

1 Nutrient enriched waters, oxygenation and climate change during the Tonian-
2 Cambrian interval of biological innovation

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11 **Abstract:** The Tonian-Cambrian interval (~0.9 to ~0.5 Ga) witnessed major tectonic, climatic
12 and chemical changes to the Earth system, and culminated in the Ediacaran-Cambrian
13 radiation of animals. Negative carbon isotope ($\delta^{13}\text{C}$) excursions of extraordinary magnitude
14 form the backdrop to all these events and are consistent with the presence of a vast, marine
15 organic carbon reservoir that changed its size due to periodic imbalances between organic
16 production (as an oxidant source) and terrestrial oxidant sinks. Prior to both Cryogenian
17 glaciations, this pool of long-lived organic carbon became substantially depleted, leading to
18 a weakening of climate and oxygen regulation. The late Ediacaran 'Shuram' anomaly likely
19 represents a third depletion event, followed by oxygenation and the Ediacaran-Cambrian
20 radiation. The evolutionary diversification of animals shortened the ocean residence time of
21 organic carbon and introduced new carbon cycle feedbacks that together helped to mould
22 the modern Earth system.

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25 The Precambrian-Cambrian boundary (c.540 Ma) traditionally marks the first incontrovertible
26 fossil evidence for animal life in the form of mineralized skeletons or associated traces
27 (Brasier *et al.* 1994; Briggs 2015). However, plausible animal fossils have now been found in
28 much older Ediacaran strata, implying a cryptic pre-history of evolutionary diversification that
29 possibly began over 200 Myr earlier (Erwin, 2015). The 'Cambrian explosion' of skeletal forms
30 is now viewed as the last of several pulses of biological diversification that occurred against a
31 backdrop of climatic, tectonic and environmental changes, which span approximately the
32 same time interval. The role of nutrients, for example, in the Cambrian radiation has long
33 been debated (Brasier 1992, Tucker 1992, Peters & Gaines 2013), and is tightly coupled to
34 tectonic upheavals because nutrient (P, N) and micronutrient (e.g. Fe, Mo) fluxes are
35 governed by weathering and erosion, as well as redox conditions. Oxygenation is another
36 widely cited trigger for the Cambrian radiation (Cloud 1968; Squire *et al.* 2006, Campbell &
37 Squire 2010), although its relative importance is strongly contested (Butterfield 2009,
38 Sperling *et al.* 2015). Recently, a more nuanced synthesis of the Cambrian radiation has
39 emerged, in which changes to nutrient and oxygen availability are considered to have been
40 both a cause and effect of biological innovations (McIlroy & Logan 1999; Shields-Zhou & Zhu
41 2013; Knoll & Sperling 2014; Lenton *et al.* 2014). The present study seeks to contribute to
42 this more nuanced account of the Proterozoic-Phanerozoic transition.

43
44 The dominant tectonic 'event' of the Tonian-Cambrian interval, starting from ~0.85 Ga, was
45 arguably the break-up of Rodinia (Li *et al.* 2004, Li *et al.* 2008), latter stages of which have
46 been implicated in the onset of global 'Snowball Earth' glaciations between 717 and 635 Ma
47 (Donnadieu *et al.* 2004, Rooney *et al.* 2014, Horton 2015, Cox *et al.* 2016). The subsequent

48 reamalgamation of rifted Rodinian blocks during the formation of Gondwanaland led to
49 exceptional mountain building (Pan-African orogeny) during the Ediacaran and Cambrian
50 periods (Brasier & Lindsay 2001, Meert & Lieberman 2008). The Cambrian radiation is
51 commonly viewed as an expansion of animal forms and traits into new ecological niches
52 made available through a series of sea-level rises (Brasier 1982, Dalziel 2014) that eroded
53 uplifted cratonic interiors, producing the so-called 'Great Unconformity' of the western USA
54 (Peters & Gaines 2013), and elsewhere (Brasier & Lindsay 2001; He *et al.*, this issue). Several
55 authors have linked this series of tectonic events from break-up to collision to exceptionally
56 high nutrient flux, oxygenation and eukaryotic diversification (Campbell & Squire 2010;
57 Planavsky *et al.* 2010; Horton 2015; Gernon *et al.* 2016).

58
59 Although some form of Neoproterozoic Oxygenation Event (NOE) is widely accepted (Shields-
60 Zhou & Och 2011), it is ambiguously recorded in geochemical data because the most
61 established redox proxies relate to the marine environment only. It is therefore challenging,
62 on the basis of sparsely sampled, often ambiguous data, to distinguish whether ocean
63 oxygenation events were the trigger for biological expansion or whether they were an effect
64 of biological innovations (filter feeding, suspension feeding, faecal pellets, etc.), which
65 reduced the residence time of organic carbon in seawater (Logan *et al.* 1995, Butterfield
66 2009, Lenton *et al.* 2014). Trace metal (V, Mo, U) concentrations and isotopic (Mo, Se) data
67 show how the spatial extent of anoxic bottom waters decreased markedly during the
68 Ediacaran-Cambrian interval, possibly in stages (Scott *et al.* 2008, Sahoo *et al.* 2012, Chen
69 *et al.* 2015). Ocean oxygenation episodes also seem to have followed glaciations (Canfield *et al.*
70 2007, Sahoo *et al.* 2012) during periods of excessive weathering (Shields *et al.* 1997,
71 Kasemann *et al.* 2014). A final expansion of oxygenated waters may have accompanied the
72 'Cambrian explosion', reaching near modern distribution of oxic seafloor by ~520 Ma (Chen
73 *et al.* 2015), but this is challenged by a recent statistical analysis of Fe-speciation data
74 (Sperling *et al.* 2015). Because Fe speciation relates to local redox conditions in the shallow
75 marine realm (although sedimentologically characterized as 'deep'), whereas trace metal
76 redox proxies reflect the global flux and distribution of redox-controlled sources and sinks,
77 these apparently opposing views are not necessarily contradictory.

