#### Numerical studies of shear-thinning droplet formation in a microfluidic T-junction using two-phase level-SET method

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#### Abstract

A conservative level-set method (LSM) embedded in a computational fluid dynamics (CFD) simulation provides a useful approach for the studying the physics and underlying mechanism in two-phase flow. Detailed two-dimensional (2D) computational microfluidics flow simulations have been carried out to examine systematically the influence of different controlling parameters such as flow rates, viscosities, surface wettability, and interfacial tensions between two immiscible fluids on the non-Newtonian shear-thinning microdroplets generation process. For the two-phase flow system that neglects the Marangoni effect, the breakup process of shear-thinning microdroplets in cross-flowing immiscible liquids in a microfluidic device with a T-shaped geometry was predicted. Data for the rheological and physical properties of fluids obeying Carreau-Yasuda stress model were empirically obtained to support the computational work. The simulation results show that the relevant control parameters mentioned above have a strong impact on the size of shear-thinning droplets generated. Present computational studies on the role and relative importance of controlling parameters can be established as a conceptual framework of the non-Newtonian droplet generation process and relevant phenomena for future studies.

Keywords: Carreau-Yasuda; Microfluidics; Fluid dynamics; Level-set simulation; Emulsification

#### Nomenclature

fitting parameter in Carreau-Yasuda model

А

effective droplet diameter (µm)

F.

surface tension force acting on the interface (N/m3)

= <sub>D</sub>
cross flow drag force (N/m³)
7
depth of the channel (μm)
dentity matrix
(
curvature of fluid-fluid interface
1
Power-law exponent for Carreau-Yasuda Model
n <sub>r</sub>
unit normal vector at the interface
)
oressure (N/m²)
2
low rate ratio
$Q_{c}$
low rate of the continuous phase (ml/h)
$\mathbf{Q}^{\mathbf{d}}$
low rate of the dispersed phase (ml/h)
ime-step (s)
ı
velocity component in x-direction (m/s)
J.
naximum fluid velocity (m/s)
rn
velocity component normal to the domain boundary (m/s)
J <sub>o</sub>

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initial velocity (m/s)
velocity component in y-direction (y-direction) (m/s)
V*
continuous phase velocity (m/s)
velocity field
width of the continuous phase channel (µm)
width of the dispersed phase channel (µm)
longitudinal coordinates axes
Χ
computational cell size (m)
lateral coordinates axes
Greek symbols
dynamic viscosity of fluid (Pa·s)
dynamic viscosity of the dispersed phase (Pa·s)
dynamic viscosity of the continuous phase (Pa·s)
zero shear viscosity (Pa·s)
infinite shear viscosity (Pa·s)
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$\lambda_{\eta}$
viscosity ratio ( $\eta_{d}/\eta_{c}$ )
$\lambda_{ extsf{CY}}$
relaxation time in Carreau-Yasuda Model(s)
ρ
fluid density (kg/m³)
т
shear stress (Pa)
Ϋ́
shear rate (1/s)
γ
reinitialization parameter (m/s)
ε
thickness of the interface (m)
σ
interfacial tension (mN/m)
φ
level set function
$\Delta_{sm}$
Dirac delta function concentrated at interface
Ω
computational domain
$\partial \Omega$
domain boundary

# 1 Introduction

Microfluidic applications are commonly found in coating flows, formation of suspensions, emulsions and foams, heat transfer and fluid flow (Stone et al., 2004; Squires and Quake, 2005; Pipe and McKinley, 2009). Since the start of the micro total analysis system (µTAS), the manipulation of droplets in confined microfluidic channels has been highlighted as a useful technology platform for a broad range of application in many scientific fields such as biology, biomedical studies, chemical and synthesis, and drug delivery. Many of these fields involve handling non-Newtonian fluids characterised by a nonlinear relationship between shear stress and the deformation rate. Previously, most of the studies of droplet formation in microchannels have employed traditional Newtonian-Newtonian systems (Cramer et al., 2004; Garstecki et al., 2006; Anna and Mayer, 2006; Utada et al., 2007; Xu et al., 2008), and little attention has been given to non-Newtonian fluids. However, it has been identified that non-Newtonian microfluidic applications are increasingly taking the

centre ground of scientific and commercial interests, demanding research and investigation (Stone et al., 2004).

Diverse forces, including surface tension and viscous forces, govern drop dynamics, and the rheology of the fluid can be important when non-Newtonian fluids are involved (Chhabra and Richardson, 2008). As a general rule, non-Newtonian fluids can be classified into three groups, namely purely viscous fluids, time dependent fluids, and viscoelastic fluids (Chung et al., 2008). Each of the above mentioned non-Newtonian fluid possesses distinct characteristics of their own; however, no single constitutive equation has been established to describe the rheogram of all such fluids. Understanding the dynamical mechanisms of monodispersed micro or nanodroplets formation of non-Newtonian fluids in microfluidic channels is essential to ensure that specific requirements such as, drop size, patterns and productivity are met. Previously, some researchers have focused on the analysis of the dynamics and deformation of droplets with viscoelastic properties in this area (Husny and Cooper-White 2006; Steinhaus et al., 2007; Arratia et al., 2009; Qiu et al., 2010), yet a thorough understanding of relevant physics behind their creation for other non-Newtonian fluid types is still limited.

Near monodispersed droplets can be generated by a number of methods in microfluidic devices including breakup in co-flowing streams (Cramer et al., 2004; Utada et al., 2007) breakup in cross-flowing stream (Garstecki et al., 2006; Xu et al., 2008; Xu et al., 2005, Van Der Zwan et al., 2009). The T-junction microchannel is one of the most frequently used microfluidic configurations based on the physical mechanism of droplet breakup in a cross-flowing stream. According to this mechanism, viscous shear-stress from the continuous stream of the horizontal channel overcomes surface tension at the liquid-liquid interface and pulls off droplets of the dispersed phase entering from the vertical channel. Cross-flow shear in a T-junction microchannel remains one of the most utilised microfluidic configurations, owing to the ease of droplet formation, inherent droplet size controllability, periodicity of droplet formation, and design simplicity (Thorsen et al., 2001; Nisisako et al., 2002; Tice et al., 2004; Garstecki et al., 2005). Those investigations of the emulsification process for non-Newtonian-Newtonian systems found in the literature have been performed using T-shaped microchannels. For instance, Husny and Cooper-White (2006) investigated polymeric droplet creation and the breakup dynamics of low viscosity, elastic polyethylene oxide (PEO) fluids and Newtonian fluids by cross-flow shear. Gu and Liow (2011) investigated the formation of shear-thinning xanthan gum droplets in oil in a T-junction microchannel under different flow conditions. Apart from empirical works, Sang et al. (2009) developed both numerical and analytical models with an interface capturing scheme to explore the influence of the material behaviour of Newtonian, Bingham and power-law fluid on the formation of droplets. Qiu et al. (2010) also performed a numerical study of the formation of Newtonian droplets in a continuous phase with shear-thinning properties under the cross-flow condition using the volume-of-fluid (VOF) formulation. Most of the pr

Due to the existence of a high surface-to-volume ratio within a micro-scaled device, interfaces between fluids play a significant role in many physical processes such as droplets collision, coalescence and breakup (Christopher et al., 2009). There are two main approaches to represent the interface evolution problem either explicitly or implicitly within the Navier-Stokes (NS) equation discretised on a fixed grid: interface tracking and interface capturing. These methods are widely used in computation of flow problems with moving boundaries and interface tracking of the moving boundary is based on an explicit description of the interface by the computational mesh. Boundary integral (Hou et al., 2001) and front tracking (Tryggvason et al., 2001) methods are examples of interface tracking methods. Interface tracking approaches provide higher numerical accuracy, but their applicability is limited to Stokes flow. In contrast to interface tracking methods, interface motion can be simply obtained by the advection of the corresponding phase function in the interface capturing method. The interface capturing method uses a separate phase function, discretised on the fixed grid, to implicitly represent the interface (Bonometti and Magnaudet, 2007). In implicit approaches, there are three common interface capturing methods that have been developed to solve incompressible two-phase problems. These are the volume-of-fluid (VOF) (Rider and Kothe, 1998), lattice-Boltzmann method (LBM) (Takada et al., 2000), and level-set method (LSM) (Osher and Sethian, 1988). In each of the above reference methods, the main challenge is to accurately advect the phase boundary and correctly compute terms concentrated at the interface, such as interfacial tension. The functional discontinuities of the VOF method present at the interface lead to low accuracy in the computation of the mean curvature, causing errors in the surface tension force. Apart from the VOF method, the variation of interfacial tension has made difficulties in the applicatio

