- 1 Historical atmospheric pollution trends in Southeast Asia inferred from lake
- 2 sediment records
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#### 20 Abstract

21 Fossil fuel combustion leads to increased levels of air pollution, which negatively affects human 22 health as well as the environment. Documented data for Southeast Asia (SEA) show a strong increase in fossil fuel consumption since 1980, but information on coal and oil combustion before 23 24 1980 is not widely available. Spheroidal carbonaceous particles (SCPs) and heavy metals, such as 25 mercury (Hg), are emitted as by-products of fossil fuel combustion and may accumulate in sediments 26 following atmospheric fallout. Here we use sediment SCP and Hg records from several freshwater 27 lentic ecosystems in SEA (Malaysia, Philippines, Singapore) to reconstruct long-term, region-wide 28 variations in levels of these two key atmospheric pollution indicators. The age-depth models of 29 Philippine sediment cores do not reach back far enough to date first SCP presence, but single SCP 30 occurrences are first observed between 1925 and 1950 for a Malaysian site. Increasing SCP flux is 31 observed at our sites from 1960 onward, although individual sites show minor differences in trends. 32 SCP fluxes show a general decline after 2000 at each of our study sites. While the records show 33 broadly similar temporal trends across SEA, absolute SCP fluxes differ between sites, with a record 34 from Malaysia showing SCP fluxes that are two orders of magnitude lower than records from the Philippines. Similar trends in records from China and Japan represent the emergence of atmospheric 35 pollution as a broadly-based inter-region environmental problem during the 20<sup>th</sup> century. Hg fluxes 36 were relatively stable from the second half of the 20<sup>th</sup> century onward. As catchment soils are also 37 38 contaminated with atmospheric Hg, future soil erosion can be expected to lead to enhanced Hg flux 39 into surface waters. 40 41 'Capsule' – Lake sediment records from Southeast Asia provide first data on historical trends in 42 fossil-fuel derived atmospheric pollution 43 44 Keywords: fossil fuel combustion; emission trends; fly ash particles; mercury; Southeast Asia 45

### 46 Highlights

- 47 First data on historical atmospheric pollution trends in Southeast Asia
- 48 Increase in SCP flux from 1960 onward indicates increased atmospheric pollution
- 49 Recent decrease in SCP fluxes probably due to air pollution control
- 50 Mercury fluxes are relatively high and might reflect local sources of pollution
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- 52

#### 53 Introduction

54 Asia has undergone strong economic growth over the last few decades leading to a doubling in 55 regional energy consumption between 1980 and 2003 (Richter et al., 2005; Ohara et al., 2007), with 56 a continuous growth of energy consumption being observed since 2003 (Kurokawa et al., 2013; 57 EANET, 2015). Fossil fuel combustion emits atmospheric pollutants, particularly SO<sub>2</sub>, NO<sub>x</sub>, CO, non-58 methane volatile organic compounds, organic carbon, black carbon and trace metals. Although 59 pollutant emissions, particularly from the burning of coal, have been declining in Europe and North 60 America over the last two decades, this has not been the case for much of Asia (Amann et al., 2013; 61 Klimont et al., 2013; Kurokawa et al., 2013). While emissions of  $SO_2$  and particulate matter ( $PM_{2.5}$ ) 62 decreased by 12-15% in East Asia between 2005 and 2010, emissions of NO<sub>x</sub> and non-methane 63 volatile organic compounds increased by 15-25% (Wang et al., 2014). Electricity demand in 64 Southeast Asia (SEA), one of the world's fastest developing regions, is projected to be 83% higher in 65 2035 than in 2011 (International Energy Agency, 2013), with coal providing much of this increased 66 energy demand (Lai et al., 2016).

67 Increased atmospheric pollution has major implications for society and the environment. An 68 estimated 6.5 million deaths globally each year are attributed to poor air quality (International 69 Energy Agency, 2016; World Health Organization Press, 2016). Koplitz et al. (2017) suggest that the 70 current estimate of around 20,000  $(11.4-28.4 \times 10^3)$  excess deaths per year due to emissions from burning coal in SEA will increase to around 70,000 (40.1–126.7 x 10<sup>3</sup>) by 2030. Perhaps contrary to 71 72 common perception (Lai et al., 2016), around 9000 of these excess deaths as a result of increased 73 coal use in SEA are anticipated to occur in China; rising coal emissions in SEA could thus become an 74 increasingly transboundary pollution issue (Koplitz et al., 2017).

75 Atmospheric greenhouse gas concentrations resulting from fossil fuel combustion are one of 76 the key drivers of anthropogenic climate change (IPCC, 2014), and aerosols (particularly atmospheric 77 black carbon) can significantly influence global radiative forcings (Jacobson, 2001; Streets et al., 78 2004). Fine aerosol particles can further influence regional climate via surface dimming (Ramanathan 79 et al., 2005; Lau et al., 2006); Fu et al., 2017). Atmospherically deposited pollution places further 80 pressure on anthropogenically impacted wetlands and lowland lakes in SEA, with existing impacts 81 including eutrophication, intensified aquaculture, water abstraction, dam construction, biomass 82 burning, as well as catchment disturbances such as agriculture (e.g. oil palm and other plantations), urbanisation and mining activities (Sharip et al., 2014). Those aquatic ecosystems that have not been 83 84 severely degraded yield valuable services, such as food and water for local populations and the 85 provision of livelihood opportunities such as eco-tourism (Shuhaimi-Othman et al., 2007; Stockholm 86 International Water Institute, 2009; Sharip and Jusoh, 2010). These services may be difficult to

replace. Moreover, human impacted aquatic ecosystems are likely to have significantly reduced
biodiversity value (Kopf et al., 2015). Unfortunately, information on the current status of many of
these ecosystems, and on the rates and directions of change in environmental conditions over
recent decades, is generally lacking. In addition, detailed inventories of anthropogenic energy
sources and emissions for Asia only span the last few decades (Kato and Akimoto, 1992; Akimoto
2003; Streets et al., 2003; Kurokawa et al., 2013) and their number is comparatively low (Ohara et
al., 2007; Rose 2015).

