

1 **Personalisation of Warfarin Therapy using Thermal Ink-Jet Printing**

2 Parameswara Rao Vuddanda<sup>1,2</sup>, Mustafa Alomari<sup>1</sup>, Cornelius C Dodoo<sup>1</sup>, Sarah J  
3 Trenfield<sup>1</sup>, Sitaram Velaga<sup>2</sup>, Abdul W Basit<sup>1</sup>, Simon Gaisford<sup>1\*</sup>

4 *<sup>1</sup>Department of Pharmaceutics, UCL School of Pharmacy, University College  
5 London, London, United Kingdom*

6 *<sup>2</sup>Pharmaceutical and Biomaterial Research Group, Department of Health Sciences,  
7 Luleå University of Technology, Luleå, Sweden*

8

9

10 **Corresponding author:**

11 Prof Simon Gaisford  
12 Department of Pharmaceutics  
13 UCL School of Pharmacy  
14 University College London  
15 London  
16 Email: s.gaisford@ucl.ac.uk  
17

18

19

20

21

22

23

24

25

26

27

28 **Abstract**

29 Warfarin is a widely used anticoagulant that is critical in reducing patient morbidity  
30 and mortality associated with thromboembolic disorders. However, its narrow  
31 therapeutic index and large inter-individual variability can lead to complex dosage  
32 regimes. Formulating warfarin as an orodispersible film (ODF) using thermal ink-jet  
33 (TIJ) printing could enable personalisation of therapy to simplify administration.  
34 Commercial TIJ printers are currently unsuitable for printing the milligram dosages,  
35 typically required for warfarin therapy. As such, this study aimed to modify a  
36 commercial TIJ printing system to formulate personalised warfarin ODFs containing  
37 therapeutic dosages. A TIJ printer was modified successfully with the printer  
38 functionality intact; the substrate (paper) rolling mechanism of the printer was  
39 replaced by printing onto a stationary stage. Free film substrates were composed of  
40 hydroxypropyl methylcellulose (20% w/w) and glycerol (3% w/w). The resulting  
41 ODFs were characterised for morphology, disintegration, solid-state properties and  
42 drug content. Printed film stability was assessed at 40°C/75% relative humidity for 30  
43 days. Therapeutic warfarin doses (1.25 and 2.5 mg) were successfully printed onto the  
44 film substrates. Excellent linearity was observed between the theoretical and  
45 measured dose by changing the warfarin feed concentration ( $R^2 = 0.9999$ ) and length  
46 of the print objective, i.e. the Y-value, ( $R^2 = 0.9998$ ). Rapid disintegration of the  
47 ODFs was achieved. As such, this study successfully formulated personalised  
48 warfarin ODFs using a modified TIJ printer, widening the range of applications for  
49 TIJ printing to formulate narrow therapeutic index drugs.

50

51 **Keywords:**

52 Warfarin, thermal ink-jet printing, personalised medicine, orodispersible films,  
53 hydroxypropyl methylcellulose

54

## 55 **1 Introduction**

56 Warfarin is the primary drug of choice for long-term anticoagulation in a variety of  
57 conditions, including venous thrombosis, pulmonary embolism and atrial fibrillation  
58 (1, 2). However, its narrow therapeutic index and large inter-individual variability  
59 create a number of challenges (3). Warfarin dosages must be individualised for each  
60 patient to ensure that the anticoagulant effect is safe and effective, typically reflected  
61 in an International Normalised Ratio (INR) range of 2-3 (4). Critically, inadequate  
62 control of INR can lead to severe adverse effects; under-anticoagulation can  
63 predispose patients to thrombosis, whereas over-anticoagulation can increase the risk  
64 of bleeding (3).

65 Despite the importance of maintaining warfarin within the therapeutic range, around  
66 50% of patients fail to achieve their target INR (5). Warfarin has also been listed in  
67 the top three most likely drugs to cause adverse reactions leading to hospital  
68 admissions (6). This could be partly explained by warfarin's inherently complex  
69 dosage regime and monitoring requirements. Therapeutic doses for different patients  
70 can vary widely, requiring anywhere between 4.5-77.25 mg per week (7, 8).  
71 However, commercially available warfarin tablets are manufactured in only a few  
72 fixed strengths (0.5mg, 1mg, 3mg and 5mg) (2). As such, patients are often required  
73 to take a combination of strengths, split tablets or take different dosages on alternate  
74 days. This increases the risk of patient confusion, medication errors and non-  
75 adherence, potentially leading to severe adverse effects or therapeutic failure (3, 9).

76 Personalised medicine has been suggested as a solution to ensure the safe and  
77 effective use of narrow therapeutic index drugs (10, 11). In the case of warfarin,  
78 tailored dosing has been estimated to prevent 85,000 serious bleeding events and save  
79 \$1.1 billion each year within the United States alone (12). As such, there is a major  
80 clinical need for the development of warfarin as a formulation that permits dose  
81 flexibility and personalisation.

82 Advances in personalised medicines demand precise, rapid and flexible  
83 manufacturing platforms capable of printing customised dosage forms directly at the  
84 point of care. Inkjet printing, a form of 2-Dimensional (2D) printing, has received  
85 increasing attention within pharmaceuticals. The general process involves dissolving

86 or suspending an active pharmaceutical ingredient into a liquid carrier in order to  
87 create an 'ink'. The small 'ink' droplets (2-180 pL) are then ejected from a nozzle  
88 onto a solid substrate using either thermal (TIJ) or piezoelectric ink-jets. Both  
89 techniques have previously been used to deposit active pharmaceutical ingredients  
90 onto edible substrates (13, 14) (15-17). A thermal inkjet printer was utilised for this  
91 work.

92 In brief, a TIJ system is comprised of a print head on a cartridge which serves as a  
93 reservoir for the 'ink'. A current is pulsed through a resistive element in the print  
94 head, causing an internal temperature rise and subsequent vaporisation, nucleation  
95 and expansion of a bubble, which imparts sufficient energy to eject a droplet. The  
96 droplet is then precisely deposited onto a solid substrate; this has enabled inkjet  
97 printing to find numerous pharmaceutical applications. To date, this technology has  
98 been used to coat and load drug-eluting stents (18), to coat transdermal microneedles  
99 (13) and to manufacture drug-loaded microparticles (14, 19).

100 In the context of personalised medicines, TIJ could be used to print a variety of  
101 individualised dosages onto an edible substrate, such as orodispersible films (ODFs).  
102 This concept was demonstrated by Buanz. *et al.*, whereby a highly potent drug  
103 (salbutamol sulphate; 40µg/cm<sup>2</sup> per print pass) was printed onto an edible potato  
104 starch film (16). However, commercially available TIJ printers are only able to  
105 deposit very low doses (approximately a maximum of 35 µg/print cycle). As such,  
106 this technology is currently only suitable for formulating highly potent drugs (20).

107 This provides a challenge when attempting to formulate narrow therapeutic index  
108 drugs that typically require dosing within the milligram range, such as warfarin.  
109 Researchers have attempted to increase drug deposition via a number of approaches,  
110 for example by using multiple printing cycles (21) and higher feed concentrations  
111 (22). However, challenges surrounding non-linearity of drug deposition and  
112 crystallisation of active pharmaceutical ingredient were found. To extend the  
113 applications of TIJ, it is clear that a novel method to increase the amount of drug  
114 deposition is required.