78
79 Carbon isotopes are undoubtedly the most widely studied of sedimentary geochemical
80 proxies, and have the advantage that ocean $\delta^{13}\text{C}$ relates directly to the major net source of all
81 atmospheric oxygen: organic carbon burial. However, the $\delta^{13}\text{C}$ record turns out to be a fickle
82 proxy for oxygenation. Both positive and negative $\delta^{13}\text{C}$ anomalies have been interpreted as
83 oxidation events (Knoll *et al.* 1986; Rothman *et al.* 2013), while neither short-lived anomalies
84 nor long-term baseline or mean trends in $\delta^{13}\text{C}$ are sufficiently understood (Schrag *et al.*
85 2013). The late Tonian to early Cambrian interval is especially puzzling in this regard, with
86 decreasing $\delta^{13}\text{C}$ towards the Cambrian, counter-intuitively suggesting lowered rates of
87 organic burial (Brasier & McIlroy 1998, Brasier & Lindsay 2001), and extraordinary, negative
88 $\delta^{13}\text{C}$ excursions, which consistently defy explanation using conventional mass balance
89 approaches (Rothman *et al.* 2003, Bjerrum & Canfield 2011, Tziperman *et al.* 2011,
90 Grotzinger *et al.* 2011). Although the progressive oxidation of a vast reservoir of organic
91 carbon in the lower oceans has been proposed to explain these carbon isotope perturbations
92 (Rothman *et al.* 2003), the required oxidant demand is generally considered to be implausibly
93 high (Bristow & Kennedy 2008), leading many to favour non-global or diagenetic explanations
94 (Derry 2010, Grotzinger *et al.* 2011).

95

96 Notwithstanding these prior arguments, it is worth exploring whether recalcitrant dissolved
97 organic carbon did indeed have a longer residence time in the Proterozoic ocean than its
98 current ~330 years (Hansell 2013) and what consequences this might have had for climate
99 regulation and biological evolution, keeping in mind the otherwise surprising coincidence
100 that extreme negative $\delta^{13}\text{C}$ excursions between ~730 and ~520 Ma brackets precisely the late
101 Tonian to early Cambrian interval of exceptional climatic and biological upheaval. In this
102 review, I will attempt to synthesize the most recent evidence for exceptionally high rates of
103 erosion and nutrient input during the Ediacaran and Cambrian periods. This evidence
104 confirms that the collisional formation of Gondwanaland was accompanied by exceptionally
105 high rates of erosion, consistent with other evidence for abundant nutrients in the Ediacaran-
106 Cambrian oceans. The case is then developed that prolonged periods of unusually low $\delta^{13}\text{C}$
107 values can only plausibly be explained by the existence of a vast pool of organic carbon in the
108 lower oceans. The possible implications of this organic carbon pool are then explored,
109 informed by the temporal relationship between $\delta^{13}\text{C}$ perturbations, and Tonian to Cambrian
110 climatic and biological events. A firmer understanding of these genuinely unique $\delta^{13}\text{C}$
111 anomalies would greatly assist us in solving the mysteries of early animal evolution.

112

113

114 **Increasing erosion rates from the Tonian to the Cambrian**

115 High erosion rates have frequently been implicated as a driver of the Ediacaran-Cambrian
116 radiations. A global overview of relevant evidence (Brasier & Lindsay 2001) confirmed that
117 *“increasing rates of subsidence and uplift accompanied the dramatic radiation of animal life*
118 *through the Neoproterozoic-Cambrian interval”*. Quantifying paleo-erosion flux is difficult,
119 however, and each method has its own caveats. Seawater $^{87}\text{Sr}/^{86}\text{Sr}$, for example, is controlled
120 by the age and type of materials undergoing weathering, and thus only indirectly by erosion
121 rates (Berner & Rye 1992). Similarly, the observed abundances of sedimentary rocks are
122 subject to preservation and sampling biases (Hay *et al.* 2006). Despite such uncertainties, it is
123 accepted that the $\sim 3\text{-}5 \times 10^8$ year supercontinent cycle of formation and break-up led to a
124 prolonged period of mountain uplift during the Late Precambrian and early Paleozoic (Squire
125 *et al.* 2006, Bradley 2011, Peters & Gaines 2013), followed by a time of cratonic stability and
126 low erosion rates during the late Paleozoic and Early Mesozoic (e.g. Spencer *et al.* 2014). This
127 long-term dynamic is independently evidenced by records of orogenies, collisions and
128 paleogeographies (e.g. Condie 2004), and has recently been elucidated further by the study
129 of zircon hafnium and oxygen isotope compositions. Zircon ϵHf and $\delta^{18}\text{O}$ values (Spencer *et*
130 *al.* 2013, Spencer *et al.* 2014) highlight the Ediacaran-Cambrian interval of low carbonate
131 $\delta^{13}\text{C}$ as a time of exceptional uplift (unroofing) of deep crustal roots and of tectonic sediment
132 reworking, respectively, providing independent and quantitative support for the
133 supercontinent cycle dynamic (Figure 1). The earlier Tonian interval of exceptionally high $\delta^{13}\text{C}$
134 (Des Marais 1994, Krissansen-Totton *et al.* 2015) is interpreted, by contrast, as a time of
135 relative orogenic quiescence (Cawood *et al.* 2013), which occurred after formation of
136 Rodinia, but before its total break-up.

137

138 Although the tectonic backdrop to the Cambrian radiation seems to be increasingly
139 substantiated, authors have emphasized different consequences of this rise in erosion rates.
140 Brasier and Lindsay (2001) considered that increased erosion led to eutrophication of the
141 shallow marine environment and the spread of nutrient enriched waters (NEW) during the

142 Cambrian transgressions. However, they were careful to highlight the taphonomic effects of
143 the spread of anoxic waters (see also Brasier 1992) rather than any evolutionary ones.
144 Although it is difficult to make direct connections between nutrient flux and
145 biodiversification, some authors make an indirect case via oxygenation. For example,
146 Campbell & Allen (2008) consider that the nutrients released during orogenesis led to both
147 higher productivity and increased organic burial due to higher rates of sediment deposition.
148 Other connections have been made between erosion and the Cambrian explosion, but these
149 relate only to aspects of the event, such as biomineralization, which has been linked to
150 increased weathering flux caused by the Ediacaran-Cambrian rise in sea-level (e.g. Peters &
151 Gaines, 2013). Increased oxygenation of the surface environment remains the most widely
152 invoked physical causation mechanism used to explain the Ediacaran-Cambrian radiations.