This paper describes a numerical study carried out to develop a predictive model for droplet generation of a non-Newtonian fluid at a microfluidic T junction. A conservative LS approach coupled with the Carreau-Yasuda stress model has been adopted in the present computational model. Systematic sets of numerical simulations were carried out to monitor the evolution of droplet size, which turns out to be effectively governed by the physical properties of the tested fluids, flow rates, and viscosity. These key parameters that control the formation of microdroplets of shear-thinning liquids such as sodium carboxymethylcellulose (SCMC) have not previously been examined in detail. In the present study, the continuous phase is a Newtonian fluid with a constant viscosity, whereas the dispersed phase has properties equivalent to shear-thinning SCMC solutions with concentration ranging from 0 wt% to 1.20 wt%. This provides a realistic means of computing the dynamics of droplet breakup process in a T-junction micro-scaled device when the shear-thinning non-Newtonian effects are present. It should also be noted that within the considered weight percentages, the variation in density is minimal enabling the use of a constant value of 998.2 kg/m³. Furthermore, the surface tension between the lowest and the highest concentrations are 22.14 and 18.87 mN/m. Therefore a constant value of 20.74 mN/m is used for all the cases except for the parameters study on the interfacial tension. Both the concentration and the contact angle were treated as independent parameters in this study.

## 2 Computational model

### 2.1 The conservative level-set method

The conservative level-set method (LSM) was employed to simulate the filament development and the detachment of the droplets at various operating conditions and fluid properties inside a microchannel T-junction. The conservative LSM proposed by Olsson and Kreiss (2005) and Olsson et al. (2007) was employed in the present study. The LSM relies on an implicit representation of the interface and the equation of motion is numerically approximated using schemes built from hyperbolic-conservatives law (Osher and Sethian, 1988). This approach has been used to describe the interface between two immiscible fluids which is defined by the 0.5 contour of the level set (phase) function ( $\phi$ ) assuming the continuous phase ( $\phi$  = 0) flowing in the main horizontal channel and the dispersed phase ( $\phi$  = 1) fills a channel orthogonal to the main channel. In this implicit method, the model comprises of the following governing equations.

Incompressible Navier-Stokes equation

$$\rho \frac{\partial \mathbf{u}}{\partial t} + \rho(\mathbf{u} \cdot \nabla)\mathbf{u} = \nabla[-pI + \eta(\nabla \mathbf{u} + (\nabla \mathbf{u})^T] + F_{st}$$
(1)

Continuity equation

$$\nabla \cdot \mathbf{u} = 0$$

Level-set equation

$$\frac{\partial \phi}{\partial t} + \mathbf{u} \cdot \nabla \phi = \gamma \nabla \cdot \left[ \varepsilon \nabla \phi - \phi (1 - \phi) \frac{\nabla \phi}{|\nabla \phi|} \right]$$
(3)

Symbols  $\rho$ ,  $\eta$ , and  $\sigma$  denote the density, dynamic viscosity, and the surface tension respectively. The pressure is given by  $\rho$  while I denotes the identity matrix. The  $\gamma$  denotes the re-initialization parameter approximates the maximum speed occurring in the computational domain (Sethian, 1999). The  $\varepsilon$  denotes an artificial thickness of the interface, which is assumed to be the maximum mesh size in subdomains in the neighbourhood of the interface. In this work, the parameters  $\gamma$  and  $\varepsilon$  with the value of 0.065 m/s and  $5.8 \times 10^{-6}$  m were selected, based on the maximum flow velocity in microchannel dimensions selected for simulation and optimum mesh size, respectively after a mesh convergence analysis. The surface tension force,  $F_{st}$  acting at the interface between two fluid phases can be calculated by Eq. (4) below.

$$F_{vl} = \sigma k(\phi) \mathbf{n}_{\Gamma} \delta_{vm}(\phi)$$

where k denotes the local interfacial curvature,  $\mathbf{n}_{\Gamma}$  is the unit normal vector to the interface pointing into the droplet, and the smeared out Dirac delta function concentrated at the interface between two fluids is given by  $\delta_{sm}(\phi)$ . These can be expressed as shown below.

The interfacial curvature is given by

$$k(\phi) = -\nabla \cdot \mathbf{n}_{\Gamma}$$
 (5)

The delta function is approximated by

$$\delta_{sm}(\phi) = 6|\phi(1-\phi)| |\nabla\phi|$$
 (6)

Since the surface tension and local interfacial curvature term are easily represented in terms of the level-set function, the LSM can be used to compute the changes in the droplet topology due to the flow. The density and the viscosity of the two fluids at any point can be calculated using the two equations given below:

$$\rho = \rho_1 + (\rho_2 - \rho_1)\phi \tag{7}$$

$$\eta = \eta_1 + (\eta_2 - \eta_1)\phi \tag{8}$$

where  $\rho_1$  and  $\rho_2$  are the densities of continuous phase and dispersed phase, and  $\eta_1$  and  $\eta_2$  are the viscosities of continuous phase and dispersed phase.

Egs. (1)(8) were solved using the COMSOL Multiphysics (Version 4.3) two phase flow module.

## 2.2 Rheological constitutive model

To implement the shear thinning non-Newtonian fluids, the Carreau-Yasuda viscosity model has been implemented within the level set formulation. The Carreau-Yasuda constitutive model is defined by the following equation (Eq. (9)) (Carreau, 1972; Yasuda and Cohen, 1981):

$$\eta(\dot{\gamma}) = \eta_{\infty} + (\eta_{o} - \eta_{\infty}) \left[ 1 + (\lambda_{CY} \dot{\gamma})^{a} \right]^{\frac{n-1}{a}}$$

$$(9)$$

where  $\eta_a$  and  $\eta_a$  denote the zero shear viscosity and the infinite shear viscosity respectively whereas  $\lambda_{CY}$  denotes the relaxation time and a denotes fitting parameter. The n is the shear-thinning power-law exponent and  $\dot{\gamma}$  is the shear rate. We generalize the shear rate ( $\dot{\gamma}$ ) in the Carreau-Yasuda model using the following invariant of the velocity gradient tensor in Cartesian coordinates.

$$\dot{\gamma} = \sqrt{\frac{1}{2} \left[ 4 \left( \frac{\partial u}{\partial x} \right)^2 + 2 \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right)^2 + 4 \left( \frac{\partial v}{\partial y} \right)^2 \right]}$$

(10)

The rheological parameters of Carreau-Yasuda stress model were experimentally determined using a controlled stress rheometer (MC 302, Anton Paar) for all SCMC polymer concentrations. All the measurement data were seen to be fitted well to Carreau-Yasuda model for all SCMC concentrations. Experimentally measured normalised shear-viscosity is plotted against the normalised shear rate for SCMC solutions at various concentrations in Fig. 1a. These measurement data are also summarized in Table 1 and used in numerical calculations subsequently. For shear thinning fluids, the flow modifies the viscosity and so the effective viscosity at the flow conditions in a given simulation becomes an important quantity. To aid later interpretation we estimate this by using the wall shear rate in the continuous phase. Fig. 1b shows the effective viscosity ratio ( $\lambda_n = \eta_d \eta_c$ ) against continuous phase flow rate,  $Q_c$ , where we used the downstream wall shear rate in the continuous phase as a 'typical' shear rate and computed the disperse phase viscosity via Eq. (9).

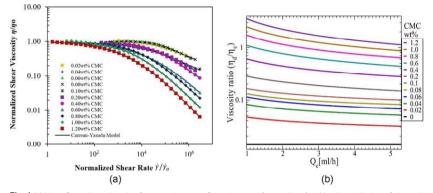


Fig. 1 (a) Normalised shear viscosity plotted against normalised shear rate for a series of SCMC shear-thinning solutions with different concentrations. (b) Effective viscosity ratio against continuous phase flow rate, Q<sub>c</sub>, with the shear-thinning computed from Eq. (9) using the wall shear rate in the continuous phase.

