

# The effect of offset on fracture permeability of rocks from the Southern Andes Volcanic Zone, Chile



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## ABSTRACT

The Southern Andes Volcanic Zone (SVZ) represents one of the largest undeveloped geothermal provinces in the world. Development of the geothermal potential requires a detailed understanding of fluid transport properties of its main lithologies. The permeability of SVZ rocks is altered by the presence of fracture damage zones produced by the Liquiñe-Ofqui Fault System (LOFS) and the Andean Transverse Faults (ATF). We have therefore measured the permeability of four representative lithologies from the volcanic basement in this area: crystalline tuff, andesitic dike, altered andesite and granodiorite. For comparative purposes, we have also measured the permeability of samples of Seljadalur basalt, an Icelandic rock with widely studied and reported hydraulic properties. Specifically, we present the results of a systematic study of the effect of fractures and fracture offsets on permeability as a function of increasing effective pressure. Baseline measurements on intact samples of SVZ rocks show that the granodiorite has a permeability ( $10^{-18} \text{ m}^2$ ), two orders of magnitude higher than that of the volcanic rocks ( $10^{-20} \text{ m}^2$ ). The presence of throughgoing mated macro-fractures increases permeability by between four and six orders of magnitude, with the highest permeability recorded for the crystalline tuff. Increasing fracture offset to produce unmated fractures results in large increases in permeability up to some characteristic value of offset, beyond which permeability changes only marginally. The increase in permeability with offset appears to depend on fracture roughness and aperture, and these are different for each lithology. Overall, fractured SVZ rocks with finite offsets record permeability values consistent with those commonly found in geothermal reservoirs ( $> 10^{-16} \text{ m}^2$ ), which potentially allow convective/advection flow to develop. Hence, our results demonstrate that the fracture damage zones developed within the SVZ produce permeable regions, especially within the transtensional NE-striking fault zones, that have major importance for geothermal energy resource potential.

## 1. Introduction

Understanding the influence of fractures on the hydraulic properties of rocks is key to many fundamental and applied areas of geoscience, such as deformation and faulting (Barton et al., 1995; Evans et al., 1997), geophysical hazards (e.g. Scholz and Gupta, 2000), geothermal energy recovery (e.g. Meixner et al., 2016; Rowland and Sibson, 2004), genesis of ore deposits (e.g. Cox, 1999; Liotta et al., 2015; Micklethwaite et al., 2010) and subsurface storage of oils and gas (e.g. Gudmundsson et al., 2012, 2010). In crystalline rocks, geo-fluids are primarily stored within and migrate through fault-fracture networks at all scales (Cox, 2007; Curewitz and Karson, 1997; Uehara and

Shimamoto, 2004; Walker et al., 2013; Zhang and Tullis, 1998; Zucchi et al., 2017). Since fractures serve as the main pathways for fluid flow, any change in fracture properties will result in a concomitant change in rock hydraulic properties. It is therefore essential to quantify how fractures influence rock permeability, and how their effect varies with elevated pressure at depth.

Fault zones accommodate most displacement in their cores, while simultaneously developing a variety of surrounding damage zone geometries defined by fractures at all scales, from micro-fractures to macro-fractures (Kim et al., 2004; Mitchell and Faulkner, 2009). Permeability in such damage zones is enhanced by the hydraulic properties of the fault-fracture network (Caine et al., 2010, 1996; Faulkner et al., 2010;

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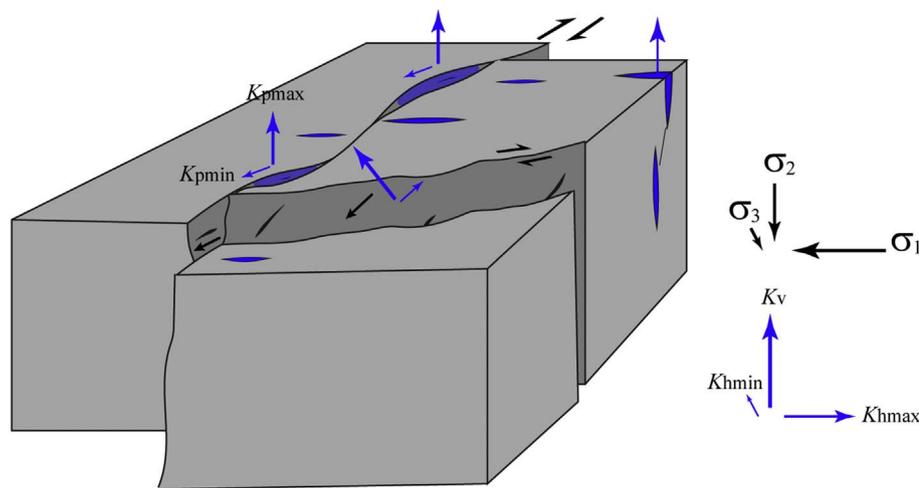


Fig. 1. Schematic diagram of a primary dextral strike-slip fault and a secondary normal-dextral fault showing the slip directions (black arrows), the maximum and minimum permeability within the fault plane ( $K_{pmax}$  and  $K_{pmin}$ , respectively; blue arrows), and kinematically associated extension fractures (in blue). The stress ( $\sigma_1$ - $\sigma_2$ - $\sigma_3$ ) and permeability ( $K_v$ - $K_{hmin}$ - $K_{hmax}$ ) tensors are also shown. (after Faulkner and Armitage, 2013). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Mitchell and Faulkner, 2012; Sibson, 1996), where macro-fractures dominate permeability at low effective pressure (shallow depth), but are closed more easily at higher pressure so that the microfracture networks becomes more dominant (Lamur et al., 2017; Mitchell and Faulkner, 2012; Nara et al., 2011; Wang et al., 2016). However, most studies to date have only considered mated fractures (i.e., fractures with zero offset), whereas the majority of faults found in nature are unmated and offset (Bistacchi et al., 2011; Candela et al., 2012, 2009; Griffith et al., 2010; Sagy et al., 2007). Under these latter circumstances, the fluid flow direction is controlled by the orientation of the global stress field, where the maximum permeability is parallel to the  $\sigma_2$  axis (Fig. 1) (Faulkner and Armitage, 2013; Rowland and Sibson, 2004; Sibson, 1996), for all faults with the possible exception of low-angle normal faults (Brogi and Novellino, 2015). Within a fault plane the minimum permeability direction is parallel to the slip direction and perpendicular to the  $\sigma_2$  axis (Fig. 1). In this context, fault slip induces dilation/opening controlled by the fault roughness (Fig. 1), thus allowing the occurrence of the flow channelling phenomenon which increases permeability by concentrating fluid flow through connected shear fractures even under high confining pressure (Min et al., 2004; Nishiyama et al., 2014; Olsson and Barton, 2001; Olsson and Brown, 1993; Raven and Gale, 1985; Walsh and Grosenbaugh, 1979; Watanabe et al., 2008). Under these circumstances, permeability increases rapidly during initial shear displacement, but then changes more gradually or reaches steady-state with increasing shear displacement (Esaki et al., 1999), where gouge production reduces permeability by several orders of magnitude with respect to the unfilled fracture (Faulkner and Rutter, 1998; Mohanty and Hsiung, 2011; Nara et al., 2013; Wang et al., 2016). Furthermore, dilational jogs and extension fractures at the tips of individual faults and/or fault intersections provide locations where stress concentrates, which leads to fault reactivation and re-opening, so that they also become the most common locations for hydrothermal outflow (Curewitz and Karson, 1997; Rowland and Sibson, 2004; Zhang et al., 2008).

In this study, we focus on basement rocks from the Southern Andes Volcanic Zone (SVZ) (Fig. 2). The SVZ represents one of the largest undeveloped geothermal provinces in the world, with an estimated potential of up to  $\sim 16,000$  MW (Aravena et al., 2016; Lahsen et al., 2015a, 2015b; Sanchez-Alfaro et al., 2016). In recent years there has been significant progress in unravelling the architecture and tectonic behaviour of intra-arc fault systems in the SVZ, as well as their role in controlling migration and storage of magma and hydrothermal fluids, from a geometric and kinematic perspective (Arancibia et al., 1999; e.g. Cembrano et al., 1996; Cembrano and Lara, 2009; Lara et al., 2006, 2008; Legrand et al., 2011; Pérez-Flores et al., 2016; Rosenau et al., 2006; Sánchez et al., 2013; Sielfeld et al., 2016; Tardani et al., 2016).

However, we still have no quantitative knowledge of how displacement and offset within the fault-fracture network may influence permeability in SVZ rocks.

Therefore, in order to improve our understanding of how unmated fractures control permeability as a function of depth, we measured the permeability of macro-fractured rock samples from the main basement lithologies of this volcanic province as a function of both offset and effective pressure. In summary, in the present study, we analyze how permeability varies with increasing effective pressure in (i) intact samples, (ii) samples with mated macro-fractures, and (iii) samples with unmated macro-fractures where we varied the amount of fracture offset (and, hence, also the fracture aperture).

## 2. Geological setting

The deformation imposed by oblique convergence between the Nazca and South America plates (Fig. 2a) is partitioned through the overriding plate within the trench, fore-arc, intra-arc, and back-arc zones. The intra-arc zone accommodates dextral transpressional strike-slip-dominated deformation, from regional to local-scale, by a series of fault-fracture networks, which are related to both the intra-arc Liquiñe-Ofqui Fault System (LOFS) and the Andean Transverse Faults (ATF) (Fig. 2a) (Cembrano and Lara, 2009; Melnick et al., 2006; Pérez-Flores et al., 2016). The LOFS includes a series of kilometric-scale, subvertical NNE-striking master faults, subvertical NE-striking secondary faults and third order ENE-to-EW-striking faults, all cropping out from the area around Callaqui volcano to  $\sim 1200$  km south in the Golfo de Penas area (Fig. 2a) (e.g. Cembrano et al., 2000; Lange et al., 2008; Veloso et al., 2009).

The study area from which all our SVF sample material was collected is located between  $38^\circ$  and  $39^\circ$ S (Fig. 2b). Here, deformation is distributed within the intra-arc fault-fracture networks of both LOFS and the ATF (Pérez-Flores et al., 2016). These faults crosscut mainly volcano-sedimentary rocks belonging to the Cura-Mallin Formation (with whole rock K-Ar ages of 9–12 Ma) and the Vizcacha-Cumilao Formation (Upper Cretaceous-Paleogene), and intrusive rocks from Upper Jurassic to Miocene age (Suárez and Emparan, 1997), which are predominant south of  $39^\circ$ S (Llaima volcano).

