Diagnostic Tools in Ocular Allergy

Andrea Leonardi<sup>1</sup>, Serge Doan<sup>2</sup>, Jean Luc Fauquert<sup>3</sup>, Banu Bozkurt<sup>4</sup>, Pia Allegri<sup>5</sup>, Farid Marmouz<sup>6</sup>, Carmen Rondon<sup>7</sup>, Monica Jedrzejczak<sup>8</sup>, Peter Hellings<sup>9</sup>, Luis Delgado<sup>10</sup>, Virginia Calder<sup>11</sup>

1 Department of Neuroscience, Ophthalmology Unit, University of Padua, Italy 2 Service d'Ophtalmologie, Hôpital Bichat and Fondation A. de Rothschild, Paris, France

3 CHU Estaing, Unité d'Allergologie de l'Enfant CHU Estaing 63003 Clermont-Ferrand Cedex1, France

4 Selcuk University, Faculty of Medicine, Department of Ophthalmology, Konya, Turkey

5 Ocular Inflammatory Diseases Referral Center, Allergic Conjunctivitis Unit, Rapallo Hospital Ophthalmology Department, Genova, Italy

6 Pole sanitaire du Vexin, 27140 Gisors & 1 Rue Thiers 95300 Pontoise, France 7 Allergy Unit, Regional University Hospital of Malaga, IBIMA, UMA, Malaga, Spain 8 Department of Immunology, Rheumatology, and Allergy, Medical University of Lodz, Poland

9 University Hospitals Leuven, Belgium

10 Laboratory of Immunology, Basic and Clinical Immunology Unit, and Center for Research in Health Technologies and Information Systems (CINTESIS), Faculty of Medicine, University of Porto, Porto, Portugal

11 Department of Ocular Biology & Therapeutics, UCL Institute of Ophthalmology, London, UK

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Corresponding author: Andrea Leonardi, MD Department of Neuroscience, Ophthalmology Unit, University of Padua via Giustiniani 2 35128 Padua, Italy Fax: +39-049-875 5168 email: andrea.leonardi@unipd.it

#### Abstract

Ocular allergy (OA) includes a group of common and less frequent hypersensitivity disorders frequently misdiagnosed and not properly managed. The diagnosis of OA is usually based on clinical history and signs and symptoms, with the support of in vivo and *in vitro* tests when identification of the specific allergen is required. To date, no specific test is available for the diagnosis of the whole spectrum of the different forms of OA. The lack of recommendations on diagnosis of OA is considered a medical need not only for allergists but also for ophthalmologists. This position paper aims to provide a comprehensive overview of the currently available tools for diagnosing OA to promote a common nomenclature and procedures to be used by different specialists. Questionnaires, sign and symptom grading scales, tests and potential biomarkers for OA are reviewed. We also identified several unmet needs in the diagnostic tools to generate interest, increase understanding and inspire further investigations. Tools, recommendations and algorithms for the diagnosis of OA are proposed for use by both allergists and ophthalmologists. Several unmet needs in the diagnostic tools should be further improved by specific clinical research in OA.

Key words:

Allergic conjunctivitis; biomarkers; diagnosis; ocular allergy; quality of life.

#### Introduction and Current Classification of Ocular Allergy

The term allergic conjunctivitis (AC) or ocular allergy (OA) refers to a collection of ocular surface disorders that affects the eyelid and conjunctiva. IgE- and non-IgEmediated hypersensitivity disorders include intermittent/seasonal and persistent/perennial allergic conjunctivitis (SAC and PAC according to the historical nomenclature and classification), the diseases vernal and atopic keratoconjunctivitis (VKC and AKC) and contact blepharoconjunctivitis (CBC) (1) (Table 1). These clinical subtypes are diagnosed and managed by ophthalmologists, allergists, pediatricians and rhinologists usually considering clinical history and signs and symptoms, aided by in vivo and in vitro tests when identification of the specific allergic sensitization is required (1). While clinical characteristics can provide a relatively convincing diagnosis, in their initial or chronic stages there can be some confusion as to which form of allergy is present. At times, pseudo-allergic forms, with clinical manifestations similar to allergy but with a non-allergic equivocal pathogenesis, are difficult to distinguish from OA forms. In fact, several ocular surface diseases, including tear film dysfunction, blepharitis, infections, toxic and mechanical conjunctivitis, may mimic the clinical pictures of OA (1). To date, there is no specific clinical and laboratory evaluation suitable for the diagnosis and monitoring of OA. Ancillary tests, such as skin prick test and the identification of serum-specific IgE, can be useful for diagnosis and management, however it is well known that the results may not correlate with the ocular disease triggers.

As in many other diseases, accurate, rapid diagnosis and, in particular, the paucity of guidelines on OA diagnosis, represent major unmet needs (2). This EAACI Task Force aims to provide a comprehensive overview of the currently available tools to make recommendations concerning the diagnosis of OA in daily clinical practice.

## Methods

A systematic review of the literature was performed in 2015-16 in PubMed and Science Direct databases, using the following key words: Allergic Conjunctivitis or Ocular Allergy [AND] Diagnosis workup, Investigations, Imaging, Questionnaire / QoL, Scoring/ Grading, Instruments / Specific tools, Clinical, Visual function/acuity, Ocular sampling/Tears, Tear Function, Biomarkers, Psychological impact. Confounding diagnosis of terms OA (MESH): not allergic hypersensitivity such as Stevens Johnson syndrome, graft versus host disease, were eliminated using a filter: NOT (Stevens OR retina OR uveitis OR optic nerve).