**Table 1** Rheological properties of SCMC polymer solution.

SCMC solution (wt%)	Carreau-Yasuda Model constant				
	$\eta_o$ (Pa·s)	η∞ (Pa·s)	$\lambda_{\scriptscriptstyle  extsf{CY}}( extsf{s})$	п	а
0.02	0.0070	0.0003	0.0400	0.7121	0.9653
0.04	0.0121	0.0000	0.0325	0.7102	1.6980
0.06	0.0171	0.0000	0.0256	0.6775	1.3728
0.08	0.0195	0.0028	0.0143	0.4886	1.1319
0.10	0.0420	0.0007	0.0572	0.6242	0.4734
0.20	0.0742	0.0006	0.0041	0.3528	0.3856
0.40	0.1946	0.0040	0.0138	0.3157	0.5534
0.60	0.7995	0.0022	0.0147	0.1995	0.3660
0.80	1.6469	0.0057	0.0515	0.2444	0.4782
1.00	4.1143	0.0031	0.1604	0.2869	0.5000
1.20	10.2644	0.0000	0.2069	0.2297	0.4175

In the present work, a key output quantity is the effective droplet diameter (d). An integration operator was used to find the area corresponds to the dispersed phase where  $\phi > 0.5$  in order to calculate the effective droplet diameter (Eq. (11)).

$$d = 2 \cdot \sqrt{\frac{1}{\pi}} \int_{\Omega} (\phi > 0.5) d\Omega$$

### 2.3 Geometry and boundary conditions

A T-junction geometry with 220  $\mu$ m ( $w_c$ ) main channel width and a 90  $\mu$ m ( $w_g$ ) side channel was created and meshed with quadrilaterals elements. These dimensions are selected to match the microchannel geometry created for validation experiments. An entrance thickness (h) of 73.5  $\mu$ m was prescribed in the computational domain in order to define the depth of the microchannel. The continuous phase flows along the horizontal main channel whereas the dispersed phase is introduced through the narrow side channel. The geometry of the microfluidic T-junction with structured 2D mapped mesh is illustrated in Fig. 2. In microfluidics systems, all flow tends to be laminar. The domain boundary of the continuous ( $\partial\Omega_1$ ) and dispersed phase ( $\partial\Omega_2$ ) were set up with laminar inflow conditions by defining the volumetric flow rates of continuous phase and dispersed phase.

$$\partial\Omega_{1} = \begin{cases} u_{x} = u \cdot \mathbf{n} = u_{o} \\ u_{y} = 0 \\ h \int_{\partial\Omega} u \cdot \mathbf{n} dy = Q_{c} \end{cases}$$
 (12)

$$\partial\Omega_{2} = \begin{cases} u_{x} = 0 \\ u_{y} = u \cdot \mathbf{n} = u_{o} \\ h \int_{\partial\Omega_{1}} u \cdot \mathbf{n} dx = Q_{d} \end{cases}$$
 (13)

where n is unit vector that has a direction normal to the inlet boundaries, h is the entrance thickness of microchannel,  $Q_c$  and  $Q_d$  denote the flow rates of the continuous and dispersed phase flow rates respectively. The domain boundary condition at the outlet  $(\partial \Omega_3)$  is set to a pressure with no viscous stress.

P = 0 Pa (14)

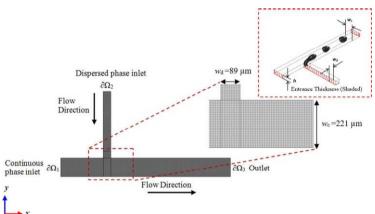


Fig. 2 The T-shaped geometry used for the simulations with two-dimensional (2D) quadrilaterals mesh elements.

No-slip boundary condition was applied to all other wall boundaries. The numerical simulations were performed at a time step  $\Delta t = 2.57 \times 10^{-5}$  s, calculated using the Courant-Friedrichs-Lewy (CFL) number. The Courant number is defined as:

$$C = \frac{\Delta t \cdot U}{\Delta X} \tag{15}$$

where Δt is the time-step used during simulations, U is the maximum fluid velocity and ΔX is the computational cell size. A Courant number of 0.25 was used in the current simulations considering as a robust value to maintain the stability of the calculations (Kashid et al., 2010; Schneider et al., 2011).

# 3 Mesh convergence analysis

Prior to the parametric study, mesh sensitivity analysis was performed to quantify the dependency of simulation results on mesh size. A flow rate ratio, Q of 0.05 (where  $Q_c = 2.0$  ml/h and  $Q_d = 0.1$  ml/h) was used in this analysis. The data is recorded at 0.0005 s intervals, which were sufficiently small to capture the breakup phenomenon of the fluid-fluid interfaces. A 2D structured mapped mesh was generated and meshes of varying degrees of resolution were set up for the domain. The effect of mesh size was examined by increasing the number of mesh elements from 976 (coarsest grid) to 15963 (finest grid). Table 2 summarized the mesh convergence test for the dependence of effective droplet diameter on the number of mesh elements. An acceptable relative error of 0.38% between the

last two finer meshes was considered. The relative error of the measurement shows the error deviation in relation to the measured values of droplet diameter between the two different mesh resolutions. Therefore, an optimal grid resolution containing 7644 elements with cell sizes equal to 0.0082 mm was selected for the subsequent study.

Table 2 Mesh convergence analysis for the dependence of effective droplet diameter on the number of quadrilaterals elements.

Number of elements	Degree of freedom	Effective droplet diameter (µm)	Relative error%
976	13590	172.46	6.960
2072	28727	162.63	1.586
4024	54152	158.81	4.684
7644	101947	150.52	0.249
12166	161405	150.90	0.388
15963	207662	150.31	

#### 4 Numerical model validation

At a very preliminary stage, a validation of the 2D numerical model of formation of the water droplets was carried out against a matching laboratory experiment. The geometry and the properties of the fluids used in the numerical simulation were set to the values measured in the lab. Deionised water and olive oil (highly refined, low acidity, Sigma Aldrich) were used in the experiment to generate droplets in a T-junction microchannel.

The comparison with the experiments is carried out to estimate the differences between the numerical and experimental approaches for a known system. Fig. 3 illustrates the comparison of effective droplet diameter as a function of the applied flow rate ratio, Q, between numerical and experimental results.

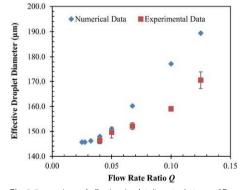


Fig. 3 Comparison of effective droplet diameter between 2D numerical and experimental result in the range of Q applied. Error bars indicate the standard deviation in effective droplet size measurement of 30 droplets under fixed experimental condition.

As shown in Fig. 3, both the experimental measurement and the simulated prediction indicate that the effective diameter of the dispersed phase droplets increases with the increasing flow rate ratio. As the flow rate ratio increases the numerical computations over predicts the effective droplet diameter. The discrepancy between the simulated and the measured droplet diameters is roughly 11% at the highest flow rate ratio tested and for lower flow rates it shows a reasonably good agreement. Syringe pump induced oscillation of flow rate implied a source of fluctuation in the microfluidic flow, which might affect the droplet diameter. Additionally, the temperature dependence of the physical and rheological properties of each working fluid in experiments may have contributed to the deviation in droplet diameter from numerical simulation as they were assumed to remain constant in the numerical model. Besides, the difficulties of numerical dissipation in advection step of fluid simulation may arise for larger flow rate ratio. In order to reduce the numerical dissipation of the scheme, a reasonable range of low flow rate ratio, 0.04  $\leq Q < 0.0675$ , has been selected for the subsequent modelling studies of non-Newtonian flow in order to ensure higher reliability and accuracy of the numerical model.

A preliminary validation test of the 3D structure was also performed at the prescribed *Q* of 0.05. A grid resolution containing 88257 elements with cell sizes equal to 0.0188 mm was selected for the study. Fig. 4 illustrates a sequence of snapshot of droplet formation for the 2D and 3D model representation against laboratory experiments at *Q* of 0.05. As seen in Fig. 4, a dripping phenomenon, in which droplets are being sheared off before they fill the channel cross-section, were observed for the experimental model. Both 2D and 3D simulations predict similar droplet breakup process.