The LOFS records a transpressional tectonic regime with N60E-trending  $\sigma_1$  and N30W-trending  $\sigma_3$ , while displaying a predominant dextral to dextral-reverse slip within subvertical NNE-striking faults, dextral-normal slip within the NE-ENE-striking faults and NE-striking extensional fractures (e.g. Lavenu and Cembrano, 1999; Pérez-Flores et al., 2016; Rosenau et al., 2006). The ATF includes a series of steeply-dipping NW-striking faults, most likely inherited from a pre-Andean architecture (e.g. Radic, 2010), with sinistral-reverse kinematics and

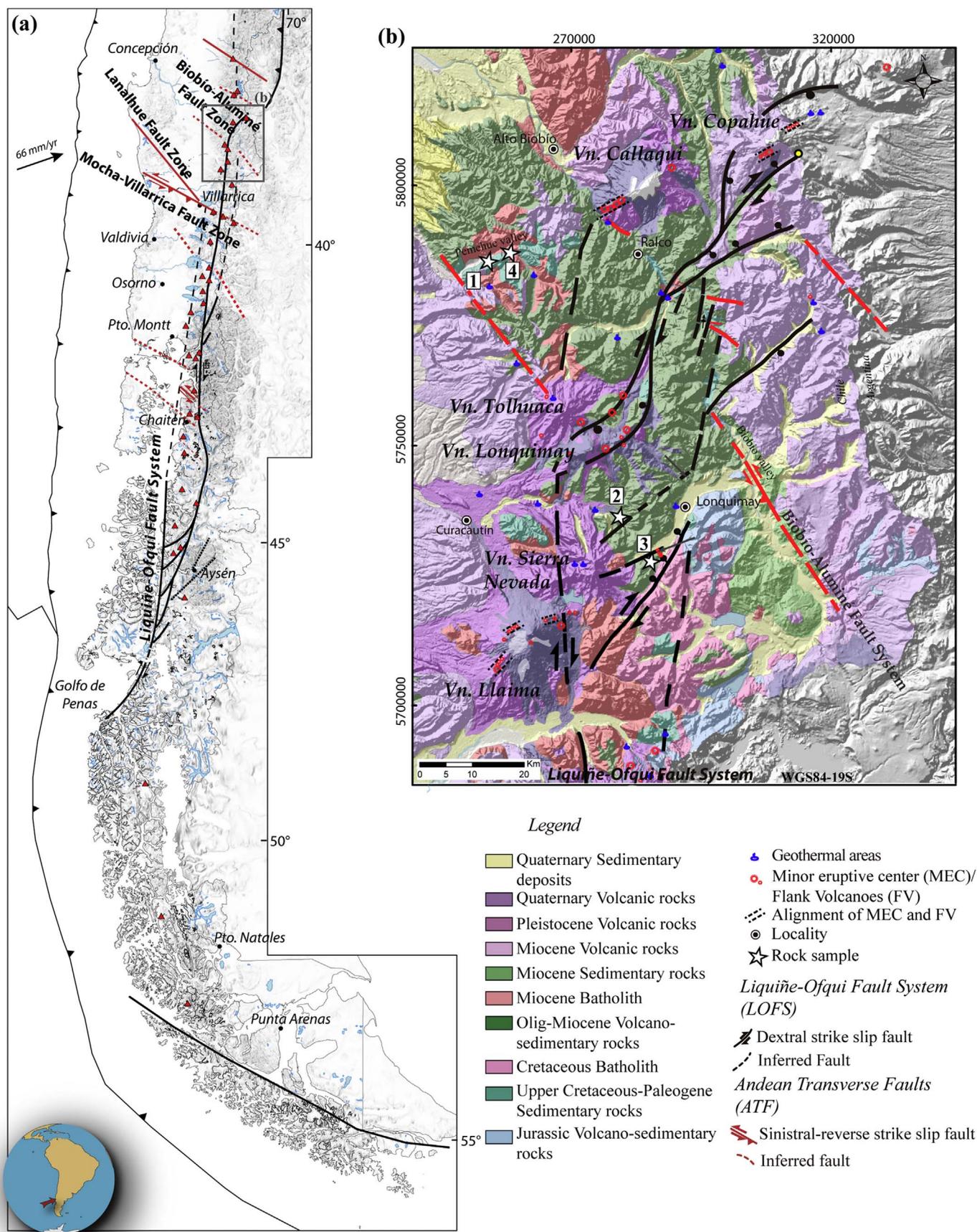
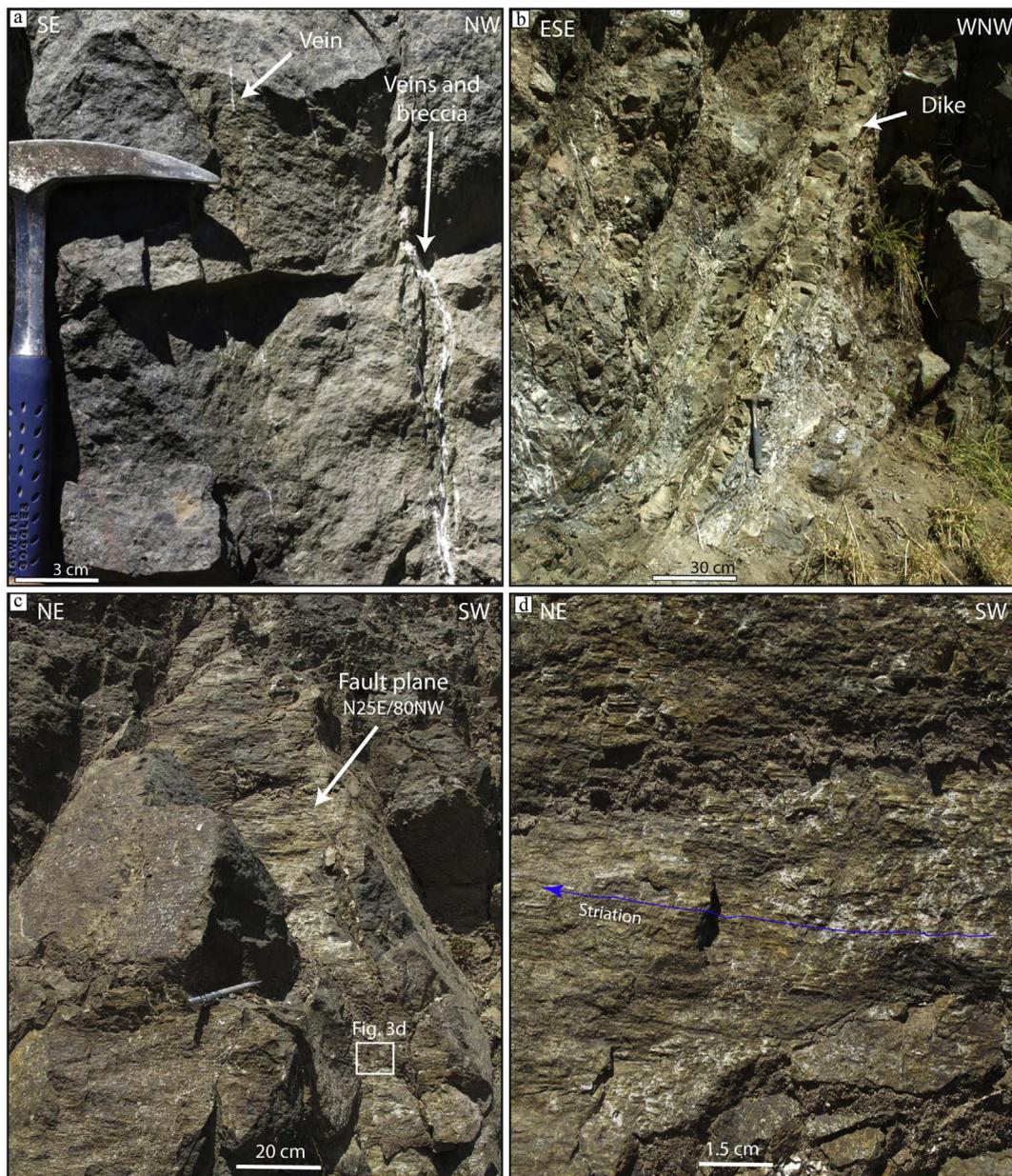


Fig. 2. (a) Structural map of the Southern Andes Volcanic Zone (SVZ), showing the trench and convergence vector of 66 mm/yr (Angermann et al., 1999). Right lateral Lique-Ofqui Fault System (LOFS) (black lines), Andean Transverse Faults (ATF) (red lines), spatially and genetically associated volcanoes (red triangles) are also shown (b) Geological-structural map of the SVZ, between 37°30'S and 39°S, showing the northern termination of the LOFS, the NW-striking faults belonging to ATF (Pérez-Flores et al., 2016), and the locations of rock samples collection sites (white stars): (1) crystalline tuff, (2) andesitic dike, (3) altered andesite and (4) granodiorite. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



**Fig. 3.** Photographs of rock sample collection outcrops: (a) altered andesite crosscut by fractures and zeolite veins, (b) NE-striking fault zone-hosted andesitic dike, zeolite-calcite veins, and hydrothermal breccia, (c) NE-striking fault crosscutting altered andesite, and (d) detail of fault plane from (c) showing rough surface along the slip direction (i.e. striation) denoted by a blue line. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

local normal-slip re-activation (Pérez-Flores et al., 2016). These fault systems are spatially and genetically associated with major stratovolcanoes (e.g. Callaqui, Llaima, Lonquimay, Tolhuaca), hot springs and with shallow earthquakes (Fig. 2) (Barrientos and Acevedo-Aránguiz, 1992; Lange et al., 2008; Legrand et al., 2011). The NE-ENE-striking fault fracture networks are favourably oriented with respect to the regional stress field for their aperture (parallel to  $\sigma_1$ ), promoting extensional/hybrid fracturing and vertical magma/hydrothermal fluid migration (Cembrano and Lara, 2009; Pérez-Flores et al., 2016; Sielfeld et al., 2016). These fault-fracture networks record a long history of magma and hydrothermal fluid pathways, as revealed by veins (Fig. 3a), dikes (Fig. 3b) and hydrothermal breccia emplaced within these fault zones (Cembrano and Lara, 2009; Lara et al., 2008; Pérez-Flores et al., 2016; Sielfeld et al., 2016). Conversely, the NW-striking fault fracture networks are misoriented with respect to the regional stress field, favouring magma residence and hydrothermal fluid storage (Pérez-Flores et al., 2016; Sánchez et al., 2013). Fault planes consist of

rough surfaces, commonly with multiple steps and slickenfibers (Fig. 3c; d). The interseismic slip rate of the LOFS, calculated from boundary element models is between 1 and 7 mm/yr, whereas the maximum slip rate calculated for NW-striking faults is 1.4 mm/yr (Stanton-Yonge et al., 2016). This tectonic context provides suitable conditions for both storage and migration of geo-fluids, accommodated by the interaction of these fault-fracture networks (Pérez-Flores et al., 2016; Roquer et al., 2017; Sánchez et al., 2013).