Manual searches of the reference lists of selected studies were performed and relevant studies identified. Experts were contacted to suggest other studies not previously revealed from the database search. Studies were considered if they included human subjects, irrespective of age and race, and addressed diagnostic procedures, diagnostic utilities, regardless of the type of OA. No time or language limitations were established. Papers were selected according to the information provided on the title and abstract. Each topic was reviewed by two independent experts, and finally included and analyzed by the whole panel. Evidence to support each point was reviewed and a consensus decision was made for each chapter. As evidence regarding a diagnostic procedure was limited, some of the

recommendations were based on consensus-driven proposals by the task force working group.

## 1. Patient's clinical history

## Rationale

The patient's medical history is the first crucial step in the diagnosis of OA, especially in the differential diagnosis of "Red Eye", one of the most common ophthalmic conditions (3). A well-performed medical history may help to understand the etiology of the conjunctivitis.

The medical history should cover types of symptoms (itching, burning, photophobia, discharge, visual changes, pain), whether there is unilateral or bilateral eye involvement, duration of symptoms, presence of allergies or systemic diseases, previous treatments, family history, environmental and occupational exposures, use of contact lenses and any type of ocular medication and surgery (1).

## Signs and symptoms

Ocular *itching* is the hallmark subjective symptom of OA. It indicates the release of histamine from conjunctival mast cells and the activation of H1 receptors on nerve endings (1). Eyelid itching frequently occurs in blepharitis patients especially if related to eyelash infestation.

Ocular *redness* (*hyperemia*) is the primary sign of OA due to conjunctival vasodilatation, which is often diffuse. Localized hyperemia is suggestive of subconjunctival hemorrhage or episcleritis. Photographic scales can be helpful in minimizing subjective observer variability.

*Tearing* or "watery eyes", is a non-specific consequence of the lacrimal gland response-reflex to several stimuli involving conjunctival and nasal sensory nerve endings. Tearing is associated with OA but also other forms of ocular surface diseases such as infectious and mechanical conjunctivitis, and with evaporative dry eye.

Edema is easy to observe when limited to eyelid swelling but can also involve the conjunctiva, resulting in *chemosis*. This symptom is easy to observe if intense. When mild or moderate, slit lamp examination is required.

*Pain* is not a typical symptom of OA, but indicates a corneal involvement in VKC and AKC.

Allergic patients may report mild *visual disturbances,* blurring or normal vision. Visual impairment is a sign of corneal involvement in VKC and AKC.

*Discharge* is usually watery or serous; mucopurulent or purulent discharge suggests infectious conjunctivitis; bilateral discharge upon wakening is a sign of blepharitis; sticky mucous discharge and tearing, especially if associated with severe photophobia, are significant indicators of VKC and AKC.

*Bilateral involvement* is typical for OA. Asymmetrical forms are possible. In viral conjunctivitis, the symptoms are often unilateral at onset and become bilateral after one or two days.

*Time of onset* of the first symptoms of SAC and PAC is during adolescence and young adulthood (80% of patients are younger than 30 years old) and infrequently in older

patients (4). In boys VKC begins rarely before the age of 3 or after puberty, and usually subsides after puberty (5). It is observed more commonly in warm climates (6). In AKC, symptoms may appear at 30-50 years of age, sometimes years after being diagnosed with atopic dermatitis or asthma (7). Overlaps or evolutions from VKC to AKC may occur.

In intermittent OA/SAC, the onset and *duration of symptoms* are limited to the pollen season or to the local seasonal variability of specific allergens including mites. Symptoms recur every season but are self-limiting (8). In persistent OA/PAC, symptoms are usually mild but persistent, exacerbating after increased or chronic exposure to allergens such as house dust mites, animal dander, occupational allergens (9, 10), or to non-specific irritating factors. PAC and AKC may also present with seasonal exacerbations. VKC worsens in the spring and summer. If severe, symptoms can be observed all year round. In CBC, attention should be made to substances applied into or around the eye (medications, cosmetics, etc.) (9). If suspected, a work-related allergy has to be confirmed by worsening of symptoms in the workplace (1, 10). In CBC the delay between the exposure to the allergen and the occurrence of symptoms is usually longer. Some non-specific factors like smoke, pollution or wind can increase symptoms of OA (11). In addition, food or food additives may influence OA.

Many patients have a history of *co-morbidity* with other allergic diseases. Conjunctival symptoms are present in 30-71% of patients with allergic rhinitis (AR) (11). AC alone has been estimated in 6-30% of the general population and in up to 30% of children, alone or in association with AR (12). Up to 40-75% of VKC patients suffer from other allergic diseases (12). AKC is present in up to 40% of atopic dermatitis patients and the co-morbidity with atopic dermatitis and asthma is around 90% (13).

It is always necessary to consider patients' activity limitations, sleep problems, coexisting nose, respiratory and cutaneous problems but also practical and emotional aspects.