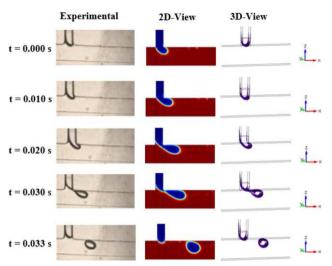


Fig. 4 Snapshots of three-dimensional (3D) and two-dimensional (2D) simulations of the water-in-oil droplet breakup process in microchannel and corresponding to experiments (for system:  $Q_c$ : 2.0 ml/h and  $Q_d$ : 0.1 ml/h, Q = 0.05). The colour bar specifies the dispersed phase as the area where colour distinction is from 0 to 0.5 and continuous phase the area from 0.5 to 1.0. The iso-surface of 0.5 denotes the interface where the droplet is identified as solid blue rounded shape.

An effective droplet diameter was calculated based on the area of droplet in 2D model, whereas the droplet volume was used to calculate the effective diameter in 3D model. Note that this approach produces two different values for any given droplet as one is on the projected area as seen from the top projection (looking down on to  $w_c$ ) and the other is on the volume dispensed based on the total volume fed and the frequency of the droplets. An error of 1.56% between experimental value of 102.36  $\mu$ m and numerical value of 100.77  $\mu$ m were obtained in the 3D case. While the 2D model presented an error percentage of 2.21% between the experimental and numerical results of 149.5  $\mu$ m and 152.88  $\mu$ m, respectively (see Fig. 3). Such discrepancy between 2D and 3D implementation are may attributed to the boundary effects in the third coordinate's direction.

The velocity profiles are not significantly affected in the simplest 2D model when compared to that of 3D channel. A comparison on both, the main and the side channels show (see Fig. 5) the maximum velocity remains same while the distribution changed due to wall effects in the 3D case. Nevertheless, based on this comparison, we have concluded that the flow system can be modelled using 2D geometry with acceptable results compared to 3D and experiments. As it is computationally too costly for extensive tests of 3D model to resolve the typical nano-sized interface and motion (Liu and Zhang, 2009) of microdroplets, the present parametric analyses were implemented based on 2D model.

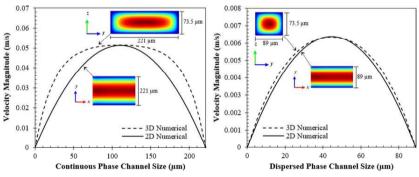


Fig. 5 Comparison of velocity profiles for 3D and 2D continuous and dispersed phase microchannel.

## 5 Results and discussion

## 5.1 Droplet formation and breakup mechanism

The simulations closely follow the experimental observations of the formation and the detachment of a droplet in the microfluidics T-junction, First, the tip of the dispersed phase filament enters the main channel and partially blocks it, which results in the

continuous phase having to travel through a smaller cross-sectional area at the T-junction. This causes higher drag at the interface between the two phases and, over time, the dispersed phase entering the T-junction is slowly convected downstream. Due to the drag applied by the continuous phase, the dispersed phase filament thins out forming a neck. The pressure gradient across the forming liquid filament and the drag at the interface further distort the droplet in the downstream direction until they overcome the surface tension and a droplet is formed (Garstecki et al., 2006; Garstecki et al., 2005; Glawdel et al., 2012). The fully detached droplet flows downstream in the main channel, while the dispersed phase retracts into side channel. This break-up process is continuously repeated, and the size of the droplets produced can be varied by altering the fluid flow rates, the channel dimensions or by changing the physical properties of the two phases. The results in Figs. 6 and 7 were computed using the numerical scheme outlined in Section 2 with both the dispersed and continuous phases being Newtonian fluids with viscosities of 9.3 × 10<sup>-4</sup> Pa·s and 6.8 × 10<sup>-7</sup> Pa·s and 6.8 × 10<sup>-7</sup> Pa·s are continuous phases.

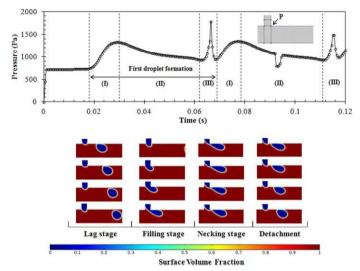


Fig. 6 Evolution of the pressure at point P during droplet breakup. P denotes the point at the junction and reflects the evolution of breakup mechanism. The droplet formation process can be divided into three stages: (I) lag stage, (II) filling stage, and (III) necking stage.

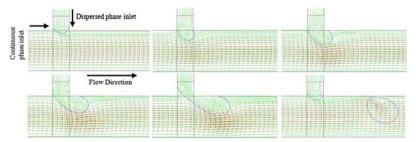


Fig. 7 Streamline and velocity field representation of the process of droplet breakup in a model of the T-shaped.

Variations in pressure at the leading edge of the T junction indicated by point P during the growth of the dispersed phase filament and the detachment of the drop is given in Fig. 6. It shows that the pressure increases gradually until the drop starts to yield under the shear and the pressure build up and then decreases until the breakup. The breakup of the droplet is marked by the sharp peak. Then the filament starts to grow again and the pressure at point P starts to increase. The sudden depression in the pressure during the formation of the second drop indicates first drop exiting the computational domain boundary. It should be noted that the pressure inside the droplet is higher than that of the continuous phase in the neighbourhood. Since the boundary condition applied assumes the droplets to be entering the constant pressure as they leave the domain, the pressure field shows a sudden reduction spread over the time it takes a droplet to cross the outlet boundary completely. This phenomenon can be observed in all subsequent parametric studies.

The streamlines are overlaid on the velocity field in Fig. 7 to investigate the flow development within the dispersed phase filament and the growing droplet. In Fig. 7, at the start of the formation process, a recirculation flow is generated close to the interface due to the shear applied by the continuous phase flow. This region expands gradually when the dispersed phase enters the main stream and elongates downstream. When detached, the droplet is carried by the continuous phase and the initial flow is disturbed and the recirculation flow no longer exists.

The droplet detachment mechanism has been modelled by Husny and Cooper-White (2006) for Newtonian fluids, and we use this model here to illustrate the influence of physical properties of the fluids on the droplet size. We consider forces and velocities along

the main channel. The droplet detaches when it becomes sufficiently large that the cross-flow drag force from the continuous phase, which pulls the droplet downstream, matches the interfacial tension force joining the droplet to the side channel. The interfacial tension force is given by

$$F_{\sigma} = \frac{\pi \sigma w_d^2}{d},\tag{16}$$

where d is the droplet diameter. The cross-flow drag force is given by

$$F_D = 3\pi\eta_c(\nu - \nu_d)df(\lambda_\eta) \tag{17}$$

where  $v^*$  is the continuous phase velocity at the height of the droplet centre,  $v_d$  is the droplet velocity,  $\lambda_\eta = \eta_d/\eta_c$  is the viscosity ratio and  $f(\lambda_\eta) = (\frac{2}{3} + \lambda_\eta)/(1 + \lambda_\eta)$  captures the effect of the disperse phase viscosity on the droplet drag. When  $\lambda_\eta \gg 1$  then the disperse phase viscosity is sufficiently high that the droplet is solid-like and Eq. (17) reduces to the drag from Stokes flow around a solid sphere. Conversely, for smaller values of  $\lambda_\eta$ , internal flow within the droplet becomes possible and this acts to reduce the drag on the droplet from the continuous phase. We note that  $2/3 < f(\lambda_\eta) < 1$ , so this model predicts a fairly modest effect of the disperse phase viscosity.

# 5.2 Effect of dispersed phase rate

In the first series of numerical simulations, the effect of the dispersed phase flow rate,  $Q_{\sigma}$  on the droplet diameter was systematically examined for a fluid with physical properties equivalent to SCMC solutions with concentrations ranging from 0.00 wt% to 1.20 wt%. Similarly, the continuous phase properties were selected to reflect those of olive oil ( $\eta_c$  = 0.068 Pa·s). A contact angle of 180°, representing complete repulsions of the working liquid by the channel surface was applied. This is to reflect the wall being wetted with the oil, forming a lubricating layer preventing the formation of three phase contact line at the channel surface.