115 As such, this study describes the modification of a commercial TIJ printing system to  
116 formulate customised warfarin ODFs (up to milligram dosages). The resulting ODFs  
117 were characterised and evaluated for drug content and stability.

## 118 2 **Materials and Methods**

### 119 **2.1 Materials**

120 Sodium warfarin was obtained from LKT Labs, UK; hydroxypropyl methylcellulose  
121 (HPMC) 6cp, i.e., Pharmacoat® 606 was obtained from Shin-Etsu, Japan; glycerol  
122 was from VWR chemicals, UK; and the fluoropolymer coated polyester film, Scotch  
123 pack release liner 1022, was from 3M Inc, US. Fast Green dye was purchased from  
124 Alfa Aesar, UK. The water used in all experiments was ultrapure water.

125

### 126 **2.2 Printer modification and evaluating robustness**

127 A Hewlett-Packard printer (HP 5940 Deskjet, USA, Figure 1) was used in this work.  
128 This printer was modified such that rather than the substrate (generally paper in the  
129 unmodified printer) passing through the printer's rollers during operation, printing  
130 was done onto a stage mounted underneath the cartridge print head. Briefly, the  
131 modification process involved the careful removal of some physical parts of the  
132 printer to make room for fixing a stationary stage under the cartridge print head as  
133 shown in Figure 1. Key sensors were also identified, carefully isolated so as not to  
134 damage these, and manually activated appropriately to ensure normal printer  
135 functioning.

136

137 HP 337 black cartridges were used in this work: these were modified by cutting off  
138 the top, draining the ink, and rinsing several times with deionised water until clear.  
139 The cartridge nozzles were then submerged in deionised water: ethanol solution (2:1)  
140 for 5 minutes.

141

142 An experiment to evaluate any potential inter- or intra-cartridge variations due to the  
143 modification was conducted. Three modified HP 337 black cartridges were used for  
144 this experiment. 1 mg/ml Fast Green dye solution was used as the "ink" for printing. 1  
145 cm x 1 cm squares were printed in triplicate for each cartridge onto the clear acetate  
146 sheets. The print-outs were then carefully cut and immersed in 1 mL deionised water  
147 to dissolve the dye. The dye solutions were vortexed to ensure complete dissolution

148 after which high-performance liquid chromatography (HPLC) analysis was  
149 conducted.

150

151 The liquid chromatographic system used was Agilent Technologies 1200 series with  
152 quaternary pump and degasser. The column used was a Phenomenex C<sub>18</sub> column (150  
153 mm x 3.90 mm, 5 μm). A gradient system was adopted with acetonitrile HPLC grade  
154 as the organic phase and 55 mM acetate buffer (pH 5 ± 0.02) as the aqueous phase at  
155 a flow rate of 1 mL/min for 10 minutes. The gradient system consisted of 15%  
156 acetonitrile and 85% buffer for 6 minutes then 60% acetonitrile and 40% buffer for a  
157 minute after which 15% acetonitrile and 85% buffer run again for 3 minutes. An  
158 injection volume 10 μL was used with the column temperature set at 30 °C. A  
159 wavelength of 600 nm was used for detection.

160

### 161 **2.3 Film Preparation**

162 The placebo film gel was composed of HPMC and glycerol. Glycerol (3% w/w) was  
163 first dissolved in water at room temperature, followed by gradual addition of HPMC  
164 (20%w/w) under continuous stirring at room temperature. The resulting viscous  
165 solution (10 g) was stirred for 4 hours until a homogenous gel was formed. The gel  
166 was left to stand for 2 hours to eliminate any air bubbles trapped.

167 Placebo films were casted on a fluoropolymer coated polyester sheet using an  
168 automated film applicator (Coatmaster 510, Erichsen, Sweden) equipped with an  
169 adjustable coating blade. A fixed wet film thickness (1000 μm) and casting speed (5  
170 mm/sec) were used. The casted films were dried in an oven for 40 min at 60°C  
171 (Binder, Sweden), followed by storage in a desiccator (23°C/40% relative humidity).  
172 The resulting film sheets were used as substrates for printing.

173

### 174 **2.4 Printing of warfarin onto Films**

175 Amounts of warfarin deposited onto a substrate can generally be varied by using  
176 different cartridge concentrations or by modifying the dimensions of the templates to  
177 be printed. In modifying the dimensions of the templates, a series of rectangles having

178 the same width but variations in their height were deposited onto the same unit area.  
179 This resulted in an increase in the amount of material deposited and the concept is  
180 referred to as ‘Y-value’. An example of the Y-value concept is illustrated in Figure 2,  
181 where three black rectangles have the same width (0.5 cm) but Y-value (length of the  
182 print objective) changed from 0.5 cm to 1.5 cm in this scenario. Printing these  
183 templates onto a fixed area results in a linear increment in the volume of solution  
184 deposited. A variety of warfarin doses were printed on substrates, customised by  
185 changing the Y-value (1 – 7 cm). The printed films were dried at ambient conditions.  
186 Aqueous solutions of warfarin of varying concentrations (10, 40, 80, 160 and 300  
187 mg/mL) were also printed using 1cm x 1cm templates.

188

## 189 **2.5 Spray drying**

190 300 mg warfarin and 900 mg HPMC were dissolved in 50 mL of water. The resultant  
191 aqueous solution was spray dried using a Buchi 190 Spray dryer (Switzerland) in an  
192 open configuration with air as the drying gas. The processing conditions were: air  
193 flow 357 L h<sup>-1</sup>, aspiration rate 100% and solution feed rate 5 mL min<sup>-1</sup>. The inlet  
194 temperature was fixed at 130°C for water. The outlet temperatures were in the range  
195 of 50–55°C.

196

## 197 **2.6 Characterisation of Films**

### 198 **2.6.1 Drug Content Analysis**

199 Films were dissolved in water under stirring for 1 hour (1 cm<sup>2</sup> in 50 mL). Solutions  
200 were filtered through a 0.45µm filter (Millex syringe-driven filter unit, Millipor Ltd.,  
201 Ireland). The filtrate was analysed using the HPLC method described in Section 2.2  
202 and detection performed at a wavelength of 214 nm.

### 203 **2.6.2 Film Thickness and Disintegration**

204 The thickness of the films (1cm<sup>2</sup>) was measured using a digital micrometer (Cokraft®,  
205 Digital caliper, Sweden) at three points of each sample, and reported as mean ± SD.  
206 The disintegration time of the films was then evaluated by a modified Petri dish  
207 method (23). Samples (1×1 cm<sup>2</sup>) were placed in a Petri dish containing 2 mL of water

208 and shaken at 60 rpm using orbital shaker water bath at  $37 \pm 1$  °C. The time until film  
209 disintegration or disruption was recorded.

### 210 **2.6.3 Contact angle**

211 The contact angle of the warfarin droplets on the placebo substrates (1 x 4 cm) was  
212 measured using the DSA100 drop shape analyser (KRÜSS GmbH, Hamburg,  
213 Germany). The contact angle was measured immediately after the drop was deposited  
214 onto the substrate. The behaviour of the printing solution on the substrate was  
215 captured using the video camera within the DSA100.