94 Natural archives such as lake sediments have the potential to provide essential information 95 on spatio-temporal variations in fossil fuel consumption in SEA, as spheroidal carbonaceous particles 96 (SCPs), by-products of high-temperature industrial fossil fuel combustion, can be stored in these 97 sediment records following atmospheric deposition, thus tracking changing influx with time (Rose 98 2015). SCPs are fine carbonaceous aerosols, typically 2–50 µm across, formed from the incomplete high-temperature combustion of fossil fuels such as oil and coal (Rose et al., 1994; Rose 2001; 99 100 Chirinos et al., 2006). SCPs can be transported for thousands of kilometres through the atmosphere 101 under favourable meteorological conditions (Rose et al., 1998; Yang et al., 2001; Inoue et al., 2014), 102 and have been found in remote places such as the Falkland Islands and Antarctica, far removed from 103 the nearest sources (Rose et al., 2012). SCPs are a component of the "black carbon continuum" 104 (Rose, 2008; 2015), but whereas other components of black carbon can be the result of domestic 105 emissions, road transport emissions, or biomass burning (e.g. Kurokawa et al., 2013), SCPs are only 106 formed during high-temperature industrial fossil fuel combustion. As they have no natural sources, 107 SCPs encountered in lake sediment records can be used as indicators of atmospheric deposition 108 from industrial sources (Rose, 2001), especially as they are not susceptible to post-depositional 109 alteration, movement in the sediment column (except by bioturbation), or degradation (Rose et al., 110 2003). Analysis of SCPs stored in sediments provides a means to reconstruct trends in emissions 111 from the combustion of fossil fuels over time-periods that extend beyond the beginning of documentary and instrumental evidence, which commenced only in the second half of the 20<sup>th</sup> 112 113 century and in some regions even more recently (Rose, 2001). Spatial patterns in SCP distribution 114 have been shown to be closely linked to other pollutants, such as sulphur and polycyclic aromatic 115 hydrocarbons (Rose and Juggins 1994; Rose et al., 1998; Barst et al., 2017). A global collation of SCP 116 records includes a disproportionately large number of records from Western Europe and none from 117 SEA (Rose, 2015).

Anthropogenic emissions of Hg date back to pre-industrial times, but global Hg emission
rates have tripled over the last 150 years, mainly due to increased coal burning (Hylander and Meil,
2003; Engstrom et al., 2014; Horowitz et al., 2014; Yang et al., 2016). Aside from industrial sources,

121 there are many additional anthropogenic sources of atmospheric Hg emission, including waste 122 incineration, sulphide ore processing, cement kilns and the production of various metals (Hylander 123 and Meil, 2003). Another important potential source of atmospheric Hg is artisanal and small-scale 124 gold mining (Mason and Pirrone 2009, Cordy et al., 2010). Hg is among the most toxic elements and 125 poses serious threats to both human health and aquatic ecosystems due to its tendency to 126 bioaccumulate and biomagnify through the food chain (Azimi and Moghaddam, 2013; Rice et al., 127 2014; Okelsrud et al., 2016). Mercury contamination in waterways, sediments and fishes in SEA are 128 already threatening frigate birds as well as other species in the area depending on marine resources, 129 including humans (Mott et al., 2017). With an atmospheric residence time of up to two years 130 (Schroeder and Munthe, 1998), Hg can be released from the atmosphere through both wet and dry 131 deposition. Hg can subsequently be stored in lake and wetland sediments, thereby providing the 132 basis for reconstructions of past variations in pollution loads (e.g. Bindler et al., 2001; Fitzgerald et 133 al., 2005; Yang et al., 2010; Shotyk et al., 2017).

Little information on past levels of industry-derived air pollution in SEA is available, especially for the period pre-dating 1980 (US Energy Information; www.eia.gov). This is despite the growing concerns of the effects of local and regional pollution in SEA (Koplitz et al., 2016). This paper addresses this information gap in long-term variations in air pollution deposition in SEA and uses sedimentary evidence as a basis for reconstructing changes in atmospheric pollution in SEA covering the period from the start of industrial fuel consumption to the present, including the time interval before 1980 where data on atmospheric pollution levels are otherwise scarce.