### 3. Materials and methods

#### 3.1. Sample materials

Permeability measurements were made on samples of five lithologies. Four of these consist of representative rock types from the volcanic basement of the SVZ, which provides the host lithologies of the intrarc LOFS and ATF (Fig. 2b): (1) crystalline tuff, (2) andesite dike, (3)

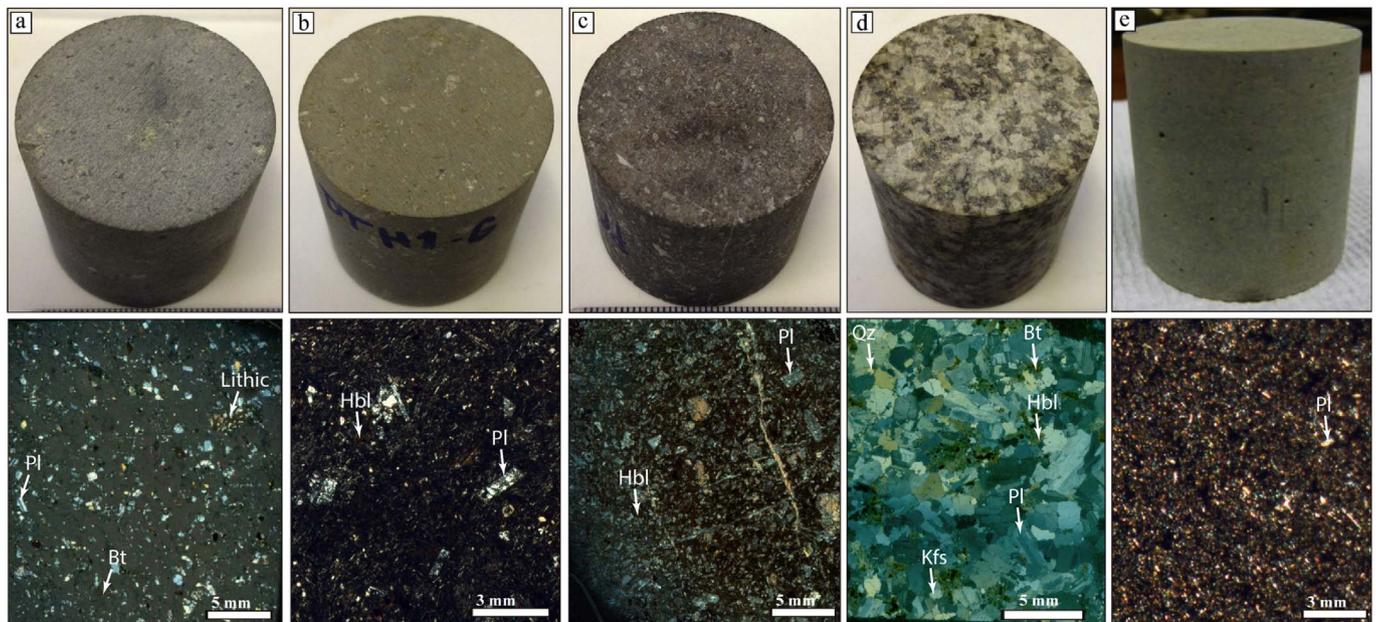


Fig. 4. Prepared intact cylindrical test samples (38 mm in diameter) and thin section images (cross polarized light) of the five lithologies used in this study: (a) crystalline tuff, (b) andesite dike, (c) altered andesite, (d) granodiorite, and (e) Seljadalur basalt. Granodiorite thin section (d) is thicker than normal, hence colors are according to increased thickness. For mineral abbreviations, see captions of Table 1. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

altered andesite and (4) granodiorite (Fig. 4). For purposes of comparison and calibration, we also made complementary permeability measurements on samples of Seljadalur basalt (SB) from Iceland; a material that has been extensively studied previously (Benson et al., 2006b; Nara et al., 2011; Wang et al., 2016). The crystalline tuff has a pyroclastic fragmental texture comprising broken crystals of plagioclase and pyroxene, with lithic fragments and glass. This material has a moderate to highly developed clay alteration (Fig. 4a). The andesitic dike material has a porphyritic texture, and comprises primarily plagioclase and pyroxene, with a smaller quantity of hornblende phenocrysts, within a micro-crystalline matrix (Fig. 4b). The altered andesite is a porphyritic, amygdaloidal volcanic rock comprising plagioclase and pyroxene, within the same micro-crystalline matrix as the andesitic dike. Both crystallites and matrix have been subjected to moderate albitization, resulting in the presence of zeolites and clay minerals. The altered andesite exhibits narrow zeolite veins (< 1 mm) and celadonite/smectite amygdales (Fig. 4c) (Vicencio, 2015). The granodiorite has a phaneritic texture, and comprises plagioclase feldspar, quartz, hornblende, K-feldspar, and sparse biotite. This material exhibits a low level of clay alteration and contains fine quartz veins (< 1 mm) (Fig. 4d). The Seljadalur basalt (SB) comprises plagioclase feldspar and pyroxene, with some accessory minerals, in a glassy matrix (Fig. 4e). SB is a compact, high-density rock with a porosity of 3–4% and an intact matrix permeability in the range of  $10^{-20}$  m<sup>2</sup> (Eccles et al., 2005; Nara et al., 2011).

Cylindrical test samples were cored from a single block of each lithology, obtained from surface outcrops. The core samples were 38 mm in diameter and 38 mm in length. Their end surfaces were ground flat and parallel to within  $\pm 0.02$  mm using a surface grinder (Fig. 4).

### 3.2. Permeameter and permeability measurement methodology

All permeability measurements were made in a servo-controlled permeameter using the steady-state flow method (e.g. Song et al., 2004). The apparatus (Fig. 5) and methodology are the same as those used by Nara et al. (2011). The permeameter comprises a 100 MPa hydrostatic pressure vessel equipped with dual 70 MPa servo-controlled pore fluid intensifiers. The samples are encased in rubber-jackets and positioned inside the pressure vessel between two stainless steel end-

caps. The end-caps contain ports to allow pore fluid to be introduced and distributed across one end of the sample, and to be received and expelled at the other end (Benson et al., 2006a; Nara et al., 2011).

During measurements, the upstream and downstream pore fluid intensifiers are set to slightly different control pressures to maintain a small but constant pore pressure difference across the sample, thus inducing fluid flow. Once steady-state flow is established, the permeability is determined from the rate of fluid flow, the pressure gradient, and the sample dimensions via direct application of Darcy's Law. To minimize any potential temperature-induced pressure fluctuations, the apparatus is located within a temperature-controlled laboratory. The temperature was kept constant at 20 °C during all experiments.

To analyze our data, we used the simple effective pressure law,  $P_{\text{eff}} = P_c - \alpha P_p$ , with  $\alpha = 1$ , where  $P_c$  is the applied confining pressure,  $P_p$  is the mean pore-fluid pressure and  $P_{\text{eff}}$  is the effective pressure. We varied the effective pressure,  $P_{\text{eff}}$ , during our experiments by holding the mean pore fluid pressure constant at 4 MPa, and increasing the confining pressure in a series of 5 or 10 MPa steps from 9 to 64 MPa.

### 3.3. Experimental protocol

In order to explore systematically the effect of fracture offset on permeability, we performed four sets of experiments; (1) baseline permeability measurements on intact samples (2) measurements on samples with mated (zero offset) macro-fractures, (3) measurements on macro-fractured samples with different fracture offsets, and (4) measurements on samples with macro-fractures filled with synthetic fault gouge. The baseline permeability measurements were made on intact samples of all five lithologies under an effective pressure ( $P_{\text{eff}}$ ) of 5 MPa, obtained by applying a confining pressure of 9 MPa and a mean pore fluid pressure of 4 MPa. We then introduced axial macro-fractures into several initially intact samples by loading them across their diameter using a modified Brazil test apparatus at a displacement rate of  $4 \times 10^{-6}$  m s<sup>-1</sup> (Fig. 6), using the same methodology as that described in Nara et al. (2011). The two halves of each macro-fractured sample were then placed together before being positioned in the permeameter, with great care being taken to ensure that the macro-fractures were fully mated with no shear offset (Nara et al., 2011). We then measured the permeability of the macro-fractured samples at effective pressures

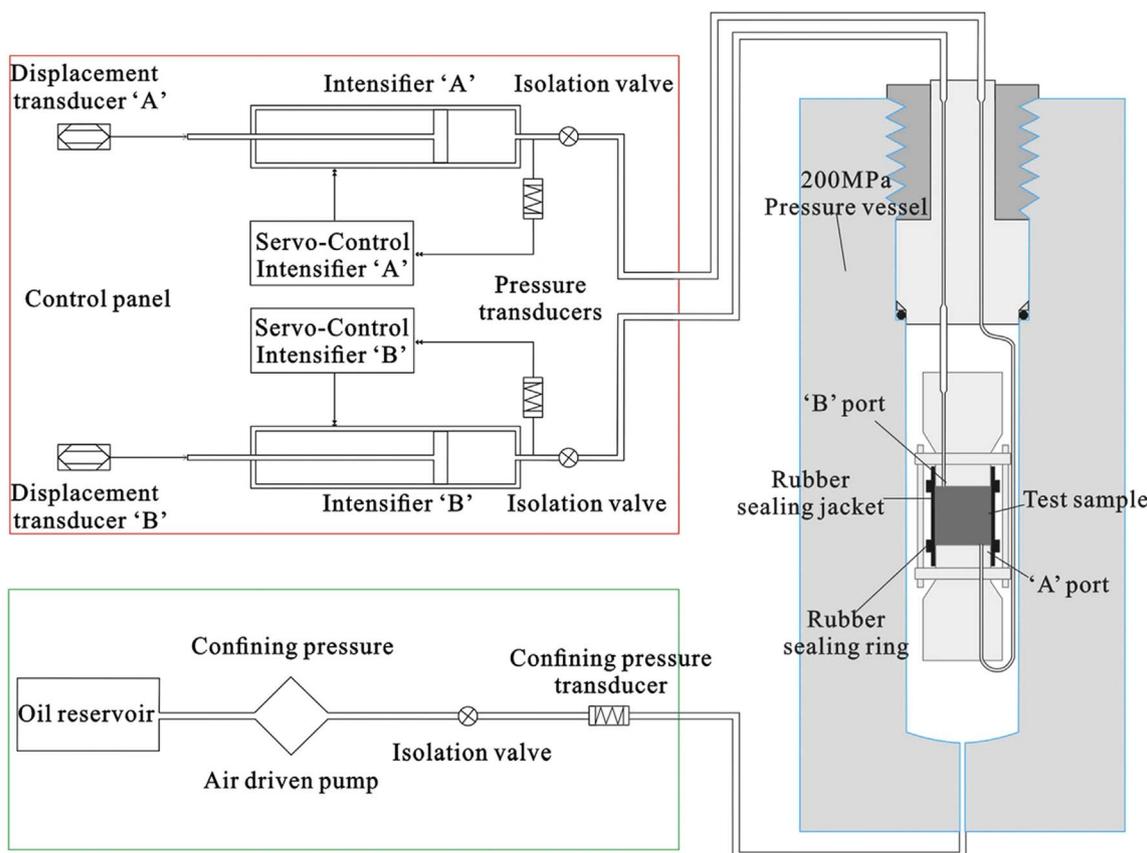


Fig. 5. Schematic diagram of the permeameter used for all permeability measurements in this study (after Nara et al., 2011; Wang et al., 2016).

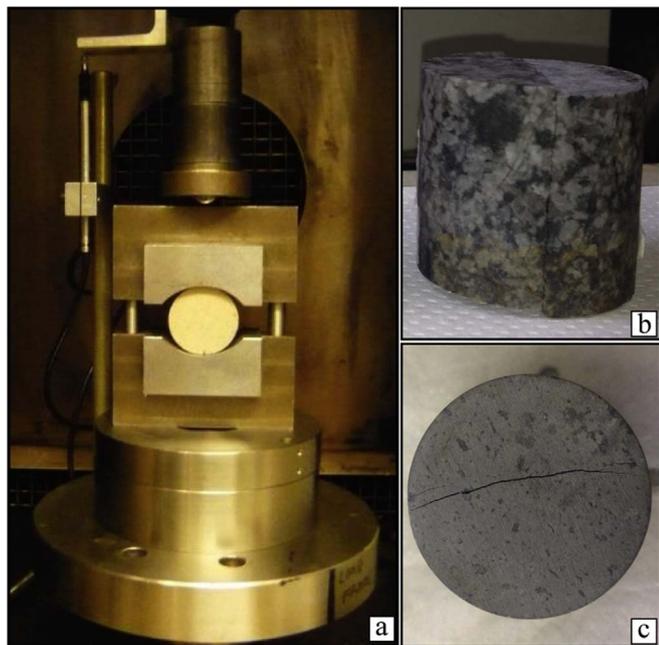


Fig. 6. (a) Modified Brazil disk apparatus for producing macro-fractured samples, (b) elevation view of macro-fractured sample of granodiorite, and (c) plan view of macro-fractured sample of crystalline tuff.

from 5 to 60 MPa.