153
154 The carbon isotope record for the Precambrian-Cambrian interval has long remained a
155 puzzle. Brasier and Lindsay (2001) summed it up thus: *“increasing rates of sediment*
156 *accumulation through this time ... might be expected to have increased the global rates of*
157 *carbon burial... The long-term trend for carbon burial, however, is falling [$\delta^{13}\text{C}$] values through*
158 *the Neoproterozoic-Cambrian. This means that increases in carbon burial due to raised rates*
159 *of sediment accumulation must have been offset by raised rates of organic carbon oxidation”*.
160 The decreasing trend to which they are referring is obvious in Figure 1A, but any effect of
161 oxidative weathering on $\delta^{13}\text{C}$ would normally be offset by an equivalent increase in
162 carbonate weathering (Schrag *et al.* 2002). Several authors have noted that the Cambrian
163 Period, in particular, was a time of maximal carbonate sedimentation (e.g. Peters & Gaines,
164 2013), which would be consistent with elevated rates of uplift and erosion as carbonate rocks
165 are weathered quantitatively, unlike other rock types. Taking this into account, it seems more
166 likely that low $\delta^{13}\text{C}$ during the Ediacaran-Cambrian interval (Fig. 2) was related to high rates
167 of erosion because subsequent increased carbonate deposition would lead to lower
168 proportional organic carbon burial (low f_{org}). This is because organic burial, unlike carbonate
169 burial, is ultimately limited by outgassing rates (Bernier 1991). In other words, high erosion
170 rates can lead to greater nutrient flux and therefore to greater organic burial, but this will not
171 necessarily lead to higher $\delta^{13}\text{C}$ values.

172
173 The excess phosphorus hypothesis can be tested using nitrogen isotopes. The Ediacaran-
174 Cambrian transition interval exhibits decreasing $\delta^{15}\text{N}$ values (Ader *et al.* 2014), which are
175 consistent with domination by N-fixers and local nitrate starvation at eutrophic ocean
176 margins during the Cambrian radiation (Cremonese *et al.* 2014). Nutrient enriched marine
177 waters would have fuelled organic production, leading at times to the spread of mid-shelf
178 anoxia, anaerobic remineralization, bacterial sulphate reduction and early diagenetic
179 phosphogenesis near key redox transitions (Brasier 1992). In the absence of other negative
180 feedbacks, phosphogenesis may provide a key mechanism by which nutrient overload and
181 resultant oxygenation has been regulated in the Earth system, especially since the evolution
182 of animals (Boyle *et al.* 2014).

183

184

185 **Negative $\delta^{13}\text{C}$ excursions during the Tonian-Cambrian interval**

186 Carbonate carbon isotope excursions to values at or below the canonical mantle value of
187 about -5‰ or -6‰ are characteristic features of the interval from about 0.9 Ga to 0.5 Ga
188 (Halverson *et al.* 2010) (Figs. 2,3). Negative carbon isotope excursions of the Neoproterozoic

189 were first reported in a pioneering study (Knoll *et al.* 1986), and shown to both precede and
190 succeed Cryogenian glacial events many times subsequently (Kaufman *et al.* 1991, Kaufman
191 *et al.* 1997, McKirdy *et al.* 2001, Halverson *et al.* 2005, Rose *et al.* 2012, Macdonald *et al.*
192 2013a). Negative $\delta^{13}\text{C}$ anomalies of extreme magnitude occur in upper Tonian and upper
193 Cryogenian pre-glacial successions across the world, but are now known to recover to more
194 normal values before onset of worldwide glaciation (Fig. 3), e.g. the ‘Islay anomaly’ (Brasier &
195 Shields 2000, Rooney *et al.* 2014) and the pre-Marinoan ‘Trezona anomaly’ (Walter *et al.*
196 2000, Rose *et al.* 2012). Post-glacial $\delta^{13}\text{C}$ anomalies characterize transgressive strata
197 overlying both of the main Cryogenian glacial phases (Kennedy *et al.* 1998).

198 A mid-Ediacaran anomaly has been associated with the more regional ~580 Ma Gaskiers
199 glaciation, which was followed by the global ‘Shuram’ anomaly (Macdonald *et al.* 2013b). The
200 late Ediacaran ‘Shuram’ event was first reported from Oman (Burns & Matter 1993, Burns *et al.*
201 *et al.* 1994) and then Australia (Calver 2000), but has subsequently been recognized in
202 correlative strata all over the world (Lu *et al.* 2013). Many studies have demonstrated that
203 the Shuram excursion is stratigraphically correlative, both regionally and globally (Lu *et al.*
204 2013), while there is increasing agreement that it cannot be explained by diagenetic
205 alteration (Burns & Matter 1993, Le Guerroué 2010, Lu *et al.* 2013, Husson *et al.* 2015, Lee *et al.*
206 *et al.* 2015), notwithstanding several alternative, diagenetic explanations (Knauth & Kennedy
207 2009, Bristow & Kennedy 2008, Derry 2010, Oehlert & Swart 2014).

208 Negative excursions of the lower Cambrian were also identified early on (Magaritz *et al.*
209 1986), and initially assigned to a mass extinction event that took place before the final phase
210 of the Ediacaran-Cambrian radiation: the traditional ‘Cambrian explosion’ (Hsu *et al.* 1985,
211 Kimura & Watanabe 2001). Although the lower Cambrian anomalies are not obviously
212 associated with major climate change, regional glaciations have been reported from the
213 Ediacaran-Cambrian transition interval (Chumakov 2009). Despite the obvious temporal
214 overlap between extreme perturbations to the global carbon cycle, evidenced from both
215 climate change and carbon isotopes, linking these two phenomena within a parsimonious
216 synthesis has proven to be challenging.

217 The long duration of many of these excursions, especially the late Ediacaran Shuram
218 excursion, which seems likely to have lasted at least 10 million years (Macdonald *et al.*
219 2013b), means that the conventional isotope mass balance can be applied:

$$220 \quad \delta^{13}\text{C}_{\text{in}} = \delta^{13}\text{C}_{\text{org}} f_{\text{org}} + \delta^{13}\text{C}_{\text{carb}} (1 - f_{\text{org}}) \quad (1)$$

222 Standard calculations assume that the average isotopic composition of carbon input ($\delta^{13}\text{C}_{\text{in}}$)
223 from weathering and outgassing is constant and approximately equal to $\delta^{13}\text{C}_{\text{mantle}}$ or about -
224 6‰ ($\pm 1\%$). Rearranging equation (1) then allows the proportion of carbon buried as organic
225 matter (f_{org}) to be read directly from the carbonate C isotope record (Fig. 4):

$$226 \quad f_{\text{org}} = (\delta^{13}\text{C}_{\text{carb}} - \delta^{13}\text{C}_{\text{in}}) / (\delta^{13}\text{C}_{\text{carb}} - \delta^{13}\text{C}_{\text{org}}) \quad (2)$$

229 Knowledge of f_{org} , and the total input (\approx output) rate of carbon, F_{total} , then allows the rate of
230 organic carbon burial, and hence oxygen production to be estimated (Broecker 1970).