### 216 **2.6.4 Viscosity of the warfarin ink solution**

217 The viscosity of the warfarin ink solution range of 10 – 300 mg/ml was measured  
218 using an Anton Paar rolling ball microviscometer (Anton Paar, Graz, Austria).  
219 Samples were transferred to a glass viscometry capillary (1.6 mm diameter)  
220 containing a steel ball. Viscosity was determined as the time taken for the ball to fall  
221 25 cm through the sample at an angle of 50, 60 and 70° to the horizontal; each  
222 automated, timed determination was performed four times. The measurements were  
223 performed at 25°C.

### 224 **2.6.5 Solid state properties**

225 Thermal analyses were performed using differential scanning calorimetry (DSC) and  
226 thermogravimetric analysis (TGA). DSC was performed using a differential scanning  
227 calorimeter (DSC Q1000, TA Instruments, USA) and each sample (>5 mg) was  
228 placed in a hermetically-sealed aluminium pan with a pinhole lid. The following heat-  
229 cool-heat cycles were performed with a nitrogen purge gas (50 mL/min): 1. The  
230 sample was heated from 25°C to 100°C at 10°C/min (to remove water content). 2.  
231 The sample was cooled from 100°C to 0°C without ramp. 3. The sample was re-  
232 heated from 0°C to 200°C at 10 °C/min above the melting temperature of warfarin.  
233 An empty pan was used as a reference and the instrument was previously calibrated  
234 for temperature and heat capacities using indium and sapphire. DSC results were  
235 analysed using Universal Analysis software (TA instruments, USA). TGA  
236 measurements were performed using a TGA instrument (TA instruments, USA).  
237 Approximately 5-8 mg of the film was heated from 25 to 150°C at 10°C/min using  
238 nitrogen as a purge gas (50 mL/min). Data collection and analysis were performed  
239 using Universal Analysis software (TA instruments, USA).



240 **2.6.6 Attenuated Total Reflection-Fourier Transform Infrared Spectroscopy**  
241 **(ATR-FTIR)**

242 Attenuated Total Reflection-Fourier Transform Infrared Spectroscopy (ATR-FTIR)  
243 was performed with a Perkin-Elmer Spectrum 100 FTIR Spectrometer using the  
244 universal diamond ATR attachment. The spectra were collected in the range of 4000-  
245 650cm<sup>-1</sup> at ambient conditions using a minimum number of four scans per sample.  
246 Spectra were analysed with Spectrum Express software.

247 **2.6.7 Surface morphology**

248 Surface and cross-section morphology of films were captured with an FEI Inspect F50  
249 Scanning Electron Microscope (SEM) (FEI, Hillsboro, OR, USA). Film cross-  
250 sections were immersed in liquid nitrogen; through a fracture by freezing method,  
251 clean-cut edges were ensured and plastic deformation avoided. These were then fixed  
252 on aluminium stubs by conductive carbon tape, and sputter-coated with gold (approx.  
253 10–12 nm) in a high vacuum evaporator (108 Auto, Cressington Scientific  
254 Instruments Ltd, UK).

255 Polarised light microscopy (PLM) was performed using a Nikon microphoto-FXA  
256 light microscope to collect optical images with an Infinity 2 digital camera and  
257 capture application software (version 3.7.5).

258 **2.6.8 Film Stability**

259 To evaluate the film stability, samples of warfarin-printed films were packed in  
260 polyethylene-sealed pouches and stored in a glass desiccator (40°C/75% relative  
261 humidity) for 30 days. Drug recrystallisation, moisture content, surface morphology,  
262 drug content and disintegration time were examined on day 30.

263 **2.7 Statistical analysis**

264 Student t-test for two group comparisons and one-way ANOVA with Tukey's post  
265 hoc multiple comparisons were used to determine statistically significant differences  
266 (p-value<0.05).

267

268

269

### 270 3 Results and Discussion

271 The thermal ink-jet printer used in this work was the Hewlett-Packard (HP) 5940  
272 Deskjet. The printer was modified such that rather than the substrate (paper in the  
273 unmodified printer) passing through the printer's rollers during operation, printing  
274 was done onto a stage mounted underneath the cartridge print head without the printer  
275 detecting the absence of paper. The key point with the printer modification is to  
276 identify the printer's sensors and manually activate these when needed.

277 The modifications to the printer mean that when printing an image, the substrate does  
278 not move vertically. Changing the volume of solution being deposited is achieved  
279 simply by changing the dimensions of the rectangular template used to initiate  
280 printing. The width of the rectangle is kept fixed and the height varied for the series of  
281 rectangles. Since the height is conventionally the y-axis, we denote this term as the  
282 'Y-value'; with each y-value corresponding to the height of the rectangle in cm.

283 The modified printer maintained its functionality and an evaluation of its robustness  
284 was conducted using the relative standard deviation (RSD) values. RSD values of  
285 2.17%, 0.25%, and 0.87% were obtained for the three cartridges (Table 1). These low  
286 values (less than 5% RSD) indicated the repeatability and precision of the printing  
287 procedure, highlighting the robust nature of the modified inkjet.

288 Using a modified TIJ printer platform, a variety of warfarin doses were successfully  
289 deposited onto film substrates. The amount of drug deposited was altered using two  
290 main methods; by changing the feed concentration and the Y-values.

291 Firstly, the concentration of warfarin within the initial feed solution was varied (10,  
292 40, 80, 160 and 300 mg/mL) using a 1cm<sup>2</sup> template. In this case, an excellent linear  
293 correlation was found between the feed solution concentration and warfarin dose  
294 deposition ( $R^2 = 0.9999$ ) (Figure 3). Furthermore, highly precise and accurate doses  
295 of warfarin were deposited. These results indicate that the printing mechanism of TIJ  
296 (which involves rapid localised heating) was not affecting drug stability neither did  
297 the printer modification affect droplet reproducibility.

298 One challenge of using concentrated feed solutions is that there is a risk of drug  
299 crystallisation on the nozzle tip (and nozzle blockage), especially if the drug is at or

300 above saturated conditions (22). Another consideration for drop placement and  
301 accuracy is liquid viscosity (11). The viscosity of the different ink solutions of  
302 warfarin (10 – 300 mg/mL) were between 1.10 – 1.31 mPa.S, which are in line with  
303 the literature of thermal inkjet printing solutions (16).

304 Secondly, the Y-values (with a width of 1 cm) were varied (1-7cm) whilst  
305 maintaining a constant warfarin feed concentration (300 mg/mL). The time taken for  
306 printing of the highest length (7 cm) was  $23 \pm 1$  sec. The correlation between Y-  
307 values and dose deposited also demonstrated good linearity, high precision and  
308 accuracy ( $R^2 = 0.9998$ ) (Figure 4). At a feed concentration of 300 mg/mL and a Y-  
309 value of 7 cm, warfarin doses of  $2.5 \text{ mg/cm}^2$  were successfully printed. However, it  
310 was observed that surface erosion and deformation of the substrate occurred at Y-  
311 values above 7 cm. This was likely due to the films being composed of a water-  
312 soluble polymer (HPMC) that may have dissolved in the aqueous environment,  
313 causing film instability and breakdown.