#### Recommendations

- Accurate medical and personal history is fundamental for diagnosis.
- Consider different causes of red eye.
- Always ask for ocular signs and symptoms in other allergic co-morbidities.
- Investigate triggers for signs and symptoms.
- Refer to an ophthalmologist especially in cases of severe symptoms, unilateral redness, ocular pain, visual disturbance or long term use of topical drugs

#### **Unmet needs**

- Primary care awareness in OA.
- Standardized questionnaire to be used by both ophthalmologists and allergists

## 2. Clinical Ocular Examination

#### Rationale

Diagnosis of OA relies on the combination of a suggestive medical history and conjunctivitis signs (Figure 1 and Table 1). Some relevant clinical signs can be assessed by non-ophthalmologists (Table 2) although most of them are not specific

for allergy and may be present in any type of conjunctivitis. Some specific signs of VKC/AKC may be visualized by macroscopic examination: superior tarsal conjunctival giant papillae (visible after lid eversion) and Trantas dots (white/gray inflammatory infiltrates at the limbus) (Figure 2). Lid eczema is a specific marker for AKC. Ophthalmological examination reveals conjunctival papillary hypertrophy, follicles, scarring, blepharitis (lid margin inflammation), meibomian gland dysfunction (MGD) (Figure 3) and tear instability, an important sign of dry eye. Corneal involvement, found only in VKC and AKC, is a marker of severity. Superficial corneal epitheliopathy, neovascularization and scars are non-specific, whereas shield ulcers and plaques are much more suggestive of severe VKC and AKC (Figure 4).

#### Techniques

Observation, by daylight or direct light, of the face, lids, lid margin, palpebral and bulbar conjunctiva is the first approach. To evaluate the superior palpebral conjunctiva, use lid eversion. More accurate ocular examination requires the use of a slit lamp. Corneal and conjunctival epitheliopathy are assessed by fluorescein staining usually under slit lamp evaluation (Figure 4).

#### Recommendations

- Always look at the eyelid skin and the lid margin.
- When slit-lamp is not available, look at the eye using natural light.
- Examination by an ophthalmologist is required in atypical or severe cases.

## 3. Scoring OA and quality of Life

#### **Rationale and Definitions**

Different scales and criteria have been used, highlighting the difficulties encountered in grading acute and chronic manifestations. Main indications for scoring signs and symptoms include evaluating the disease severity, assessing the response to conjunctival and nasal provocation tests (CPT and NPT), and the efficacy of therapeutic agents.

Health-related quality-of-life (QoL) has been defined as "the functional effects of an illness and its resulting therapy upon a patient, as perceived by the patient". OA may significantly impact activities like reading, computer or tablet use, recreation, games, sports, television, movies and electronics. These disturbances generate worries, anxiety and some psychological discomfort for the patient and family, and impact on daily tasks, work, leisure, sleep and mood. To date, no specific QoL questionnaire has been validated for OA, except for VKC. In terms of important patient-reported outcomes, the ocular component of AR impacts patient QoL in meaningful ways (14).

## Instruments

#### Grading Signs and Symptoms

The visual analogue scale (VAS), mainly used in clinical trials (15), is a useful semiquantitative method to express the intensity of symptoms by the patients. The selfrecording is performed on a 100mm scale without marked intervals by indicating the most severe symptoms on the far right and the absence of symptoms on the far left. The severity of subjective symptoms may also range from 0 to 10.

## Grading questionnaires

The score may be based on the duration of symptoms: 0= no symptom, 1= "some of the time", 2= "half of the time", 3 = "most of the time", 4 = "all the time". The QoL in VKC children (QUICK) questionnaire (16), uses a three-point scale: 1= never, 2= sometimes, 3= always. The total sum score obtained determines the status of the disease.

#### **Ocular Severity scores**

The "Severity Index" (SI)-system is based on the patient's subjective assessment of the severity of each particular symptom and the grade of severity of objective findings: 0= no signs or symptoms, 1= mild, 2= moderate, 3= severe. The SI is calculated as the total score of individual signs and symptoms (Appendix 1). In 2012 (1, 17), we proposed a severity classification of OA based on ARIA guideline criteria (17) (Table 3). For severe and chronic forms (VKC, AKC) a 3 grade score for corneal ulcer assessment has been used - grade 1: ulcers that extend the beyond the epithelial basal membrane and yet have a transparent base; grade 2: ulcers with opaque base and partially filled with inflammatory debris; grade 3: ulcers filled with debris (plaque) remaining above the surrounding epithelium.

#### Scores for evaluating therapy

The recent recommendations for the standardization of clinical outcomes used in allergen immunotherapy trials for allergic rhinoconjunctivitis (RC) (18) suggested: a homogeneous terminology for nasal and conjunctival symptoms using six organ-related categories (itchy nose, sneezing, runny nose, blocked nose, itchy/red eyes, watery eyes) in the daily symptom score (dSS); a stepwise use of rescue medication added in the daily medication score (dMS); a scoring system for a combined symptom and medication score (CSMS), based on an equal weight of the dSS and of the dMS. This scoring system follows the EMA guideline, the World Allergy Organization (WAO) recommendations (19, 20).

## QoL evaluation and questionnaires

Generic QoL questionnaires assessing the global health status, like the Short Form 36 and 20 (SF-36 and SF-20), the EuroQoL (EQ-5D), and the Sickness Impact Profile (SIP) (21) or the KINDL questionnaire in children (22), are the most frequently used.

#### QoL in OA

In the absence of specific questionnaires for OA, those validated for RC can be used: the Juniper's questionnaire (RQLQ) (23); its shortened version (mini-RQLQ) (24); specific questionnaires for adolescents and children (25, 26). The RQLQ includes 28 items divided amongst 8 domains. The mini-RQLQ has been reduced to 14 items and 5 domains: activity limitations, practical problems, nasal symptoms, ocular symptoms and other symptoms. OA is addressed in 5 symptoms in the RQLQ and 4 in the mini-RQLQ: need to rub nose/eyes, itchy eyes, sore eyes, watery eyes, and swollen eyes. Interestingly, the analysis of 4 clinical trials revealed that the highest scoring items were the "need to rub eye/nose" and "itchy eyes" (24). In a large cohort of 1009 rhinitis patients, the presence of ocular symptoms statistically increased the RQLQ score by +0.5 (27). The smallest improvement in RQLQ score considered worthwhile by a patient affected by grass-pollen allergic RC was consistently estimated as 1 (28).