231

232 It is generally considered that extremely negative carbon isotope excursions below the
233 canonical mantle value of -5‰ or -6‰ “cannot be explained by conventional mass balance
234 scenarios” (e.g. Lee *et al.* 2013). However, the long time-scales involved in many of these
235 global and primary excursions necessitates agreement with global mass and isotope-mass
236 balance, in which case the only plausible explanation for them must invoke a decrease in
237 $\delta^{13}\text{C}_{\text{input}}$ to below the measured values in marine carbonate successions. This was concluded
238 already in earlier studies (Rothman *et al.* 2003, Melezhik *et al.* 2005), with the most
239 commonly cited reason for lower $\delta^{13}\text{C}_{\text{input}}$ being the repeated oxidation of a huge dissolved
240 organic carbon (DOC) reservoir during the Neoproterozoic (Rothman *et al.* 2003). No other
241 plausible mechanism has been proposed to explain how a low $\delta^{13}\text{C}$ ocean can be sustained
242 for millions of years.

243

244 *Assessing the ‘Rothman’ model of DOC oxidation*

245 The DOC reservoir explanation for negative excursions (Rothman *et al.*, 2003) is believed to
246 be problematic for two main reasons, as outlined by Grotzinger *et al.* (2011). Firstly, the
247 model predicts that the isotopic composition of sedimentary organic carbon would be
248 buffered by the isotopic composition of the DOC reservoir, and so remain unchanged during
249 negative excursions. It is not clear why a DOC pool would need to affect the isotopic
250 composition of all sedimentary (particulate) organic carbon, but in any case, recent studies
251 show that the lack of co-variation between $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{13}\text{C}_{\text{org}}$ values is more apparent than
252 real, and caused by admixture of exotic (non-primary) particulate organic carbon (POC) in
253 organic-poor samples (Johnston *et al.* 2012). Although the source of this extraneous POC was
254 presumed to be terrestrial, i.e. detrital, this remains to be demonstrated and it may instead
255 derive from microbial reworking of the DOC pool (Lee *et al.* 2015). The presence or absence
256 of co-variation is not a test of the DOC hypothesis, while cases of demonstrable co-variation
257 (Shields *et al.* 2002, Swanson-Hysell *et al.* 2010, Johnston *et al.* 2012) cement the case for a
258 primary origin for the negative excursions.

259

260 Secondly, it has been argued that oxidation of a vast organic carbon pool would lead to an
261 implausibly high oxidant demand, exceeding that provided by all the oxygen in the present
262 atmosphere many times over (Bristow & Kennedy 2008). This problem raises the thorny issue
263 of how such huge amounts of free oxygen could be kept separate from the reduced organic
264 carbon reservoir in the lower ocean for millions of years (Grotzinger *et al.* 2011). However,
265 this reading of the isotope record assumes that DOC oxidation was an additional oxygen sink
266 that kicked in only during isotope excursions. It would be more realistic to view the DOC pool
267 as an inherent part of the normal Proterozoic carbon cycle, helping to maintain mass balance
268 via dynamic changes to the net DOC oxidation flux. In other words, the long-lived negative
269 isotopic excursions of the Tonian to Cambrian interval could represent a system at steady
270 state, albeit an evolving steady state, with respect to carbon and oxygen. This interpretation
271 seems to be supported by the gradual onset, gradual ending and static baseline to, for
272 example, the DOUNCE (Shuram) $\delta^{13}\text{C}$ anomaly (Lu *et al.*, 2013). Because of the long time
273 scales involved, the plausibility of the DOC oxidation model relies more on the size of the
274 oxidant flux than on the required size of the oxidant reservoirs. To pick this apart further, let
275 us consider the carbon and oxidant fluxes in the modern carbon cycle:

276

277 Carbon enters the atmosphere/ocean system via four routes: oxidative weathering of fossil
278 carbon (F_{wg}), carbonate weathering (F_{wc}) and metamorphic degassing of sedimentary organic

279 carbon (F_{mg}) and carbonates (F_{mc}). Although this ignores volcanic outgassing of primary CO_2 ,
 280 the addition of this relatively poorly constrained flux would not change the overall analysis
 281 below. Carbon leaves the surface pool via burial of organic carbon (F_{bg}) and inorganic
 282 carbonates (F_{bc}), with the fraction leaving via the organic route denoted f_{org} . Taking average
 283 values from the literature (Berner 1991, Kasting 2013), modern carbon flux are: $F_{wg} =$
 284 7.75×10^{12} mol C/yr; $F_{wc} = 24 \times 10^{12}$ mol/yr; $F_{mg} = 1.25 \times 10^{12}$ mol C/yr; $F_{mc} = 8 \times 10^{12}$ mol C/yr; $F_{bg} =$
 285 9×10^{12} mol C/yr. The total flux of carbon into and out of the exogenic Earth system equals
 286 approximately 41×10^{12} mol C/yr, and equates to the total carbon throughput, comprising the
 287 combined carbonate weathering and outgassing flux (= silicate weathering + carbonate
 288 weathering + organic carbon burial flux) at steady state:

$$F_{total} = F_{wc} + F_{mc} + F_{wg} + F_{mg}$$

291
 292 It is generally argued that the carbon isotopic composition of F_{total} would equal the mantle
 293 composition at approximately -6‰. This is because the integrated $\delta^{13}C$ value of the
 294 sedimentary weathering flux (organic and carbonate carbon) should average the same as the
 295 isotopic composition of the burial flux, while both would approximately equal that of
 296 metamorphic / volcanic outgassing. Assuming the existence of an additional carbon source,
 297 i.e. DOC oxidation, then the total source (= sink) flux would now equal:

$$F_{total} = F_{wc} + F_{mc} + F_{wg} + F_{mg} + F_{DOC}$$

298
 299
 300 , whereby F_{DOC} equals the carbon flux from DOC oxidation. Clearly $\delta^{13}C_{input}$ would be changed
 301 if any net imbalance occurred between DOC oxidation and DOC formation, as any extra ^{12}C -
 302 depleted carbon would not be balanced by a compensating ^{12}C -enriched source. To quantify
 303 this effect, for $\delta^{13}C_{input}$ to decrease to -12‰, partial oxidation of a pool of DOC ($\delta^{13}C = -30‰$)
 304 would need to input an extra ~20% of the total carbon throughput, or net $f_{DOC} = 0.2$:

$$\delta^{13}C (F_{total}) = (1 - f_{DOC}) \cdot \delta^{13}C (F_{wc} + F_{mc} + F_{wg} + F_{mg}) + (f_{DOC}) \cdot \delta^{13}C (F_{DOC})$$