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#### 142 Materials and methods

143 Sites and sampling

144 Using logistical criteria such as site-accessibility and geographical spread, we selected sites from 145 three countries in SEA in order to develop a regional reconstruction of atmospheric deposition 146 pollution history: (1) sediment cores were obtained from three lakes in the Philippines (Yambo, 147 Mohicap, Sampaloc) from the Seven Crater Lakes, a tight cluster of maar crater lakes located near 148 San Pablo City, Laguna Province, on the island of Luzon (Fig. 1). The lakes are presumed to have 149 formed through an explosive phreatic eruption from Mount Banahaw-San Cristobal (Brillo, 2016). 150 The lakes are all moderately deep (>25 m water depth) and have surface areas ranging from 0.23 to 1.04 km<sup>2</sup> (Supplementary Table 1) (Aquino, 1983; Laguna Lake Development Authority, 2008). Lakes 151 152 in the cluster are currently used for aquaculture across a range of intensities; those selected for this 153 study range from relatively pristine (low level of aquaculture; Yambo) to heavily impacted (intensive 154 aquaculture; Sampaloc). (2) Tasik Chini, a flood pulse wetland ecosystem in the state of Pahang,

Peninsular Malaysia, consists of 12 shallow, interconnected lake basins (Shuhaimi-Othman et al., 155 156 2007). The lake is under strong ecological pressure due to a range of anthropogenic activities in the 157 area, including deforestation for rubber and oil palm plantations, mining, and artificial damming of 158 the lake (Sharip and Jusoh, 2010). (3) There are no natural freshwater ecosystems with long 159 sediment records available for study in Singapore. Instead, we sampled a reservoir (SR)<sup>1</sup>, located in 160 the largely forested Central Catchment of Singapore. Construction of SR began in 1970 with damming of the valley upstream of an existing reservoir (Public Utilities Board Website; 161 162 http://www.pub.gov.sg).

Fieldwork was carried out in 2015 (Malaysia) and 2016 (the Philippines, Singapore). For each of our five selected sites we recovered a sediment core from the deepest part of the basin using a UWITEC gravity corer with an inner diameter of 86 mm. As the recovered sediments were highly organic and unconsolidated, core penetration varied between 72-104 cm. All cores preserved the sediment-water interface, and were subsampled in contiguous 1-cm-thick samples in the field.

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### 169 <u>Radiometric dating</u>

Radiometric dates were obtained for the sediment cores from the Philippines and Malaysia by 170 measuring <sup>210</sup>Pb, <sup>226</sup>Ra, <sup>137</sup>Cs and <sup>241</sup>Am using gamma spectrometry; the SR record was not 171 radiometrically dated, although the lowermost part of the sediment core recovered post-dates the 172 173 onset of reservoir construction (1970). Radiometric dating used freeze-dried sediment samples analysed in ORTEC HPGe GWL series well-type coaxial low background intrinsic germanium detectors 174 at University College London. <sup>210</sup>Pb was determined via its gamma emissions at 46.5 keV, and <sup>226</sup>Ra 175 by the 295 keV and 352 keV gamma rays emitted by its daughter isotope <sup>214</sup>Pb following three weeks 176 of storage in containers (sealed with rubber stops to prevent loss of <sup>222</sup>Rn (Pittauerova et al., 2011)) 177 to allow radioactive equilibration (Appleby et al., 1986). <sup>137</sup>Cs and <sup>241</sup>Am were measured by their 178 179 emissions at 662 and 59.5 keV respectively (Appleby et al., 1986). A constant rate of supply (CRS) model was applied to each sediment core to create an age-depth model (Appleby, 2001). The CRS 180 model assumes a constant rate of unsupported <sup>210</sup>Pb supply to the sediment (<sup>210</sup>Pb derived from 181 atmospheric fallout is unsupported <sup>210</sup>Pb), and is one of the most widely used methods for 182 calculating ages based on <sup>210</sup>Pb activity (Appleby, 2001). 183

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# 185 <u>SCP analysis</u>

<sup>&</sup>lt;sup>1</sup> The reservoir is anonymized in line with a request from the Public Utilities Board (Singapore) who kindly granted access to the site

186 The SCP concentration of selected subsamples from all five study sites was determined following the 187 protocol by Rose (1994). Dried sediment subsamples were digested with acids in order to remove 188 organic, siliceous and carbonate sediment fractions. A known volume of processed subsample was 189 then transferred to a coverslip and mounted on a glass microscope slide using Naphrax. SCPs were 190 enumerated using a light microscope and expressed in concentration (number per gram of dry matter (gDM<sup>-1</sup>)). For the sites that were radiometrically dated, SCP concentrations were converted to 191 flux (n cm<sup>-2</sup> yr<sup>-1</sup>) to take into account the variability in sediment accumulation rates (Rose et al., 192 1998). The detection limit for the technique is typically less than 100 gDM<sup>-1</sup> (Rose, 1994), and 193 concentrations presented here have an accuracy of c. ±30 gDM<sup>-1</sup> for Tasik Chini and c. ±50-100 gDM<sup>-1</sup> 194 195 for the other records. Rose (1994; 2008) provides more details on the SCP preparation protocol and 196 criteria for identification.

197

### 198 Mercury analysis

199 We measured Hg concentrations in the sediment cores from Sampaloc and Yambo (Philippines) 200 using cold vapour-atomic fluorescence spectrometry (CV-AFS). Samples were digested with 8 mL 201 aqua regia at 100 °C on a hotplate for 2 h in rigorously acid-leached 50 mL polypropylene digestion 202 tubes, along with standard reference materials and sample blanks. The digested solutions (samples, 203 standards and blanks) were subsequently analysed for Hg using CV-AFS following reduction with 204 SnCl<sub>2</sub> (Yang et al., 2016). The standard reference material used here (GBW07305; stream sediment) has a certified Hg value of 100  $\pm$  10 ng g<sup>-1</sup>; our measured mean value was 103.8 ng g<sup>-1</sup>, with a relative 205 standard deviation (RSD) of  $3.1 \text{ ng g}^{-1}$  (n=5). 206

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#### 208 Regional climate and atmospheric modelling

