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Organisational and occupational risk factors associated with work related injuries among public hospital employees in Costa Rica

D Gimeno, S Felknor, K D Burau, G L Delclos

Methods: A cross-sectional survey was conducted among a stratified random sample of 1000 employees from 10 of the 29 public hospitals in Costa Rica. A previously validated, self-administered questionnaire which included occupational and organisational factors and sociodemographic variables was used. From the final eligible sample (n = 859), a total of 842 (response rate 98%) questionnaires were returned; 475 workers were analysed after excluding not-at-risk workers and incomplete questionnaires. WRI were computed for the past six months.

Results: Workers exposed to chemicals (RR = 1.36) and physical hazards (RR = 1.26) had higher WRI rate ratios than non-exposed workers. Employees reporting job tasks that interfered with safety practices (RR = 1.46), and a lack of safety training (RR = 1.41) had higher WRI rate ratios than their counterparts. Low levels of safety climate (RR = 1.51) and safety practices (RR = 1.27) were individually associated with an increased risk of WRI. Also, when evaluated jointly, low levels of both safety climate and safety practices showed the highest association with WRI (RR = 1.92).

Conclusions: When evaluated independently, most of the occupational exposures and organisational factors investigated were significantly correlated with an increased injury risk. As expected, some of these associations disappeared when evaluated jointly. Exposure to chemical and physical hazards, lack of safety training, and low levels of safety climate and safety practices remained significant risk factors for WRI. These results will be important to consider in developing future prevention interventions in this setting.

Methods

Study design and sample selection

In 1997, a cross-sectional survey was conducted among public hospital employees in Costa Rica to collect baseline data for the development of a safety training programme to improve safety practices and to better characterise work related injuries. The survey was repeated in 2000 among the current employees of the same 10 hospitals surveyed in 1997. There were 29 hospitals in Costa Rica at the time of the 1997 and 2000 surveys which were administered by the Caja Costarricense de Seguro Social (Costa Rican Social Security System, CCSS). The CCSS is the largest employer in Costa Rica, and at that time approximately 19 000 employees
Main messages

- Organisational factors had a higher impact on work related injuries among healthcare workers than occupational risk factors.
- The interaction of low levels of both safety climate and safety practices showed the highest association with work related injuries.
- High levels of either safety climate or safety practices showed protective effects on injury risk.
- To confirm these results further prospective multilevel research is needed.

Policy implications

- Increased public awareness of the magnitude of work related injuries in developing countries is needed.
- The development of future prevention interventions to reduce the occurrence of work related injuries among healthcare workers in developing countries should be considered.

worked in public hospitals. Data for the present study were drawn from the survey conducted in 2000.

In the CCSS system, hospitals are categorised by size, complexity, and type. National hospitals are generally the largest and most specialised, employing 50% of all public hospital based workers. Regional hospitals are mid-sized and provide basic care plus some specialties, and employ 30% of all hospital employees. Peripheral hospitals are the smallest, providing only basic medical and surgical services with 20% of all employees. To obtain a representative sample, 10 hospitals (more than 33% of all CCSS hospitals) were selected from a stratified sample of all types of hospitals in proportion to the number of employees in each stratum. Five hospitals (50%) were drawn from among the national hospitals, three (30%) from the regional hospitals, and two (20%) from the peripheral hospitals.

As in the 1997 study, payroll lists were provided by the CCSS Human Resources Department, and a simple random sample of 100 employees was selected from each of the 10 study hospitals, providing an initial sample of 1000 employees to be surveyed. The study protocol was approved by the University of Texas–Houston Health Science Center Committee for the Protection of Human Subjects.

A self-administered questionnaire based on well validated scales, and previously adapted to Costa Rican Spanish language was used. The questionnaire was designed based on previous surveys used in hospital settings to assess safety climate and factors associated with employee safety practices. The initial questionnaire was designed to describe the factors associated with compliance with universal precautions among hospital based healthcare workers, and was conducted in the United States at three regional hospitals in Baltimore, Houston, and Minneapolis. The key construct of safety climate and its relation to individual and organisational factors was originally developed at this time and the item scales associated with these variables have undergone validity testing and factor analysis. Content validity was established through previous literature review.

