputum and MGIT extracts using the Qubit High Sensitivty 130 131 DNA assay (Life Technologies). Carrier human genomic DNA (Promega) was added where 132 needed to obtain a total of 200 ng of DNA input for library preparation. All DNA samples 133 were sheared using a Covaris S2 ultrasonicator for 150 seconds (PIP 175; duty factor 5; 200 cycles per burst using frequency sweeping). Sputum samples were prepared using the 134

135 SureSelectXT target enrichment system for the Illumina paired-end sequencing library

136 protocol (Agilent Technologies). End repair, 3' addition of adenosine and ligation of adapters

- 137 were all carried out according to Agilent's protocol. Prior to hybridisation, 12 cycles of
- 138 precapture PCR were used using primers provided in the SureSeclectXT kit. Hybridisation of
- 139 MTB DNA to the streptavidin-coated beads was carried out using a MTB specific bait set
- 140 described previously (8). Briefly, 120-mer RNA baits were designed to provide non-

redundant coverage of the entire length of the positive strand of the H37Rv reference 141 genome, they were synthesized by Agilent Biotechnologies. The baits can be purchased from 142 Agilent and the bait sequences are available upon request from authors. 18 cycles of 143 postcapture PCR were performed with indexing primers provided in the SureSelectXT kit. 144 All Agilent recommended quality control steps were carried out. In order to compare the 145 146 effect of target enrichment on MGIT sequencing, the first 14 MGIT samples were underwent library preparation using SureSelectXT and all subsequent MGIT samples had DNA libraries 147 148 prepared using the NEBNext Ultra II DNA Library Prep Kit (NEB) as per the manufacturer's 149 protocol. The resulting DNA libraries were run on either a MiSeq or NextSeq sequencer (Illumina) using either a V2 500-cycle or 500/550 Mid Output 300-cycle kit, respectively. 150

# 151 Optimised sequencing method

Three samples were prepared using SureSelectXT Fast Target Enrichment System (Agilent Technologies) as per manufacturer's protocol. A reduced bait set was designed to capture only genes associated with drug resistance and information for spoligotyping was used in hybridisation step. The reduced set of 120-mer RNA baits were synthesised by Agilent Technologies in the same way as the full set except that it only included baits that were complementary to the genes and regions in Table 1 of the H37Rv reference genome.

# 158 Bioinformatic analysis

Sequencing reads were trimmed for adapter content and quality using Trim Galore, keeping
reads longer than 100bp. Trimmed reads were deduplicated and mapped to the H37Rv

161 (accession: NC\_000962) reference genome using BBmap allowing only successfully mapped
162 paired reads at the 99% equivalent minimum identity across the entire read and a maximum

- insert size of 500bp. Duplicate mapped reads were removed using Picard tools and variants
- against the reference genome were called with freebayes keeping only variants with a

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165	minimum of 10 supporting reads, greater than 2% frequency, mapping quality greater than 20
166	and base quality score greater than 30, with reads present on both the forward and reverse
167	strand, and on both the 5' or 3' end of reads. Variants found in and within 100bp of Pro-Glu
168	(PE) and Pro-Pro-Glu (PPE) genes, mobile elements and repeat regions were discarded. For
169	resistance calling single nucleotide variants (SNVs) were annotated using ANNOVAR (17).
170	A maximum likelihood phylogeny was also inferred from 1113 core genome SNVs present in
171	64 samples representing 32 participants using RAxML (v. 8.2.1) (18) with 99 bootstrap
172	replicates. SNV distance between pairs was calculated using R package seqinr. The same
173	filtering conditions were also applied to variants for minor variant analysis. The number of
174	reads across each variant position was normalised between pairs of samples from the same
175	patient to adjust for the effect of read depth on variant frequency. Minor variants were filtered
176	from the dataset if found on reads with greater sequence identity to a different MTB complex
177	species. To further control possible contamination of paired samples with low frequency
178	variants, MGIT and sputum samples from each pair were extracted on separate days,
179	prepared in separate sequencing libraries and sequenced on different runs.