209 The monsoonal climate of SEA results in large intra-annual variations in the extent of the air-shed 210 (the area from which a parcel of air, and suspended pollutants, is likely to be sourced) for each of the 211 study sites. This is because seasonal changes in the monsoonal system result in differences in wind 212 direction (Fig. 1) as well as in marked differences in wet and dry periods in most of the region 213 (Supplementary Table 2). Peninsular Malaysia and Singapore are affected by two major monsoon 214 systems, the southwest monsoon (late May-September; Supplementary Table 2) and the northeast 215 monsoon (November-March), which brings high amounts of precipitation. During the transitional months, the equatorial trough lies over Malaysia and winds are generally light and variable. In the 216 217 Philippines, the summer southwest monsoon brings relatively high amounts of precipitation to most 218 of the archipelago during May-October. The northeast winter monsoon is generally associated with 219 lower quantities of precipitation (Supplementary Table 2). The Philippines are also bisected by a

major tropical storm (typhoon) track, generally running east to west, with most major typhoons
occurring between June and December (Kubota and Chan, 2009).

222 We analysed potential sources of atmospheric pollution for each of our sites by producing 223 backward trajectories (72 h) of air masses for the Seven Crater Lake region (the Philippines), Tasik 224 Chini (Peninsular Malaysia) and SR (Singapore) following Nagafuchi et al. (2009). The trajectories 225 were plotted using the Hybrid Single-Particle Lagrangian Integrated Trajectory model (HYSPLIT) 226 (Stein et al., 2015; Ready, http://www.ready.noaa.gov) using a weekly sampling resolution for five 227 selected months (May 2016, August 2016, October 2016, November 2016, January 2017) that 228 together represent the different modes of the monsoonal climate in SEA (Supplementary Table 2). 229 The backward trajectory simulations started with air masses at 500 m above the modelled ground 230 levels at starting point; trajectories were calculated based on meteorological data from the Global 231 Data Assimilation System (NOAA, https://www.ready.noaa.gov/gdas1.php).

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### 233 Results

### 234 Radiometric dating

The unsupported <sup>210</sup>Pb profiles show non-monotonic features in the Mohicap, Sampaloc and Tasik 235 236 Chini records (Supplementary Table 3), confirming the suitability of the CRS model over the alternative, Constant Initial Concentration (CIC) model (Robbins, 1978). Activities of <sup>137</sup>Cs and <sup>241</sup>Am 237 in these three records are too low to validate <sup>210</sup>Pb-based evidence for dating. While the Yambo 238 <sup>210</sup>Pb profile shows a more regular pattern, small departures from an exponential decline also 239 indicate the use of a CRS dating model for this core. A peak in <sup>137</sup>Cs activity at 39.5 cm depth in the 240 Yambo record reflects the 1963 maximum in atmospheric <sup>137</sup>Cs fallout resulting from the testing of 241 nuclear weapons. While <sup>137</sup>Cs can be mobile in natural environments, it is unlikely that the peak 242 position would have changed. Therefore, the <sup>137</sup>Cs peak at 39.5 cm depth is used to correct the CRS 243 <sup>210</sup>Pb chronology, which initially placed 1963 at 28.5 cm depth. The offset in the <sup>210</sup>Pb profile for the 244 Yambo record might be the result of catchment-specific changes in sedimentation processes (such as 245 changes in catchment erosion/inputs or sediment focussing) that might have changed the input of 246 supported <sup>210</sup>Pb. 247

The resulting core chronologies (Fig. 2) suggest relatively high sedimentation rates at Mohicap, with an increase in rate of sedimentation between 1940 and 1970, followed by a uniform rate of 0.21 g cm<sup>-2</sup> yr<sup>-1</sup>. The Sampaloc record shows an increase in sedimentation rate around 1995, whereas the Yambo record shows relatively stable rates throughout. Rates of sedimentation are most variable in the Tasik Chini record, where step-wise increases can be seen around 1945 and 2000, with considerable variations during the last decade. 254

### 255 <u>SCP records</u>

256 Concentrations of SCPs in the three records from the Philippines and the record from Malaysia show 257 similar trends, with first occurrences being observed in samples taken from the lower part of each 258 core, followed by increasing concentrations in samples from higher in the sequence (Fig. 3). All 259 records show decreasing concentrations in the uppermost part of the core. The concentrations differ from core to core, with maximum values reaching c. 40,000 SCPs gDM<sup>-1</sup> at Sampaloc, but only c. 300 260 SCPs gDM<sup>-1</sup> at Tasik Chini. The SR record shows continuous presence of SCPs from the onset of the 261 core, with initial concentrations of SCPs of c. 800 SCPs gDM<sup>-1</sup> around 70 cm core depth, subsequently 262 increasing to maximum concentrations of c. 6000 SCPs gDM<sup>-1</sup> at 45 cm core depth and with 263 concentrations fluctuating between 2000-6000 SCPs gDM<sup>-1</sup> in the upper part of the record. 264 265 First occurrences of SCPs often reflect early developments in the industrial combustion of

266 coal and oil, and following two earlier samples with single occurrences of SCPs (Fig. 3) the Tasik Chini 267 SCP flux record dates the onset of continuous presence of SCPs in sediment samples to c. 1950 (Fig. 4). The age-depth models of the cores from the Philippines do not reach back far enough to date first 268 269 occurrences, and hence the SCP flux profiles are truncated. The Sampaloc record indicates that SCPs 270 are encountered earlier than at Tasik Chini, with SCPs in the oldest sediment sample dated to c. 271 1925. The four radiometrically dated records all show increased SCP fluxes around 1960, although fluxes at Tasik Chini are much lower (10 cm<sup>-2</sup> yr<sup>-1</sup>) than the values observed at the Philippine sites 272 (1000 cm<sup>-2</sup> yr<sup>-1</sup>). Three of the four records show a second step-change increase in SCP flux around 273 274 1990, with all records showing maximum flux at around 2000. All four records subsequently show 275 decreasing flux to the present.