VKC and AKC should probably be considered separately, because the impact on QoL is often intense. The QUICK questionnaire, developed for VKC (16), contains 16 items pooled in 2 domains: symptoms; daily activities. In contrast to children with RC (26), daily activities (going to the swimming pool, practicing sports, meeting friends) were significantly impacted by VKC.

#### Impact on economic costs

SAC patients experienced QoL reductions in general health and specific aspects of vision and productivity, but also a significant economic cost to treat their condition when assessed by the Health Economic and Demographic Questionnaire (HEDQ)(29). This aspect must be taken into account for both private and public health care modalities when attempting to ascribe a total cost to a medical condition.

#### Recommendations

- Score signs and symptoms for diagnosis and monitoring.
- Use VAS system to evaluate patients' perspective.
- Assess the OA impact on QoL.
- Use EuroQoL (EQ-5D) to gain basic information on general QoL.
- In the absence of a specific questionnaire on OA, use the mini-RQLQ.
- QUICK is the only specific and validated questionnaire for VKC

#### **Unmet needs**

- Correlation among currently available severity scoring systems is unknown.
- Specific QoL questionnaires should be developed to study the impact of OA on vision, general and psychological condition in different ages.
- An ideal tool could measure disease, symptoms perception and treatment efficacy by the patient.

## 4. Allergy testing in OA

## **Rationale and definitions**

During the process of diagnosing OA, the allergen may be considered as either the main trigger of symptoms, closely associated with non-allergenic factors, or unrelated to clinical symptoms. The relationship between allergen sensitivity and allergen exposure is easy to assess, particularly in SAC. Allergen investigations may be unnecessary in cases where symptoms resolve with symptomatic treatment, or when symptoms occur after an obvious allergen exposure. If symptoms persist or recur regardless of treatment, allergen identification is required. In the majority of cases, skin prick tests (SPT) are unanimously considered the gold standard and the first-line approach for identifying IgE-mediated sensitization, due to their efficiency, safety and relatively low cost (30). Biological assays such as specific IgE measurement, are used to confirm sensitization in specific cases. Nevertheless, polysensitization (e.g a positive specific IgE to two or more allergens) is often found in patients with allergic rhinitis (31). Once sensitization is demonstrated, its

relevance for the patient can be investigated by the more specific conjunctival allergen provocation test (CAPT), particularly when polyallergy (e.g. clinical response to 2 or more allergens) is suspected or when clinical history suggests OA but SPT and IgE are negative or inconclusive (1) (31-33). Procedures, indications and limitations of CAPT have been recently described (32).

When medical history suggests contact allergy, non-IgE mediated test are relevant, especially in cases of CBC (10).

## Techniques

The SPT should be performed with airway allergens, and be read according to rigorous methodology, according to EAACI recommendations (34, 35). Indications for intradermal tests are limited to selected cases and allergens.

Serum allergen-specific IgE measurements detect IgE sensitization over 0.1 IU/mL, although low levels (< 0.35 IU/mL) are less likely to be clinically relevant, are less sensitive than SPT (31, 36), and are not mandatory when SPT correlates with clinical history. Local sensitization may be suspected in OA, therefore microarray measurements of specific IgE in tears may be helpful (37). Comparing serum and tear total IgE levels could be considered an indirect method for evidencing local allergy (37).

The Component Resolved Diagnosis (CRD), based on the pure allergen molecules (microarray or recombinant allergen-specific IgE detection), may be helpful in polysensitized or polyallergic patients. It can identify IgE antibody responses to cross-reactive allergens present in foods and pollens and identify genuine primary sensitization, which is not possible with the use of allergen extracts. However, it is still rarely used in routine patient testing (38, 39).

The CAPT evaluates the inflammatory effects on the conjunctiva after topical allergen application (1, 32) triggering the same signs (redness, chemosis and lid swelling) and symptoms (itching and tearing) as those of a natural allergen exposure. Contraindications are limited to uncontrolled allergy and chronic diseases. It requires an ophthalmic examination to rule out any ocular inflammation and a controlled protocol based on positivity criteria. Itching and redness are the main criteria to achieve a positive response when scored 2+ (Appendix 1) (40). The IGOA TF on CAPT recommends the use of total ocular symptom score (TOSS; range 0-13) considered positive when it reaches 5 (32). Both early and late allergic responses can be monitored. Since CAPT may induce nasal symptoms, scoring them is useful. However, there is a lack of a standardized method for evaluating the combined ocular and nasal symptoms. Non-specific challenge tests are only used for research purposes.

Patch tests aim to explore non-IgE-mediated allergy (41). Haptens involved may be preservatives or additives in eye drops, cosmetics, or professional allergens.

#### Recommendations

- If SPT, IgE levels and allergen exposure history are in concordance, the involvement of an allergen can be assumed in SAC and PAC.
- CAPT should be considered in polysensitized or suspected polyallergic patients.
- CAPT is recommended when medical history suggests an allergen sensitization but SPT are negative.
- In VKC and AKC, allergen-specific triggering is less frequently involved.

• Patch tests are required in CBC.

#### Unmet needs

- Standardized methods for evaluating the ocular and nasal symptoms in response to CPT or NPT.
- Standardized specific IgE analysis for small tear volumes.