The questionnaire was translated from the original English into Spanish by a native Spanish speaking healthcare professional. The Spanish translation was then modified by public health professionals in Costa Rica to accommodate for local expressions and regional vocabulary. In order to assure that the translation accurately represented the English constructs, the Spanish questionnaire was back-translated into English by a bilingual native English speaker to assure accuracy. Then, a pre-test of the survey instrument was conducted by expert review and peer evaluation, and cognitive testing of the survey instrument was conducted among 10 hospital workers from four different hospitals in Costa Rica. These workers represented a range of education levels from the following departments: nutrition, security, maintenance, radiology, housekeeping, emergency room, scrub technician, laundry, and nursing. The cognitive testing included a review of each item to assure that the translated item captured in Spanish the same construct as the original English, and the results showed that the translation was quite accurate. Each worker was asked to read each item out loud and discuss unclear items. The pre-testing of the survey ranged in time from 10 minutes per worker up to 45 minutes per worker. It was anticipated that the actual respondent burden would not exceed 30 minutes at the average educational level. The pre-test found that, with some modifications for local word usage, the survey questions were well understood and the response options were appropriate.

In addition to the pre-test, a pilot test was conducted in one of the non-study hospitals, using a simple random sample of 100 employees. The pilot test provided a preview of the logistical and administrative issues associated with a study of this magnitude. A 72% response rate was achieved after two days with no follow up of missing employees (data not presented). Minor changes were made to the section on work injuries and items relating to the occupational health commissions based on input from the employees participating in the pilot.

The 2000 survey was conducted at the employee’s workplace with preceding notification, and unavailable employees were followed up. Participants were individually informed about the study purpose, the confidentiality of their responses, and the voluntary nature of their participation. Once participants consented, they received a sealed envelope with the questionnaire and an additional envelope to be sealed with the completed questionnaire. Of the original sample of 1000 employees, the eligible sample included 859 employees after excluding 62 employees who were no longer employed in the CCSS hospital system at the time survey was conducted, and 79 employees who were on sick leave or vacation. There were 17 workers who refused participation. Thus, a total of 842 answered the questionnaire resulting in an overall response rate of 98%.

Items included in the questionnaire represented the following independent variables: worker training, work environment, job-task demands, personal protective equipment (PPE) availability, administrative controls, and selected demographic items that have been found to be significant in relation to safety climate perception as well as work injuries and safety practices. Measurement of the worker training scale included items such as available training classes, previous training history, and supervisory training history. Work environment included items related to the physical and psychosocial environment at work including temperature, noise, crowding, lighting, fear of bodily harm, verbal abuse, and hazardous exposures. Protective equipment availability was measured by items relating to the availability of protective equipment, including sharps containers and gloves. The wording of the items was general enough to be applicable to different work settings within a hospital. Administrative controls were measured by items such as availability of written safety policies, established safety committees at work, safety
performances, feedback, and safety investigations and inspections. Job-task demands focused on items that relate to job-specific tasks or conditions that prevent safety practices from being observed. Safety climate was evaluated using an 11-item scale that measures management commitment to safety, support of co-workers, and supervisors for safe work practices, and freedom to report safety violations at work; safety practices were measured by the level of compliance with established safety practices in hospital settings.

### Sociodemographic, occupational, and organisational variables

The questionnaire included standard sociodemographic variables (sex, age, education, and occupation). For the purpose of the analysis, the following variables were categorised as occupational risk factors: whether or not the worker had direct patient contact (1 item); chemical exposure (1 item); radiation exposure (1 item); physical exposures (7 items); temperature variations, loud noise, lack of space, poor air quality, poor lighting, fall/ trip hazards, and electrical hazards; as well as biological exposures (2 items): patient blood and body fluids, and contaminated sharp objects. In addition, organisational risk factors included: type of hospital (national, regional, and peripheral); manager safety training (1 item); employee safety training (1 item); provision of personal protective equipment (PPE) (1 item); administrative controls (7 items); performance of job–task demands that interfere with safety practices (4 items); safety practices (12 items); and safety climate (11 items).

