Leber congenital amaurosis/early-onset severe retinal dystrophy: clinical features, molecular genetics and therapeutic interventions

Neruban Kumaran, Anthony T Moore, Richard G Weleber, Michel Michaelides

ABSTRACT

Leber congenital amaurosis (LCA) and early-onset severe retinal dystrophy (EOSRD) are both genetically and phenotypically heterogeneous, and characterised clinically by severe congenital/early infancy visual loss, nystagmus, amaurotic pupils and markedly reduced/absent full-field electroretinograms. The vast genetic heterogeneity of inherited retinal disease has been established over the last 10-20 years, with disease-causing variants identified in 25 genes to date associated with LCA/EOSRD, accounting for 70-80% of cases, with thereby more genes yet to be identified. There is now far greater understanding of the structural and functional associations seen in the various LCA/EOSRD genotypes. Subsequent development/characterisation of LCA/EOSRD animal models has shed light on the underlying pathogenesis and allowed the demonstration of successful rescue with gene replacement therapy and pharmacological intervention in multiple models. These advancements have culminated in more than 12 completed, ongoing and anticipated phase I/II and phase III gene therapy and pharmacological human clinical trials. This review describes the clinical and genetic characteristics of LCA/EOSRD and the differential diagnoses to be considered. We discuss in further detail the diagnostic clinical features, pathophysiology, animal models and human treatment studies and trials, in the more common genetic subtypes and/or those closest to intervention.

INTRODUCTION

Inherited retinal disease (IRD) represents the second most common cause of legal blindness in childhood and the leading cause among the working aged population in England and Wales. Prior to identification of the causative genes, clinicians classified patients into groups based on Mendelian inheritance, age of onset and clinical features. The discovery of the underlying genetic causes over the last two decades has led to far greater understanding of disease mechanisms in IRD which has informed development of novel therapies, culminating in the first gene therapy trials for RPE65-associated Leber congenital amaurosis (LCA)/Early Onset Severe Retinal Dystrophy (EOSRD) in 2008.

LCA was first described by Theodore Leber in 1869 and is now used to describe a group of severe recessively inherited, early infantile onset rod–cone dystrophies. In 1916, Leber described what he considered a milder form of the same disease which has had several names, including EOSRD, severe early childhood-onset retinal dystrophy (SECORD) and early-onset retinitis pigmentosa. Whereas LCA is congenital or presents within the first few months of life, is associated with nystagmus, poor pupil responses and in most instances an undetectable full-field electroretinogram (ERG); EOSRD/SECORD is defined as a severe retinal dystrophy presenting after infancy and usually before the age of 5 years. Other distinguishing features of EOSRD/SECORD include better residual visual function and small ERG signals, particularly for the lesser-affected photoreceptor system. Of note, there is significant overlap between the molecular causes of LCA and EOSRD, with some genes causing both clinical phenotypes. However, certain genes are more frequently associated with LCA, for example, GUCY2D, NMNAT1, CEP290 and AIPL1, whereas mutations in others including RPE65, LRAT and RDH12, more commonly result in an EOSRD phenotype.

To date, mutations in 25 genes have been identified as causing LCA/EOSRD; most are expressed solely or predominantly in the retina or the retinal pigment epithelium (RPE). These genes have been shown to encode proteins with a diverse range of retinal functions, including phototransduction, the visual cycle and photoreceptor development/integrity (table 1, figure 1).

Given recent advances in understanding of the molecular basis of these disorders and the ongoing clinical trials of novel therapies, we herein review the clinical characteristics, animal models and pathophysiology of LCA/EOSRD, prioritising the more common genotypes and/or those closest to intervention.

Clinical characteristics

LCA/EOSRD has a prevalence between 1 in 33 000 and 1 in 81 000 and is believed to account for ≥5% of all IRD.