314 Encouragingly, the modified TIJ printer deposited therapeutic dosages of warfarin  
315 ( $2.5 \text{ mg/cm}^2$  using a 300 mg/mL cartridge concentration) onto substrates. This means  
316 that the physical dimensions of printed films ( $2 \times 1$  cm) contained warfarin doses  
317 equivalent to widely prescribed therapeutic doses (5 mg). Commercial TIJ printers are  
318 only able to deposit very low doses, making this technology currently only suitable  
319 for high potency drugs. For example, Buanz. *et al.* could print  $40 \mu\text{g}$  of salbutamol  
320 sulphate onto an ODF platform (16). In this current study, by increasing the print  
321 objective Y-value, a higher amount of warfarin solution, and hence dose, was  
322 deposited. This extends the applications of TIJ printing towards formulating narrow  
323 therapeutic index drugs.

324 Printed films containing a  $2.5 \text{ mg/cm}^2$  dose of warfarin were found to have a  
325 thickness of  $72 \pm 2 \mu\text{m}$ ). No significant differences were observed when compared to  
326 the free film substrate thickness ( $70 \pm 1 \mu\text{m}$ ).

327 Disintegration time is considered one of the most important characteristics for the  
328 performance of ODFs. Typical disintegration times for ODFs range from 5 s to 30 s.  
329 However, there is no official method to determine disintegration of ODFs, which  
330 makes a comparison between various publications difficult. There have been many  
331 attempts at modelling *in vivo* conditions to evaluate ODF disintegration, such as the

332 Petri dish method and the slide frame method (25). Within this study, a modified Petri  
333 dish method was used to assess disintegration time.

334 In general, disintegration/dissolution of ODFs is dependent on surface tension,  
335 wettability, porosity, thickness, disintegration media and molecular interactions (26,  
336 27). Vuddanda. *et al.* observed significant differences in the disintegration time of  
337 ondansetron ODFs that possessed different microstructures (28). Furthermore, Preis.  
338 *et al.* reported different disintegration times for films prepared with different  
339 combinations of polymers (29). In this study, warfarin-printed films containing  
340 different doses (1.25 and 2.5 mg) and the free film substrate all disintegrated within  
341 45 seconds. These results also indicate that disintegration was not significantly  
342 affected by the presence of warfarin. The printed film disintegration times are in  
343 agreement with ODFs composed of HPMC reported in literature (30).

344 To determine the surface interaction between the feed solution and film substrates, the  
345 contact angle of the warfarin printing solution (300 mg/mL) was measured. Following  
346 immediate deposition on the substrate, the contact angle was  $38.18 \pm 1^\circ$ . The  
347 deposited droplet rapidly penetrated the surface of the substrate, suggesting the  
348 warfarin was absorbed into the substrate matrix. Neither surface erosion nor  
349 dissolution of the substrate was visually observed at the printing site during contact  
350 angle analysis.

351 DSC thermograms of samples are shown in Figure 5. A broad endothermic peak at  
352  $T_{\text{onset}} (\Delta H_f) = 190.6^\circ\text{C}$  was observed in the DSC thermogram of pure warfarin and  
353 physical mixture (1:3), indicating that warfarin was in the crystalline state and could  
354 be detected by DSC. Interestingly, for the TIJ printed films, no melting peak was  
355 observed, indicating that warfarin was present in the amorphous phase (31). This may  
356 be due to HPMC inhibiting the crystal growth in solid dispersions by preventing  
357 molecular mobility due to its complex polymer network (32, 33).

358 To further explore this, a solid dispersion of warfarin and HPMC (1:3) was prepared  
359 by spray drying. The drug content was equivalent to that of the printed films  
360 containing  $2.5\text{ mg/cm}^2$ . DSC has been found to be an appropriate technique to  
361 determine drug solubility within a polymer (34). The characteristic warfarin  
362 recrystallisation peak was not observed in the thermogram of the spray dried solid

363 dispersion (**Error! Reference source not found.**), confirming that warfarin was  
364 present in the amorphous state within the HPMC polymer substrate.

365 Water content was analysed based on the weight loss of films using TGA. The weight  
366 loss of the warfarin-HPMC spray-dried product was 0.40%, confirming water  
367 removal during the spray drying process. For film substrates and freshly printed films,  
368 the observed weight losses were 0.86% and 1.14%, respectively (Figure 6). In the  
369 case of printed films kept under accelerated storage conditions for 30 days (40 °C/  
370 75% relative humidity), weight losses were higher at 3.05%. This was likely due to  
371 the higher moisture content within the storage environment.

372 The ATR-FTIR spectra for warfarin (pure), spray dried warfarin-HPMC and TIJ  
373 printed warfarin films (freshly printed and stability) are shown in **Error! Reference**  
374 **source not found.** ATR-FTIR spectrum of pure warfarin showed the following  
375 characteristic sharp intense bands; the stretching of lactone C=O bond is observed at  
376  $1681\text{ cm}^{-1}$ , the asymmetric bending vibrations of  $\text{CH}_3$  group are observed at  $1451\text{ cm}^{-1}$   
377 and the out-of-plane bending vibrations of C-H of phenyl rings are observed at  $762\text{ cm}^{-1}$ .  
378 The band at  $1223\text{ cm}^{-1}$  can be attributed to the hemiketal hydroxyl in-plane  
379 bending vibration (35). Noticeably, for warfarin-HPMC spray dried and printed films,  
380 vibrational bands with lower intensities and minor shifts of C-H of phenyl ( $760\text{ cm}^{-1}$ )  
381 and  $\text{CH}_3$  ( $1451\text{ cm}^{-1}$ ) were observed. Furthermore, bands at  $1223\text{ cm}^{-1}$  and  $1681\text{ cm}^{-1}$   
382 were completely diluted or showed a lower intensity in the spray dried and printed  
383 samples. These shifts in spectral bands could be attributed to the amorphisation of  
384 warfarin and/or possible intermolecular hydrogen bonding between warfarin and  
385 HPMC. Significant spectral shifts were not observed in the case of stability tested  
386 samples compared to freshly printed films.

387 The surface morphologies of both the free film substrate and warfarin printed films  
388 were observed using SEM (Figure 8). Figure 8a shows the free film substrate, which  
389 exhibited an irregular and porous microstructure compared to the freshly printed film,  
390 which showed a parallel and uniform droplet-printing pattern (Figure 8b). The cross-  
391 sectional SEM images qualitatively showed the homogenous microstructure of the  
392 HPMC polymer matrix substrate. Typical drug printing impressions can be observed  
393 on top of the substrate for printed warfarin films.

394 Polarised light microscopy (PLM) images of substrates and printed films were in  
395 agreement with SEM images Figure 9. Neither drug crystallisation nor surface  
396 deformation was observed. Buanz. *et al.* reported similar PLM images of clonidine  
397 printed films (36).