Assessment of occupational and organisational items used a five point Likert-type scale (never, rarely, sometimes, often, and always), except for safety practices which included a sixth option of “does not apply to my job” if safety practices were not relevant (that is, administrative personnel) and type of hospital, which was obtained from the CCSS. Some items were reverse scored so that all responses could be compared in the same direction. Mean scores were calculated for each scale that had more than one item. Each scale had to be at least 80% complete in order to calculate a mean scale score; otherwise the scale was set to missing. Each of the scales showed high internal consistency reliability, as measured by the Cronbach’s alpha coefficient (α ≥ 0.70): administrative controls (0.75), job-task demands (0.72), safety climate (0.81), and safety practices (0.93). Scales were dichotomised to define exposed and non-exposed workers. Exposure was defined by responses of “often” and “always”, and non-exposure was defined as “never”, “rarely”, and “sometimes”. Following dichotomisation, safety practices and safety climate were combined to create four categories: high climate and high practices, high climate and low practices, low climate and high practices, and low climate and low practices. The category “high climate and high practices” was used as the reference group in the analyses. Two separate logistic regression models were developed: one model included safety practices and safety climate as separate variables, and the second model included the combined variable as described above, using three indicator variables.

### Work related injuries

Work related injuries (WRI) were defined as the number of self-reported injuries experienced in the previous six months for the following accidental events: needlesticks, splashes to the eye or mouth, contact with contaminated material, cuts, falls, skin rashes, burns, back injuries, electrical shocks, or poisonings. WRI rates, expressed as person-six months, were computed by dividing the total number of WRI experienced for each type of injury category by the person-time at risk. An aggregated measure of WRI was calculated adding the number for each injury category. The period worked was calculated as the total number of possible working days for each employee during the six month period. Data for days not worked were not available. Open ended injury recall was not allowed, to limit the opportunity for response bias. Participants were asked to express the number of injuries experienced in the last six month period as “0” to “6 or more”. The response of “6 or more” was coded as “6” injuries. WRI was considered to be a countable variable that can take values of 0, 1, 2,... and, therefore, we assumed the number of WRI for each subject followed a Poisson distribution.

### Statistical analysis

After initial screening of the responses, we identified 123 individuals who answered the entire section on safety practices as not applicable to their job. These individuals were considered not-at-risk on the basis of their job duties and removed from the analysis. An additional 231 questionnaires did not meet the 80% completion criterion, and were not included in the analysis. After these exclusions, a total of 488 at risk subjects remained. This subset included six administrative employees and seven maintenance employees.
employees. Administrative employees were considered not-at-risk and therefore removed from the data set. Multivariate analyses for these small occupational groups would be of limited validity, and the remaining seven maintenance workers were excluded from the analysis. The final sample consisted of 475 employees.

We assessed the differences between the final sample of workers (n = 475) and those excluded from the analyses (n = 367) using the exclusion criteria previously described, and found statistically significant differences (p < 0.05) between these two groups in many variables. We further analyzed the differences between these two groups by separating the excluded employees into two subsets: those excluded on the basis of job tasks and those excluded because they did not meet the 80% completion criterion. The results were similar and significant for the two subsets. The final sample had more females, more professionals and ancillary personnel, more employees with direct patient contact, and fewer medical technicians and general service employees. The final sample also had an overall higher exposure to occupational and organisational risk factors, and twice the work related injury rate (9.5 injuries per six person-months) than the total excluded employees (4.3 injuries) and one and a half the work related injury rate than the employees who did not meet the 80% completion criteria (5.7 injuries).

Crude and adjusted rate ratios (RR) and their 95% confidence intervals (95% CI) were calculated. Bivariate associations between each exposure variable and WRI were assessed. Significant (p < 0.05) variables were selected for inclusion in the simultaneously adjusted multivariate model. After adjustment for covariates, non-significant (p > 0.05) variables were removed and models were re-estimated. Log likelihood ratio tests were then performed to test the adequacy of removing those variables. The Poisson distribution can cause an underestimate of the standard error due to overdispersion when the variance is greater than the mean. Since the injury data indicated a large amount of variability, to reduce the risk of committing a type I error we corrected for overdispersion. To correct for overdispersion, we used the STATA software option which sets the standard error scale to the generalised χ² statistic divided by the residual degrees of freedom. All analyses were performed with STATA SE 8.2.