For the third set of experiments, we manufactured samples with offset (i.e. unmated) macro-fractures. We first produced macro-fractured samples as described above (Fig. 6), and then ground the desired offset length ( $L_{off}$ ) from the opposite ends of the two previously mated

half samples (Fig. 7b and c). The two reduced sample halves were then placed together with their re-ground faces aligned to produce unmated, macro-fractured samples with the desired offset ( $L_{off}$ ) (Fig. 7d). We made samples with values of  $L_{off}$  ranging from 0.25 to 2.00 mm. We again measured the permeability of our offset samples over the same range of effective pressure conditions as for the samples with mated fractures.

Finally, for the fourth set of experiments, we manufactured a set of macro-fractured basalt samples and filled the fractures with synthetic fault gouge made from the same material. The gouge production and fracture filling technique was identical to that described in Wang et al. (2016). We then used the gouge-filled samples to measure changes in permeability as a function of fracture offset and gouge thickness, using the same methodology and over the same effective pressure range of 5–60 MPa.

#### 4. Results

##### 4.1. Permeability of intact and macro-fractured SVZ rocks

The permeability of intact samples of all four lithologies from the SVZ are shown in Fig. 8, together with the change in permeability as a function of increasing effective pressure for samples of the same four rocks with mated macro-fractures. The permeability of intact SVZ samples are all low, and range from around  $10^{-18}$  m<sup>2</sup> for the granodiorite to around  $10^{-20}$  m<sup>2</sup> for the crystalline tuff and the andesitic dike. The presence of a macro-fracture increases the permeability by between about 5 and 7 orders of magnitude at the lowest effective pressure of 5 MPa. The largest increase is seen for the crystalline tuff, which had the lowest intact permeability, and the smallest increase is seen for the granodiorite, which had the highest intact permeability. For all four materials, the fracture permeability decreases markedly

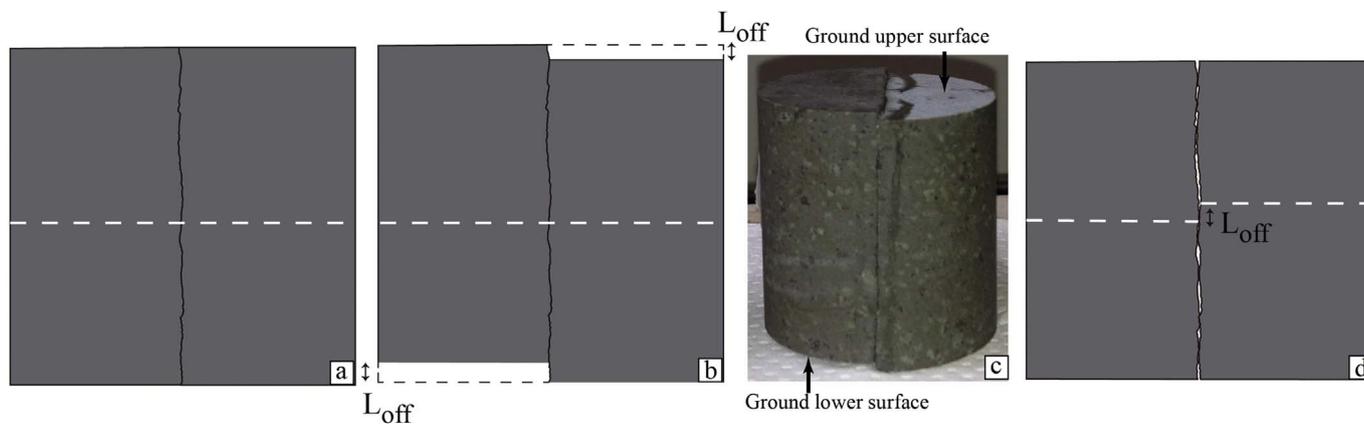


Fig. 7. Schematic diagram illustrating the methodology used to prepare controlled offsets in previously macro-fractured samples; (a) shows the original sample with a mated macro-fracture; (b) and (c) show, respectively, a diagram and photograph of the same sample with the offset dimension ( $L_{off}$ ) ground off the opposite ends of the two sample halves; and (d) shows the final test sample with an unmated fracture of controlled offset produced by re-aligning the ground end-faces of the two halves.

with increasing effective pressure; dropping about three orders of magnitude as effective pressure is increased from 5 to 60 MPa.

#### 4.2. Permeability of macro-fractured SVZ rocks as a function of fracture offset

The permeability of SVZ rock samples as functions of offset and effective pressure are shown in Fig. 9, plotted on both logarithmic and linear scales. Unsurprisingly, the permeability increases for all rock types and all effective pressures as offset is first increased from zero (mated fractures) and fractures become unmated. Again unsurprisingly,

this increase is most marked at the highest effective pressure where the permeability of mated fractures was lowest. However, the pattern of permeability change becomes more complicated with increasing offset. As for mated fractures, the permeability decreases as a function of effective pressure for all lithologies and all offsets. However, the decreases are much smaller than for mated fractures, being less than one order of magnitude for the crystalline tuff, altered andesite and granodiorite, and having a maximum decrease of around two orders of magnitude for the andesite dike.

The highest permeabilities are recorded at the smallest offset of 0.25 mm for all lithologies (Fig. 9), with permeability generally

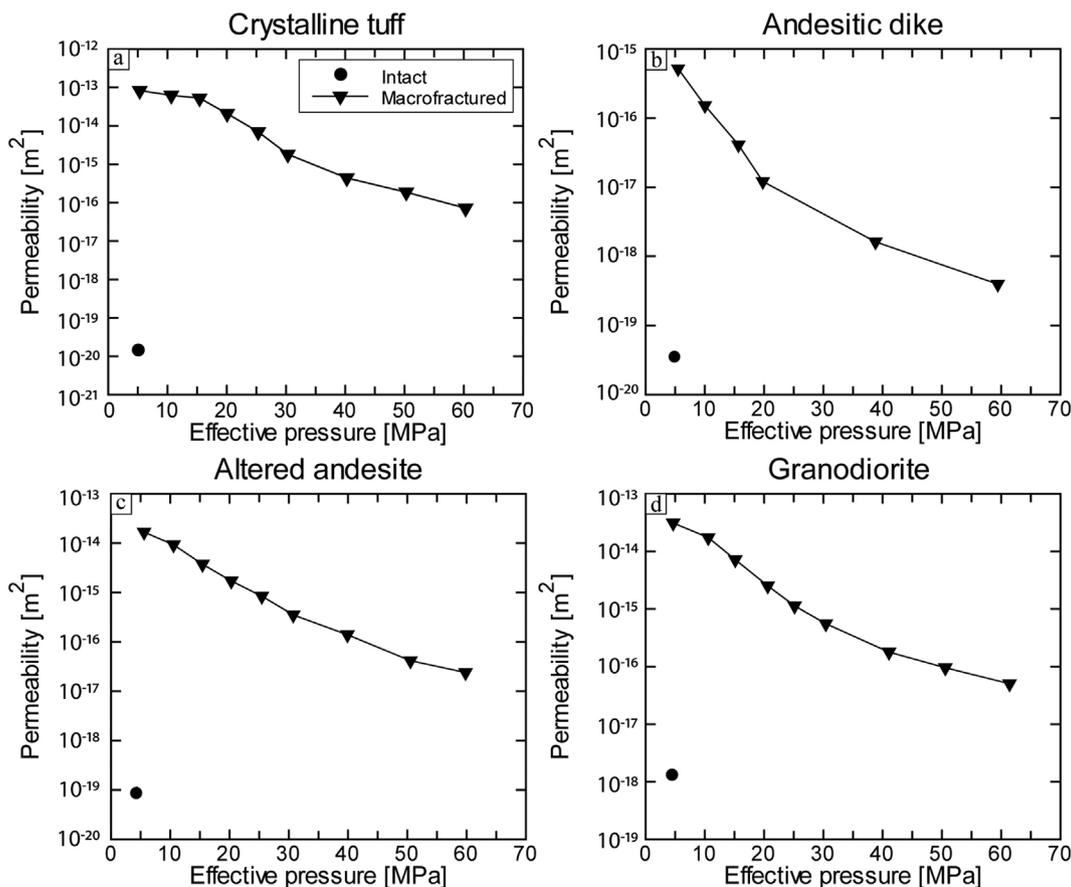


Fig. 8. Permeability of intact samples of (a) crystalline tuff, (b) andesitic dike, (c) altered andesite and (d) granodiorite at an effective pressure of 5 MPa, and of macro-fractured but mated samples of the same four rocks as a function of increasing effective pressure up to 60 MPa.

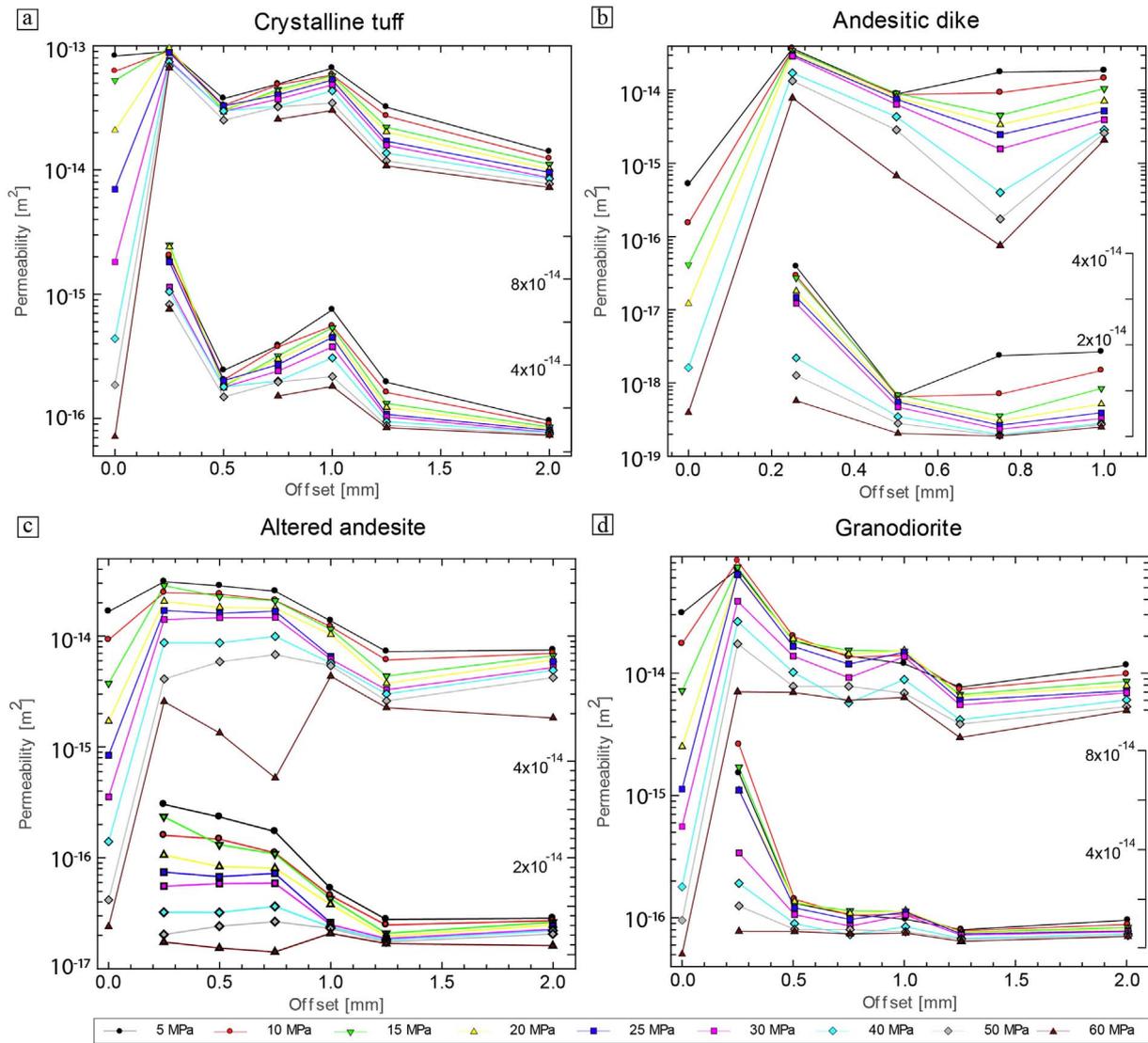


Fig. 9. Change in permeability of SVZ rocks samples as functions of increasing fracture offset and increasing effective pressure: (a) crystalline tuff, (b) andesite dike, (c) altered andesite and (d) granodiorite. Main figures show the data plotted on a logarithmic scale (left axis) and insets show the same data plotted on a linear scale (right axis).