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# 277 <u>Mercury</u>

Hg concentrations range between 30 and 150 ng g<sup>-1</sup> in the Sampaloc and Yambo records (Fig. 5). In
the core from Sampaloc, maximum concentrations of 90-150 ng g<sup>-1</sup> are reached between 30 and 55
cm depth. Hg concentrations were initially low in Yambo (30-40 ng g<sup>-1</sup> below 70 cm depth in the
core), followed by relatively stable concentrations of 50-90 ng g<sup>-1</sup> for the upper part of the record.
When converted to flux, Hg in Sampaloc is around 40 µg m<sup>-2</sup> yr<sup>-1</sup> for most of the sequence, with an
increase to values over 80 µg m<sup>-2</sup> yr<sup>-1</sup> between 1990 and 2005. The Yambo record initially shows a Hg
flux of 60-90 µg m<sup>-2</sup> yr<sup>-1</sup> prior to 1965, after which it shows a relatively stable flux of c. 110 µg m<sup>-2</sup> yr<sup>-1</sup>.

# 286 <u>Atmospheric modelling</u>

287 Atmospheric modelling (Fig. 1) indicates seasonal differences in the air masses reaching our study 288 sites throughout the year. The winter monsoon (November-April; Supplementary Table 2) delivers 289 air masses from mainly the east-northeast. While this means that air masses affecting the study sites 290 in the Philippines mainly pass over the Philippine Sea, some of the backward trajectories suggest 291 that southern Japan could also be a source of atmospheric pollution (e.g. Fig. 1c). As November-April 292 is a period with relatively low precipitation in the Philippines, the chances for rain-out of 293 atmospheric particles are lower, and airborne particles can potentially be transported further. In 294 contrast, during June-September air masses over the Philippines arrive from the west, bringing 295 relatively high amounts of precipitation. The modelling results suggest that there is only limited 296 scope for airborne particle sources from outside of the Philippines to arrive at the study sites on the 297 island of Luzon. Seasonal variability is slightly higher for the sites in Peninsular Malaysia and 298 Singapore. Winds from the northeast dominate from October-January, southwestern winds from 299 January-April, and southeasterly winds from May-September (Supplementary Table 2). Highest 300 amounts of precipitation are commonly observed during the northeast monsoon (November-March) 301 for eastern Peninsular Malaysia, suggesting that although the three-day trajectories cover large 302 distances for these months, reaching as far as the South China Sea and the Indian Ocean (Fig 1c), 303 airborne particles have a higher chance of raining out during this part of the year. The different 304 directions of the air mass trajectories, in addition to the length of the three-day pathways, suggest 305 that the sediment records of our sites represent a regionally integrated signal of air pollution, and 306 that SCPs transported to our site could be partly derived from long-range transport (with travel distances potentially exceeding 10<sup>3</sup> km). However, the modelling results also suggest that for large 307 308 parts of the year air masses mainly pass over open water, and combined with information on 309 seasonality of precipitation, indicate that most of the airborne particles are probably derived from 310 local sources.

311

#### 312 Discussion

#### 313 Spatio-temporal trends of SCP fluxes

Burning of fossil fuels in SEA started toward the end of the 19<sup>th</sup> century albeit on a small scale. The first coal-fired power plant in the Philippines was built in Manila, also on the island of Luzon, in 1892 (Ongsotto and Ongsotto, 2002), and this and other power plants constructed in the vicinity of Manila could account for the early detection of SCPs in the record from Sampaloc (Fig. 4), located around 100 km to the south. Extensive and region-wide fossil fuel consumption did not begin until c. 1950-1960, when all four <sup>210</sup>Pb-dated records show an increase in SCP flux. The use of fossil fuels was locally stimulated by legislation such as the Oil Exploration and Development Act (1972) of the 321 Philippines, which offered tax exemptions for oil exploration and exploitation. The increase in coal 322 and oil consumption after 1980 in SEA (Fig. 4b) is mirrored in the increase in SCP flux in the records 323 of Yambo, Sampaloc and Tasik Chini, which all show a phase of SCP flux during the 1980s. A further 324 increase in coal and oil consumption after 2000 (IEA, 2013; Kurokawa et al., 2013) is not reflected in 325 the SCP records, which all show decreasing flux from that time. This divergence in trends might be 326 the result of the implementation of air pollution control measures (Wang et al., 2014; Mohktar et al., 327 2014). For instance, an expansion of air pollution control measures in the Philippines followed 328 implementation of the Philippines Clean Air Act of 1999 (Republic Act No. 8749), which set pollution 329 emission limitations for the fossil fuel industry (as well as for motor vehicles). Implementation of air 330 pollution control policies commenced in China from 1980 onward, but only became effective from c. 331 2005 onward (Yin et al., 2016). In Malaysia, the Malaysia Environmental Quality (Clean Air) of 1978 332 provided the first regulations relating to atmospheric pollution control, only recently being replaced 333 by the New Environmental Quality (Clean Air) Regulation 2014. Despite these intra- and inter-334 regional differences in the timing of the introduction of air pollution control measures in Southeast 335 and East Asia, their implementation was more strenuously enforced only from c. 2000 onward, and 336 this is in line with our observed decrease in SCP fluxes in SEA.