398 Warfarin printed films were stored at 40°C/75% relative humidity for 30 days. Drug  
399 content and disintegration time were not affected upon storage compared to freshly  
400 printed warfarin films (Table 2). Furthermore, drug recrystallisation or erosion of  
401 films was not observed in SEM images (surface and cross-section) or PLM images.  
402 This was supported by the absence of a characteristic warfarin melting point peak  
403 (~187°C) in the endotherm of printed film, confirming warfarin was present in the  
404 amorphous phase. This may be due to warfarin being dispersed at a molecular level or  
405 adequately solubilised within the HPMC substrate. However, marked changes  
406 (buckling with partial crumbling) on the surface microstructure occurred on storage  
407 (Figure 8 and Figure 9). As such, to be used clinically, moisture absorption  
408 preventative packaging might be required to retain the film's physical appearance for  
409 patient acceptance.

410

#### 411 **4 Conclusion**

412 Personalisation of warfarin therapy is critical to ensure patient safety and maintain  
413 therapeutic effect. This study successfully formulated warfarin ODFs in a range of  
414 therapeutic dosages (1.25mg and 2.5mg) using a modified TIJ printer. Doses were  
415 varied by changing the feed concentration and the length of the print objective (Y-  
416 value). In both cases, a linear relationship between the theoretical and measured  
417 warfarin dose was achieved, demonstrating a highly robust and accurate process.  
418 Compared to commercial TIJ printers, the modified system enabled a higher dose  
419 deposition, widening the range of applications to include formulating narrow  
420 therapeutic index drugs. This paper demonstrates the potential for TIJ printing to  
421 personalise warfarin therapy, reducing the risk of adverse effects and therapeutic  
422 failure.

423

424 **Acknowledgement**

425 Financial support for this work was provided by the Swedish Pharmaceutical Society,  
426 Sweden and University College London, UK.

427 **References**

- 428 1. Reynolds K, Valdes Jr R, Hartung B, M. L. Individualizing warfarin therapy.  
429 Personalised Medicine. 2007;4(1):11-31.
- 430 2. BNF. Warfarin Sodium 2017 [31 Jul 2017]. Available from:  
431 <https://www.medicinescomplete.com/mc/bnf/current/PHP1494-warfarin-sodium.htm>  
432 [- PHP1494-medicinalForms](#).
- 433 3. Kimmel SE. Warfarin therapy: in need of improvement after all these years. Expert  
434 opinion on pharmacotherapy. 2008;9(5):677-86.
- 435 4. Crowther MA, Ginsberg JB, Kearon C, et al. A randomized trial comparing 5-mg and  
436 10-mg warfarin loading doses. Archives of Internal Medicine. 1999;159(1):46-8.
- 437 5. Matchar DB, Samsa GP, Cohen SJ, Oddone EZ, Jurgelski AE. Improving the quality  
438 of anticoagulation of patients with atrial fibrillation in managed care organizations: results of  
439 the managing anticoagulation services trial. The American Journal of Medicine.  
440 2002;113(1):42-51.
- 441 6. Pirmohamed M, James S, Meakin S, Green C, Scott AK, Walley TJ, et al. Adverse  
442 drug reactions as cause of admission to hospital: prospective analysis of 18 820 patients.  
443 BMJ. 2004;329(7456):15-9.
- 444 7. Hsiao J WW. Dosage patenting in personalised medicine Boston College Intellectual  
445 Property & Technology Forum2012 [31 Jul 2017]. Available from: [http://bciptf.org/wp-](http://bciptf.org/wp-content/uploads/2012/06/Dosage_Patenting_in_Personalised_Medicine.pdf)  
446 [content/uploads/2012/06/Dosage\\_Patenting\\_in\\_Personalised\\_Medicine.pdf](#).
- 447 8. Wadelius M, Sorlin K, Wallerman O, Karlsson J, Yue QY, Magnusson PKE, et al.  
448 Warfarin sensitivity related to CYP2C9, CYP3A5, ABCB1 (MDR1) and other factors.  
449 Pharmacogenomics J. 2003;4(1):40-8.
- 450 9. Wong W, Wilson Norton J, Wittkowsky AK. Influence of warfarin regimen type on  
451 clinical and monitoring outcomes in stable patients in an anticoagulation management  
452 services. Pharmacotherapy. 1999;19(12):1385-91.
- 453 10. Mini E, Nobili S. Pharmacogenetics: implementing personalised medicine. Clin  
454 Cases Miner Bone Metab. 2009;6(1):17-24.
- 455 11. Alomari M, Mohamed FH, Basit AW, Gaisford S. Personalised dosing: Printing a  
456 dose of one's own medicine. International Journal of Pharmaceutics. 2015;494(2):568-77.
- 457 12. McWilliam A, A; L, C. N. Healthcare savings from personalizing medicine using  
458 genetic testing: the case of warfarin 2006 [31 Jul 2017]. Available from:  
459 <https://core.ac.uk/download/pdf/6665518.pdf>.
- 460 13. Uddin MJ, Scoutaris N, Klepetsanis P, Chowdhry B, Prausnitz MR, Douroumis D.  
461 Inkjet printing of transdermal microneedles for the delivery of anticancer agents. International  
462 Journal of Pharmaceutics. 2015;494(2):593-602.
- 463 14. Lee BK, Yun YH, Choi JS, Choi YC, Kim JD, Cho YW. Fabrication of drug-loaded  
464 polymer microparticles with arbitrary geometries using a piezoelectric inkjet printing system.  
465 International Journal of Pharmaceutics. 2012;427(2):305-10.
- 466 15. Meléndez PA, Kane KM, Ashvar CS, Albrecht M, Smith PA. Thermal inkjet  
467 application in the preparation of oral dosage forms: Dispensing of prednisolone solutions and  
468 polymorphic characterization by solid-state spectroscopic techniques. Journal of  
469 Pharmaceutical Sciences. 2008;97(7):2619-36.
- 470 16. Buanz AB, Saunders MH, Basit AW, Gaisford S. Preparation of personalised-dose  
471 salbutamol sulphate oral films with thermal ink-jet printing. Pharmaceutical research.  
472 2011;28(10):2386-92.
- 473 17. Vakili H, Wickstrom H, Desai D, Preis M, Sandler N. Application of a handheld NIR  
474 spectrometer in prediction of drug content in inkjet printed orodispersible formulations  
475 containing prednisolone and levothyroxine. Int J Pharm. 2017;524(1-2):414-23.
- 476 18. Tarcha PJ, Verlee D, Hui HW, Setesak J, Antohe B, Radulescu D, et al. The  
477 Application of Ink-Jet Technology for the Coating and Loading of Drug-Eluting Stents.  
478 Annals of Biomedical Engineering. 2007;35(10):1791-9.