For a constant continuous phase flow rate,  $Q_c$ , of 2.00 ml/h, the droplet size,  $d_c$  was measured with increasing  $Q_d$  between 0.05 ml/h and 0.135 ml/h. Results are shown in Fig. 8. Here  $d_c$  increases with increasing  $Q_d$  and with increasing SCMC concentration and, as  $Q_d$  increases then the results for different SCMC concentration becomes more tightly bunched. The droplet size increases with  $Q_d$  as, for larger  $Q_d$ , larger volumes of the dispersed phase fluid enter the main channel before the filament is pinched off. The increase in drop size does not replicate the threefold increase of the dispersed phase flow rate, because the formation time shortens. However, it should be noted that the frequency of droplet shedding increases in line with conservation of mass. The effect of SCMC concentration can be explained as follows. Fig. 1b shows that, for all  $Q_c$  in this study, there is a considerable increase in the effective  $\eta_d$  with SCMC concentration even when shear thinning is accounted for. This increase in  $\eta_d$  leads to smaller droplets because, as Eq. (17) shows, larger  $\eta_d$  leads to an increase in the cross-flow drag force, due to internal flow inside the droplet being suppressed. This effect, combined with the shear thinning, also explains why the results at different SCMC concentrations become more closely packed with increasing  $Q_d$ . As  $Q_d$  increases flow within the droplets becomes faster and hence shear thinning lowers the effective viscosity. As Fig. 1 shows, shear thinning is stronger in the more concentrated SCMC solutions, thus with increasing flow rates the range of effective viscosity ratios narrows and so does the range of droplet sizes.

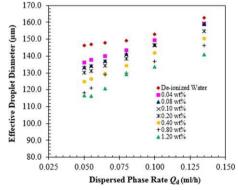


Fig. 8 Effect of  $Q_d$  on the effective droplet diameter of SCMC solutions for various SCMC concentrations. The continuous phase flow rate  $Q_d$  is kept constant at 2.00 ml/h for all cases reported.

The time dependence of the pressure at the corner of T-junction during SCMC droplet breakup at different  $Q_d$  were systematically investigated and are plotted in Fig. 9. At the necking point, there is a pressure spike at the time of detachment, Moreover, the magnitude of pressure depends on the specific location of the droplet detachment. When the  $Q_d$  is small, the droplets are pinched off at the T-junction. When  $Q_c > Q_d$ , droplet does not have time to fill the main channel fully producing elongated slugs. The droplet breakup occurs immediately after a short filament is formed filling the main channel partially and the droplet detachment occurs at the junction (Bashir and Zimmerman, 2011). These observations are also supported by Tan et al. (2008) who reported that the shear force from the continuous phase is sufficiently large to induce the dispersed phase to form droplets at the T-junction of the microchannel at the low dispersed phase rates. When  $Q_d$  increases, the filament grows at faster rates, partially blocking the main channel. This leads to detachment of the droplets at increasing frequency. This is clearly shown in the Fig. 9. The distinct pressure peak at point P at the corner decreases as the filament no longer retracts into the side channel. As the  $Q_d$  increases further, the filament begins to extend in the

main channel and the droplet detachment takes place downstream of the T-junction. As the filament grows beyond the corner of the T-junction, the pressure at corner of the junction becomes less important. Downstream detachment of the droplets occurs more readily for fluids with high  $\eta_d$  because they are more able to resist fragmentation of the filament of disperse phase as it forms.

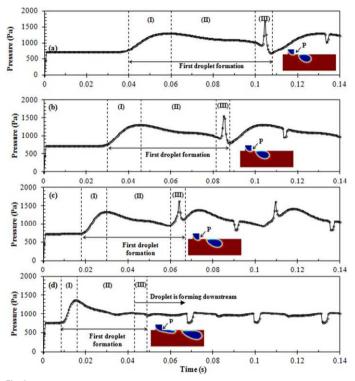


Fig. 9 Pressure profile of 0.20 wt% SCMC for the effects of  $Q_d$  on SCMC droplet breakup process at each  $Q_d$ : (a) 0.050 ml/h, (b) 0.065 ml/h, (c) 0.100 ml/h, (d) 0.200 ml/h). P denotes the pressure at the corner of T-junction. The sharp peak with given data values reflects the droplet breakup at the corner of the side channel as shown in the extracted images. At high  $Q_d$ , the pressure peak becomes insignificant as the detachment point is located downstream of the junction.

## 5.3 Effect of continuous phase rate

As discussed in Section 5.1, droplet formation at the T junction is dominated by the balance of the interfacial force with the viscous stresses imposed by the continuous phase. The size of the droplets can be tuned by changing  $Q_c$ , which alters the viscous stresses on the droplets (DeMenech et al., 2008). Since the channel width of the continuous phase ( $w_c = 221 \,\mu\text{m}$ ) is larger than dispersed phase channel width ( $w_d = 90 \,\mu\text{m}$ ), the dispersed phase stream does not occupy the entire width of the channel downstream of T-junction. Thus the breakup is controlled by the local shear-stress of the continuous phase acting on the dispersed phase droplet, which can be estimated via Eq. (17). For a constant  $Q_d$  at 0.20 ml/h, the droplet diameter was measured with the variation in  $Q_c$  (2.50 ml/h–5.00 ml/h). Results are illustrated graphically in Fig. 10.

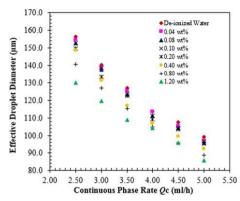


Fig. 10 Effect of Q<sub>c</sub> on the effective droplet diameter of SCMC solutions for various SCMC concentrations. The dispersed phase flow rate Q<sub>d</sub> is kept constant at 0.20 ml/h for all cases reported.

Fig. 10 shows that SCMC droplet size can be controlled in the T-junction microchannel by varying the flow rates of the continuous phase when the disperse phase is kept constant. As *Q<sub>c</sub>* is increased, the simulation results show a reduction in droplet size (see Fig. 10). This is because at higher *Q<sub>c</sub>*, the shearing effect imparted by the continuous phase on the dispersed phase is larger, and as a result, smaller droplets will be pinched off. According to Husny et al. (2003), this is because, a higher *Q<sub>c</sub>* imparts a higher cross-flow drag force on the droplet. Consequently, this leads to a decrease in the droplet growth time, resulting in a reduction in final droplet volume. This result also agrees with Thorsen et al. (2001) and Tan et al. (2008) who reported that droplet size decreased with increased continuous phase flow rate. Fig. 10 also shows that decreased SCMC concentration leads to larger droplets. This is for the same reason as in Fig. 8, namely that a lower disperse phases viscosity enables stronger internal flow in the droplet, reducing the cross-flow drag force. Also similarly to Fig. 8, increasing the flow rate *Q<sub>c</sub>* causes the variation of droplet size with SCMC concentration to lower. As above, this is because the more concentrated SCMC solutions have a larger zero shear viscosities but also stronger shear thinning. Hence increasing *Q<sub>c</sub>* narrows the separation of η<sub>d</sub> values across the concentration range (see Fig. 1b). With increased SCMC concentration we also see increased formation of extended filaments into the downstream region. The increased η<sub>d</sub> opposes the breakup of the disperse phase filament and results in longer threads (Zhang and Basaran, 1995; Nunes et al., 2013). This prediction is corroborated by reported experimental observations at a T-junction microfluidics (Gu and Liow, 2011).

Fig. 11 illustrates the evolution of pressure of the entire droplet formation process as observed at the trailing edge of the side channel (marked by point P in the sub-figure) for 0.20 wt% SCMC at each continuous phase flow rate. The average pressure increases from Fig. 11(a)—(d) in accordance with the increase of the flow rate. However, the pronounced sharp pressure peaks, as previously mentioned in Section 5.1, are not observed at lower  $Q_c$ . As  $Q_c$  decreases, the position of the necking and detachment point is shifted downstream, leading to the jetting phenomenon where a long neck of dispersed thread is developed before the droplets are sheared off in the main channel. It is worth noting that the pressure at which the droplets pinch off initially varies slightly, as it takes several cycles of droplet production to reach steady state. As  $Q_c$  increases, the gap between the emerging droplet and main channel wall is increased. Here the emerging dispersed phase filament does not have sufficient time to obstruct the main channel before detachment.