### 180 Ethics approval and consent to participate

Samples were collected with informed consent from patients attending a TB clinic setting at 181 the participating hospitals. Approval for the study was granted by the NRES Committee East 182 Midlands - Nottingham 1 (REC reference: 15/EM/0091). All samples were pseudo-183

184 anonymised and allocated a unique identification number.

### 185 Data availability

186 All sequence data associated with this study has been deposited in the European Nucleotide 187 Archive under study accession number PRJEB21685.

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### 189 **Results**

### 190 Genomic coverage

Sixty three participants were prospectively enrolled. A paired sputum and MGIT sample was 191 192 sequenced from 43 patients. This is due to ten participants not having a MGIT sample 193 collected for sequencing, another eight samples being smear and Xpert MTB/RIF negative, 194 and two where the volume of sputum was insufficient for DNA extraction. Samples 195 sequenced from MGIT culture had a higher reference genome coverage as compared with 196 those obtained directly from sputum (Fig. 1) and this was correlated to the increased M. 197 tuberculosis DNA available from the former (Fig S1). Enrichment of MGIT culture samples 198 using the *M. tuberculosis* probes also enhanced the quality and depth of sequence (Fig S1). 199 We next evaluated whether bacterial load, as measured by smear and Xpert MTB/RIF, could 200 be used to predict the success of whole genome sequencing. From 43 patients, 32 sputum 201 samples (74.4%) and 43 MGIT samples (100%) generated whole genomes (>85% coverage 202 against reference genome) (Fig. 2A). Sputum sequencing success was linked to estimated 203 input pathogen copy number. We stratified participants into 16 with high (3+ smear result, 204 Xpert MTB/RIF High), 18 with medium (2+ smear result, Xpert MTB/RIF Medium) and 9 205 low bacterial load (scanty or 1+ smear result, Xpert MTB/RIF Low) and found 87.5% of 206 sputum samples with high bacterial load samples generated complete genomes as compared 207 to 72.2% with medium and 55.5% with low bacterial load (Fig. 2B & 2C). We were also able 208 to recover partial genomes for two sputum samples that were reported as negative by smear 209 microscopy but Xpert MTB/RIF positive.

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MGIT and sputum sequence variation

211 Comparison of the 32 patients with both complete sputum and MGIT genomes available, 212 showed no unique consensus sequence variation between the pairs. The identity between sputum and MGIT consensus sequences is shown in a heat map (Fig. 3) and phylogenetic tree 213 (Fig. S2). Twenty three MGIT and sputum pairs showed no SNV between them at the 214 215 consensus level, while nine patients' sample pairs differed by one or two single nucleotides. 216 In all nine patients the consensus polymorphism was present as a minority variant in the matched sputum or MGIT sample (Table S1). 217

### 218 Time to antibiotic resistance prediction

219 Allowing for sample batching, which was only carried out for study purposes, direct 220 sequencing of sputum using targeted enrichment reduced the time to antibiotic susceptibility 221 prediction initially to five days, as compared with a mean of 11 (s.d. 6) days for MGIT 222 sequencing (Fig. 4). This was reduced further by protocol optimisation to three days when a 223 reduced bait set was used that captures only the regions with putative resistance mutations 224 (Table 1 & Fig. 4). Hybridisation optimisation could also reduce the whole genome protocol 225 to 4 days (Fig. 4). The reduced bait set targeted 35,960bp of the H37Rv reference genome in 226 total and successfully re-sequenced three MDR-TB samples (noted in Fig 4.) from this study to a high average depth of coverage (>2,000X) over the captured regions. All eight genotypic 227 228 resistant variants identified in the whole genome sequencing data were also identified after 229 re-sequencing with the reduced bait set (Fig. S3). Overall, 36 sputum samples with complete 230 genomes, including 77% of those with drug resistance mutations, would have been reported a 231 mean of 9 days earlier than MGIT sequencing and a mean of 35 days earlier than phenotypic 232 testing using the optimised three day protocol.