- 479 19. Palmer D, Bamsey K, Groves R, Patil P, Jones H, McAleer L, et al. Printing particles:  
480 A high-throughput technique for the production of uniform, bioresorbable polymer  
481 microparticles and encapsulation of therapeutic peptides. *Chemical Engineering Science*.  
482 2017;166:122-9.
- 483 20. Alhnan MA, Okwuosa TC, Sadia M, Wan KW, Ahmed W, Arafat B. Emergence of  
484 3D Printed Dosage Forms: Opportunities and Challenges. *Pharm Res*. 2016;33(8):1817-32.
- 485 21. Genina N, Janßen EM, Breitenbach A, Breitzkreutz J, Sandler N. Evaluation of  
486 different substrates for inkjet printing of rasagiline mesylate. *European Journal of*  
487 *Pharmaceutics and Biopharmaceutics*. 2013;85(3):1075-83.
- 488 22. Rajjada D, Genina N, Fors D, Wisaeus E, Peltonen J, Rantanen J, et al. A Step  
489 Toward Development of Printable Dosage Forms for Poorly Soluble Drugs. *Journal of*  
490 *Pharmaceutical Sciences*. 2013;102(10):3694-704.
- 491 23. Garsuch V, Breitzkreutz J. Comparative investigations on different polymers for the  
492 preparation of fast-dissolving oral films. *Journal of Pharmacy and Pharmacology*.  
493 2010;62(4):539-45.
- 494 24. Sandler N, Maattanen A, Ihalainen P, Kronberg L, Meierjohann A, Viitala T, et al.  
495 Inkjet printing of drug substances and use of porous substrates-towards individualized dosing.  
496 *J Pharm Sci*. 2011;100(8):3386-95.
- 497 25. Irfan M, Rabel S, Bukhtar Q, Qadir MI, Jabeen F, Khan A. Orally disintegrating  
498 films: A modern expansion in drug delivery system. *Saudi Pharmaceutical Journal*.  
499 2016;24(5):537-46.
- 500 26. Miller-Chou BA, Koenig JL. A review of polymer dissolution. *Progress in Polymer*  
501 *Science*. 2003;28(8):1223-70.
- 502 27. Vuddanda PR, Montenegro-Nicolini M, Morales JO, Velaga S. Effect of plasticizers  
503 on the physico-mechanical properties of pullulan based pharmaceutical oral films. *European*  
504 *Journal of Pharmaceutical Sciences*. 2017;96:290-8.
- 505 28. Vuddanda PR, Mathew AP, Velaga S. Electrospun nanofiber mats for ultrafast  
506 release of ondansetron. *Reactive and Functional Polymers*. 2016;99:65-72.
- 507 29. Preis M, Gronkowsky D, Grytzan D, Breitzkreutz J. Comparative study on novel test  
508 systems to determine disintegration time of orodispersible films. *Journal of Pharmacy and*  
509 *Pharmacology*. 2014;66(8):1102-11.
- 510 30. Liew KB, Tan YTF, Peh KK. Characterization of Oral Disintegrating Film  
511 Containing Donepezil for Alzheimer Disease. *AAPS PharmSciTech*. 2012;13(1):134-42.
- 512 31. Gao D, Maurin MB. Physical chemical stability of warfarin sodium. *AAPS*  
513 *PharmSci*. 2001;3(1):18-25.
- 514 32. Ozaki S, Kushida I, Yamashita T, Hasebe T, Shirai O, Kano K. Inhibition of crystal  
515 nucleation and growth by water-soluble polymers and its impact on the supersaturation  
516 profiles of amorphous drugs. *Journal of Pharmaceutical Sciences*. 2013;102(7):2273-81.
- 517 33. Tajarobi F, Larsson A, Matic H, Abrahmsén-Alami S. The influence of crystallization  
518 inhibition of HPMC and HPMCAS on model substance dissolution and release in swellable  
519 matrix tablets. *European Journal of Pharmaceutics and Biopharmaceutics*. 2011;78(1):125-33.
- 520 34. Haddadin R, Qian F, Desikan S, Hussain M, Smith RL. Estimation of Drug Solubility  
521 in Polymers via Differential Scanning Calorimetry and Utilization of the Fox Equation.  
522 *Pharmaceutical Development and Technology*. 2009;14(1):19-27.
- 523 35. Parfenyuk EV, Dolinina ES. Development of Novel Warfarin-Silica Composite for  
524 Controlled Drug Release. *Pharmaceutical Research*. 2017;34(4):825-35.
- 525 36. Buanz ABM, Belaunde CC, Soutari N, Tuleu C, Gul MO, Gaisford S. Ink-jet printing  
526 versus solvent casting to prepare oral films: Effect on mechanical properties and physical  
527 stability. *International Journal of Pharmaceutics*. 2015;494(2):611-8.

528

529

530 **Tables**

531 Table 1: The area under curve for modified cartridges and the relative standard deviations for printed templates  
 532 using fast green dye (n=3)

Cartridge	Average Area Under Curve	Standard Deviation	Relative Standard Deviation
1	232.33	5.03	2.17 %
2	232.33	0.58	0.25 %
3	239.33	2.08	0.87 %

540

541

542 Table 2: Stability data of freshly printed warfarin films (2.50 mg/ cm<sup>2</sup>) and following 30 days' storage (n=3)

543

Parameters	Day 0	Day 30
Drug content (%)	99.82 ± 0.97	99.17 ± 1.02
Disintegration time (sec)	43± 2	47± 1
Disintegration time free film (sec)	42± 2	-

544 **Figures**

545

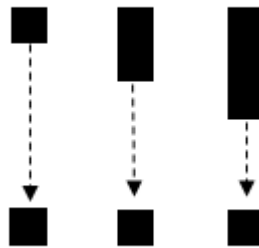


546

547 Figure 1: Image of out-of-the-box HP 5940 printer (left) and modified printer with stationary stage positioned  
548 (right)

549

550



551

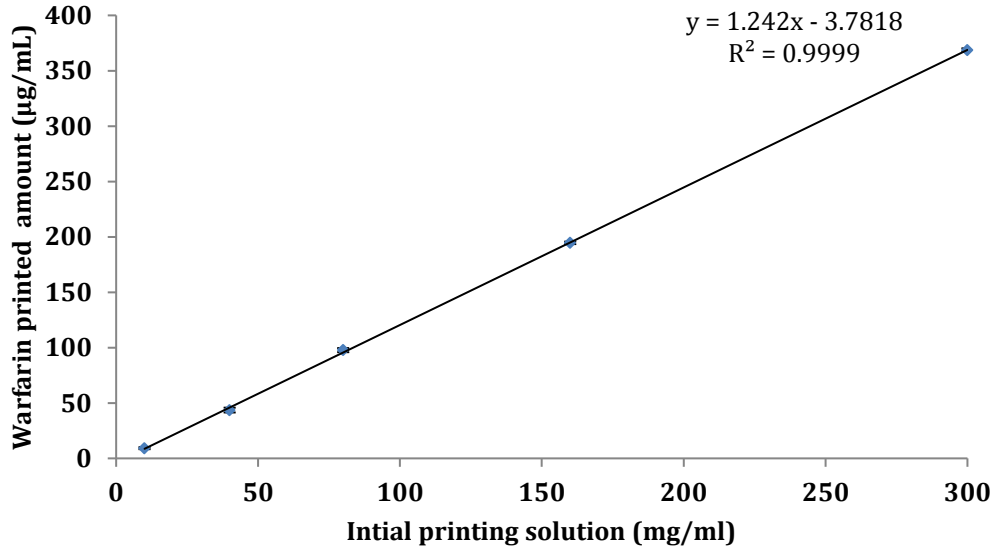
552 Figure 2: Illustration of the y-value concept