305
 306
 307
 308 Assuming modern carbon flux rates, this would be equivalent to $\sim 10 \times 10^{12}$ mol C/yr. Taking
 309 the conventional carbon isotopic mass balance (1), and this new -12‰ value for $\delta^{13}C_{input}$, the
 310 steady-state $\delta^{13}C$ value for the ocean/atmosphere system works out at -8‰ for a
 311 proportional organic burial rate (f_{org}) of ~ 0.13 (Fig. 4), i.e. about the same as that for the late
 312 Cambrian / early Ordovician (Krissansen-Totton *et al.* 2015; Fig. 1).

313
 314 The size of the DOC reservoir can be estimated from the duration and magnitude of the
 315 excursion, whereby for a duration of 10 million years, throughout which an ocean's $\delta^{13}C$
 316 value was -8‰, a size 10^7 times higher than the annual flux rate would be required, i.e. about
 317 1×10^{20} moles of carbon. For the sake of comparison, this is approximately 30 times larger
 318 than the modern ocean's inorganic carbon (DIC) reservoir. A similar magnitude (1.6×10^{21}
 319 grams, or 1.3×10^{20} moles of carbon) was estimated in a recent study (Ridgwell & Arndt 2015),
 320 which considered that the DOC pool needed to be $\sim 10x$ larger than the contemporaneous
 321 total inorganic carbon (DIC + atmospheric pCO_2) inventory (Bristow & Kennedy 2008). For
 322 such long time-scales ($> 10^5$ years), the size of the IC pool will determine the response time to
 323 the oxidation event, but not the magnitude or duration of the isotopic excursion, which is
 324

325 instead determined by the size of the relevant flux. Response times could have been similar
326 to today ($\sim 10^5$ years) and in any case less than an order of magnitude longer ($< \sim 10^6$ years)
327 during the Ediacaran-Cambrian transition interval because although the Ediacaran IC
328 inventory was likely 4-5 times larger than at present (Ridgwell & Arndt 2015), the high rates
329 of isotopic change (Maloof *et al.* 2010) and high rates of erosion (see above) indicate that flux
330 rates were also relatively high.

331
332 Oxidation of the DOC pool at steady state requires that the annual supply of oxidant matched
333 the total demand from terrestrial weathering and outgassing plus the increased net F_{DOC} flux.
334 The oxidative weathering flux and the theoretical F_{DOC} flux above are of a similar order of
335 magnitude, and so an approximate doubling of oxidant generation would need to be
336 achieved through excess organic carbon burial, pyrite burial and iron reduction, equivalent to
337 about 10×10^{12} mol O_2/yr for the duration of the negative excursion. By comparison, the
338 present atmosphere contains about 36.6×10^{18} mol O_2 , while the oceans contain an
339 additional, substantial amount of oxidizing power in the form of sulphate ions. The necessary
340 fluxes are six orders of magnitude smaller than the reservoir size, and of a similar magnitude
341 to the modern organic burial rate at steady state, and so are not an insurmountable
342 challenge to the world's oxidant budget. Far from depleting Earth's surface oxidant budget,
343 an evolving steady state scenario does not need to imply any change in atmospheric $p\text{O}_2$ at
344 all, although O_2 and CO_2 fluxes, and presumably $p\text{CO}_2$ would all see an increase from their
345 previous levels during the isotope excursion.

346

347 *Mechanisms of DOC oxidation imbalance*

348 The prime contender for oxidation of the sub-pycnocline ocean would be organic carbon
349 burial and/or pyrite burial, driven by increased rates of nutrient supply. In earlier papers, this
350 was considered unlikely because organic carbon burial would tend to increase $\delta^{13}\text{C}$, and so
351 counteract the effect of the DOC oxidation (Bristow & Kennedy 2008, Peltier *et al.* 2007,
352 Ridgwell & Arndt 2015). However, as argued above, higher erosion rates are equally
353 (Kaufman *et al.* 1993), or arguably more (*ibid*) consistent with a decrease in the proportional
354 rate of organic carbon relative to carbonate burial (f_{org}), which is the major factor controlling
355 mean $\delta^{13}\text{C}$ at equilibrium. Because increased erosion would expose more sedimentary
356 organic matter and pyrite to oxidation, for DOC oxidation to proceed, an increased oxidant
357 supply via organic production must have overwhelmed terrestrial oxygen sinks during
358 negative excursions. During positive excursions, the opposite would have been the case, i.e.
359 the terrestrial oxidant sink would have outweighed the marine oxidant source, leading to
360 growth in the marine DOC reservoir. DOC oxidation is therefore consistent with the notion
361 that nutrient flux from weathering was boosted at times during the Tonian to Cambrian
362 interval (Kennedy *et al.* 2006) and/or that oxygen sinks were lowered (Kump 2014), following
363 the evolution of soil biota. According to this latter scenario, the introduction of a significant
364 upper soil layer would have decreased the delivery of oxygen to the weathering
365 environment, resulting in a less efficient sink for oxygen on the continents.