234	We found complete concordance between resistance mutations identified in paired MGIT and
235	sputum samples from nine participants when there was >85% single read coverage against
236	reference genome. Four participants missed resistance mutations where sputum sequencing
237	read coverage was too low to make a reliable call (Table 2). Xpert MTB/RIF, sputum WGS,
238	MGIT WGS were concordant with phenotypic resistance testing in 21 out of 23 resistance
239	mutations identified (Table 2). The exceptions were where a variant in participant RF015GT
240	predicting an amino acid change Ser428Iso in rpoB (H37Rv codon numbering. Escherichia
241	coli rpoB numbering S509I) reported as resistant by Xpert MTB/RIF, but the reference
242	laboratory found it to be susceptible (Table 2). Previous publications have shown not all
243	SNVs at this position are associated with resistance (19–21). A fixed mutation in $f$ predicting
244	an amino acid change Ser315Thr was confirmed to confer high levels of resistance to
245	isoniazid in five samples, but patient BH052SA with the same mutation was found to be
246	susceptible. This common polymorphism in $katG$ has been previously been shown to confer
247	consistently high levels of isoniazid resistance to <i>M. tuberculosis</i> (22–24). Whole genome
248	sequencing from both MGIT and sputum samples also identified streptomycin (three patients)
249	and Para-aminosalicylic acid (PAS, four patients) resistance mutations, neither of which is
250	routinely tested within the phenotypic assay in the UK.

### 251 Mixed infections and minority variants

No mixed infections were detected. Using data normalised for read depth, from 32 matched
sputa-MGIT samples, minor frequency variation was low with only 88 minority bi-allelic
sites meeting the quality criteria identified in all samples, representing 0.002% unique
variable positions across the genomes. We undertook stringent procedures to exclude
sequencing error, the presence of closely related *M. tuberculosis* complex species in sputum,
contamination in and between sequencing runs, and lab contamination after sample collection
as potential causes for the findings. None of the variant alleles were at positions known to be

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259	associated with antimicrobial resistance. In 41% (13) of cases directly sequenced sputa had
260	higher numbers of novel minority variants identified than the matched MGIT as compared to
261	19% (6) of MGIT samples with more minority variants in the sputum (Fig. S4).
262	To control for the potential influence of the SureSelectXT step, we analysed the proportion of
263	minority variants shared between sputum and eight SureSelectXT enriched MGIT samples
264	(40%) as compared between sputum and 16 non-enriched samples (38%) and found no
265	statistically significant difference (p=0.854). Overall, 37.2% of minority variants were
266	concordant between sputum and MGIT and the read frequencies with which they occurred
267	were weakly correlated (Fig. S5). This correlation was skewed by one patient (WH044IL)
268	whose MGIT and sputum samples were both more variable than other samples (Fig. S4) and
269	in whom seven variants were at much higher frequency in the MGIT than in sputum (at 30-
270	45% versus ~5% respectively, Fig, S5 & Fig. S6). However, there was no evidence of mixed
271	genotypes. The five synonymous minor variants and two non-synonymous variants occurring
272	in two genes of unknown function (Rv3529c and Rv3888c), were distributed across the
273	genome, and were not shared across any other pairs of samples.

274

# 275 Discussion

We have shown that using target enrichment WGS methodology directly from diagnostic
sputum samples generates resistance data, at most, up to 24 days earlier than MGIT culture
WGS and up to 31 days faster than phenotypic testing of *Mycobacterium tuberculosis*.
Sputum sequencing only achieved whole genome sequences suitable for predicting resistance
mutations in 32/43 (74%), though this included a smear negative sputum sample. Our
demonstration that the quality of sequence data is strongly correlated with the input level of
TB DNA (Fig, S1), means that the success of sequencing can be predicted using semi-

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283 quantitative methods such as smear microscopy and Xpert MTB/RIF (Fig. 2),

284 notwithstanding their variable performance (25).