## 5. Visual Function

## **Rationale and Definition**

OA is not considered as a sight-threatening disorder. However, the clinical presentation ranges from mild forms not (or only slightly) influencing visual acuity (VA) to severe diseases (VKC and AKC), which affect the ocular structures, VA and QoL. Complications (corneal impairment, irregular astigmatism and scars, keratoconus, cataract, steroid-induced glaucoma) result in visual impairment (42). Visual disturbances are also caused by symptoms (intense itching, watering, foreign body sensation, mucus discharge, eyelid pseudo-ptosis, ocular pain and photophobia). Few population-based data on VA impairment in OA are available since VA rarely represents a primary or secondary outcome in clinical trials.

## Techniques

VA is measured by asking the subject to discriminate letters of known visual angle (Snellen and derived optotypes) or letters of equal recognition difficulty. The log of the minimal angle of resolution at a given distance and at high contrast (ETDRS and similar) uses an eye chart (optical instruments or computerized tests) at standard conditions. Since VA sometimes is difficult to measure in active VKC and AKC, QoL can be quantified as a surrogate criterion (43), but improves depending on the severity of corneal involvement (44). All VKC and AKC patients should routinely undergo topographic corneal assessment because of the higher incidence of keratoconus (45, 46).

## Recommendations

- Consider visual acuity assessment in daily practice
- VKC and AKC subjects should undergo topographic corneal examination to rule out keratoconus

## 6. Ocular surface evaluation (Tear film function)

## **Rational and Definition**

Ocular allergy and dry eye syndrome are the most common ocular surface inflammatory disorders. One does not preclude the coexistence of the other (47). Chronic OA is causative of dry eye, which is classified as hypo-secretory (reduced tear production) or evaporative (due to low tear lipid layer thickness related to MGD) (48). Tear film instability was found in atopic children not only affected by allergic conjunctivitis but also rhinitis and asthma (49). Simple tear film-evaluating tests should be performed by ophthalmologists.

## Techniques

*Invasive breakup time (BUT)*: Insert a pre-soaked fluorescein strip in the inferior conjunctival fornix, and remove when tears are stained. Use blue cobalt light +/-yellow filter for observation. After a few blinks, measure time between the last complete blink and tear film break (when black striae appear in the pre-corneal tear film). Repeat measure 3 times. Mean values below 10 seconds reflect tear instability (48).

Schirmer test: Insert a nitrocellulose Schirmer strip into the inferior conjunctival fornix, at the external third of the inferior lid. Measure the length of wetted strip within 5 minutes. A test without anesthesia is recommended as being more reproducible. Values below 5 mm reflect aqueous deficient dry eye (48). *Tear osmolarity* can be measured by an osmolarimeter. The Tearlab<sup>®</sup> device provides an immediate result after automated collection of a few nano liters. Values beyond 312 mOsm/L and inter-ocular variability of >8mOsm/L are abnormal (48). *Tear lipid layer thickness* can be measured by interferometers.

#### Recommendations

• Consider tear film-evaluating tests since dry eye and OA can coexist

#### 7. Ocular Sampling and Tear biomarkers

#### **Rational and definition**

Tear specimens can be easily obtained from the ocular surface, making cytology and assays for mediators, potential diagnostic tools. The tear fluid is an extremely complex biological mixture containing cells, proteins/peptides, electrolytes, lipids, and small molecule metabolites, which can be measured for diagnostic, prognostic and experimental purposes. Tear protein analysis has been limited to a few analytical techniques depending on antibody availability and the small sample size. With increasing proteomic applications, tears show great potential as a source of biomarkers in the development of clinical assays for various human diseases, including OA.

## Technique

Different methods for tear collection can be used: capillary tube, filter paper, ophthalmic sponges and eye washes (50). Aspiration of tears by glass capillary tubes can yield volumes of 20-50  $\mu$ l. Tear collection is tedious, time-consuming, uncomfortable for patients and may provoke the production of reflex tears. Tears can be recovered from Schirmer's strips (51), cellulose or polyurethane sponges, porous polyester or cellulose acetate rods placed in the lower tear meniscus and held for a fixed period of time (52, 53). For the eye-wash technique, a fixed volume of saline is instilled into the inferior fornix and the fluid collected by a capillary tube (54), however, it is impossible to determine the original tear volume and the dilution coefficient.

*Cytology* can be performed using tears, conjunctival scrapings, impression cytology or biopsy. For tear cytology, only a few microlitres of tears are needed. Conjunctival scrapings, performed with a spatula or brush, allow for the collection of epithelial

and inflammatory cells (if present). Pre-colored slides or rapid dyes can be used for a quick response. Impression cytology refers to the application of a cellulose acetate filter on the ocular surface to remove the superficial epithelial layers (55). Conjunctival biopsy (performed under topical anesthesia) is required when a neoplastic pathology or autoimmune disease is suspected. Samples can be used for immunostaining, flow cytometry, RNA or DNA extraction, all depending on the number of cells collected.

In OA, neutrophils, eosinophils and lymphocytes can be found in tears with different cellular profiles in acute and chronic phases, or after specific CPT and NPT (56, 57). T-cells, B-cells and CD4:CD8 T-cell ratios were found to be higher in AKC tears than controls (58), whilst increased Th2 cells have been found in VKC tears (59). Tear specimens contain various enzymes and hydrolases, thus proper storage is important to prevent sample loss and/or variable results (60, 61). Total and specific IgE, several mediators, cytokines, chemokines, growth factors, angiogenic modulators, proteases, enzymes and inhibitors have been identified in cell-free tear fluids using methods such as ELISA, RIA, multiplex bead arrays, membrane-bound antibody array and proteomic techniques (see review (62)). Increased tear levels of IgE, tryptase, histamine and eosinophil cationic protein (ECP) have been considered biomarkers of OA (62, 63). Proteomic analysis of tear fluid has proven to be a promising approach to gain more information about the pathogenesis of diseases and lead to new diagnostic possibilities (64, 65).