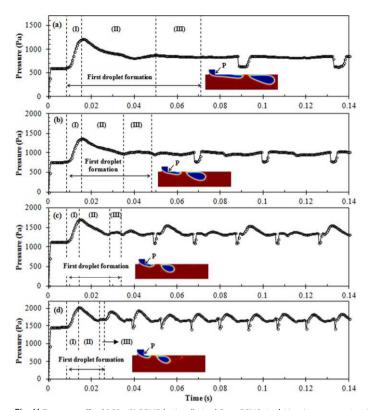


Fig. 11 Pressure profile of 0.20 wt% SCMC for the effects of  $Q_c$  on SCMC droplet breakup process at each  $Q_c$ : (a) 1.50 ml/h, (b) 2.00 ml/h, (c) 3.00 ml/h, (d) 4.00 ml/h (for dispersed phase flow rate  $Q_d = 0.20$  ml/h). P denotes the pressure at the corner of T-junction. After the first droplet is pinched off, the pressure fluctuates abruptly and repeatedly. The lack of a sharp pressure peak occurs when the detachment point is located downstream of the junction.

# 5.4 Effect of sodium carboxymethylcellulose (SCMC) concentration

The present parametric analysis aims to assess the role of the dispersed phase SCMC concentration on the formation of droplets in a Newtonian continuum. The viscosity and degree of shear thinning both increase with the increasing concentration and molecular weight. Fig. 12 plots our results to emphasize the influence of SCMC concentration. Increasing the concentration of SCMC reduces *d*, and increasing the flow rate reduces the influence of concentration. These two effects are explained by the viscosity and degree of shear thinning, respectively, and their increase with concentration (see Fig. 1b and Eq. (17)). This is consistent with experiments by Gu and Liow (2011) who saw a decrease of droplet size with increasing polymer concentration, for fluids in which the polymers increase the viscosity and the strength of shear thinning. There is a notable contrast with experiments of Husny and Cooper-White (2006) who reported no observable change in droplet diameter with increasing polymer molecular weight but a strong change in the filament characteristics. However, their polymer solutions were Boger fluids, meaning that the polymer influenced the elasticity but not the shear viscosity. This illustrates the potential to tailor the droplet size and formation mechanism by controlling the shear-thinning and elasticity through choice of polymer concentration and molecular weight.

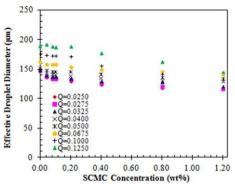


Fig. 12 Effect of SCMC concentration on effective droplet diameter for various flow rate ratios Q (with fixed Q<sub>c</sub> = 2.00 ml/h and 0.05 ml/h < Q<sub>d</sub> < 0.25 ml/h).

Fig. 13 demonstrates the pressure distribution during droplet breakup at the T-junction corner for the concentration of 0.00 wt%, 0.20 wt% and 0.80 wt% SCMC solution. For the fixed Q of 0.05, the droplets pinch off near to the corner of junction, resulting sharp pressure peaks when the SCMC concentration is lower, However, when higher concentrations are encountered, a progressive necking and breakup of the dispersed phase filament occurs downstream, resulting in less pronounced pressure peaks. This is due to the higher viscosity of the dispersed phase stabilising the filament and not due to the influence of elasticity, which is absent in our calculations.

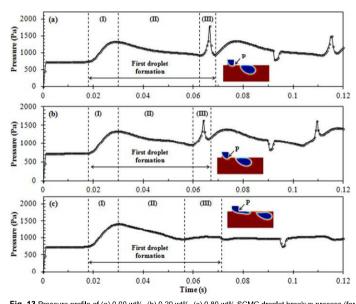


Fig. 13 Pressure profile of (a) 0.00 wt%, (b) 0.20 wt%, (c) 0.80 wt% SCMC droplet breakup process (for system: Q<sub>d</sub> = 0.10 ml/h). P denotes the pressure at the corner of T-junction. The sharp pressure peak reflects the droplet breakup point as shown in the extracted images. The absence of a sharp pressure peak means that the detachment point is located downstream of the junction.

# 5.5 Effect of continuous phase viscosity

Several types of oil with different viscosities, namely mineral oil, olive oil and peanut oil, were modelled as the continuous phase (From data in Loizou et al. (2014)). Table 3 shows the experimental data of viscosities and interfacial tensions of different continuous phase systems and we note that as  $\eta_c$  increases the interfacial tension decreases, both of which are associated with smaller droplets. Numerical simulations were performed to examine the effect of the continuous phase on the SCMC droplet breakup process at a constant Q value of 0.05 (where  $Q_d = 0.10 \text{ ml/h}$ ).

Continuous phase system	Dynamic viscosity (mPa·s)	Interfacial tension between oil and water (0 wt% SCMC) (mN/m)
Mineral oil	35.40 ± 0.00	41.37 ± 2.90
Olive oil	68.10 ± 0.67	$20.74 \pm 0.47$
Peanut oil	98.80 ± 0.39	$18.80 \pm 1.31$

These results are presented in Fig. 14, which illustrates that the droplet diameter decreases as  $\eta_c$  increases, as anticipated. Larger  $\eta_c$  produces a larger drag force from the continuous phase onto the droplet, meaning that it detaches at a smaller size (see Eq. (17)). Data from Husny and Cooper-White (2006) show that increasing the viscosity of continuous phase decreases the droplets size. The findings of this study are also consistent with those earlier experimental studies (Garstecki et al., 2006; Gu and Liow, 2011; Yeom and Lee, 2011). For the oils we modelled, the interfacial tension also reduces as the viscosity increases and this is expected to further reduce the droplet size, as the interfacial tension resists detachment of the growing droplet (see Eq. (16)). Indeed, Fig. 14 shows that the properties of the continuous phase strongly influence the droplet size, whereas the disperse phase properties, which are varied via the SCMC concentration, have a smaller secondary effect.

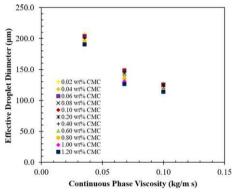


Fig. 14 Effect of η<sub>n</sub> on effective droplet diameter of SCMC droplets for three continues phase liquids with different viscosities (for system: Q<sub>d</sub> = 0.10 ml/h; Q<sub>n</sub> = 2.00 ml/h).

Fig. 15 illustrates the variation in SCMC droplet diameter for different viscosities of the continuous phase. As above, increased viscosity ratio reduces the droplet size, but this effect is small compared to the influence of the continuous phase viscosity. We note that the strongest effect of the SCMC occurs at lower concentrations where the difference in viscosity ratio between neighbouring concentrations is most pronounced (see Fig. 1b). The pressure profile of 0.20 wt% SCMC droplets under different  $\eta_c$  at fixed flow rate ratio Q are illustrated in Fig. 16. As observed from Fig. 16, the build-up of the pressure at the corner of the junction increases as  $\eta_c$  decreases. The pressure peaks are less marked in the case of larger  $\eta_c$ . This appears to correlate with droplet size and also downstream distance of the detachment point. Consequently, sharp peaks were clearly observed only for smaller  $\eta_c$ .

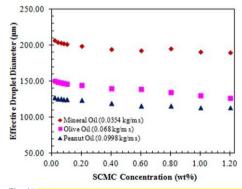


Fig. 15 Droplet diameter dependance on the SCMC concentration for three different continous phase viscosities. The influence of the concentration diminishes with increasing concentration Effect of ng on effective droplet diameter of SCMC droplets (for system: Q<sub>d</sub> = 0.10 ml/h), Q<sub>c</sub> = 2.00 ml/h).