553

554

555

556

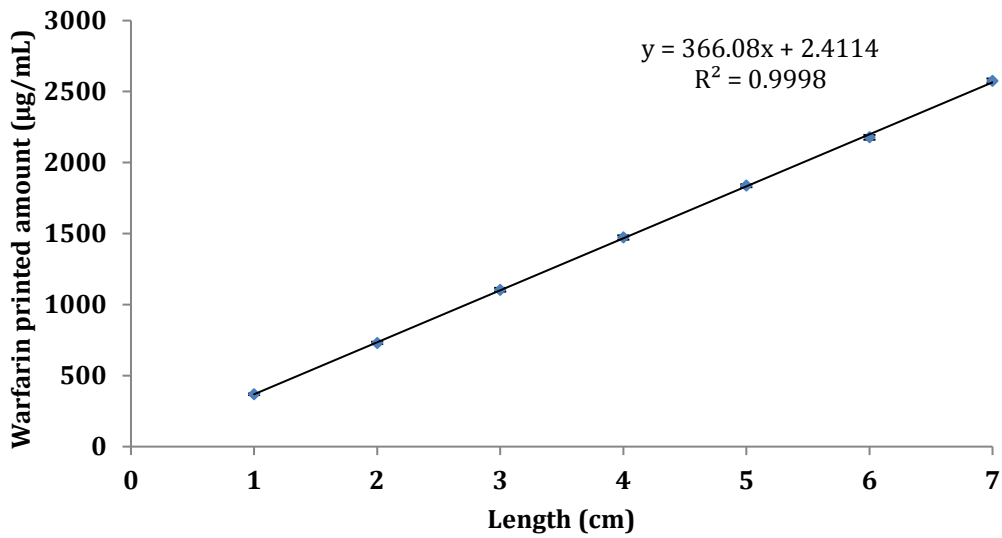


557

558 Figure 3: Amount of warfarin (µg/mL) printed onto free film substrates upon varying the feed solution  
 559 concentrations (mg/mL) using a 1cm<sup>2</sup> template (n=3).

560

561



562

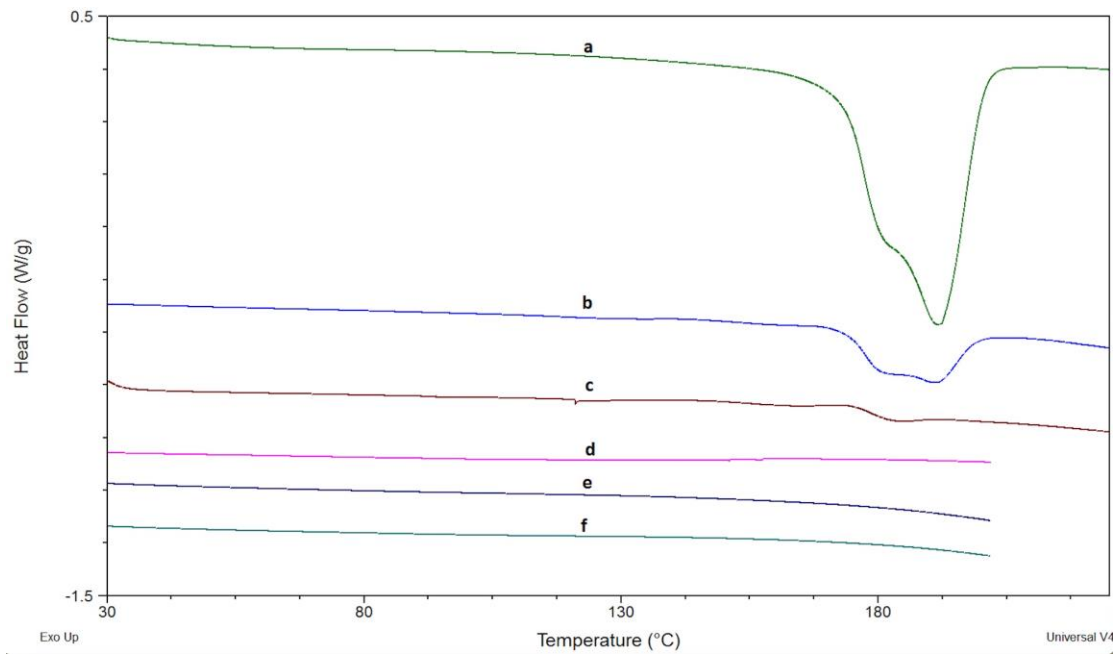
563 Figure 4: Amount of warfarin printed onto free film substrates upon varying Y-values at constant initial feed  
 564 concentration (300 mg/mL) (n=3)

565

566

567

568

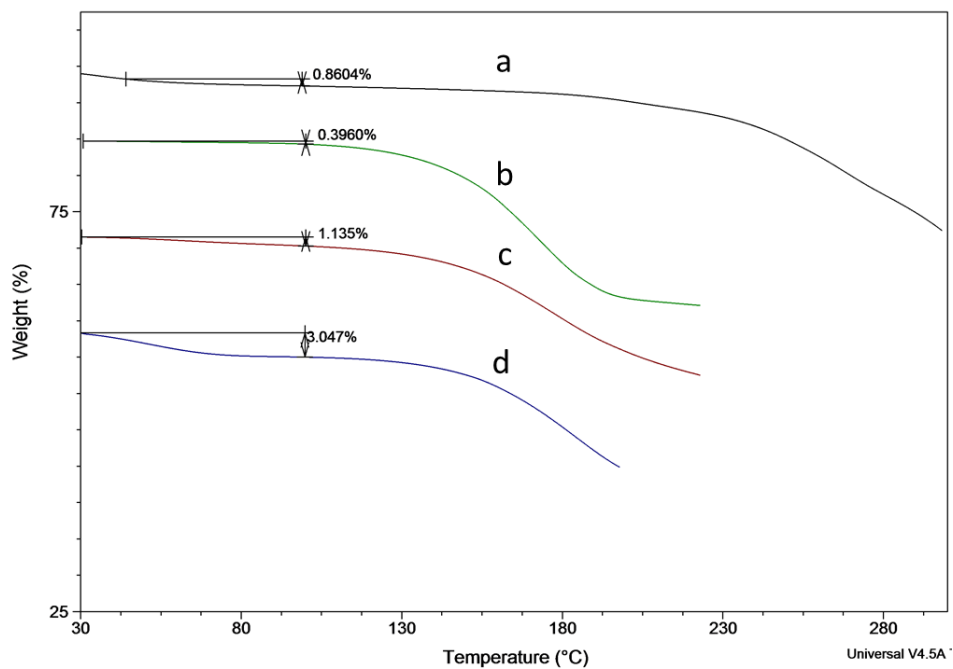


569

570 Figure 5: DSC thermograms depicting: a) warfarin (pure), b) Physical mixture of warfarin-HPMC (1:3 ratio), c)  
 571 HPMC free film substrate d) Spray dried warfarin-HPMC (1:3 ratio), e) Freshly printed warfarin films, and f)  
 572 warfarin printed films following 30 days' storage.  
 573