285 Our data compare well with a recent report describing WGS of sputum where contaminating human DNA had been depleted (26). While this method achieved a slightly faster turnaround 286 287 time on diagnostic samples (2 versus 5 days), it may be less susceptible than targeted 288 enrichment, as only 60% (24/40) of smear positive samples yielded sequence data suitable for resistance prediction. The study did not report bacterial load so a thorough comparison of 289 290 sensitivity cannot be performed. The methods are, however, highly complementary and 291 combining the two would likely improve genome copy input and increase direct WGS sensitivity. Our experience of enrichment methods (27, 28) also predicts that redesign of the 292 293 first generation probe set would further improve detection of resistance mutations. 294 Direct sequencing of sputum is currently slower than rapid methods such as the Xpert 295 MTB/RIF and the Hain MTBDRplus and MTBDRsl assays for detecting resistance. However 296 there are major advantages using WGS. First, unlike existing rapid methods, it can accurately 297 identify the precise nucleotide change causing resistance. In our study Xpert MTB/RIF 298 reported resistance in a susceptible organism where there was a nucleotide change at position 299 428 in the *rpoB* gene not associated with resistance (19–21). Where discordant resistance 300 results were found between molecular methods and the routine phenotypic testing, we could 301 not repeat the phenotypic testing as this was carried out historically by a centralised reference 302 laboratory. Therefore these discrepancies cannot be confirmed and this represents a limitation 303 of this type of study. Second, WGS, unlike rapid methods which target specific mutations, is

new drugs where current rapid tests would require costly redesign. This has already helped us

able to detect resistance mutations for a wider range of second and third line drugs, and also

306 personalise treatment in a case of drug resistant *M. tuberculosis* (29). Third, the data from

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307	direct WGS of sputum can report evolutionary relationships between samples (Fig. S2) -
308	providing the most detailed information on transmission dynamics available.
309	An important objective of our study was to evaluate the potential for direct sequencing from
310	sputum to detect mixed <i>M. tuberculosis</i> infections which are sub-optimally identified by
311	MGIT and solid culture (13, 14). Mixed infections are important in the pathology of TB and
312	the ability to detect resistance variants that are not at consensus level, although not
313	necessarily common (30), can affect antibiotic stewardship (31). Mixed infections and MDR-
314	TB are more prevalent in countries with a much higher burden of TB than the UK and a
315	greater prevalence of drug resistance, whilst high levels of HIV amplify the problem (32, 33).
316	We were able to detect significantly more minority single nucleotide variants (SNVs) in
317	sputum compared to the matched MGIT sequence (Fig. S5), despite the mostly clonal
318	populations in this study and the greater read depths achieved from MGIT sequencing. The
319	origin of this heterogeneity remains unconfirmed, although we rigorously excluded
320	contamination and methodological error. SNVs could be due to the presence in sputum of
321	non-tuberculous mycobacteria and other species which are known to have sequence
322	homology with <i>M. tuberculosis</i> and may theoretically be detected by targeted enrichment.
323	However, our use of highly stringent sequence mapping and the fact that the SNVs were
324	detected across the genome and not concentrated in regions generally associated with cross
325	hybridisation, suggests that they are real. In case WH044IL, seven SNVs present in sputum
326	increased in frequency in MGIT culture, possibly reflecting a selective growth advantage for
327	this haplotype, particularly as one non-synonymous SNV occurred in the Rv3888c gene
328	which has been shown to be essential for mycobacterial in vitro growth (34). This result
329	confirms suggestions that diversity is lost and that culture-related selection of some variants
330	can occur even during limited MGIT culture. Thus MGIT culture may not be representative
331	of the original sample, and could potentially reduce the likelihood of identifying low level

resistance mutations and mixed infections that may act as a reservoir for resistancedevelopment.