366

367 In recent years, it has become increasingly popular to invoke the rise of animals as the cause,
368 at least in part, of ocean oxygenation (Lenton *et al.* 2014) either through their actions to
369 parcel organic matter into larger, denser packages such as fecal matter that sinks faster
370 (Logan *et al.* 1995), or through the filter feeding habits of early sponges (Sperling *et al.* 2007).
371 Butterfield (Butterfield 2009) considered the co-evolutionary impact of animal grazing on

372 increasing the optimal size of phytoplankton, concluding that a perpetually turbid
373 Precambrian ocean gave way to a clearer, and better oxygenated Phanerozoic one as a
374 result. Once the dominance of cyanobacterial picoplankton in the Proterozoic oceans gave
375 way to larger eukaryotic forms, an irreversible shift in the biological pump towards greater
376 sinking rates seems plausible. Although all of these ideas have considerable merit, biologically
377 induced DOC oxidation (as with climatically or oceanographically induced DOC oxidation) is
378 fundamentally self-limiting in the absence of excess oxygen production.

379
380 In the case of greater sinking rates, presumably due to a combination of larger cell sizes,
381 greater tendency to aggregate, and increased ballasting from tests and scales, oxygen
382 demand would spread out over a greater depth of the water column, weakening peak oxygen
383 demand and shifting the zone of maximum oxygen demand from shallower to deeper realms
384 (Lenton *et al.* 2014), and also deeper into the sedimentary column beneath oxygenated
385 waters (McIlroy & Logan 1999, Brasier & Callow 2007). A decrease in global oxygen demand
386 would reduce the volume, in which sulphate reduction could be supported, thus causing a
387 shift towards more ferruginous or even oxic conditions in the sediment and water columns.
388 The existence of a vast pool of DOC complicates this scenario because DOC acts as a redox
389 buffer in the ocean, counteracting ocean oxygenation (Fig. 5). Sponges (and cnidaria and
390 ctenophores) are undoubtedly capable of clearing the water column of organic material,
391 especially picoplankton and DOC, thus transferring oxygen demand to the sediment
392 interface. However, DOC oxidation caused solely by the onset of filter feeding or planktonic
393 grazing would upset the world's oxidant balance, rapidly draining the atmosphere of oxygen,
394 long before it could be expressed in the carbon isotope record (Bristow & Kennedy 2008,
395 Lenton *et al.* 2014). Biologically-triggered oxidation of DOC, e.g. by sponges (Sperling *et al.*
396 2007), would therefore be implausibly self-limiting.

397
398 Instead of viewing times of net DOC oxidation as times of additional oxidant sink, we can
399 alternatively view it as a dynamic response to excess oxidant. A more parsimonious account
400 of the Ediacaran-Cambrian isotope record views net DOC oxidation as the predictable
401 response to a net oxidant imbalance during times of higher organic production and/or
402 lowered oxidant demand, due to external factors. Oxygenation, thus caused, would have
403 permitted waves of expansion of the benthic animal kingdom throughout the oceans, in a
404 form of co-evolutionary piggyback between life and its environment, through which the Earth
405 system moved in oscillatory fashion towards its new steady state.

406
407

408 **Causes and consequences of the Proterozoic marine organic carbon capacitor**

409 One of the only studies to investigate the source of the organic matter deposited during the
410 Shuram excursion concluded that there were two distinct pools (Lee *et al.*, 2015). One pool
411 derived from autotrophs fixing ^{13}C -depleted DIC, thus confirming again the primary character
412 of the excursion. The other pool was shown to derive from a less ^{13}C -depleted heterotrophic
413 microbial biomass feeding on a marine organic pool. Their interpretation was that this second
414 pool was generated from petroleum expelled from sedimentary rocks beneath the seafloor,
415 although it was found to be compositionally identical to the UCM (unresolved complex
416 mixture) found commonly in other Proterozoic sedimentary rocks (Pawlowska *et al.* 2013).
417 According to the petroleum seepage model, negative excursions are predicted to coincide
418 with expulsion events. This explanation for negative $\delta^{13}\text{C}$ excursions suffers from the same

419 problem as other disequilibrium hypotheses outlined above, in that petroleum oxidation
420 would ultimately be self-limiting, and therefore unsustainable in the absence of a
421 coincidental oxidant imbalance. It could be that petroleum was indeed a major contributor to
422 the dissolved organic carbon reservoir and became oxidized during times of oxidant
423 imbalance, but it is as yet unclear whether all Proterozoic kerogen bearing the characteristic
424 UCM signature must derive from petroleum. Alternatively, it could yet prove to be a
425 diagnostic signature for any long-lived, microbially recycled organic carbon component in the
426 ocean, for which we may not have appropriate modern analogues.

427

428 Another likely source of the organic carbon pool would be the remains of phytoplankton,
429 and/or the remains of bacteria that processed this phytoplankton. From theoretical
430 considerations, organic carbon seems to have had a much longer residence time in the
431 Proterozoic ocean than today (Butterfield 2009) with the inevitable consequence that
432 recalcitrant DOC was more abundant (Jiao *et al.* 2010, Hansell 2013). One intriguing recent
433 suggestion, based on comparative molecular phylogeny, is that ocean-going nitrogen fixers
434 evolved only in the Tonian Period (Sanchez-Baracaldo *et al.* 2014). If confirmed, this shift in
435 organic production from the shallow marine environment to the global surface ocean,
436 potentially facilitated by an increased availability of nitrogenase co-factors, such as Mo, might
437 explain why negative excursions only feature after about 0.9 Ga. The spread of N-fixers would
438 also have facilitated the spread of eukaryotic phytoplankton that are dependent on bacterial
439 sources for fixed nitrogen. Although speculative, the onset of negative excursions could mark
440 an increase in pelagic organic production. Despite my attraction to this idea, I think it more
441 likely, that some pelagic organic production and small negative excursions (net increases in
442 DOC oxidation) occurred periodically throughout the Proterozoic, but that these were
443 damped by a far more substantial DIC reservoir in the ocean. A vastly greater DIC pool prior
444 to the Cryogenian glaciations is consistent with the geological record (Arp *et al.* 2001) and
445 could explain why extreme cooling events did not take place during the preceding ~1.5 billion
446 years or more. In this case, the appearance of larger $\delta^{13}\text{C}$ excursions, both positive and
447 negative, could signify a diminished ratio between DIC and DOC in the Neoproterozoic Era.