LCA is associated with severe visual impairment from birth or the first few months of life accompanied by roving eye movements or nystagmus and poor pupillary light responses. Eye poking, the ‘oculodigital’ sign, is common. The ERG is undetectable or severely abnormal. Fundus examination may be normal at presentation, but a variety of abnormal fundus appearances may be present or develop over time, including disc pallor, vessel attenuation or mild peripheral pigmentary retinopathy. There may also be disc drusen, optic disc

eodema or pseudopapilloedema, a flecked retina, maculopathy or nummular pigmentation (figure 2). Affected infants often have high hyperopia, or less commonly high myopia, suggesting impaired emmetropisation.14

The rate of loss of visual function and early childhood visual acuity vary markedly in patients with LCA/EOSRD, with certain genotypes (eg, GUCY2D and AIPL1-LCA) known to be more severe than others, with earlier more profound visual loss. Although visual outcome is variable, vision when the child is old enough to be reliably tested is in the region of 3/60 to perception of light. Given the often severe and early visual loss, other areas of development including speech, social skills and behaviour may be delayed; early involvement of a specialist paediatrician with expertise in the developmental needs of children with visual impairment can significantly mitigate/delay disruption to these other fundamental areas of childhood development.15

The identified genes account for approximately 70%–80% of LCA/EOSRD cases (table 1, figure 1 and below), with GUCY2D, CEP290, CRB1, RDH12 and RPE65 being the most common.9,16–19 It has been possible to identify certain characteristic associated phenotypes (figure 2 and below): RDH12-associated disease, which gives rise to an EOSRD phenotype is characterised by early-dense intraretinal pigment migration pigmentation and maculopathy. CRB1-associated disease has nummular pigmentation, maculopathy, relative preservation of para-arteriolar RPE, with retinal thickening and loss of lamination on optical coherence tomography. TULP1, AIPL1 and NMNAT1-associated disease are also characterised by maculopathy which in the case of patients with NMNAT1 mutations is of early onset and extensive. Most cases of LCA/EOSRD occur in otherwise normal infants and any non-ocular symptoms or signs should be investigated for syndromic retinal dystrophies or neurometabolic disease, usually in conjunction with a paediatrician. Renal involvement (nephronophthisis which can lead to end-stage renal failure) may be seen in some genetic subtypes of LCA/EOSRD (eg, IQCB1, IFT140 and CEP290) as part of syndromes including Senior-Loken Syndrome and Joubert Syndrome.20–22 Early molecular diagnosis can help prioritise which children should have ongoing systemic investigations.

The differential diagnosis of an infant with severe visual loss and nystagmus is broad and includes both syndromic and non-syndromic diseases (table 2). Conditions that are important to be distinguished from LCA/EOSRD include complete and incomplete Achromatopsia, 5-cone monochromatism, complete and incomplete congenital stationary night blindness and albinism; however careful examination and detailed investigation including electrophysiology usually allow an accurate diagnosis to be established. Molecular genetic testing may then allow a more specific diagnosis (table 2).

<table>
<thead>
<tr>
<th>Locus name</th>
<th>Causative gene</th>
<th>Protein</th>
<th>Protein function(s)</th>
<th>Approximate frequency</th>
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<tr>
<td>LCA 1</td>
<td>GUCY2D†</td>
<td>Guanylate cyclase-1</td>
<td>Phototransduction</td>
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<td>LCA 2</td>
<td>RPE65†</td>
<td>Retinoid isomerase</td>
<td>Retinoid cycle</td>
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<td>SPATA7</td>
<td>Spermatogenesis-associated protein 7</td>
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<td>LCA 4</td>
<td>AIPL1†</td>
<td>Aryl-hydrocarbon-interacting-protein-like 1</td>
<td>Phototransduction/protein biosynthesis</td>
<td>&lt;5%</td>
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<td>LCA 5</td>
<td>LCA5</td>
<td>Libercillin</td>
<td>Photoreceptor ciliary transport</td>
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<td>LCA 6</td>
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<td>Protein RD3</td>
<td>Protein trafficking</td>
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<tr>
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<td>PRPH2</td>
<td>Peripherin</td>
<td>Photoreceptor outer segment structure/stabilisation</td>
<td>Unknown</td>
</tr>
</tbody>
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†Genes more frequently associated with LCA.
*Genes associated with EOSRD.
Other genes have shown no clear predilection.
EOSRD, early-onset severe retinal dystrophy; LCA, Leber congenital amaurosis.