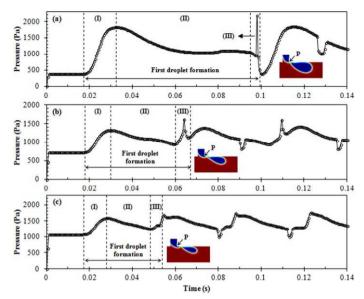


Fig. 16 Pressure profile of 0.20 wt% SCMC droplets breakup for the system with different continuous phase viscosities: (a) Mineral oil ( $\eta_c$  = 0.0354 Pa·s), (b) Olive oil ( $\eta_c$  = 0.0998 Pa·s) (for system:  $Q_d$  = 0.10 ml/h;  $Q_c$  = 2.00 ml/h). P denotes the pressure at the corner of T-junction. The sharp peak with given data values reflects the droplet breakup point as shown in the extracted images. The pressure peak becomes insignificant when the detachment point is located downstream of the junction.

## 5.6 Effect of contact angle

The influence of the contact angle ( $\theta$ ) on drop shape size and production has been the focus of several investigations of Newtonian fluids (Bashir et al., 2011; Kumacheva and Garstecki, 2011). However the role of the contact angle on non-Newtonian droplets with shear-thinning behaviour has not been widely studied. Due to the resulting high surface area to volume ratios of micro-sized devices, the interaction between the solid surface of the microchannel and the fluid has been a major focal point in affecting the dynamics of the droplet formation process. In a microfluidic geometry with a T-junction configuration, the continuous and dispersed phases were initially dispensed separately at the desired flow rates through the microchannel with hydrophobic walls. The continuous phase needs to wet the channel walls preferentially in order to separate the dispersed phase, which tends to be non-wetting, from the walls and eventually it breaks into droplets. The propensity of the two flowing immiscible liquids to wet the channel walls is of utmost importance for the droplet formation process to take place and be stable (Rosengarten et al., 2006). The magnitudes of surface wettability can be characterised by static contact angles, whereas the dynamic effects are not explicitly taken into account.

A focus of the present study is to highlight the effect of the wetting characteristic of the channel walls on the non-Newtonian SCMC droplet formation process. The contact angle of the SCMC droplets at the channel walls was varied from 130° to 180° and implemented in the simulations. Considering a three-phase coexisting system, this range of contact angles covers partial wetting behaviour to complete non-wetting behaviour. Olive oil was selected as the carrier fluid along the main channel, while a SCMC polymer solution was used as the dispersed phase fluid supplied from the orthogonal channel. Fig. 17 illustrates the effect of different wetting conditions on the droplet diameter of each SCMC concentrations. As elucidated in Fig. 17, the droplet diameter decreases as  $\theta$  increases for a fixed flow rate ratio Q of 0.05, for the lower CMC concentrations. Here,  $\theta$  has a strong impact on the reduction in droplet size for concentrations from 0.02 wt% to 0.80 wt%, causing a step change in droplet diameter at about 150°. Interestingly, the effect of  $\theta$  on the droplet diameter was found to be opposite at higher concentration at CMC solution (>0.80 wt%), showing a weak increase with increasing  $\theta$  for almost all rates.

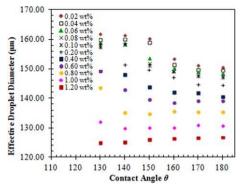


Fig. 17 Effect of contact angle on the effective droplet diameter of SCMC solutions (for system: Q<sub>d</sub> = 0.10 ml/h; Q<sub>c</sub> = 2.00 ml/h).

In addition, the numerical simulation predicts that the droplet diameter was observed to be largely independent of  $\theta$  when it is larger than 150°, which is in agreement with numerical results from Sang et al. (2009) and Bashir et al. (2009) reported that larger contact angles ( $\theta \ge 165^\circ$ ), corresponding to poor wettability of the dispersed phase to the channels wall, had a negligible effect on the resulting diameter of 1,6-hexanediol diacrylate droplet in polyvinyl aqueous solution, in their VOF simulations. Bashir et al. (2011) also reported that the size of the resulting water droplets in n-Dodecane oil solution remains constant in the super-hydrophobic ( $\theta \ge 165^\circ$ ) regime using LSM. For smaller  $\theta$ , Liu and Zhang (2009) reported that the droplet interfaces are prone to be normal to the channel walls.

Our simulations show that decreasing the contact angle promotes the formation of downstream filaments. For example, in Fig. 18 decreasing  $\theta$  causes the formation mechanism to change from corner detachment to downstream detachment via a filament, because the dispersed thread has strong tendency to attach to the wall surface at smaller  $\theta$ . Thus a larger contact angle reduces the adhesion force between the dispersed fluids with the channels wall, meaning that the cross-flow drag force will detach the droplet earlier, leading to smaller droplets (Bashir et al., 2011; Davidson and Cooper-White, 2006). When the concentration increases above 0.80 wt%, the droplet diameter increases with increasing  $\theta$ , but the dependence was very slight (see Fig. 17). Correspondingly, Fig. 19 shows higher SCMC concentrations and for these high-viscosity disperse phases, the filament is present even at high  $\theta$ . Thus increasing  $\theta$  merely shortens the filament and does not remove it. As there is no change in the mechanism, the effect of  $\theta$  is weaker at these higher SCMC concentrations. Indeed for the highest SCMC concentrations the filament is already so long that the corner has virtually no effect. The solutions, in these cases, appear to be self-similar, with  $\theta$  determining the downstream position of the detachment but with little effect on the detachment dynamics. Hence, once the initial filament is formed,  $\theta$  has very little effect on the droplet size.

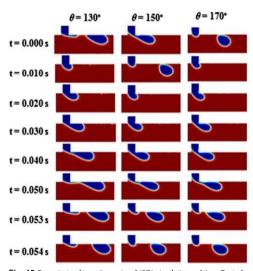


Fig. 18 Snapshots of two-dimensional (2D) simulations of the effect of contact angle on 0.20 wt% SCMC-in-olive oil droplet breakup process at different wetting conditions: (a)  $\theta$  = 130° (b) 150° (c)  $\theta$  = 170° (for system:  $Q_d$  = 0.10 ml/h;  $Q_c$  = 2.00 ml/h).

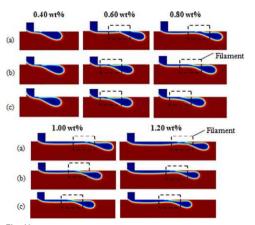


Fig. 19 Filament thinning profile prior to pinch-off for SCMC concentrations above 0.40 wt% at contact angle: (a) 130°, (b) 150°, (c) 170° (for system: Q<sub>d</sub>/Q<sub>c</sub> = 0.05). The dashed box denotes the region where the formation of thin filament.

Our above findings are confirmed in Fig. 20, which shows the variation in droplet diameter for various concentrations at fixed  $\theta$ . Here, the droplet diameter decreases with increased SCMC concentration, for the reasons discussed in Section 5.2, and  $\theta$  has less influence over the droplet diameter at higher viscosity because the droplets form via a downstream filament for all  $\theta$  values.

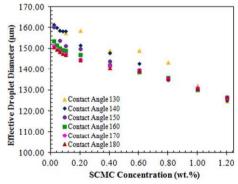


Fig. 20 Variation in effective droplet diameter of SCMC droplets for various SCMC concentrations at each wetting condition (for system: Q<sub>d</sub> = 0.10 ml/h; Q<sub>r</sub> = 2.00 ml/h).

Fig. 21 illustrates the pressure profile of 0.20 wt% SCMC droplet breakup at different wetting conditions. On the basis of the results shown in Fig. 21, smaller pressure peaks for the breakup process were found for the smaller 0. This is because the wettability drives the fluid toward the surface, thus the contact area between the droplets and solid surface increases which contributes to an elongation of the filament prior to droplet pinch off.

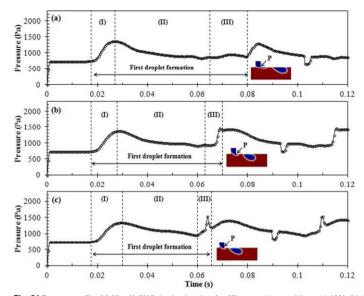


Fig. 21 Pressure profile of 0.20 wt% CMC droplets breakup for different wetting conditions: (a) 130°, (b) 150°, (c) 170° (for system: Q<sub>d</sub> = 0.10 ml/h). P denotes the pressure at the corner of T-junction. The sharp peak in the pressure reflects the droplet breakup point as shown in the extracted images. The pressure peak becomes insignificant when the detachment point is located downstream of the junction.