decreasing as offset is increased. However, the fluctuation in permeability as a function of offset is variable and appears to depend on lithology. Both the crystalline tuff (Fig. 9a) and the andesite dike (Fig. 9b) record two permeability peaks, at offsets of 0.25 and 1.0 mm. By contrast, permeability in the altered andesite and granodiorite generally decreases with offset after the initial peak at 0.25 mm (Fig. 9c and d). Overall there does not appear to be any systematic variation of permeability with increasing offset.

#### 4.3. Permeability of macro-fractured Seljadalur basalt as a function of fracture offset and gouge

For comparison, the permeability of macro-fractured samples of Seljadalur basalt are shown as functions of offset and effective pressure in Fig. 10, again plotted on both logarithmic and linear scales. Here, the permeability increases dramatically between zero offset (mated fracture) and the first offset of 0.25 mm, by between two and three orders of magnitude. However, in contrast to all the SVZ rocks, the permeability also continues to increase somewhat with increasing offset up to a value of around 1.5 mm, but at a decreasing rate. The permeability then decreases at higher offset values. As for the SVZ rocks, the permeability decreases with the increasing effective pressure for all values

of offset. However, the decrease with increasing effective pressure is not uniform, with the pressure dependence exhibiting a remarkable peak at an offset of 1.5 mm where the permeability is also the highest. The pressure dependence then virtually disappears for effective pressure higher than about 40 MPa.

As noted earlier, we also made measurements of the permeability of macro-fractured samples of SB with an infilling layer of gouge. The methodology used for producing the gouge and filling the macrofractures was identical to that reported in Wang et al. (2016). The results are shown in Fig. 11 as a function of both offset and gouge layer thickness. We observe that the sample with the mated (zero offset) macro-fracture has the highest permeability at low effective pressure, but there is a crossover region and the same sample has the lowest permeability at high effective pressure (Fig. 11a). This is consistent with the observations reported by Wang et al. (2016), and appears to occur because the gouge particles prop open the mated macro-fracture which would otherwise close up at elevated effective pressure and thus reduce the permeability significantly (as seen in the ‘no gouge’ curve of Fig. 11a). We also note that the permeability crossover pressure decreases substantially with increasing gouge layer thickness; with the crossover pressure being about 35 MPa for a gouge layer thickness of 0.3 mm and about 15 MPa for a gouge layer thickness of 1.0 mm.

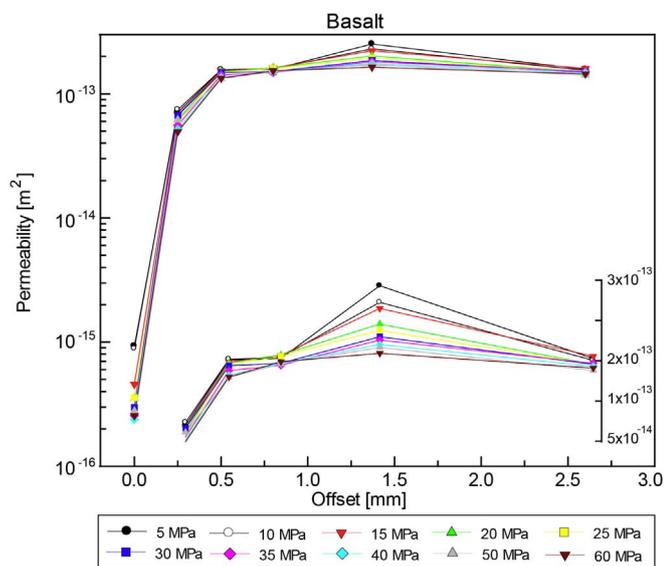


Fig. 10. Changes in permeability of Seljadalur basalt as a function of increasing fracture offset and increasing effective pressure. Main figure shows the data plotted on a logarithmic scale (left axis) and inset shows the same data plotted on a linear scale (right axis).

By contrast, the presence of a gouge layer within unmated macrofractures decreases permeability for all values of layer thickness, as illustrated in Fig. 11b and c. This is entirely as expected, because the main effect of a gouge layer within the unmated fractures is to fill the

aperture space created by the displacement of the rough fracture surfaces. In this way, the presence of a gouge layer appears to be able to counteract the effect of fracture offset, generally increasing permeability. But, the effect of the gouge layer on permeability is potentially complicated by the way in which it fills the aperture space. For example, the data of Fig. 11b show that the permeability increases with increasing gouge layer thickness. That is to be expected, since a thicker layer will provide more pathways for fluid flow. However, the data of Fig. 11c show that, for a larger fracture offset of 2 mm, the thinnest (0.3 mm) gouge layer gives the highest permeability; while thicker layers follow the previous trend. This is potentially because the thinnest gouge layer does not fully fill the larger fracture aperture at this larger offset, and a fracture that is only partially filled will provide additional void space for fluid flow. This interpretation is supported by the data of Fig. 11d, which shows that the permeability in the fracture containing the 0.3 mm thick gouge layer increases for all offsets greater than 1.25 mm regardless of the effective pressure.

#### 4.4. Fracture trace analysis

##### 4.4.1. Fracture characterization

In order to characterize the macrofractures in our samples, we cut the cores axially in an orientation perpendicular to the fracture plane, thus producing a cross-section parallel to the fluid flow direction. These fracture traces were then digitized using Adobe Illustrator®, at a resolution of 0.05 mm (Fig. 12). We quantified the traces by their roughness and their aperture. The power spectrum is a robust technique that is well-suited for characterizing fault and fracture roughness (Babadagli and Develi, 2003; Candela et al., 2012, 2009; Persson et al.,

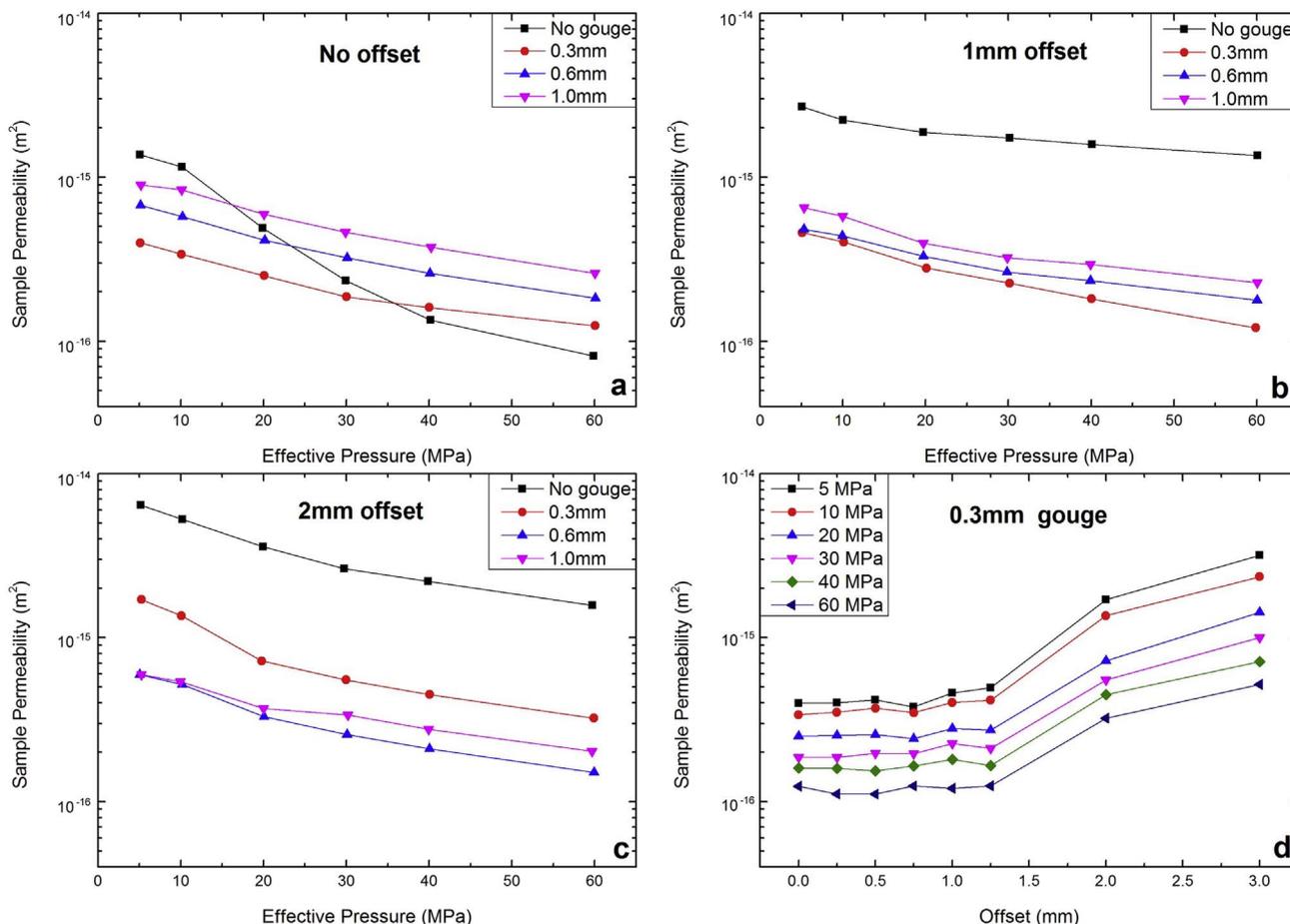


Fig. 11. Effect of the presence and thickness of a gouge layer on the permeability of mated (no offset) and un-mated (offsets from 0.25 to 3.0 mm) samples of SB as a function of increasing effective pressure.