334 The standard diagnostic workflows for *M. tuberculosis* are costly and time-consuming. Ground breaking work within Public Health England has demonstrated that sequencing M. 335 336 tuberculosis whole genomes from positive MGIT cultures is faster and cheaper (6) and where 337 sputum pathogen DNA concentration is low, early MGIT sequencing could still be the best possible workaround (15). Direct sequencing of *M. tuberculosis* from sputum has the 338 339 potential to reduce the time to antimicrobial resistance detection within a clinically relevant 340 timeframe (26). We show here that its success is critically dependent on the input genome copies of pathogen DNA. While enrichment increases the cost of pathogen sequencing, this 341 342 could be offset, as demonstrated in our study, by only enriching areas of interest on the genome. It is important to note that the infrastructure and expertise for rapid, high 343 throughput, targeted enrichment sequencing directly from clinical material of M. tuberculosis 344 345 and other pathogens already exists in genomic centres where cancer and genetic disease sequencing uses this methodology. We believe, therefore, that effective scale-up and 346 implementation of this rapid and accurate technology is relatively easy, once the technique 347 348 has been optimised.

349

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487	Tab	les

# 488 Table 1. Target genes/regions and reason for inclusion in the reduced *M. tuberculosis*

# 489 bait set.

Gene target	Region property
gyrB/gyrA	Fluoroquinolone resistance
rpoB/rpoC	Rifampicin resistance
rpsL	Streptomycin resistance
Rrs	Streptomycin, amikacin and kanamycin resistance
gidB	Streptomycin resistance
mabA/fabG1 + promoter + inhA	Isoniazid and ethionamide resistance
katG	Isoniazid resistance
kasA	Isoniazid resistance
aphC-oxyR	Isoniazid resistance
tlyA	Capreomycin resistance
Promoter + pncA	Pyrazinamide resistance
eis + promoter	Kanamycin resistance
thyA	PAS resistance
embC	Ethambutol resistance
embB	Ethambutol resistance
ethA	Ethionamide resistance
Direct repeat locus	Spoligotyping

		RIFAMPICIN								ISONIAZID								ETHAMBUTOL					ZINAN	AIDE	STR	EPTO	MYCI	PAS				
																											<u> </u>					
	Smear result	rpoB:c.C1333T:p.H445Y		rpoB:c.1333_1334TG		rpoB:c.C1349T:p.S450L		GeneXpert RIF resistance	Solid culture phenotype	katG:c.G944C:p.S315T		fabG1:c8		inhA:c.T280G:p.S94A		Solid culture phenotype	embB:c.G1217A:p.G406D		embB:c.A1490G:p.Q497R		Solid culture phenotype	pncA:c.T11C:p.L4S		Solid culture phenotype	rpsL:c.A128G:p.K43R		gid:c.102delG:p.G34fs		Solid culture phenotype	thyA:c.A604G:p.T202A		Solid culture phenotype
Patient		Sputum	MGIT	Sputum	MGIT	Sputum	MGIT			Sputum	MGIT	Sputum	MGIT	Sputum	MGIT		Sputum	MGIT	Sputum	MGIT		Sputum	MGIT		Sputum	MGIT	Sputum	MGIT		Sputum	MGIT	
BH001MC	+	S	ND	S	ND	S	ND	ND	S	S	ND	S	ND	S	ND	S	S	ND	S	ND	S	ND	S	S	S	ND	S	ND	ND	R	ND	ND
BH041OS	+	•	S		s	•	R	R	R		R	•	S		S	R	•	S		R	R	•	R	R	·	R		S	ND		S	ND
BH052SA	++++	S	S	S	S	S	S	S	S	R	R	S	S	S	S	S	S	S	S	s	S	S	S	S	S	S	S	S	ND	S	S	ND
BH056ESDS	+	s	S	s	s	S	S	s	s	S	S	S	S	s	S	s	S	S	S	s	s	S	s	S	s	S	S	S	ND	R	R	ND
RF002AH	+	s	S	S	s	S	S	ND	s	R	R	S	S	s	S	R	S	S	S	s	s	S	s	S	s	S	S	S	ND	S	S	ND
RF009ZC	Neg	•	S		s	•	S	s	s		R	•	S		S	R		S	-	s	s		s	S	•	R	-	S	ND		S	ND
RF015GT	+	•	S		s	•	S	R	s		S	•	S	•	S	s	•	S	•	s	s	•	S	S	•	S	•	S	ND		S	ND
RF016SW	++	s	S	S	s	S	S	s	s	R	R	S	S	s	S	R	S	S	S	s	s	S	S	S	S	S	S	S	ND	S	S	ND
WH006AW	++	R	R	S	S	S	S	R	R	S	S	R	R	R	R	R	R	R	S	S	S	S	S	S	S	S	R		ND	S	S	ND
WH017KL	+	S	S	S	S	S	S	S	S	S	S	S	S	S	S	R	S	S	S	S	S	S	S	S	S	S	S	S	ND	R	R	ND
WH026NS	Neg	ND	S	ND	S	ND	S	ND	S	ND	S	ND	S	ND	S	S	ND	S	ND	S	S	ND	S	S	ND	S	ND	S	ND	ND	R	ND
WH036ES	Neg	S	S	S	S	S	S	S	S	S	S	R	R	S	S	R	S	S	S	S	S	S	S	S	S	S	S	S	ND	S	S	ND
WH037PD	++		S		R		S	R	R	R	R	S	S	S	S	R	S	S	S	S	s	S	S	S	S	S	S	S	ND	S	S	ND