RESULTS

Demographic characteristics

Table 1 presents the demographic characteristics of the study sample. The sample had more women (61%), and 52% were less than 42 years of age. The majority of workers (71%) were either ancillary (43%) or professional personnel (physician, nurse, nutritionist, physical therapist, etc) (28%); and 92% reported having direct patient contact.

Occupational and organisational risk factors

Table 1 shows exposure to occupational and organisational risk factors. Exposures to occupational risk factors ranged from 23% of the respondents exposed to physical hazards to 81% exposed to biological hazards. Half of the employees (52%) worked in national hospitals, 27% in regional hospitals, and 21% in peripheral hospitals, a distribution that was consistent with the overall distribution of all hospital based employees in the CCSS system (50% national, 30% regional, and 20% peripheral).

Analysis of exposure to organisational risk factors showed that only 29% of the employees and 20% of the managers had received safety training, and less than half (48%) of the workers reported that necessary personal protective equipment was provided. A majority (53%) of the employees reported a low level of compliance with safety practices, and most of the sample (75%) reported having job tasks that interfered with their ability to comply with safety practices. Almost all of the employees reported a low level of safety climate (83%) and low administrative controls (92%) at their workplace. Safety climate and safety practices were assessed individually and together in combination. A low level of safety climate and low level of safety practices was the most common situation (47%).

Work related injuries

The total number of WRI experienced by the respondents was 4498 for a six month period, for an individual employee injury rate of 9.5 events every six months (table 2). Skin contact with contaminated material (1093 events by 279 employees) and back injuries (1048 events by 309 employees) were the two most common events, representing 48% of all injuries. When combined with eyes/mouth splashes (713 events by 233 employees) and needlesticks (637 events by 224 employees), these four types of injury accounted for nearly 80% of all WRI. The crude RR (table 3) showed a higher RR of injury for the exposed groups for both the occupational and organisational variables, with RR varying from 1.30 for lack of management safety training to 2.75 for the combination of low safety climate and low level of safety practices. None of the demographic variables were significantly associated with WRI, and almost all of the occupational variables and all organisational variables (except for the type of hospital) were significant.

<table>
<thead>
<tr>
<th>Type of injury</th>
<th>Employees</th>
<th>Work related injuries</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>n</td>
<td>n (%)</td>
</tr>
<tr>
<td>Contaminated contact</td>
<td>279</td>
<td>1093 (24.3)</td>
</tr>
<tr>
<td>Back injuries</td>
<td>309</td>
<td>1048 (23.3)</td>
</tr>
<tr>
<td>Eye/mouth splashes</td>
<td>233</td>
<td>713 (15.9)</td>
</tr>
<tr>
<td>Needlesticks</td>
<td>224</td>
<td>637 (14.2)</td>
</tr>
<tr>
<td>Cuts with sharps</td>
<td>144</td>
<td>354 (7.9)</td>
</tr>
<tr>
<td>Skin rashes</td>
<td>106</td>
<td>280 (6.2)</td>
</tr>
<tr>
<td>Falls with injury</td>
<td>65</td>
<td>114 (2.5)</td>
</tr>
<tr>
<td>Burns</td>
<td>39</td>
<td>96 (2.1)</td>
</tr>
<tr>
<td>Poisonings</td>
<td>47</td>
<td>93 (2.1)</td>
</tr>
<tr>
<td>Electric shocks</td>
<td>25</td>
<td>70 (1.6)</td>
</tr>
<tr>
<td>Total†</td>
<td>415</td>
<td>4498 (100)</td>
</tr>
</tbody>
</table>