Excluding assays for total IgE and MMP-9 specifically designed for tears, no local tests have been standardized for clinical use and probably no single factor or test can be considered as a specific disease marker. More likely, a combination of them may be required to indicate a single disease phenotype, activity phase, or therapeutic effect.

#### Recommendations

- Collect tear samples independently from both eyes because of possible asymmetrical conditions.
- Prefer capillary tube collection since cells and mediators bind to the strips or sponges.
- The presence of one eosinophil by cytology is highly indicative of OA, whereas their absence does not exclude it.
- Tear collection and storage can influence protein detection

#### Unmet need

• An assay kit for detecting a panel of tear mediators should be validated.

#### 8. Imaging and emerging additional tests

#### Rational

In-vivo imaging technologies have been widely used in the diagnosis, assessment of clinical severity and follow-up of ocular surface disorders. The application of these technologies may help to quantify the extent of inflammation and evaluate the efficacy of anti-allergic agents.

#### **Definition and Techniques**

*In-vivo Confocal Microscopy (IVCM)* is a real-time, non-invasive imaging technology, which enables microstructural analysis of the cornea in more physiological conditions at a cellular level (66). IVCM creates a point source of light focused by an objective lens on the tissue. Compared to traditional slit lamp imaging, IVCM provides a higher magnification and depth of view, but examines only a limited area. With the recent in-vivo laser scanning CM (LSCM), it is possible to study the microscopic anatomy of semi-opaque structures, such as the conjunctiva and meibomian glands (MG).

Morphological changes of superficial epithelial cells and nerves, increased presence of activated keratocytes, inflammatory cells, and Langerhans cells in the corneal stroma were shown in VKC and AKC by LSCM (67, 68) (Figure 5).

Following CPT, IVCM can be used to track and score the progress of the acute and late-phase allergic inflammation, capturing video images useful to study cell dynamics (scale 0-4; patent pending) (69).

IVCM may become useful for evaluating the efficacy of anti-allergic medications. In VCK and AKC, topical cyclosporine A (CsA) significant reduced the density of inflammatory cells (70, 71).

**Meibography** is a non-invasive technique developed to observe MG structure. Using laser confocal meibography, shortening, distortion and dropout of MG may be observed in PAC (72, 73).

**Lipid layer Interferometry** measures tear film stability and analyzes the depth of the lipid layer. Advanced tear instability and thickening of the tear film lipid layer were found in 80% of SAC patients (74).

**Photography:** Conjunctival hyperemia can be evaluated on the basis of digital photos using a modified grading scale or by using different digital image analysis software (75).

**Optical coherence tomography (OCT)** is an adjunctive, non-invasive, diagnostic method that can help the diagnosis of ocular surface lesions (76). However, it is not routinely used in OA.

#### Recommendations

• Use new imaging techniques to more accurately assess the degree of inflammation in diagnosis, follow-up and treatment.

## 9. Diagnostic algorithm

The proposed diagnostic algorithm (Figure 1) is based on the clinical expertise of the authors and relevant recommendations from the literature (1, 3, 13, 33, 77). The diagnosis of a suspected OA is based on medical history and the presence of suggestive signs and symptoms. In case of symptoms unusually not suggestive of OA, the differential diagnosis can be made, with the assistance of an ophthalmologist, considering the wide spectrum of disorders affecting the ocular surface. If signs and symptoms are suggestive of OA, primary skin or *in vitro* testing in addition to the

clinical characteristic of each ocular disease (Tables 1 and 2), will improve the diagnosis. If primary diagnostic tools are uncertain or negative, secondary, more specific, local diagnostic tests may help to either define a specific ocular hypersensitivity disease or lead to a proper differential diagnosis.

## **10. Concluding Remarks**

Characteristics of each diagnostic tool are summarized in Table 4. Specific questionnaires on medical history and QoL for OA are still needed and the correlation among currently available severity scoring systems is largely unknown. Ophthalmologists should refer their patients to the allergist any time there is a suspect of a specific sensitization and/or allergic co-morbidity. On the other hand, allergists should refer to the ophthalmologists all patients with severe ocular signs and symptoms, when ocular symptoms do not correlate with a specific sensitization or to rule out other non-allergic eye disorders. It is still not clear why, in some cases, the eye may be the only affected organ, or why some patients suffer from severe untreatable or chronic forms of OA only. Coordinated clinical and experimental studies are required to identify specific molecular and cellular mechanisms associated with each subtype of OA for developing new diagnostic tools and therapies.

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## Tables

# Table 1. Clinical features of major ocular allergy syndromes, including the underlying hypersensitivity mechanism and ophthalmological presentation (adapted from Leonardi et al, Allergy 2012).