Table 1 Overview of the genes associated with LCA/EOSRD, the encoded proteins, their proposed function(s) and estimated frequency

* Genes associated with EOSRD.
† Genes more frequently associated with LCA.
Other genes have shown no clear predilection.
EOSRD, early-onset severe retinal dystrophy; LCA, Leber congenital amaurosis.
Selected specific genotypes

**GUCY2D-associated LCA**

GUCY2D was the first gene to be associated with LCA/EOSRD and is one of the most common, accounting for approximately 10%–20% of cases.\(^{16}\) GUCY2D is known to encode retinal guanylate cyclase-1 (RetGC1), expressed in photoreceptor outer segments, to a greater degree in cones than rods.\(^{23}\) RetGC1 is known to play an important role in photoreceptor recovery following phototransduction, thereby disease-causing variants in GUCY2D and subsequent RetGC1 deficiency result in the biochemical equivalent of chronic light exposure.\(^{16}\)

Patients with GUCY2D-associated LCA often have relatively normal fundi, and also experience significant photophobia in contrast to most other LCA/EOSRD genotypes. There can be relatively preserved outer retinal / photoreceptor structure on optical coherence tomography (OCT) in many patients, although foveal cone outer segment abnormalities and foveal cone loss has been observed.\(^{21}\) In contrast to other forms of LCA/EOSRD which have a rod–cone dystrophy phenotype, in GUCY2D disease patients often retain substantial rod function, with a smaller subset having detectable but reduced cone function (ie, cone–rod disease), based on ERG, psychophysical assessments and vision-guided mobility testing.\(^{25}\) Due to the majority of patients lacking cone-mediated vision, patients often present with markedly reduced visual acuity and a lack of colour perception.\(^{27}\)

Disease mechanisms and interventional approaches have been explored in several animal models of GUCY2D-LCA. Initially, gene replacement was investigated using an HIV1-based lentiviral vector in a naturally occurring chicken model and showed improved optokinetic reflexes and volitional visual behaviour.\(^{28}\) Subsequently, multiple groups have had therapeutic success in...
review

condition/syndrome Assessments and features that assist in distinguishing from LCA/EOSRD

Achromatopsia Achromatopsia is characterised by absent/markedly reduced cone responses with normal rod ERG responses and a stationary natural history; compared with the flat/markedly reduced full-field ERGs and progressive degeneration seen in LCA/EOSRD.

Congenital stationary night blindness Can be differentiated on the basis of ERG phenotype and natural history.

Ocular and oculocutaneous albinism Clinical examination (skin, hair, eyebrows/eyelashes; iris and retina), retinal imaging (OCT and FAF) and electrophysiological assessment.

Optic nerve hypoplasia Clinical examination and electrophysiological assessment. Of note, absent pupil reflexes suggests severe optic nerve hypoplasia rather than LCA.

Batten disease Infantile Neuronal Ceroid Lipofuscinosis (NCL) presents with congenital or early-onset blindness, with onset by age 6 months; whereas patients with Late and Juvenile onset NCL present at 2–4 and 6 years of age or older, respectively. Patients with NCL have neurocognitive decline and can have epilepsy.

Joubert syndrome Patients present with severe visual abnormalities, ocular motor abnormalities, often have the ‘molar tooth’ sign on MRI and develop nephronophthisis in later childhood.

Peroxisomal diseases Associated features include sensorineural deafness, dysmorphic features, developmental delay, and early death.

Alstrom syndrome Presents with infantile onset nystagmus, photophobia and a cone–rod dystrophy on electrophysiological assessment. Other systemic features include childhood obesity, type 2 diabetes mellitus, hepatic dysfunction, heart failure, sensorineural hearing loss and renal failure.

Cobalamin C deficiency Has a variable phenotype with severely affected individuals showing progressive, infantile onset, metabolic, neurological and ophthalmic manifestations, including infantile nystagmus, bull’s-eye maculopathy and reduced responses on ERG.

Table 2 Selected conditions/syndromes to be considered in the differential diagnosis of isolated LCA/EOSRD

RPE65-associated LCA

RPE65-associated LCA/EOSRD accounts for approximately 5%–10% of cases and has been the subject of the most clinical trials to date. RPE65 encodes a 65kD retinoid isomerase expressed in the RPE; a critical component of the retinoid (visual) cycle. As such, patients with RPE65 deficiency have a lack of 11-cis-retinal. Most children with RPE65 deficiency have an EOSRD phenotype with profound night blindness from birth but residual cone-mediated vision and often mild if any nystagmus. It has been suggested that cone photoreceptors have an alternative supply of 11-cis-retinal independent of RPE65, thus allowing cone-mediated vision in younger patients. However, due to the 11-cis-retinal deficiency in rod photoreceptors there is early and profound nystaglosa.