Rate, WRI rates, expressed as person-six months, were computed by dividing the total number of WRI experienced for each type of injury by the person-time at risk.
*Number of employees is not mutually exclusive.
†Computation based on the aggregated measure of work related injuries.
After simultaneous multivariate adjustment, the following variables lost significance: direct patient contact, exposure to radiation, biological hazards, lack of management safety training, lack of needed protective equipment, and the existence of administrative controls. The log likelihood ratio tests comparing the final multivariate models before and after removing non-significant variables were significant (p < 0.05), and models containing the non-significant variables are presented. Exposure to chemical products (RR = 1.36) and to physical hazards (RR = 1.26) had higher WRI risk than non-exposed employees. Employees with job task that interfere with their ability to comply with safety practices (RR = 1.46) and those with a lack of safety training

**Table 3**  Risk of work related injuries experienced in a six month period by public hospital based workers (n = 475) by organisation and occupational factors; Costa Rica, 2000

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<th></th>
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<tbody>
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<td>n</td>
<td>%</td>
<td>Rate</td>
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<td>RRa</td>
<td>95% CI</td>
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<td>1.07</td>
<td>0.73 to 1.03</td>
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<tr>
<td>&lt; 41 years</td>
<td>2341</td>
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<td>10.1</td>
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<td>&gt; 41 years</td>
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<td>9.9</td>
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<td>0.89</td>
<td>0.64 to 1.25</td>
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**RRc, crude rate ratio of work related injuries; RRa, adjusted rate ratio for the significant (p < 0.05) variables on the bivariate analysis.**

*Two separate logistic regression models were developed: one model included safety practices and safety climate as separate variables, and the second model included the combined variable of safety climate and safety practices. The estimations for the second model did not vary significantly so data for the rest of covariates presented in the table correspond to results using the first model.*

(p < 0.05) in the bivariate analysis. Only those factors with crude RR that were significantly related to WRI were entered into the multivariate model.

After simultaneous multivariate adjustment, the following variables lost significance: direct patient contact, exposure to radiation, biological hazards, lack of management safety training, lack of needed protective equipment, and the existence of administrative controls. The log likelihood ratio tests comparing the final multivariate models before and after removing non-significant variables were significant (p > 0.05), and models containing the non-significant variables are presented. Exposure to chemical products (RR = 1.36) and to physical hazards (RR = 1.26) had higher WRI risk than non-exposed employees. Employees with job task that interfere with their ability to comply with safety practices (RR = 1.46) and those with a lack of safety training
findings are consistent with other studies which under-

between individual and occupational risk factors, our
workplace, the higher the WRI rate. Despite the tendency of
association with WRI in the present research, suggesting that
organisational level construct) the interaction of low levels of
occupational factors for WRI, together with the lack of
factors.

The higher relative influence of organisational factors over
occupational factors for WRI, together with the lack of
significance of demographic variables, is an interesting
finding. For the purposes of this study, we considered safety
practices an organisational variable, due to the strong
influence organisational level constructs have on individual
safety practices and some occupational variables support
work related injuries. The non-significant effects of demo-
graphic variables and some occupational variables support
the theory that organisational variables play a significant role
in predicting injury risk, and a more significant role than
organisational variables, due to the strong
finding. For the purposes of this study, we considered safety
practices showed the highest association with WRI
(RR = 1.92).

DISCUSSION

To our knowledge, this is the first study exploring the rela-
tion between several occupational and organisational risk
factors and the occurrence of WRI among public hospital
employees in Costa Rica. The main findings showed exposure
to occupational factors (chemical and physical hazards) and
to organisational factors (lack of safety training and low
levels of safety climate and safety practices) to be signifi-
cantly related to higher rates of WRI. In addition, the
association with WRI was observed to be more pronounced
for organisational factors than for the occupational risk
factors.

The higher relative influence of organisational factors over
occupational factors for WRI, together with the lack of
significance of demographic variables, is an interesting
finding. For the purposes of this study, we considered safety
practices an organisational variable, due to the strong
influence organisational level constructs have on individual
safety practices. Together with safety climate (another
organisational level construct) the interaction of low levels of
both safety practices and safety climate showed the highest
association with WRI in the present research, suggesting that
the lower the safety climate and the safety practices are in the
workplace, the higher the WRI rate. Despite the tendency of
the work injury research literature to focus on the relation
between individual and occupational risk factors, our
findings are consistent with other studies which under-
score the importance of organisational factors in relation to
work related injuries. The non-significant effects of demo-
graphic variables and some occupational variables support
the theory that organisational variables play a significant role
in predicting injury risk, and a more significant role than
occupational risk factors alone.