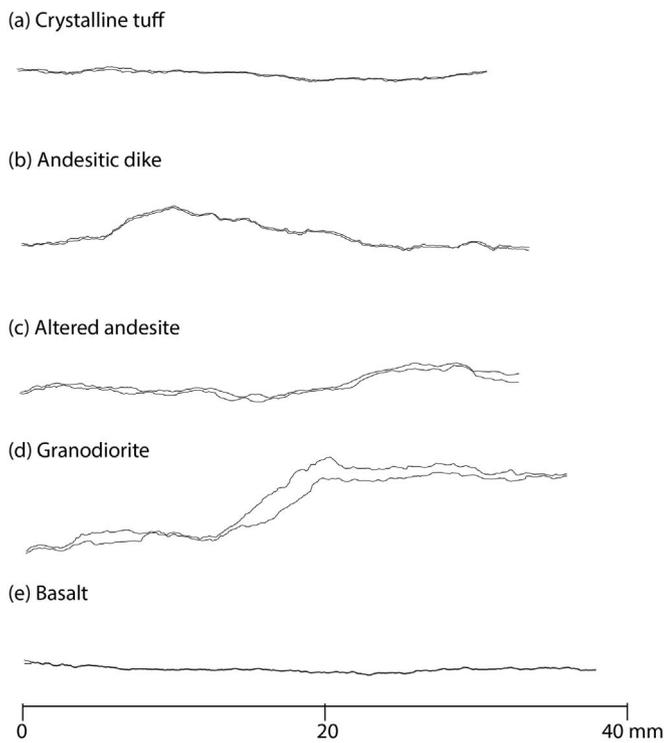


Fig. 12. Examples of fracture traces from sectioned rock samples to illustrate the fracture roughness parallel to the fluid flow direction. Horizontal and vertical axes are both at the same scale.

2005). We therefore calculate the power spectral density and spatial frequency from the fracture trace data using the Fast Fourier Transform (FFT) method (Cooley and Tukey, 1964) after correction for any linear alignment trend in the measured data (Fig. 13) (Kudo et al., 1992; Nara et al., 2006). From log-log plots of the power spectral density ( $P(k) = Ck^{-1-2H}$ ) against the spatial frequency (reciprocal wavelength), we characterize the roughness by the slope ( $-1-2H$ ), the Hurst, or roughness, exponent ( $H$ ) and its pre-factor ( $C$ ). The slope describes how the roughness varies with the scale, while the pre-factor describes the

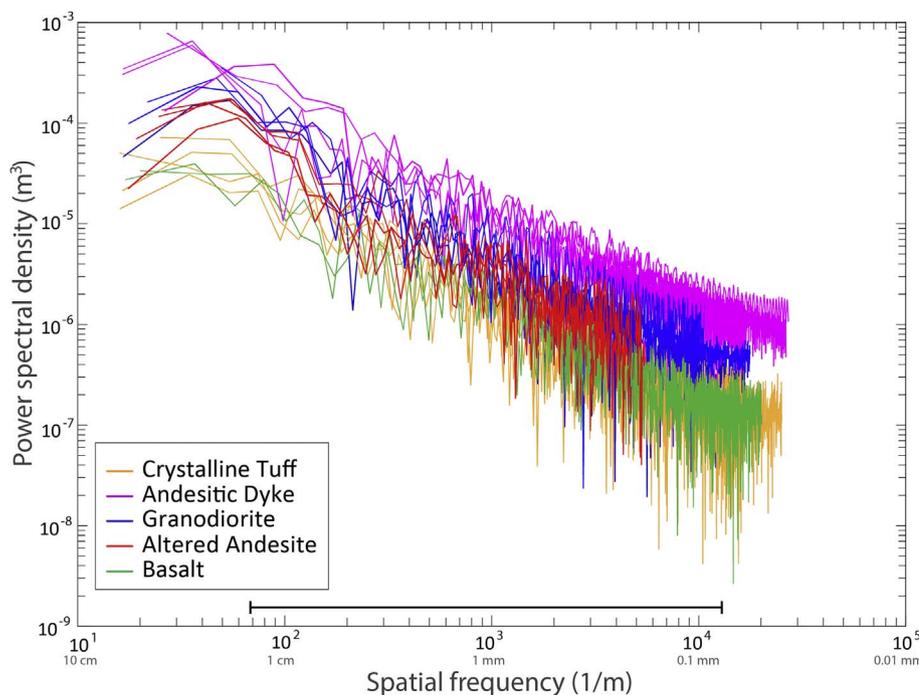


Fig. 13. Fourier power spectral density  $P(k)$  as a function of the spatial frequency for fracture traces of each lithology.

Table 1  
Table of sample material properties.

Rock	Composition	Grain size (mm)
Crystalline tuff	45%Pl-25%Lithic fragments-10%Px - 10%Glass-10%Bt	< 1
Andesitic dike	90%Pl-8%Hbl-2%Px	< 1–3 phenocrysts
Altered andesite	85%Pl-10%Hbl-5%Px	< 1–4 phenocrysts
Granodiorite	61%Pl-20%Qz-9%Kfs-8%Hbl-2%Bt	3–6
Seljadalur basalt	50%Pl-45%Px	< 1

Abbreviations: Bt = biotite, Hbl = hornblende, Kfs = K-feldspar, Pl = plagioclase, Px = pyroxene, Qz = Quartz (Whitney and Evans, 2010).

Table 2  
Properties of the fracture traces for each lithology, showing the mean pre-factor,  $\bar{C}$ , the mean roughness exponent,  $\bar{H}$ , and their errors.

Lithology	$\bar{C}$	Error ( $\pm$ )	$\bar{H}$	Error ( $\pm$ )
Crystalline tuff	0.002	$3.0 \times 10^{-6}$	0.665	0.021
Granodiorite	0.005	$1.8 \times 10^{-5}$	0.523	0.014
Andesitic dike	0.007	$3.7 \times 10^{-5}$	0.427	0.005
Altered Andesite	0.008	$1.3 \times 10^{-4}$	0.597	0.111
Basalt	0.001	$4.5 \times 10^{-8}$	0.487	0.002

steepness of the surface or the total profile variance, which is a quantitative measure of the amplitude at a specified wavelength (Candela et al., 2012, 2011; Mandelbrot, 1983; Power and Tullis, 1991; Turcotte, 1992). The mean roughness exponents ( $H$ ) and pre-factors, ( $C$ ) for each lithology are given in Table 2. Thus, from the pre-factor values, the fractures with higher amplitudes are those of andesitic dike and altered andesite, while the lower amplitudes are those of crystalline tuff and basalt (Fig. 13 and Table 2). The slope and  $H$  show that the roughness is dominated by high frequencies (shortest wavelength).

The fracture aperture is defined as the separation between two opposing fracture surfaces ( $a_x$ ), measured perpendicular to those surfaces (Lanaro, 2000). For each fracture trace (Fig. 12) we determined the mean aperture ( $\bar{a}$ ) for each increment of offset,  $L_{off}$ , from the digitized traces after separating them by the minimum amount required to remove any overlap (Fig. 14). In Fig. 15, we show the relation between

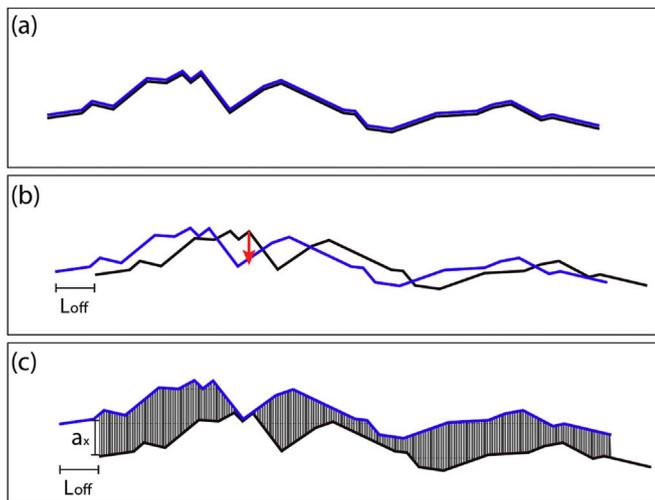


Fig. 14. Schematic diagram to illustrate the fracture aperture calculation method: (a) Mated fracture traces, (b) opposing traces displaced by the offset distance,  $L_{off}$ , (c) displaced traces separated by the minimum distance required to remove any overlap (red arrow). The mean aperture ( $\bar{a}$ ) is then the arithmetic mean of all  $a_x$ . (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

aperture and offset length ( $L_{off}$ ) determined from the digitized fracture traces of each lithology. For all lithologies, the primary trend is for apertures to increase dramatically with increasing offset up to some characteristic value in the range 0.5–1.0 mm. Apertures then increase only marginally with greater offset, but exhibit small oscillations with increasing offset for some lithologies (Fig. 15). We interpret these oscillations as simply a second order trend arising from local interactions between peaks and troughs on the opposing rough fracture surfaces.

## 5. Discussion

Our results show that the intact granodiorite sample has a permeability of  $10^{-18} \text{ m}^2$ , which is about two orders of magnitude higher than both the SVZ volcanic rocks ( $10^{-20} \text{ m}^2$ ) (Fig. 9) and the basalt (Nara et al., 2011). As a first-order approximation, this could favour the existence of geothermal reservoirs in intrusive rocks, which is the main lithology making up the SVZ basement south of  $39^\circ\text{S}$ . The permeability of all rocks increases significantly in samples containing mated macro-fractures (Fig. 8), similar to results reported by Nara et al. (2011). The permeability of samples with unmated macro-fractures increases even further, with respect to samples with mated macro-fractures, for all lithologies when the offset-length ( $L_{off}$ ) is first increased (Fig. 9). At low effective pressure ( $P_{eff} = 5 \text{ MPa}$ ), this increase due to initial offset is around 2 orders of magnitude for the fresh volcanic rocks (andesitic dike and basalt) (Figs. 9 and 10) but less than one order of magnitude for the other rocks (Fig. 9). For all rocks, the permeability continues to increase with increasing offset until some characteristic value of  $L_{off}$  is reached; but the value is different for each different lithology. For higher values of offset, the permeability appears to change little, similar to the results obtained from shearing experiment reported by Esaki et al. (1999). However, we do observe some oscillation in the permeability values for given lithologies at higher offsets, and this may be a function of asperity contact, which will be different for each different lithology (e.g. Lanaro, 2000; Olsson and Barton, 2001). We observe a similar feature, as a function of increasing offset, in our fracture aperture analysis (Fig. 15).

A model that is widely applied in hydrogeology and related fields to relate fluid flow to fracture aperture is the *cubic law*, in which permeability is considered to be proportional to the cube of the mean aperture ( $\bar{a}^3$ ) (e.g. Gudmundsson et al., 2012; Raven and Gale, 1985; Witherspoon et al., 1980; Zimmerman, 2012). The relation between

permeability and mean aperture cubed, with increasing offset, is shown in Fig. 16. The general trends of the two sets of curves are similar, with both exhibiting a rapid initial increase with increasing offset. They then level off and exhibit little change with increasing offset above some characteristic offset value. However, the cubic law does not fully capture the very rapid transition from increasing values to steady values seen in the measured permeability data. Since the cubic law was derived for fluid flow between parallel plates, it is perhaps not surprising that it fails to capture all the details of fluid flow between two rough fracture surfaces. Furthermore, the aperture data in Fig. 16 were derived from single linear traces taken across the full fracture surface. This necessarily involves a loss of one dimension, and therefore likely also a loss of complexity. Nevertheless, the general trends are consistent.