OCT studies have demonstrated relatively normal retinal thickness in some patients; with more commonly a central macular area of relatively preserved retina with a ring of thinning or more widespread retinal loss. There appears to be no clear relationship between age and thinning in the first three decades. This phenotypic variability irrespective of age, and varying both between and within families, is typical of the marked heterogeneity characterising IRD as a whole. RPE65-deficiency is also associated with reduced or absent autofluorescence on fundus autofluorescence imaging, suggesting low or absent levels of lipofuscin in the RPE.

In 2001, Acland et al described successful gene therapy in Briard dogs with RPE65-retinopathy using subretinal delivery of recombinant adeno-associated virus serotype 2 (AAV2) vector with canine RPE65 cDNA under the control of the cytomegalovirus chicken beta actin promoter. Injected eyes of these dogs showed improved ERG, pupillometry and flash evoked cortical potentials in the dark-adapted state. Furthermore, these improvements were sustained for over 10 years. Subsequently, murine models of RPE65 deficiency have been characterised and rescued using gene replacement therapy, with significant improvement in visual function in both RPE65-/- mice and naturally occurring RPE65 mutant mice (Rd12 mouse) and the demonstration of a dose–response relationship.

Success in canine and murine models resulted in four phase 1 gene therapy clinical trials in humans in close succession. The 2 to 5-year follow-up data on all four trials has been very encouraging, showing the intervention to be safe with varying levels of efficacy noted; however, most studies at later intervals have shown a lack of durability of the improvements, with continuing retinal degeneration even in patients with improved retinal function. Of the 12 patients enrolled in the UK trial, an initial improvement in retinal sensitivity, dark-adapted perimetry and vision-guided mobility was noted in six, five and three patients, respectively. This improvement was not sustained, with only two patients having residual improvement greater than pretreatment levels, 3 years following subretinal injection. Similar results were noted in the other three clinical trials, with Testa et al reporting sustained improvement in visual acuity, visual field, nystagmus frequency and pupillometry at 3 years. Welleber et al noted variable improvement in one or more parameters of visual function in 9 of 12 patients. Jacobson et al described visual function improved in all 15 patients, to different degrees, with improvements being localised to treated areas, but a waning of improvement over time and continued retinal degeneration. It has been proposed that continued retinal degeneration may be due to suboptimal RPE65 expression in the human retina, resulting in subsequent work to optimise the vector, promoter and transgene hoping to result in more durable benefit.

Acknowledging the known impaired structural properties of the visual pathway observed in visually deprived animals and blind human studies, Asharti et al investigated the plasticity of the visual system following retinal gene therapy in patients with RPE65-LCA using non-invasive multimodal neuroimaging.
They reported improvements and normalisation in the visual pathway corresponding to the retinal injection areas in the treated eyes, which were maintained over time, compared with the visual pathway for the untreated eyes which continued to deteriorate. This would support the belief that early intervention would be most likely to result in the greatest benefit. However, of note the 10 subjects in this study were aged 9 to 45 years, suggesting that intervention in adulthood could still be beneficial.

Furthermore, recently, one phase III trial (NCT00999609) and a phase I/II trial (NCT01496040) have been completed with another two phase II trials (clinicaltrials.gov identifiers: NCT02781480, NCT00821340,) now underway. Pharmacological intervention has also been investigated to potentially overcome the biochemical blockade, following successful rescue in animal models.54 55 A phase II clinical trial examining the efficacy of the synthetic prodrug QLT091001, a precursor to 9-cis-retinal, has been conducted in 18 patients (13 with RPE65-LCA and five with LRAT-LCA—as both encoded proteins participate in the retinoid cycle (figure 1)).56 Patients received a once-daily oral dose of 40 mg/m²/day for 7 consecutive days. Following this, 44% of patients had a >20% increase in isoperister area in one or both eyes, as assessed by Goldmann visual field testing, and 67% of patients showed a >5 ETDRS letter score increase in one or both eyes.56 A phase III trial is due to commence in the near future.