Historical records of monthly precipitation amounts show a slight increase in rainfall inMalaysia from the 1990s onward (Climate Change Knowledge Portal,

339 http://sdwebx.worldbank.org/climateportal), with the strongest increases in rainfall amounts seen 340 during the northeast monsoon season (November-March). This increase in precipitation amounts 341 could have resulted in more effective rain-out of particulates, and shortened transport distances for 342 airborne particulates such as SCPs (e.g. Ruppel et al., 2013; Supplementary Figure 1). This would 343 have decreased the amount of regionally-derived SCPs for some of our sites from c. 1990. It is 344 however difficult to disentangle the potential effects of an increase in precipitation amounts from 345 the effects of increasingly more effective air pollution control measures, as both would result in 346 decreased SCP fluxes to our sites. More research is needed to differentiate between the effects of 347 these two drivers of changes in SCP deposition at our sites.

Individual SCP flux records were standardised and combined in a regional summary record
for SEA (Fig. 4c). The curve that is shown in Fig. 4c is dominated by the results from the Philippines,
and is currently based on a relatively low number of records that are available for comparison.

Future results from SEA might alter the general trend of the record shown in Fig. 4c. However, the current summary diagram resembles trends observed in extra-tropical parts of Asia, such as China and Japan. For instance, the record for Akani-konuma, a remote high-altitude lake in Japan, shows first occurrences of SCPs around the early 1950s, before reaching peak flux around the late-1980s 355 (Nagafuchi et al., 2009). SCP concentration data from the middle Yangtze in China show an abrupt 356 increase in the early 1950s, before declining from around 2000, while similar data from Beijing show 357 a much more recent (late 1980s) increase, with the predominant morphology of SCPs indicating the 358 combustion of coal as the main source (Wu et al., 2005; Hirakawa et al., 2011). By comparison, 359 sediment cores from lakes Taihu and Donghu in eastern China both exhibit first occurrences of SCPs 360 in the 1930s before showing rapid increases in SCP deposition around 1950 and peak flux at around 361 1990 (Rose, 2015). A similar pattern of accumulation is also shown in coastal sediments from Japan 362 (Murakami-Kitase et al., 2010; Hirakawa et al., 2011). Sediment samples from the sub-aqueous part 363 of the Yangtze Delta and dating to before the 1930s contain SCPs, with concentrations rising steeply 364 in the early 1950s (Wang et al., 2014). The similar trends observed in our records and in the 365 published records from Japan and China reflect the simultaneous expansion of fossil fuel combustion 366 around this time. Rose (2015) provides a global synthesis of SCP records, illustrating that while 367 certain parts of the world are well-studied (e.g. Europe), tropical regions are not, hampering our 368 understanding of past atmospheric pollution patterns. Rose (2015) shows a normalised summary 369 curve for Asia that includes 10 sites, but these sites cover a geographical range spanning from the 370 northern Urals in Arctic Russia in the northwest (Solovieva et al., 2005) to Japan in the southeast 371 (Yoshikawa et al., 2000; Nagafuchi et al., 2009), and all are located outside of tropical Asia. The 372 summary curve for Asia (Rose, 2015) shows relatively low abundances or absence of SCPs pre-1950, 373 followed by a trend of increasing flux from the mid-1950s to a peak around 1990. Inferred variations 374 in atmospheric pollution levels for SEA are thus generally in line with information on fossil fuel usage 375 in East and Southeast Asia (Ohara et al., 2007; Wang et al., 2014). While not outside of the range of 376 dating uncertainties there are, however, slight differences in the SCP flux records from the two 377 regions, with peak values being reached in East Asia at c. 1990 (Rose, 2015) but around 2000 in SEA 378 (this study). In addition, the individual records from East Asia show decadal-scale differences when 379 compared with each other as well. On a global scale, most SCP records show a more abrupt increase 380 immediately after c. 1950, reaching peak flux values one or two decades earlier than the peak values 381 as seen in our records. This likely reflects regional differences in development and pollution 382 mitigation.

While future projections of black carbon emissions suggest globally decreasing concentrations, regional estimates differ between a slight increase (Streets et al., 2004) and a decrease (Wang et al., 2014) for SEA. The more recent estimates of a decrease in future emissions take into account substantial measures taken by local governments to reduce air pollution with the objectives of climate change mitigation as well as air quality improvement, such as the Environmental Quality (Clean Air) Regulation of 2014 in Malaysia (Mohktar et al., 2014; Wang et al., 2014). Our results suggest that strict implementation of these regulations would lead to continued

- declines in SCP deposition. In contrast, the Philippine Energy Plan (PEP) 2012-2030 seeks to achieve
- 391 energy independence through the use of indigenous fuel resources, including but not limited to
- indigenous coal and oil fields. This suggests that the Philippines will remain dependent on
- 393 conventional fuels for the foreseeable future, thus affecting future SCP emissions in the region.
- 394