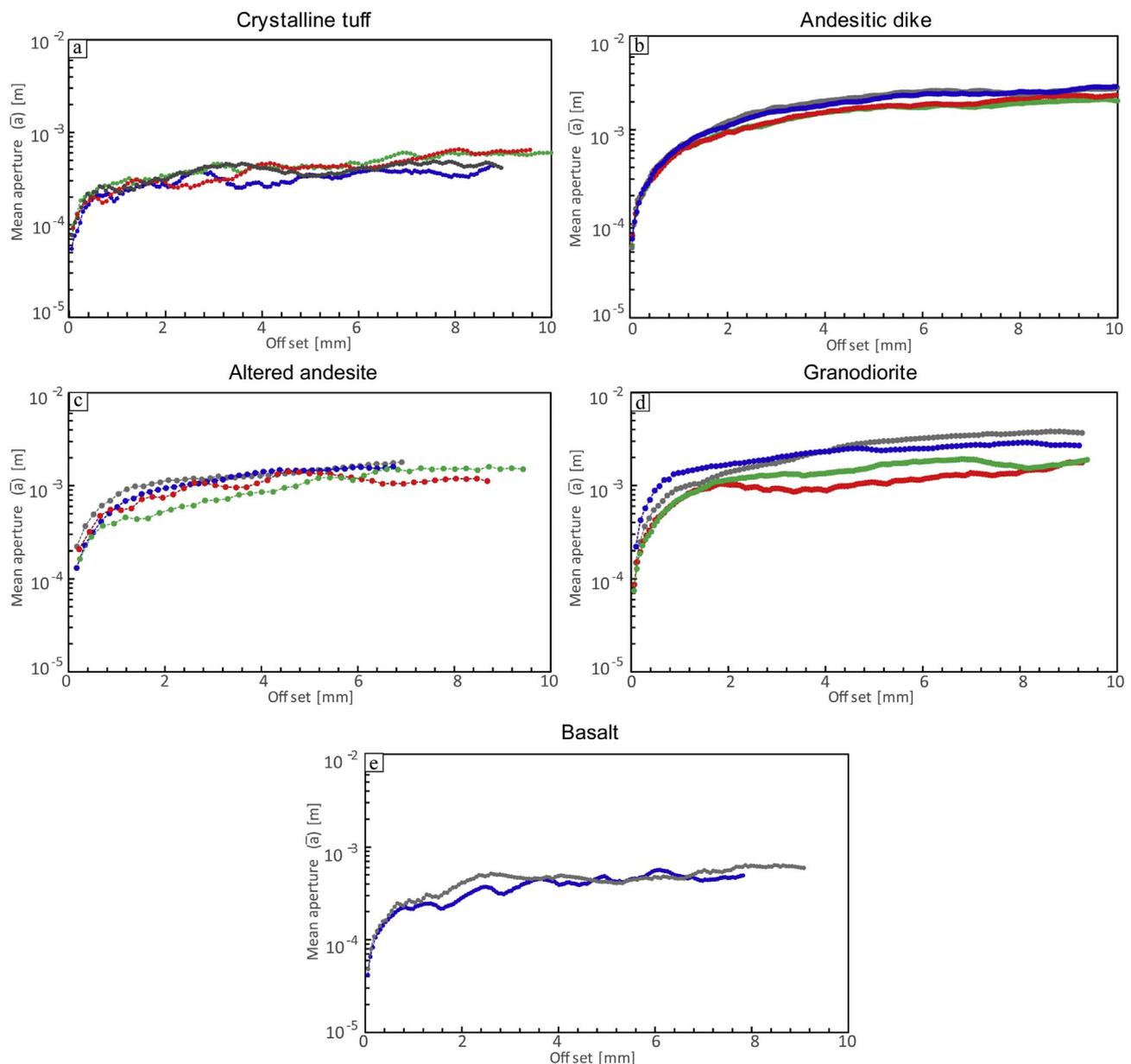
The roughness index  $\bar{H}$ , derived from analysis of the fractures traces of Fig. 12 (Table 2), are shown in Fig. 17, plotted against the permeability of mated fractures (Fig. 17a) and against the difference in permeability between mated and un-mated fractures (Fig. 17b). For mated fractures, we see a general increase in permeability with increasing fracture roughness across all lithologies tested. In contrast, Fig. 17b shows that the increase in permeability for a fixed offset of 0.25 mm is highest for the lithologies with the lowest fracture roughnesses (andesite dike, basalt, and granodiorite) and lowest for those with the highest fracture roughnesses (crystalline tuff and altered andesite). The variation in roughness is relatively well constrained for most of the lithologies tested, except for the altered andesite where the range is very wide. This may be due to the alteration and mineralogical changes that have occurred in this material.

The characteristic value of offset coincides with minimum contact area between fracture surfaces, which also corresponds to the point where the mean aperture reaches a plateau value and hence the capacity for the fluid flow is also maximized (Min et al., 2004; Watanabe et al., 2008). Furthermore, since this is the minimum contact area, it is the point where the permeability is most pressure sensitive. The characteristic value of offset will therefore depend on the scale or the wavelength of fracture roughness, and hence on the aperture distribution. Although the permeability of un-mated fractures decreases with increasing  $P_{eff}$  for each increment of offset, it never drops below the permeability of samples with mated macro-fractures. Hence, permeability will always be dominated by un-mated fractures.

Since each sample was subjected to multiple pressurization cycles over a range of offsets, it was felt necessary to ascertain whether our experimental procedure could have induced additional damage during experiments. Therefore, after pressurization and measurement at the maximum offset, we re-ground the basalt sample to remove the total offset so that we could re-mate the fractures and then re-measure the mated fracture permeability. The results are presented in Fig. 18 and show that the permeabilities of the re-mated fracture returns to values of the same order as the initial mated values. However, the permeability range is somewhat higher for the re-mated fracture, which indicates a higher pressure sensitivity. This suggests that our experimental procedure causes only minimal additional damage, which was not great enough to significantly affect our overall results.

Our results show the changes in permeability that result from discrete fracture offsets. In nature, this corresponds to conditions where pore fluid pressure is higher than the least principal stress and fractures are able to become offset with minimal contact (e.g. hydrofractures), and therefore with minimum generation of wear products (e.g. fault gouge or cataclastic material). Our study does not consider the condition where fractures are subjected to shear displacement under higher normal stress producing wear products that may partially fill fracture apertures.

Many field and laboratory studies demonstrate that fracture damage zones exhibit the highest permeabilities within the overall fault structure (e.g. Brogi, 2008; Brogi et al., 2011; Caine et al., 1996; Caine and Forster, 1999; Evans et al., 1997; Liotta et al., 2010; Mitchell and



**Fig. 15.** Change in mean aperture ( $\bar{a}$ ) as a function of increasing fracture offset; calculated for several fracture traces (denoted by different colors) of each lithology: (a) crystalline tuff, (b) andesite dike, (c) altered andesite (d) granodiorite and (e) basalt, using the methodology described in the text. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Faulkner, 2012; Walker et al., 2013). Our results indicate that while mated macro-fractures can maintain high permeability conditions ( $k \approx 10^{-16} \text{ m}^2$ ) up to  $P_{\text{eff}} \approx 40 \text{ MPa}$  (Fig. 2), such conditions can only be reached for un-mated fractures (i.e. those with a finite offset) at higher effective pressures.

In Fig. 19 we compare our measurements on the basement rocks of the SVZ with the range of intrinsic permeability for consolidated rocks (Brace, 1980), the permeability of clay-rich fault gouge from large-displacement fault zones (Faulkner and Rutter, 2000; Wibberley and Shimamoto, 2003), estimates of seismic permeability (SP) from migration of microearthquakes (Talwani and Acree, 1985) and the bulk permeability of the Ohaaki-Broadlands & Wairakei geothermal systems in New Zealand (Rowland and Sibson, 2004). All these data are presented in the context of the critical bounds of permeability that allow either hydrothermal convection (Cathles et al., 1997) or the development of forced overpressures (Neuzil, 1995). Importantly, we find that all the measurements for intact samples lie within the forced

overpressure regime, whereas all those for offset macrofractures lie within the hydrothermal flow regime where advective/convective flow occurs. We also find that the measurements for mated macrofractures span the two regimes. Hence, as the permeability of all the lithologies with offset macrofractures is higher than  $10^{-16} \text{ m}^2$  over the entire range of effective pressures measured, this suggests that the development of hydrothermal convection cells is favoured in basement rocks containing fracture systems with finite offset. This is particularly apparent in the granodiorite and crystalline tuff which record high values of permeability, even at high effective pressures.

This observation implies that significant permeability can be maintained in NE-striking fault systems of the SVZ, which have an extensional component, and in WNW-striking fault zones under overpressured fluid conditions, as described by Pérez-Flores et al. (2016). Any fracture filling and sealing would tend to obstruct fluid flow through the fracture networks, but any reactivation of the faults would tend to enhance it. Such small-scale fault reactivation could also be

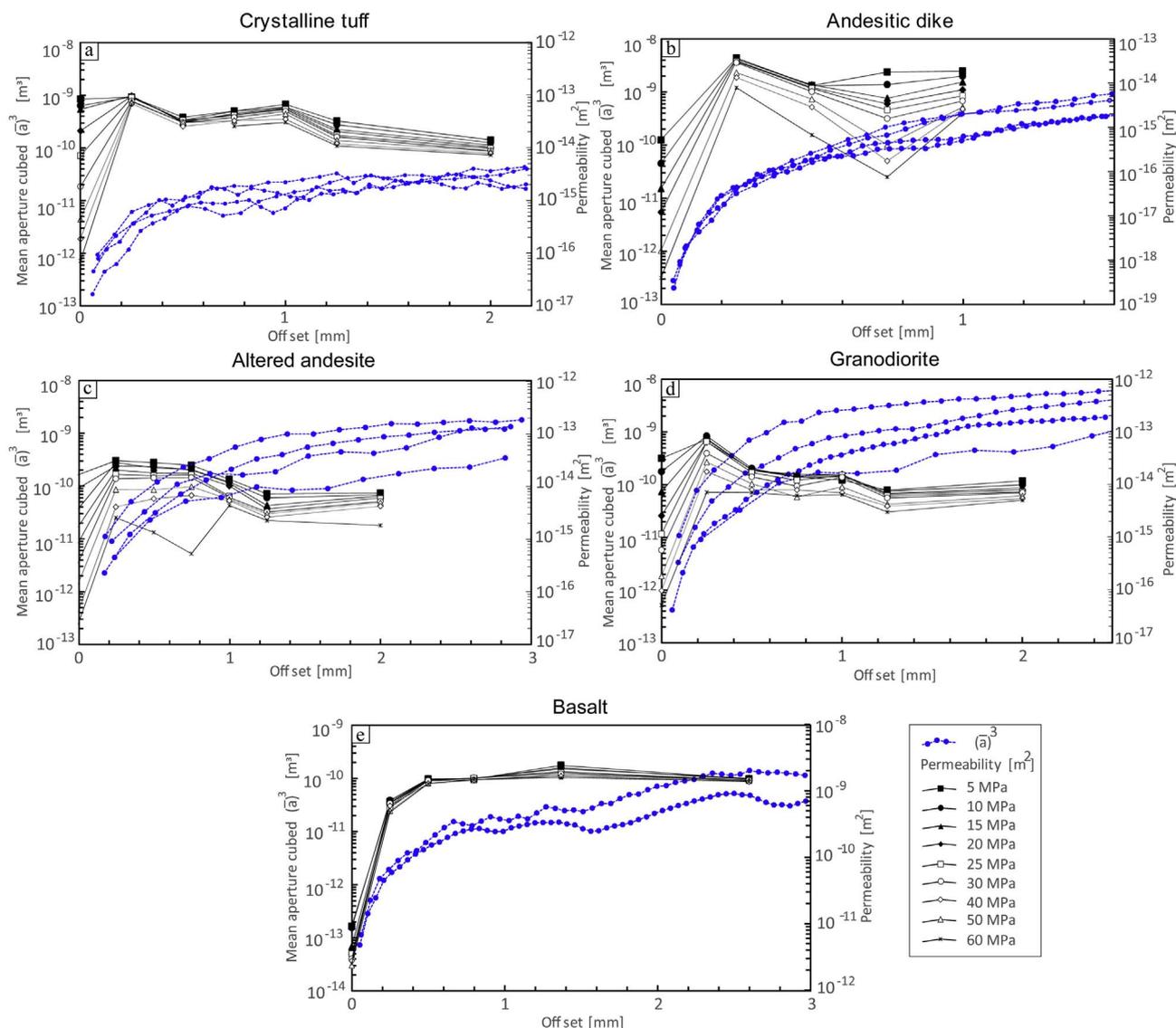


Fig. 16. Composite plots showing, for each lithology, a comparison between the permeability of unmated macro-fractured samples (gray lines) and the cube of the calculated mean fracture aperture for several fracture traces (blue dots) of each lithology: (a) crystalline tuff, (b) andesite dike, (c) altered andesite (d) granodiorite and (e) basalt, as functions of increasing offset and effective pressure. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