**AIPL1-associated LCA**

**AIPL1** encodes Aryl-hydrocarbon-interacting-protein-like 1—a photoreceptor-specific cochaperone that interacts specifically with the molecular chaperone HSP90 to modulate the stability of and assembly of the HSP90 substrate, retinal cyclic guanosine monophosphate phosphodiesterase.57 58

Sequence variants in **AIPL1** are associated with a relatively severe congenital LCA phenotype, with severe and rapidly progressive visual loss, accounting for less than 5% of LCA.59 60

Aboshiha et al investigated a worldwide cohort of 42 molecularly confirmed patients, collecting data on demographics, visual acuity, fundus examination, OCT findings and genotype. This study identified p.W278X (c.834G>A) as the most common **AIPL1** variant, occurring in at least one allele in 62% of patients.61 Interestingly, hand-held OCT imaging identified four patients (three of whom harboured the aforementioned common variant) with relative preservation of central outer retinal structure, all of whom were younger than 4 years of age.61

Gene replacement therapy using an AAV2/8 vector in an **AIPL1** knock-out mouse model has been shown to result in restoration of cellular function with photoreceptor cell preservation and improved retinal function, despite the severe rapidly progressive early-onset retinal degeneration seen in this mouse model.62 The early visual loss to perception of light or worse, the high-resolution OCT evidence of retained outer retinal structure early in life and the successfully treated animal models, raise the possibility of a gene therapy-based approach in **AIPL1-LCA** early in life; with a human clinical interventional study being in the advanced stages of development.

**RPGRIPI-1-associated LCA**

**RPGRIPI**-1-LCA is believed to be responsible for approximately 5% of cases.63 64 **RPGRIPI** is a binding partner of retinitis pigmentosa GTPase regulator (RPGR) and is essential for anchoring RPGR at the connecting cilia between the inner and outer segments of photoreceptor cells.65 66

In contrast to other forms of LCA, **RPGRIPI**-1-LCA appears to be relatively non-progressive, following an initial rapid decline in visual function.18 Furthermore, photoreceptors in the central retina appear to remain present for a significant period following deterioration of visual function.70 These features suggest a wide window of opportunity for potential intervention.

An **RPGRIPI** knock-out mouse and **RPGRIPI** deficient mouse and dog models have been characterised and have provided models for preclinical gene replacement studies.55 56 57 In **RPGRIPI** knock-out mice, both improved outer segment morphology and photoreceptor survival, and better preservation of ERG responses have been demonstrated with **RPGRIPI** gene replacement using both AAV2 and AAV8 vectors.71 72 Improved photoreceptor function up to 24 months postsubretinal injection has also been shown in the canine model.73

**CRB1-associated LCA**

Disease-causing sequence variants in **CRB1** have been identified in a broad range of phenotypes, including the early-onset disorders LCA/EOSRD and retinitis pigmentosa with and without a Coats-like vasculopathy, a later-onset macular dystrophy and isolated autosomal recessive foveal retinoschisis.74–77 Approximately 10% of LCA/EOSRD patients harbour variants in **CRB1**.9 18 The **CRB1** protein is known to colocalise with the zonula adherens, forming a major component of the outer limiting membrane and is believed to have a role in retinal development.

Both the severity and rate of progression vary significantly between patients with **CRB1**-associated LCA/EOSRD, with clinical examination and OCT findings often allowing directed molecular screening of **CRB1**.74 75 76 Characteristic findings include macular atrophy, mummular pigmentation, relative para-arteriolar preservation of the RPE and retinal thickening with loss of lamination—in direct contrast to other forms of LCA/EOSRD where progressive retinal thinning is commonplace.