### 395 Potential sources of SCPs

396 While the patterns of variations in SCP loads are similar between the study sites, the absolute fluxes 397 vary substantially. For instance, our records show that maximum flux at Tasik Chini only reaches 40 cm<sup>-2</sup> yr<sup>-1</sup>, whereas maximum flux in the Philippines reaches c. 2000 cm<sup>-2</sup> yr<sup>-1</sup>. SCP fluxes can vary over 398 399 short distances (Rose and Appleby, 2005; Rose, 2015) and catchment- and site-specific processes like 400 wind fetch, exposure, catchment slope, vegetation coverage and sediment transport all influence 401 the extent to which SCPs accumulate in lake sediments, explaining some of the high spatial 402 variability noted in other studies. However, proximity to pollution sources is the most likely 403 explanation for the 2-order magnitude difference observed between our sites. Metropolitan Manila, 404 the capital and by far the largest urban conurbation in the Philippines with an estimated population 405 of 13 million, is located about 100 km to the north of the Seven Crater Lakes. San Pablo (population 406 266,000), which extends to the shoreline of Sampaloc, is also a relatively large, densely populated 407 urban area. There are several power plants in the air-shed for the cluster of lakes forming the Seven 408 Crater Lakes (Fig. 1b). While smaller-sized power generation facilities have been in operation from 409 1963 onward, large coal and oil plants came into operation in the 1980s and 1990s. These power 410 plants can serve as local sources of SCPs accumulating in sediments at Mohicap, Sampaloc and 411 Yambo. In contrast, all power plants on Peninsular Malaysia (Fig. 1a) are located on the western side 412 of the peninsula. Tasik Chini therefore receives relatively more long-range emissions than the 413 Philippine sites which receive more short-range transported SCPs.

414

### 415 Mercury fluxes

Global Hg emission rates have tripled since c. 1850, mainly due to increased coal burning (Engstrom
et al., 2014; Horowitz et al., 2014). There are however many additional sources of anthropogenic Hg
emission, including waste incineration and sulphide ore processing (Hylander and Meil, 2003). An
important potential source of atmospheric Hg is artisanal and small-scale gold mining (Mason and
Pirrone 2009, Cordy et al., 2010) and an estimated 300,000 people are employed in the small-scale
mining sector of the Philippines (Lu, 2012). Artisanal mines have spread throughout the country
since the mid-1980s gold-rush, with high numbers on the island of Mindanao to the south of Luzon

423 (Appleton et al., 1999). The expanding practice of artisanal gold mining in SEA is responsible for much
424 of the region's environmental mercury emissions (Pacyna et al., 2010).

425 The first significant increases in Hg in lake sediment cores are typically observed from the mid-19<sup>th</sup> century, even in remote areas (Muir et al., 2009). While our records do not have age-depth 426 models that reach back to the 19<sup>th</sup> century, our record from Sampaloc shows an increase in Hg 427 428 concentrations at c. 65 cm depth. We interpret this increase in concentration as a clear pollution 429 signal, which occurred prior to c. 1925 (37 cm depth), the start of our chronology. The declining 430 trend in Hg concentrations at Sampaloc is related to dilution as a result of increased sedimentation 431 rates, as Hg flux increases from c. 1925 (Fig. 5). Similarly, Hg concentrations at Yambo increase from 432 85 cm onward, which is sometime before the c. 1950 (47 cm depth) onset of the sediment chronology for this site. The observed values of c. 40-110  $\mu$ g m<sup>-2</sup> yr<sup>-1</sup> compare well to modern 433 434 observations of wet deposition fluxes of total Hg in China, which ranges between 24.9 and 39.6 µg m<sup>-2</sup> yr<sup>-1</sup> for five study sites in the Wujiang River basin, but are much higher than observations of wet 435 436 deposition fluxes for Europe and North America (Guo et al., 2008; Yang et al., 2009). However, 437 comparison of fluxes derived from different types of observations as well as from different areas will 438 be hampered by the difference in sources of input, as well as differences in the human impact on the 439 landscape (e.g. deforestation). While the SCPs encountered in our records might reflect a local or 440 regional source of pollution, the early increases in Hg concentrations most likely indicate a larger 441 regional or even global impact, in line with observations elsewhere (Fitzgerald et al., 2005; Muir et 442 al., 2009; Yang et al., 2010). The presence of artisanal gold mining as well as other small-scale mining 443 activities will likely have provided additional local inputs of Hg.

444

445 Impact of atmospheric pollution on Southeast Asian wetlands

446 Lakes and wetland ecosystems are not equally vulnerable to pollution because of their differing 447 ability to buffer the effects of pollutants, but little is known of the buffering capacity of aquatic ecosystems in SEA. Alkalinity of waters from Tasik Chini is rather low (0.05-0.20 meq L<sup>-1</sup>; unpubl. 448 449 data), and thus poorly buffered and susceptible to acidification. The results of a region-wide 450 precipitation monitoring project show that precipitation has an annual average pH of <5.0 for large 451 parts of SEA, with sulphuric acid the main cause of acidification (EANET, 2011). Lake monitoring data for sites in Malaysia and Indonesia provide evidence for declining lake-water pH and increasing 452 sulphate (SO<sub>4</sub><sup>2-</sup>) concentration, although the cause of apparent acidification remains unknown 453 454 (EANET, 2011; Sase et al., 2017). Combined with other pressures on water quality (e.g. sewerage 455 inputs from urban areas, fish farming, catchment disturbance, climate change), acidification poses a

456 severe risk to aquatic ecosystem functioning and service provision in SEA, and indeed tropical Asia457 more widely.

458 SCP fluxes in SEA have declined over the last two decades (Fig. 4), likely as a result of the 459 introduction of particle-arrestor technologies and improved pollution mitigation policies for 460 industrial fuel combustion. In contrast, Hg fluxes at these lakes (Fig. 5) have remained relatively constant across the second half of the 20<sup>th</sup> century to the present. Hg concentrations show a 461 462 decreasing trend in Sampaloc, potentially related to dilution through increased sedimentation rates, 463 and have remained stable at Yambo, despite the observed increase in sedimentation rates. While 464 several pollution controls have constrained emissions of atmospheric Hg from e.g. power generation 465 and cement production (Zhao et al., 2015), the more diverse sources of Hg, including artisanal 466 mining, might explain the absence of a decrease in sedimentary Hg concentrations in the Yambo 467 record.