	SAC	PAC	VKC	AKC	GPC	CBC
Presentation	Intermittent	Persistent	Persistent ±	Chronic	Persistent	Chronic ±
			intermittent			intermittent
			exacerbations			exacerbations
Allergic	lgE-	lgE-	IgE and non-	IgE- and non-	Non	Non-IgE-
Mechanism	mediated	mediated	IgE-mediated	IgE-mediated	allergic	mediated
Background	Atopic	Atopic	Childhood ±	Adult atopic	Atopic or	Non-atopic
			atopic		non-atopic	
Eyelids	NA	±	Eyelid edema	Eczema	NA	Erythema,
		Palpebral		+ meibomitis		eczema
		edema		blepharitis		
Conjunctiva	Follicles	Follicles	Giant papillae	Papillae ±	Giant	± Hyperemia
	&/or	&/or		fibrosis	papillae	Follicles
	papillae	papillae				
Limbus	NA	NA	± Thickened	± Thickened	Hyperemia	NA
			+ Tranta's	± Tranta's dots		
			dots			
Cornea	NA	NA	SPK	SPK	Rare	NA
			± Ulcer	Ulcer, Plaque,		
			± Vernal	Opacities, neo-		
			plaque	vascularization		

SAC=seasonal allergic conjunctivitis; PAC=perennial allergic conjunctivitis; VKC=vernal keratoconjunctivitis; AKC=atopic keratoconjunctivitis; GPC=giant papillary conjunctivitis; CBC=contact blepharoconjunctivitis; SPK= superficial punctate keratitis; NA=not affected.

Sign	Sign of	Positive	Type of OA	Differential diagnosis					
	Severity	association with							
OA Signs assessable by a non onbthalmologist									
Conjunctival N + All Non allergic									
redness				coniunctivitis.					
				(epi)scleritis, keratitis, uveitis					
Conjunctival giant	Y	++++	VKC, AKC	GPC					
papillae									
Limbal	Y	++++	VKC, AKC	Limbal tumor					
inflammation									
Chemosis, lid	Ν	+	All	Non allergic					
edema				conjunctivitis					
Mucus discharge	Ν	++	All, especially VKC,	Infection, severe dry					
			АКС	eye, GPC					
Lid eczema	N	++++	AKC, CBC, VKC	Seborrhoeic					
				dermatitis, psoriasis,					
				lid molluscum					
Blepharitis	N	(Only in AKC)	All	Rosacea, seborrhoeic					
	Signs	s only assessable by	an ophthalmologist	uermatitis					
Coniunctival	N	+++	All	Bacterial conjunctivitis.					
papillae				rosacea, dry eye					
Conjunctival	N		All, especially CBC	Viral or chlamydial					
follicles				conjunctivitis,					
				Parinaud's					
				oculoglandular					
				syndrome					
Superficial	Y		VKC, AKC	Non allergic keratitis					
punctate									
keratopathy,									
corneal scars,									
pannus									
Corneal shield ulcer	Y	++++	VKC, AKC	None					
or plaque									
Tear instability	Ν		PAC > AKC, VKC	Rosacea, non allergic					
				tear instability					

## Table 2. Relevant signs related in clinical ocular examination

OA= Ocular allergy; SAC=seasonal allergic conjunctivitis; PAC= perennial allergic conjunctivitis; VKC= vernal keratoconjunctivitis; AKC= atopic keratoconjunctivitis; GPC= giant papillary conjunctivitis; CBC= contact blepharo conjunctivitis; Y=yes; N=no.

#### Table 3. Grading of symptoms and severity of hypersensitivity disorders at the ocular

#### surface based on ARIA criteria.

items).

A. Persistence of symptoms					
<ul> <li>1- "Intermittent" means that the symptoms (<u>itching and redness</u>) are present:</li> <li>Less than 4 days a week</li> </ul>					
• Or for less than 4 weeks					
2- "Persistent" means that the symptoms (itching and redness) are present:					
<ul> <li>More than 4 days a week</li> </ul>					
<ul> <li>And for more than 4 weeks</li> </ul>					
B. Severity of symptoms					
1- "Mild" means that <u>none</u> of the following items are present:					
Vision disturbance					
<ul> <li>Impairment of daily activities, leisure and/or sport</li> </ul>					
<ul> <li>Impairment of school or work</li> </ul>					
<ul> <li>Troublesome symptoms</li> </ul>					
2- "Moderate" means that <u>one</u> of the following items are present:					
Vision disturbance					
<ul> <li>Impairment of daily activities, leisure and/or sport</li> </ul>					
<ul> <li>Impairment of school or work</li> </ul>					
Troublesome symptoms					
3- "Severe" means that two or more of the following items are present:					
Vision disturbance					
<ul> <li>Impairment of daily activities, leisure and/or sport</li> </ul>					
<ul> <li>Impairment of school or work</li> </ul>					
Troublesome symptoms					

• Troublesome symptoms The items: vision disturbance, impairment of daily activities/ leisure/ sport, impairment of school or work activities, troublesome symptoms, are quoted "yes" or "no". Accordingly the number of items affected, the disease is considered "mild" (0 items affected), "moderate" (1 item) or "severe" (2-4