**CEP290-associated LCA**

**CEP290**-LCA accounts for 15%–20% of cases, thereby representing one of the most common genetic causes.17 **CEP290** localises to the centromeres and the connecting cilia of photoreceptors. The intronic variant c.2991+1655 A>G is the most common disease-causing mutation (especially in European countries and in the USA), having been identified in at least one allele in 77% of patients (n=43) in a study of **CEP290**-LCA.79

Retinal examination can be relatively normal in infancy with significant variability in visual function, with no correlation between age and visual acuity (VA) noted over an average follow-up period of 10 years; although severe VA loss (counting fingers or worse) is seen in most, but not all, children in the first decade.17 79 80

Interestingly, OCT studies have shown that despite profound cone dysfunction, the foveal outer nuclear layer (cone nuclei) is structurally detectable until the fourth decade of life in some patients, although with abnormal inner and outer segments in contrast to the early loss of rod photoreceptors.81 These findings suggest a potential window of opportunity—wider for possible cone rescue than rod. Gene therapy-based intervention has been explored in vitro with a lentiviral vector containing human **CEP290** and been shown to effectively transduce patient-specific induced pluripotent
stem cell-derived photoreceptor precursor cells and rescue the cellular phenotype.82 Other molecular therapeutic avenues being explored focus on the common deep intronic CEP290 sequence variant, which creates a strong splice donor site that leads to the insertion of a cryptic exon encoding a premature stop codon. Several approaches have been suggested, including the use of antisense oligonucleotide-mediated exon skipping to abrogate the disease-causing variant or correction of the splice defect using CRISPR/Cas9-mediated gene editing.83 84 Both of these novel approaches show promise and human clinical trials are anticipated in the near future.85

**RDH12-associated LCA**

Disease-causing sequence variants in RDH12 are identified in up to approximately 10% of LCA/EOSRD patients.9 10 RDH12 encodes retinol dehydrogenase 12, a component of the visual cycle, which when deficient is believed to result in retinal toxicity secondary to all-trans-retinol accumulation.86

Most patients with biallelic RDH12 mutations have an EOSRD rather than LCA phenotype. There is a recognisable fundus phenotype with widespread RPE and retinal atrophy and minimal intraretinal pigmentation in early childhood, with dense intraretinal bone-spicule pigmentation developing over time (figure 2).19 There is early progressive macular atrophy, with pigmentation and yellowing and corresponding macular excavation on OCT and loss of fundus autofluorescence.19

Promising results of AAV2/8-vector-mediated RDH12 gene replacement therapy in Rdb12 knock-out mice has raised the possibility of human clinical trials in the future.87

**LRAT-associated LCA**

LRAT encodes Lecithin:retinol acyl transferase, a key enzyme in the vitamin A recycling pathway (visual cycle), with LRAT deficiency associated with a similar phenotype to RPE65-deficiency, although being far less common.9 88 As described for RPE65-deficiency, the synthetic prodrug QLT091001, a precursor to 9-cis-retinal, has been shown in a phase I trial to improve VA and kinetic visual fields in LRAT-LCA, with a phase III trial anticipated in the future.

**Therapeutic advances potentially applicable to advanced LCA**

Stem cell therapies hold great promise for the future to restore lost retinal cells in advanced disorders, including potentially LCA. At present, human stem cell therapy has primarily been confined to RPE transplantation, with two phase I/II studies reporting safe transplantation of human embryonic stem cell-derived RPE and induced pluripotent stem cell-derived RPE, respectively.89 90 However, transplantation of other retinal cells, including photoreceptors—which would be needed in LCA, remains at a preclinical stage.

Clinical trials have also been conducted to investigate the therapeutic potential of electronic retinal prostheses in advanced retinal degeneration including choroideremia and retinitis pigmentosa. At present, current epiretinal or subretinal devices cannot sufficiently replace the high density of photoreceptors at the fovea and have thereby resulted in very low levels of VA improvement in a minority of patients, with a varied safety profile.91 92

**CONCLUSIONS**

Improvements in molecular genetic testing and clinical assessments (primarily quantitative high-resolution retinal imaging) have led to a greater understanding of genotype–phenotype correlations and structure–function associations in LCA/EOSRD. This coupled with advances in gene therapy in both animal model studies and human clinical trials have resulted in a current new era of increasing clinical trials for multiple genetic subtypes of LCA/EOSRD and the cautious optimism for the development of proven successful and durable treatments which both improve visual function and halt/markedly slow retinal degeneration. Substantial challenges remain however, particularly for patients with severe visual loss from birth where normal visual pathway function has never been established, with intervention likely needed very early in infancy to fully address any potential cortical limitations and thereby optimise outcomes.

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Leber congenital amaurosis/early-onset severe retinal dystrophy: clinical features, molecular genetics and therapeutic interventions

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