574

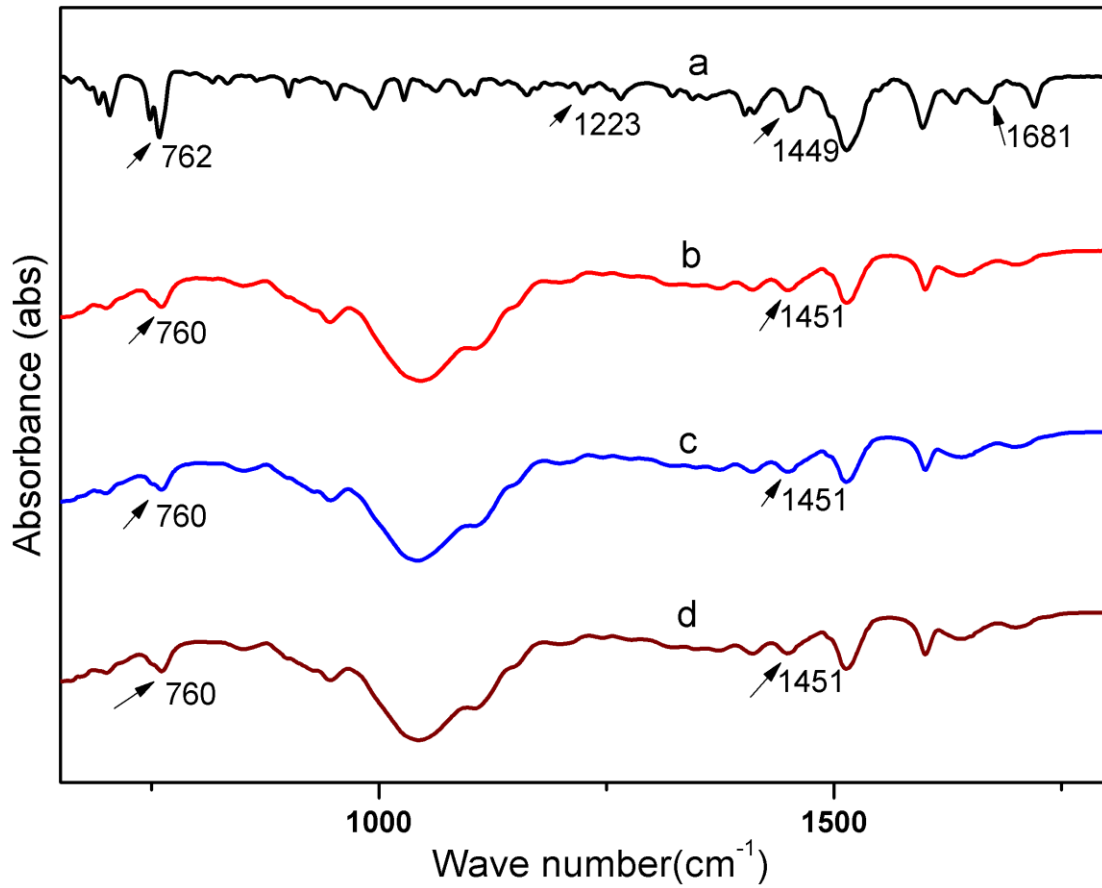
575



576

577 Figure 6: TGA thermograms depicting: a) HPMC free film substrate, b) Spray dried warfarin -HPMC (1:3 ratio),  
 578 c) Freshly printed warfarin films and d) warfarin printed films after 30 days' storage

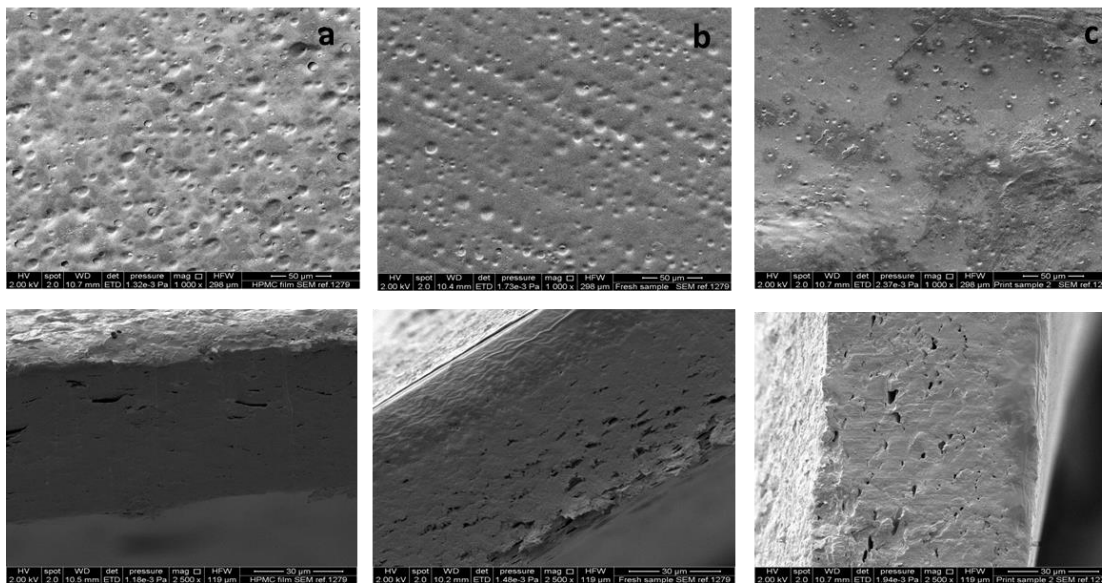
579



580

581 Figure 7: ATR-FTIR spectra of a) warfarin (pure), b) Spray dried warfarin-HPMC product (1:3 ratio), c) Freshly  
 582 printed warfarin film and d) warfarin printed films after 30 days' storage.

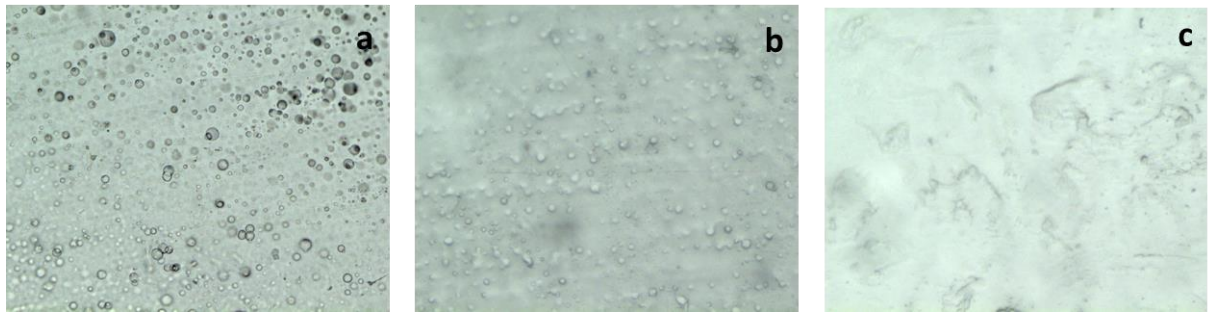
583



584

585 Figure 8: SEM images of a) HPMC free film substrate, b) Freshly printed warfarin films and c) warfarin printed  
 586 films after 30 days' storage. Surface micrographs (top) and cross sections (bottom) of each sample set.

587



588

589 Figure 9: PLM images of a) HPMC free film substrates, b) Freshly printed warfarin films and c) warfarin printed  
590 films after 30 days' storage.

591

592