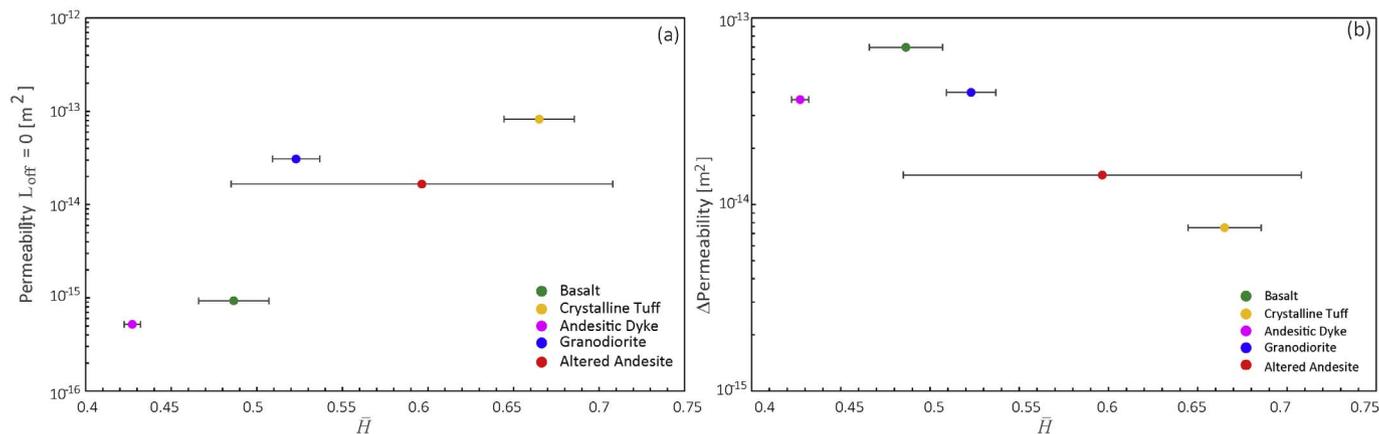


Fig. 17. (a) Comparison of the permeability of samples with mated macro-fractures and the mean roughness index  $\bar{H}$  for each lithology under an effective pressure of 5 MPa; (b) The incremental change in permeability between samples with mated macro-fractures ( $L_{off} = 0$ ) and those with unmated macro-fractures and an offset ( $L_{off}$ ) of 0.25 mm, as a function of the mean roughness index  $\bar{H}$  for each lithology. The error bars indicate the standard deviation of the mean roughness.

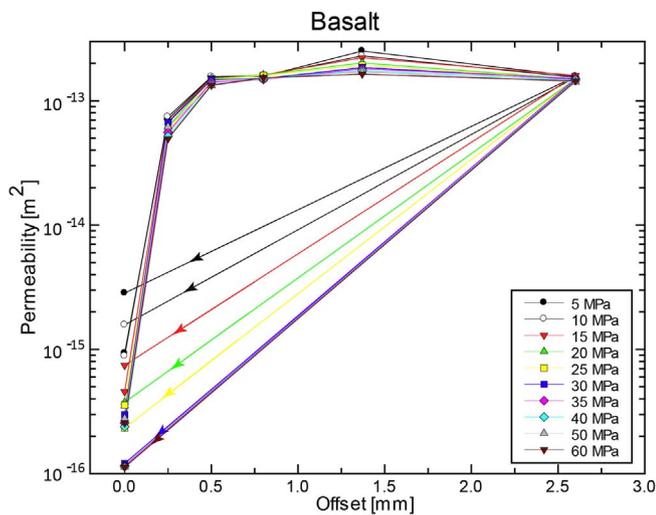


Fig. 18. Permeability measurements with increasing offset and increasing effective pressure for Seljadalur basalt, together with final measurements made on the re-ground and re-mated sample. The permeabilities of the re-mated sample return to values within the same order as the initial mated values, indicating that the experimental process caused very little, if any, additional damage.

enhanced by moderate interseismic fault slip rates, such as those of 7.0 and 0.5 mm/yr for the LOFS and ATF, respectively, as reported by Stanton-Yonge et al. (2016).

Independent evidence of the potential for fractured plutonic rocks to provide fluid conduits along fault damage zones and hence act as fluid reservoirs is provided in the magnetotelluric (MT) study by Held et al. (2016). Their measurements indicate domains of high fluid conductivity along NNE-striking master faults of the LOFS and WNW-striking faults of the ATF in the vicinity and north of Villarrica volcano (SVZ, Fig. 1). Held et al. (2016) also show a high conductivity anomaly in the lower crust apparently connected to the LOFS and ATF. Furthermore, the intact low permeability lithologies or alteration zones may act as cap rocks, and this is likely to increase the time span and

integrity of reservoir conditions, as has been modelled by Sanchez-Alfaro et al. (2016) for the Tolhuaca volcano (Fig. 1b). Their research shows a low permeability zone, with similar permeability values to those of our intact volcanic rocks ( $< 10^{-18} \text{ m}^2$ ), which acts as a cap rock. Finally, our results suggest that the architecture of a geothermal system within the SVZ might involve a combination of high-permeability, fractured plutonic-volcanic rocks as the reservoir, together with low-permeability, intact volcanic rock strata, enhanced by argillaceous alteration, as the cap-rock (Sanchez-Alfaro et al., 2016).

### 6. Conclusions

We report results from the first permeability measurements made on intact and fractured samples of basement rocks from the Southern Andes Volcanic Zone. These provide us with a quantitative overview of the variation of permeability within fault zones under increasing effective pressure that mimics their behaviour at depth.

1. Of the four SVZ rock types tested, the granodiorite and the crystalline tuff exhibit the highest and lowest intact rock permeabilities, respectively.
2. The introduction of mated macro-fractures increases permeability by around 4 orders of magnitude in the granodiorite and 7 orders of magnitude in the crystalline tuff at low effective pressure.
3. Offsetting the mated macro-fractures to produce unmated macro-fractures increases the permeability further by up to several orders of magnitude, depending on the lithology, the effective pressure and the offset length.
4. For all rocks, permeability increases with increasing offset up to some characteristic value, after which it changes little but exhibits oscillatory behaviour. The characteristic offset value is different for each lithology and appears to depend on fracture roughness.
5. In all cases, increasing the effective pressure decreases both the permeability and its oscillatory behaviour. However, offset (unmated) macro-fractures play a key role in maintaining fracture permeability even under the highest effective pressure tested (60 MPa).

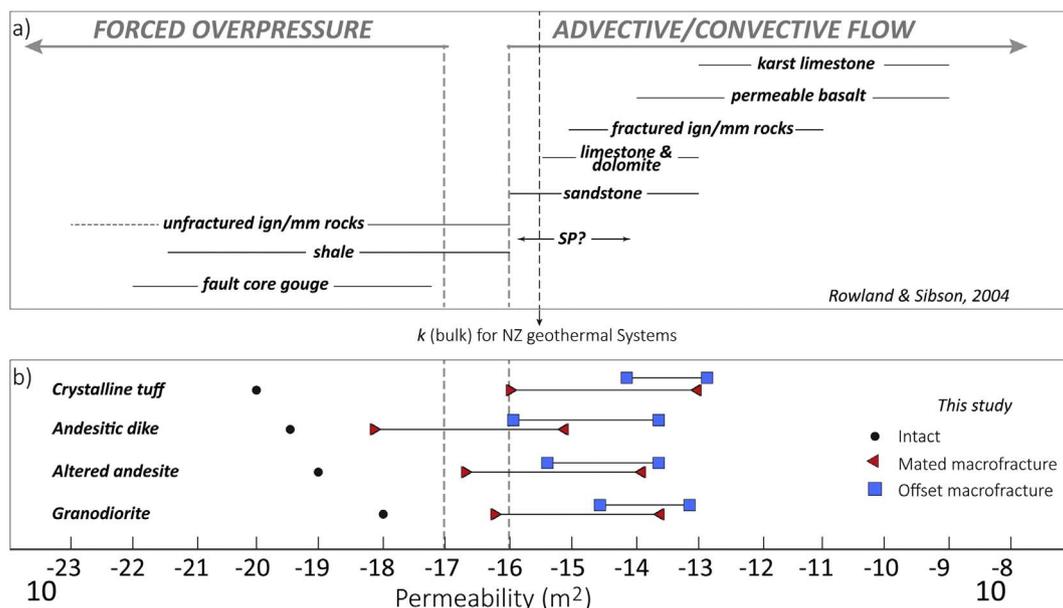


Fig. 19. a) The range of intrinsic permeability for consolidated rocks (Brace, 1980) in comparison to that of clay-rich fault gouge from large-displacement fault zones (Faulkner and Rutter, 2000; Wibberley and Shimamoto, 2003), and estimates of seismogenic permeability (SP) from migration of microearthquakes (Talwani and Acree, 1985). Also shown are the critical bounds of permeability that allow either hydrothermal convection (Cathles et al., 1997) or the development of forced overpressures (Neuzil, 1995), and the bulk permeability for several large geothermal fields in New Zealand (NZ). Modified from Rowland and Sibson (2004). b) The range of permeabilities for the four SVZ lithologies tested in this study for intact, mated macrofracture and offset macrofracture configurations. All the measurements for intact samples lie within the forced overpressure regime, whereas all those for offset macrofractures lie within the hydrothermal flow regime. The measurements for mated macrofractures span between the two regimes.

6. Filling offset fractures with gouge decreases their permeability and virtually eliminates the oscillatory behaviour.
7. For all four SVZ lithologies, the permeability of intact samples lie within the forced overpressure regime, whereas all those for offset macrofractures lie within the hydrothermal flow regime where advective/convective flow occurs.
8. Since the higher permeability granodioritic plutonic rocks dominate the SVZ basement they may, when containing offset fractures, be able both to provide permeable pathways for fluid flow along faults and also provide enough fluid storage to constitute a viable geothermal reservoir.

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