448

449 The persistence of a large DOC pool in the lower oceans would have acted as a carbon
450 capacitor, buffering against the effects of any imbalances in the net carbon cycle via a
451 negative feedback (Peltier *et al.* 2007), whereby increases in organic production release
452 oxidizing power that remineralizes DOC, releasing CO_2 , thus rebalancing both carbon and
453 oxygen budgets (Fig. 5). As long as DOC oxidation matched the oxidant imbalance,
454 oxygenation and climate change, via the carbon cycle, would have been strongly regulated
455 (Liu & Peltier 2011). However, once depleted, any continuing imbalance would result in a rise
456 in atmospheric oxygen and ocean sulphate, and concomitant decrease in CO_2 . In the absence
457 of any strong negative feedback, the Earth's climate would have been rendered vulnerable to
458 any subsequent perturbation to the net carbon cycle. A key prediction of this scenario is that
459 negative excursions ought to precede evidence for cooling, but importantly should recover to
460 more positive values before the onset of glaciation, consistent with the complete removal of
461 the DOC climate buffer, but continuation of significant organic production.

462

463

464

Towards a synthetic model for Tonian to Cambrian Earth system changes

465 A test of the above model is provided by the onset of the Cryogenian glaciations, which
466 began after about 717 Ma (Macdonald *et al.* 2010, Lan *et al.* 2014). Recent radiometric age
467 constraints suggest that the pre-glacial 'Islay' negative anomaly (Brasier & Shields 2000)
468 preceded the onset of this glaciation by as much as 15 million years (Rooney *et al.* 2014), and
469 was accompanied by falling seawater $^{87}\text{Sr}/^{86}\text{Sr}$ (Sawaki *et al.* 2010, Rooney *et al.* 2014). The
470 fall in $^{87}\text{Sr}/^{86}\text{Sr}$ coincides approximately with the eruption and exposure to weathering of less
471 radiogenic volcanic rocks associated with the rifting of Rodinia (Macdonald *et al.* 2010,
472 Gernon *et al.* 2016) The enhanced weatherability of these volcanic rocks (Cox *et al.* 2016),
473 made more potent by the postulated removal of the marine organic capacitor, could have led
474 to runaway glaciation (Donnadieu *et al.* 2004). High nutrient levels around this time (Horton
475 2015, Cox *et al.* 2016) are also supported by Fe-speciation data from the Chuar Group (USA)
476 that demonstrate euxinic conditions prior to the onset of glaciation (Nagy *et al.* 2009,
477 Johnston *et al.* 2010). Euxinia was unusual during the otherwise 'ferruginous' Neoproterozoic
478 times (Guilbaud *et al.* 2015), and suggests enhanced chemical weathering rates and a short-
479 lived build-up of oxidant sulphate in the pre-glacial ocean. The switch to euxinic conditions
480 coincides with a biotic turnover, whereby diverse acritarch assemblages (e.g. *Cerebrosphaera*
481 *buickii*) were replaced by low diversity assemblages, comprising the simple smooth-walled
482 acritarchs (leiosphaerids) that were destined to become the typical phytoplankton of the
483 Cryogenian Period (Grey *et al.* 2011, Riedman *et al.* 2014), and vase-shaped microfossils
484 (VSMs), widely interpreted to be the fossilized remains of testate amoebae (Strauss *et al.*
485 2014). Future studies will undoubtedly strengthen the global stratigraphic framework that
486 underpins our understanding of the relative timing of these pre-glacial events, but at present
487 they appear to be consistent with nutrient-driven DOC oxidation, leading to glaciation.

488
489 A similar exhaustion of the DOC pool is envisaged for the onset of the ~650 Ma, end-
490 Cryogenian 'Marinoan' glaciation (Swanson-Hysell *et al.* 2010), which is preceded by the
491 extraordinary 'Trezona' $\delta^{13}\text{C}$ anomaly (McKirdy *et al.* 2001). Importantly, the non-glacial
492 Sturtian (*sensu stricto*) successions of South Australia, representing the Late Cryogenian
493 Warm interval (Shields-Zhou *et al.* 2016, Fairchild *et al.* 2016), are very thick compared with
494 carbonate successions elsewhere in the world, and so have the greatest likelihood of
495 recording immediately pre-glacial $\delta^{13}\text{C}$ trends. A recent study confirms that the 'recovery' to
496 ~0‰ coincides precisely with the onset of glaciation at tropical latitudes (Rose *et al.* 2012).
497 Onset of glaciation following recovery from a major and prolonged negative excursion is a
498 key prediction of the synthetic model proposed here, and implies that the extremely high
499 $\delta^{13}\text{C}$ values of the non-glacial interval of the Cryogenian Period, from ~665 Ma - ~650 Ma,
500 were due in part to the build-up of a DOC pool in the lower oceans.

501
502 Several authors consider that the ~580 Ma Gaskiers glaciation coincided with a relatively
503 small, negative C-isotope excursion in the Yangtze Gorges area of South China (Macdonald *et al.*
504 *et al.* 2013b). Because cold waters contain more oxygen, this negative anomaly could reflect the
505 negative feedback between cooling and DOC oxidation (Fig. 5) that has been suggested
506 would prevent a runaway ice age (Peltier *et al.* 2007), but only as long as the DOC reservoir
507 was not exhausted. The end-Ediacaran Shuram anomaly, however, is much larger.
508 Considering the duration of the anomaly, and the magnitude of any associated DOC
509 oxidation, it seems unlikely that glaciation coincided with the anomaly. However, its
510 existence perhaps lends weight to published arguments for an Ediacaran-Cambrian boundary
511 glaciation (Chumakov 2009). In any case, the Shuram anomaly is consistent with pervasive

512 oxygenation of the world's oceans by 550 Ma, something that is supported by numerous
513 lines of other geochemical evidence (Shields-Zhou & Och 2011).

514
515 After the Shuram anomaly, which ended by 550 Ma (Condon *et al.* 2005), negative excursions
516 became lesser in magnitude, suggesting that the DOC pool attained much smaller
517 proportions during ever shorter pulses of growth during the early Cambrian (Fig. 3). The
518 exhaustion of the DOC reservoir would have had the effect not only of securing the
519 oxygenation of the deeper seafloor on a global scale, but also of allowing oxygen in the
520 atmosphere to build up for a time during continued oxidant imbalance. This may help to
521 explain the evolutionary expansion of energy-sapping metabolic processes and biological
522 traits during the ensuing Ediacaran-Cambrian transition, including mobility (Liu *et al.* 2010,
523 Chen *et al.* 2013), carnivory (Sperling *et al.* 2013) and biomineralization (Penny *et al.* 2014).
524 With the buffering effects of the global DOC capacitor dwindling, oxygen may have eventually
525 stabilized at higher baseline levels via less efficient terrestrial oxygen sinks (Kump 2014),
526 and/or the more efficient nutrient (P) removal mechanisms under oxic conditions (Shields-
527 Zhou & Zhu 2013, Boyle *et al.* 2014, Dale *et al.* 2016), especially following the introduction of
528 pervasive bioturbation (Buatois *et al.* 2014), which vastly increased the volume of reduced
529 sedimentary materials exposed to ocean oxidants (Aller 1994, Teal *et al.* 2008). It is
530 conceivable, therefore, that the actions of animals, such as bioturbation, also helped the
531 climate system to achieve greater resilience against any subsequent net carbon cycle
532 perturbations, in the absence of the stabilizing effects of the vast DOC pool (Shields-Zhou &
533 Zhu 2013, Boyle *et al.* 2014).