## Table 4. Main characteristics of diagnostic tools in ocular allergy

	Aims	Methods /Instruments	Recommendations
Clinical History	Evaluation of: -Symptoms and severity -Co-morbidities and general -Medical condition -Medical/surgical history -Exposure to allergens/ irritants/ non specific triggers -Visual tasks	-Talk to patients and parents -Questionnaires	<ul> <li>Accurate medical and personal history</li> <li>Red eye differential diagnosis</li> <li>Always ask for ocular signs and symptoms in other allergic co-morbidities</li> <li>Investigate triggers for signs and symptoms</li> <li>Refer to an ophthalmologist especially in case of ocular pain, visual disturbance or severe symptoms (red eye DD)</li> </ul>
Clinical Ocular Examination	-To assess specific and non specific signs	-Observation with and without the slit lamp	<ul> <li>Always look at the eyelid skin and the lid margin</li> <li>If you don't have a slit lamp, look at the eye using natural light when possible</li> <li>Look for severity signs</li> <li>Examination by an ophthalmologist may be required in atypical or severe cases</li> </ul>
Scores	Evaluation of: -severity of the disease -CPT and NPT response -efficacy of treatments	-Grading signs and symptoms -Severity index	<ul> <li>Scoring signs and symptoms is recommended for diagnosis and monitoring</li> <li>Use the VAS system to gain patients' perspective</li> </ul>
QoL	-To assess the effects of disease on daily functioning, work, leisure and school perceived by patient	-Questionnaires	<ul> <li>Consider an assessment of impact of OA on QoL, however a specific questionnaire is missing</li> <li>Use EuroQoL (EQ-5D) to gain basic information on general QoL</li> <li>In the absence of a specific questionnaire on ocular allergy the miniRQLQ can be used</li> <li>The QUICK is the only specific and validated questionnaire for VKC</li> </ul>
Allergy Tests	-Evaluation of the specific sensitization state	-Skin prick tests -Blood analysis with allergen-specific IgE -Patch tests	<ul> <li>When an IgE allergic disease is suspected</li> <li>When contact blepharitis or blepharo- conjunctivitis is suspected</li> </ul>
Conjunctival Allergen Provocation Test	-Evaluation of the conjunctival response to specific allergens	-Provocation by topical allergen at fixed dilutions	<ul> <li>In case of negative SPT /sIgE</li> <li>In case of polysensitization / polyallergy</li> <li>To evaluate desensitization</li> </ul>
Visual function	-To assess best visual acuity	-Optotypes	<ul> <li>Consider visual acuity assessment as a primary outcome in daily practice</li> <li>VKC and AKC subjects should undergo topographic corneal examination to rule out keratoconus</li> </ul>
Tear film function	-Evaluate tear film stability, quantity and dynamic	-BUT -Fluorescein staining -Schirmer test -Tear osmolarity -Meibomian glands	<ul> <li>In all patients with chronic OA</li> <li>When adverse environment cause symptoms</li> <li>Consider tear film-evaluating tests since dry eye and OA can coexist</li> </ul>
Ocular sampling	-Obtain tear fluids, cells, tissues for analysis	-Tear collection Capillary tubes Schirmer strips Sponges -Scrapings -Brush -Impression cytology -Biopsy	<ul> <li>Collect samples independently from both eyes</li> <li>Capillary tube collection is preferable</li> <li>Use cytology for qualitative inflammation</li> <li>Biopsy in case of autoimmune diseases and unilateral/malignant disease</li> </ul>
Tear Biomarkers	-Disease biomarkers -Severity biomarkers -Prognostic biomarkers	-ELISA -RIA -Omics	In experimental and clinical studies     Diagnostic tools in severe cases
Imaging	-To evaluate corneal cells, nerves and stroma -Blood cell dynamics in conjunctiva -Meibomian glands	-Confocal microscopy -Meibography -Photography	<ul> <li>In evaluating ocular surface changes</li> <li>In experimental and clinical studies</li> </ul>

## Figure legend

Figure 1: Algorithm for the diagnosis of Ocular Allergy. The presence of signs and symptoms suggesting ocular allergy (OA) or the presence of signs and symptoms usually not associated with OA together with an accurate medical history is the first step in the diagnostic algorithm. In case of unusual signs and symptoms, the differential diagnosis can be made considering the wide spectrum of disorders affecting the ocular surface after consulting an ophthalmologist. When signs and symptoms suggest OA, primary skin or *in vitro* testing in addition to the clinical characteristic of each ocular disease (Tables 1 and 2) will consent to define a specific ocular allergic disorder. If primary diagnostic tools are uncertain or negative, secondary, more specific, local diagnostic test may help to either define a specific ocular hypersensitivity disease or suggest a proper differential diagnosis.

Figure 2. A: giant papillae on the tarsal conjunctiva in a VKC patient (tarsal form of VKC). B: Limbal Trantas dots in a VKC patient (limbal form of VKC).

Figure 3. A: diffuse small papillae at the upper tarsal conjunctiva with reticular subepithelial fibrosis. B: follicles at the lower tarsal and fornix conjunctiva. C: anterior blepharitis in a child. Note the hyperemia and crusts on the anterior lid margin. D: anterior and posterior blepharitis (Meibomian gland disease) with crusts on the eyelashes, meibomian gland obstruction, neovascularization and keratinization of the lid margin, conjunctival redness and peripheral corneal involvement.

Figure 4. A: Diffuse superficial (epithelial) punctate keratitis in a tarsal VKC patient highlighted by the fluorescein staining (yellow dots) and the blue light on the slit lamp. B: Corneal shield ulcer in a VKC patient.

Figure 5. Corneal confocal microscopy in a patient affected by VKC. A: sub-basal corneal nerve plexus with adjacent dendritic cells. B: anterior corneal stroma with highly reflecting cells corresponding to activated keratocytes.

## Appendix

Appendix 1. Scoring system in ocular allergy (including CAPT)

Itching

0 = none

1 = mild (intermittent itching sensation)

2 = moderate (continual awareness but without the desire to rub)

3 = severe (continual awareness with the desire to rub the eyes)

4 = incapacitating itching (subject insists on rubbing eyes).

#### Redness

0 = none

1 = mild (perhaps localized within some quadrant)

2 = moderate (more marked and diffuse reddening in the quadrants)

3 = severe (very marked and diffuse reddening in the quadrants).

Tearing

0 = none

1 = mild (slightly humid eye)

2 = moderate (some tears, blows nose occasionally)

3 = severe (profuse tearing, tears rolling down cheeks)

Chemosis

0 = none

1 = mild (detectable with slit lamp, conjunctiva raised from sclera)

2 = moderate (visually evident, raised conjunctiva, especially at the limbal area)

3 = severe (ballooning of conjunctiva)

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