#### 5.7 Effect of interfacial tension

By considering the resisting force in the process of emulsification, it is generally accepted that interfacial tension is a significant element that markedly influences the evolution of the interface when droplets are forming Wehking et at., 2014; Adamson and Gast, 1997). In our simulations, six groups of cases with increasing values of interfacial tension from 0.010 N/m to 0.035 N/m were simulated. To obtain preferentially complete wetting of the continuous phase, a contact angle  $\theta$  of 180° was employed. Fig. 22 illustrates the interfacial tension effect on the droplet diameter. The simulation predicts that the SCMC droplet diameter increases when the interfacial tension is increased, which is in agreement with the numerical results from Bashir et al. (2011) and Peng et al. (2011). The larger interfacial tension gives rise to a stronger driving force that attempts to minimize the surface area, ultimately inhibiting the break-up process. A larger interfacial force tends to delay the droplet formation process and resists the detachment of the droplets at higher surface tension (see Eq. (16)). Consequently, a larger droplet diameter is required to balance the cross-flow drag force and the interfacial tension. This is illustrated in Fig. 23, which shows a sequence of snapshots of droplet formation with the interfacial tension of 0.010 N/m, 0.020 N/m, and 0.035 N/m for 0.20 wt% concentration of SCMC.

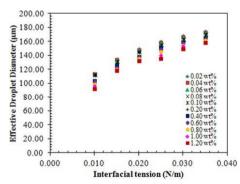


Fig. 22 Effect of interfacial tension on the effective droplet diameter of SCMC solutions (for system: Q<sub>d</sub> = 0.10 ml/h; Q<sub>c</sub> = 2.00 ml/h).

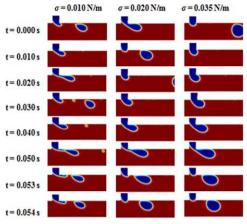


Fig. 23 Snapshots of two-dimensional (2D) simulations of the effect of interfacial tension on 0.20 wt% SCMC-in-olive oil droplet breakup: (a)  $\sigma$  = 0.010 N/m, (b)  $\sigma$  = 0.020 N/m, (c)  $\sigma$  = 0.035 N/m (for system:  $Q_d$  = 0.10 ml/h;  $Q_c$  = 2.00 ml/h).

According to Laplace's law, the interfacial tension forces necessitate a pressure drop across the interface to maintain the interface at equilibrium against collapse. As described by the Young-Laplace law (Adamson and Gast, 1997), the pressure difference across a fluid interface is directly proportional to the interfacial tension and inversely proportional to the radius of curvature. Therefore, the higher the surface tension, the larger the required pressure difference across the interface. Fig. 24 illustrates the plot of pressure profile for the 0.04 wt% SCMC solution at the corner of the T-junction at different interfacial tension. Here, higher interfacial tension leads to a greater pressure peak when the droplets break-up. A study made by Bashir et al. (2011) has reported that the tension across the interface of two phases may not be sufficient to prevent filament formation at lower interfacial tensions.

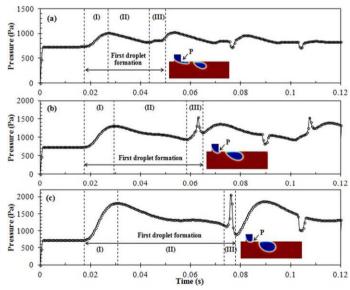


Fig. 24 Pressure profile of during 0.20 wt% SCMC droplet breakup for different interfacial tensions: (a)  $\sigma$  = 0.010 N/m, (b)  $\sigma$  = 0.020 N/m, (c)  $\sigma$  = 0.035 N/m (for system:  $Q_d$  = 0.10 ml/h). P denotes the pressure at the corner of T-junction. The sharp peak with given data values reflects the droplet breakup point a shown in the extracted images. The pressure peak becomes insignificant when the detachment point is located downstream of the junction.

# **6 Conclusions**

Emulsifying non-Newtonian solution in microfluidics in a controllable manner is one of the most difficult and least understood emulsification problems. The droplet formation of SCMC droplets in a Newtonian continuum was investigated for different flow conditions such as the volumetric flow rates of the continuous and dispersed phases, SCMC polymer concentration, continuous phase viscosity, interfacial tension, and contact angle. With thorough parametric studies, the evolution of SCMC droplet diameter and pressure

distribution as function of these controlling parameters was elaborated.

We varied the SCMC concentration, which modified the rheology of the disperse phase, increasing the viscosity and degree of shear thinning with increased SCMC concentration. We investigated the effect on droplet size. The strongest effects on the droplet size come from the continuous phase properties and flow rate, the interfacial tension and the contact angle. However, the disperse phase rate and rheology has a smaller, but still important effect. The effect of the disperse phase rheology is manifest in the viscosity ratio, which influences the mechanism by controlling the appearance and length of the downstream filament and has a direct effect on the droplet diameter. Diameters generally get smaller with increased SCMC concentration. Differences between the SCMC fluids become smaller with increased flow rates because stronger shear thinning in the more concentrated fluids narrows the difference in effective viscosity at higher flow rates.

All of these effects can be explained qualitatively by the idea that droplets detach when the drag on the droplet from the continuous phase balances the interfacial force that joins the droplet to the bulk region of disperse phase. Increasing the continuous phase viscosity or flow rate increase the drag force. Conversely, increasing the interfacial tension increases the force resisting detachment. The effect of the disperse phase viscosity is less direct. As the disperse phase viscosity decreases, internal flows within the droplet become possible and these lower the drag on the droplet. This explains the direction of the changes in droplet size with  $\eta_d$ . However, based on the modelling of Husny and Cooper-White (2006), the effect is expected to be fairly modest and may not fully explain the changes in droplet size seen in our simulations. For example Fig. 8 shows a fairly marked drop in the diameter between de-ionised water and 0.04 wt% SCMC solution. This may be because, even for Newtonian fluids, the full effect of is not correctly captured, perhaps because the walls interrupt the flow past the droplet in ways that are not included in the model of Husny and Cooper-White. Alternatively there may be qualitatively new effects that are introduced by shear thinning. Candidates for these non-Newtonian flow effects include the following. We assumed that the effective viscosity inside the droplet is determined by the wall shear rate in the continuous phase. However the internal flow in the droplet may be lower than this, meaning that the true  $\eta_d$  is lower than our estimate, which would lead to smaller droplets. Secondly, shear thinning may drastically change the nature of the internal flow and give greater drag and hence smaller droplets.

Finally, we contrasted our finding with the experiments of Husny and Cooper-White (2006), who studied Boger fluids. By varying the elasticity at fixed  $\eta_{dr}$  Husny and Cooper-White (2006) changed the formation mechanism with negligible effect on the droplet diameter. In contrast, by varying the viscosity and shear thinning of the disperse phase, without elasticity, we showed a direct influence on the droplet size. The combination of these two studies shows the potential to tailor the droplet size and formation mechanism by controlling the shear-thinning and elasticity through choice of polymer concentration and molecular weight.

## 7 Uncited references

(Davidson et al., 2004; Hong and Cooper-White, 2009; Wehking et al., 2014).

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#### **Highlights**

- Quantitatively analysis of the Carreau-Yasuda droplet translocation in a microfluidic T-junction microfluidic cell.
   Quantitatively analyse the Carreau-Yasuda droplet translocation in a microfluidic T-junction
- Effects of fluid characteristics can be pronounced in the formation of shear-thinning droplet over an extensive range of fluid viscosity. Influenceof the fluid characteristics on droplet formation has been presented
- Revealed the evolution of pressure profile on droplet deformation and breakup under simple shear flow for non-Newtonian fluid system. Systempressure variation on droplet deformation and breakup is revealed
- . Conservative level-set approach are suited for non-Newtonian flow system in laminar flow regime. Assessed the suitability of conservative level-set method for non-Newtonian laminar flow
- . The shear-thinning properties has significant impact on the internal flow within the droplets leading to direct effect on the drag. Shear-thinning properties has significant impact on the droplets influencing the drag