534

535

536 **Concluding remarks**

537 The Proterozoic Earth system was a highly non-uniformitarian world, whereby the Tonian to
538 Cambrian interval of exceptional upheaval can be viewed as a series of oscillations towards
539 greater oxygenation of the marine environment. The existence of a vast DOC reservoir, which
540 waxed and waned in response to climatic and tectonic events, helped to buffer both climate
541 and oxygen levels on Earth for over a billion years. Times of excessive nutrient availability led
542 to net oxidation and at least three times between 720 and 520 Ma to near complete
543 exhaustion of this DOC capacitor. This rendered the Earth's climate balance vulnerable to
544 continued carbon cycle perturbations, caused, for example, by enhanced weathering of
545 freshly exposed volcanic provinces. Removal of the DOC pool led to a series of glaciations,
546 beginning after about 717 Ma, and potentially ending close to the Ediacaran-Cambrian
547 boundary. Oxygen levels also experienced volatility following depletion of the DOC reservoir,
548 facilitating opportunistic radiations of animal life during the Ediacaran-Cambrian transition.
549 Higher oxygen levels were likely stabilized by the further evolution of animals and their
550 behavioural traits, including the introduction of bioturbation, which strengthened redox-
551 related nutrient feedbacks. This synthesis makes a number of predictions that can be tested
552 against our improving understanding of the geological record. It does not seek to explain
553 biodiversification as such because that is a fundamentally biological process. However, it
554 does aim to provide a backdrop against which the evolutionary expansion of animals and
555 other biological innovations during the Tonian to Cambrian interval can be viewed.

556

557

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566

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947 Figure captions

948

949 **Fig. 1.** Secular trends in key isotopic parameters in zircons and marine carbonates. Part C:
950 Magmatic zircon abundances and $\delta^{18}\text{O}$ values reveal when five supercontinents formed
951 through orogenic collision (vertical grey bars), leading to greatly increased reworking of
952 sediment during magmatism (Spencer et al. 2014). Part B: The zircon Hf (Cawood et al., 2013)
953 and seawater Sr isotope (Shields, 2007) records anti-correlate, confirming that the Ediacaran-
954 Cambrian interval was a time of exceptional erosional unroofing of crustal roots. Part A: The
955 $\delta^{13}\text{C}$ (f_{org}) minimum at ~ 500 Ma (Krissansen-Totton et al. 2015), shown as a dashed green
956 line, occurred during the peak in Gondwanan orogenesis. $\delta^{13}\text{C}$ (f_{org}) maxima, shown as
957 dashed red lines, coincide with the existence of Pangea and Rodinia, respectively, before
958 onset of break-up. The time-averaged $\delta^{13}\text{C}$ record for the Ediacaran-Present (Fig. 2) is shown
959 superimposed on the smoothed curve of Krissansen-Totton et al. (2015: Fig. 3c).

960

961 **Fig. 2.** Phanerozoic records of marine carbonate $\delta^{13}\text{C}$ (Saltzman & Thomas 2012), seawater $^{87}\text{Sr}/^{86}\text{Sr}$
962 (McArthur et al. 2012) and mass of sedimentary material (Hay et al. 2006) (Hay et al.
963 2006). The $\delta^{13}\text{C}$ record is shown as averages of 1 Myr bins, as well as a 20 point moving
964 average. The Ediacaran-Cambrian interval of high erosion (Fig. 1) is marked by a peak in
965 sedimentary deposition, generally low $\delta^{13}\text{C}$, and a succession of high amplitude $\delta^{13}\text{C}$
966 excursions that become less extreme after the early Cambrian.

967

968 **Fig. 3.** Cross calibration of the carbonate carbon isotope, fossil and climate records for the
969 Tonian to Cambrian interval (Narbonne et al. 2012, Shields-Zhou et al. 2016). Dark pink bars
970 mark four times of $\delta^{13}\text{C}$ recovery that directly follow postulated exhaustion of the ocean DOC
971 pool. Three paler blue bars mark glaciations, whereby the relative timing of the Gaskiers
972 glaciation is less certain.

973

974 **Fig. 4.** Long-term carbon isotope mass balance shown as linear relationship between $\delta^{13}\text{C}_{\text{carb}}$
975 (carbon isotopic composition of ocean-atmosphere system) and f_{org} (proportion that organic
976 carbon burial makes of total carbon throughput). A change in the steady state from $\delta^{13}\text{C} =$
977 $+4\text{‰}$ to -8‰ could be related to a change in $\delta^{13}\text{C}_{\text{input}}$ from -6‰ to -12‰ and a change in f_{org}
978 from 0.33 to 0.13 (red arrows show evolution between the two steady states). This equates
979 to sustained injection of remineralised organic carbon ($\delta^{13}\text{C} = -30\text{‰}$), amounting to $\sim 20\%$ of
980 the total throughput (see main text).

981

982 **Fig. 5.** System analysis diagram (Lenton & Watson 2000) showing reservoirs in circles, and flux
983 in square boxes. The arrows indicate a functional dependence of one component on another
984 and its directionality, whereby dashed lines indicate an inverse relationship. Loops with odd
985 numbers of dashed lines represent negative feedbacks. For example, the cooling effect
986 caused by increased chemical weathering (red and green loops) is mitigated here by the
987 positive feedback loop (blue loop) via DOC oxidation (net DOC flux) that restores atmospheric
988 CO_2 levels. Likewise, oxygen levels are kept low but stable via the related negative feedback
989 (blue loop), which allows the DOC reservoir to wax and wane in response to flux imbalances.