The increased sedimentation rates at Sampaloc and Yambo could partially reflect elevated levels of catchment soil erosion. This soil material includes Hg from atmospheric deposition over time, and is thus a source of delayed input of Hg (Yang et al., 2016). Hg flux to these lakes can therefore be expected to remain high for decades to come, even following the implementation of mitigation measures such as those associated with the Minamata Convention (Ha et al., 2017). Transfer of Hg may therefore have potentially long-lasting impacts on aquatic and human health.

474

#### 475 Conclusions

476 We used lake sediment archives to reconstruct trends in atmospheric pollution levels across SEA, 477 covering the period from the start of industrial fuel consumption to the present. First occurrences of 478 SCPs, reflecting early developments in the industrial combustion of coal and oil, are dated to c. 1950 479 at Tasik Chini (Malaysia) and are shown to predate c. 1925 at Sampaloc (Philippines). All SCP records 480 show increasing fluxes from 1960 onward, indicating the onset of extensive and region-wide fossil 481 fuel consumption. Increases in SCP fluxes between 1960 and 2000 reflect the increases in fossil fuel 482 consumption in SEA, whereas the decreasing SCPs fluxes between 2000 and the present might be 483 the result of the implementation of air pollution control measures. Atmospheric modelling suggests 484 that most of the airborne particles are derived from local or regional sources. The trends observed in 485 our SCP flux data compare well to independent records from extra-tropical parts of Asia, although peak flux is reached slightly later in SEA (around 2000) when compared to records from China and 486 487 Japan (c. 1990).

The Sampaloc record shows an increase in Hg concentrations prior to c. 1925, the start of our chronology, which is interpreted as a clear pollution signal. Both the Sampaloc and the Yambo

- 490 record show relatively high Hg fluxes with maximum fluxes reaching 90-110  $\mu$ g m<sup>-2</sup> yr<sup>-1</sup>. The
- 491 reconstructed fluxes compare well to observations from China, but are higher than recent Hg
- 492 deposition rates observed in Europe and North America. Whereas the SCPs encountered in our
- 493 records might reflect a regional source of pollution, the early increases in Hg concentrations most
- 494 likely indicate a larger regional or even global impact. The extensive presence of artisanal mining
- 495 activities in the Philippines will likely have provided additional local inputs of Hg.
- 496

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- 506
- Supporting Information. Table S1 presents site information for the five study sites presented in this
   manuscript. Table S2 provides information on monthly wind (Table S2a) and precipitation (Table
   S2b) amounts for Singapore, Malaysia and the Philippines. Table S3 provides the <sup>210</sup>Pb measurement
   data for the four radiometrically dated profiles. Figure S1 shows altitudes of air masses during the 72
   hours prior to arriving at our sites for five selected dates (cf the data shown in Fig. 1c-11g)
- 512

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## 732 Figures

- 733 Fig. 1: a-b) Map of sites and locations of power plants. SCL = Seven Crater Lakes (Philippines); TC =
- 734 Tasik Chini (Malaysia); SR = Singapore Reservoir (Singapore). Only power plants that started
- operations prior to 2000 are shown for the Philippines; c-g) 3-day isobaric backward trajectories
- race ending at our study sites: Blue: air masses reaching the sites in the Philippines; green: air masses
- reaching Tasik Chini (Malaysia); red: air masses reaching Singapore. Orange arrows summarise
- rank seasonal wind directions based on the data shown in Supplementary Table 2, with panels showing
- characteristic months that exemplify the seasonal (monsoonal) variability in wind directions in SEA.
- 740 Basemaps for (a) and (b) from www.freevectormaps.com
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Fig. 2: Radiometric chronologies for the four dated records: Lake Mohicap, Lake Sampaloc, Lake
Yambo (all Philippines) and Tasik Chini (Malaysia) showing the CRS model <sup>210</sup>Pb dates and age (solid
lines) as well as the sedimentation rates (dashed lines)

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Fig. 3: SCP concentrations for Lake Mohicap, Sampaloc and Yambo (Philippines), Lake Tasik Chini
(Malaysia) and a reservoir in Singapore (SR). Concentrations as number of particles per gram dry
material (n gDM<sup>-1</sup>)

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Fig. 4: A. SCP fluxes for Lake Mohicap, Sampaloc and Yambo (Philippines) and Lake Tasik Chini
(Malaysia) expressed as numbers of particles per cm<sup>2</sup> per year (n cm<sup>-2</sup> yr<sup>-1</sup>). B. Coal (10<sup>3</sup> short tonnes;
ST) and oil (10<sup>3</sup> barrels/day; bd<sup>-1</sup>) usage in selected SEA countries since 1980 (www.eia.gov) C.
Summary diagram for SEA with SCP sediment profile data from (a) normalized to the SCP
accumulation peak (1.0) for the individual sites. Open circles reflect individual data points; the red

- line represents a general trend and is calculated by applying a LOESS smoother (span = 0.15) to the
- 756 data. Horizontal bar indicates 1950 ± 5 years representing the global increase in SCP contamination
- 757 during the mid-20<sup>th</sup> century (Rose 2015)

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- Fig. 5: SCP and Hg concentrations and fluxes for (a) Lake Sampaloc and (b) Lake Yambo (Philippines).
- 760 Concentration curves on a depth (cm) scale; fluxes on an age scale with a secondary depth scale
- 761 plotted for comparison