# 490 Table 2. Antimicrobial resistance profiles from 13 patients with evidence of resistance from direct sputum sequencing using whole

### 491 genome bait set.

492 "ND" = Sample or result not available. "." = Low sequence read coverage at position. "R" = Resistant, "S" = Susceptible

### 493 Figure legends

Figure 1. Comparison of the percentage of reference genome with at least one sequence read
covering a position on the y axis by the median depth of coverage on the x axis for each
individual sample. This is stratified by whether original sample material was a sputum or
MGIT culture.

498 Figure 2. (A) Barplot showing the percentage reference genome coverage (A single read 499 covering each genome position) for patients with both a sputum and MGIT sample 500 sequenced. The plot is annotated with both Xpert MTB/RIF (GX) and smear microscopy (Smear) results. For GX: \*\*\*\* = high, \*\*\* = medium, \*\* = low, and \* = very low. For smear 501 \*\*\* = 3+, \*\* = 2+, \* = 1+, S = scanty and - = negative. Where a result is missing the test was 502 503 not carried out. (B & C) Boxplot showing median depth of coverage for sputum samples 504 stratified by both the quantitative Xpert MTB/RIF measure (B) and semi-quantitative smear 505 result (C).

Figure 3. Heatmap clustering samples by the pairwise number of single nucleotide
differences between them. Sample names are formatted such that the patient identifier is at

the start followed by whether the sample originated from a MGIT culture or sputum.

Figure 4. The time taken in days on the x axis from sample collection (day 0) to when the MGIT samples flag positive and sequence result becomes available is denoted by the grey bars. The time taken for a patient sputum result to become available is marked by three different identifiers depending on whether the sample underwent whole genome sequencing or partial genome sequencing and whether the 24 hour or one hour hybridisation protocol was used. Sputum samples that failed sequencing are marked by missing symbols. Patient



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MGIT

75 100 0 25 Percentage reference genome coverage

50

75

100

GX Smea

BH052SA ++++ +++

NM003FH ++++

RF001SH ++++ RF003JV ++++ RF021RC ++++ WH011LS ++++

WH034VL ++++ WH035CL ++++ BH045HM +++ NM004BB +++ BH021AWN BH036HSK +++ NM010PC ++++ RF016SW ++++ WH013SB ++++ ••••• •••• BH037MN BH061CS +++

RF017MW

RF020ZA WH006AW

WH037PD WH044IL ++++ +++ ++

NM008DD RF014OS BH035ID

WH041MM WH043YB внозоку +++

BH056ESDS RF002AH

RF005MR

WH001RK

+++ +++ +++ +++

+++ +++ +++

+++ ++ RF015GT RF012BM WH017KL ++ + BH043ADF

+ BH049AO BH016PS BH022TB NM001AD +++ BH041OS +

++++ +++ WH036ES RF009ZC

25

0

50

Α

Sputum

В

С







Scanty × \*\* Smear result





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