This research also shows the relative importance of safety
climate and/or safety practices in determining an injury risk
profile for hospital workers. When evaluated together, the
levels of either safety climate or safety practices were
protective of injury risk, and low levels of both had the most
significant effect on injury rates. This suggests that workplace
interventions aimed at injury reduction should include
strategies to improve both safety climate and safety practices.

Caution is recommended when interpreting these findings.
The cross-sectional design of the study does not allow for
determining causality in either direction (that is, work
organisation and occupational factors influence WRI or vice
versa). Data were self-reported and measured retrospec-
tively for a six month period which may introduce biases,
such as recall bias or the tendency to report socially
acceptable responses. Due to the lack of reliable and valid
injury registries in developing countries, self-reports were the
only way to obtain the data presented in this paper. The
potential overestimate of the number of injuries experienced
by employees was mitigated by limiting the number of injuries
reported to a maximum of six injuries during the last six
months for each injury category. The lack of data for days not
worked may have resulted in an overestimate of the person-
time at risk. Given the six month time period under
investigation and the stability of the study population, these
limitations are not considered to be significant. Additionally,
the creation of scales, which were standard and had been
previously validated, was restricted to subjects with 80% of
the scale complete and exhibited good internal consistency.
These factors should have attenuated the impact of some of
the biases.

Despite all these factors, non-response bias cannot be
completely ruled out. The initial response rate was high
(98%), but we were unable to use 231 questionnaires since
they did not meet the 80% of scale items completion criteria
to compute the scales of interest in our study. Taking this into
consideration, the response rate declines to 71%, which still is
a high value. As commented in the methods section, the
excluded group was considered to be a not-at-risk group that
was shown to be significantly different when compared to
the final sample (n = 475); they had a greater proportion of
occupations with less direct patient contact (that is, more
administrative and maintenance personnel, medical techno-
icians, and general service employees); they had overall less
exposure to occupational and organisational risk factors, and
half the work related injury rate. The 80% completion
criterion was used to increase the validity of the response
scales. Less restrictive completion criteria would have allowed
for inclusion of incomplete data that would bias the analyses
toward the null, thus making easier to commit a type II
error. With regard to the potential for selection bias, two effects
should be considered. First, a healthy worker effect might be
present since some employees (that is, those employees on sick
leave, vacation, or no longer employed) were not available for
the interview. This potential bias would have underestimated
the associations as respondents would be healthier, and possi-
bley have fewer injuries than non-respondents. Second, the
final sample had more exposure to occupational and organisational risk factors,
and higher levels of work related injury than the employees
who were excluded from the analysis. Most of those excluded
from the analysis reported having no direct contact with
patients on the job. The study findings are therefore
generalisable to the hospital based employees of the Costa
Rican public hospital system with direct patient contact, but
not necessarily generalisable to employees with no patient
contact. To confirm the present findings further research is
needed. Our group is working to conduct additional research
using a prospective longitudinal design that may provide
better insight into the occurrence of WRI in this study
setting. Given the hierarchical structure of most work
organisations, multilevel techniques are the best methodolo-
gical approach compared to other research methods. Multilevel
models provide a more appropriate approach to examine
how work organisation can affect individual and
organisational safety behaviour and their associations with
work related injuries.

In summary, this research contributes to the scientific
literature of the relation between organisational factors and
workplace injury. A better understanding of this relation is
increasingly important as we look to prevention interventions
to reduce the burden of occupational injury. The study
findings should help guide prevention intervention efforts
and may developing public hospital systems in developing
countries throughout Latin America, with the necessary
adaptation to the diverse cultural and work settings in other
countries in the region. Finally, these results provide
important data for the development of training programmes
designed to improve the safety outcomes of work organisa-
tion and to reduce exposure to occupational risk factors in the
public hospital system.
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