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Evaluation of the Range Accuracy and the Radiometric Calibration of Multiple Terrestrial Laser Scanning Instruments for Data Interoperability

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Abstract—Terrestrial laser scanning (TLS) data provide 3-D measurements of vegetation structure and have the potential to support the calibration and validation of satellite and airborne sensors. The increasing range of different commercial and scientific TLS instruments holds challenges for data and instrument interoperability. Using data from various TLS sources will be critical to upscale study areas or compare data. In this paper, we provide a general framework to compare the interoperability of TLS instruments. We compare three TLS instruments that are the same and three that are not, the RIEGL VZ-400. We compare the range accuracy and evaluate the manufacturer’s radiometric calibration for the uncalibrated return intensities. Our results show that the range accuracy between instruments is comparable and within the manufacturer’s specifications. This means that the spatial XYZ data of different instruments can be combined into a single data set. Our findings demonstrate that radiometric calibration is instrument specific and needs to be carried out for each instrument individually before including reflectance information in TLS analysis. We show that the residuals between the calibrated reflectance panels and the apparent reflectance measured by the instrument are greatest for highest reflectance panels (residuals ranging from 0.058 to 0.312).

Index Terms—Data interoperability, radiometric calibration, RIEGL VZ-400, terrestrial light detection and ranging (LiDAR).

I. INTRODUCTION

FORESTS account for approximately 31% of the land surface on the earth, covering a total area of approximately 4 billion hectares [1]. These forest ecosystems play vital productive, recreational, climatological, and ecological roles. Precise and accurate data about forest structure and function are needed to keep these roles balanced [2]. Decisions made by policy makers or natural resource managers require input data that are not linked with the spatial scales covered by conventional forest inventory methods. Remote sensing, in particular from satellite and airborne sensors, can obtain data over large or inaccessible forested areas. Such data are seen as one of the key ways to provide quantitative information on forests, particularly in developing countries [3]. A critical issue in using remote sensing data of any sort is interoperability, i.e., being able to combine observations from multiple sensors in a consistent way. This requires accurate characterization of the instrument’s radiometric and geometric performance, ideally via cross calibration.

Light detection and ranging (LiDAR) is an active remote sensing method to assess forest structure directly by transmitting laser energy and analyzing the reflected energy as a function of time. Airborne LiDAR has been used in forest inventories since the 1980s [4], [5]. Terrestrial LiDAR has been used for finer scale forest measurements since the late 1990s, but uptake for operational forest monitoring has been slower [6]. Terrestrial LiDAR, also called terrestrial laser scanning (TLS), is a ground-based method that can measure the 3-D distribution of plant constituents to centimeter or even millimeter precision and accuracy at plot-level scales. There are two general modeling approaches for extracting forest attributes from TLS data: gap probability-based methods and geometric modeling methods [6]. Several studies in the last decade have demonstrated the use of TLS as a rapid and robust measurement tool for forest monitoring [7]–[13].
TABLE I
OVERVIEW OF THE RIEGL VZ-400 LASER SCANNERS USED IN THE EXPERIMENTS (RECORDED BEFORE RADIOMETRIC CALIBRATION)

<table>
<thead>
<tr>
<th>Owner</th>
<th>Year manufactured</th>
<th>Time power on</th>
<th>Time laser on</th>
</tr>
</thead>
<tbody>
<tr>
<td>RSC¹</td>
<td>2012</td>
<td>2816 hrs</td>
<td>176 hrs</td>
</tr>
<tr>
<td>UCL²</td>
<td>2014</td>
<td>179 hrs</td>
<td>33 hrs</td>
</tr>
<tr>
<td>WUR³</td>
<td>2010</td>
<td>1564 hrs</td>
<td>186 hrs</td>
</tr>
</tbody>
</table>

¹ Queensland Government & University of Queensland, Australia
² University College London, United Kingdom
³ Wageningen University, The Netherlands

The availability of TLS instruments is expected to increase over the next years, offering new opportunities such as revisiting plots more frequently, acquiring more plots for the same investment, scanning larger areas [14], or providing more accurate comparisons of similar forest types in different biomes. However, the already increasing variety of instruments with different designs and capabilities means that data and instrument interoperability is a challenge that will need to be overcome to make the best use of TLS data from various sources.

In this paper, we intercompare the radiometric calibration of three TLS instruments. The instruments we compare here are the same make and model, the RIEGL VZ-400; however, the intercomparison approach we present is more generally applicable. The previous study [15] comparing the performance of different commercial instruments for forest monitoring suggested that at the time of writing, the RIEGL VZ-series offers the highest quality data for monitoring vegetation structure due to its multiple return output. We compare the range accuracy of the individual scanners and evaluate the manufacturer’s radiometric calibration of the uncalibrated return intensities [16]. This work gives some insight into the potential for large-scale TLS mapping (>5-10 ha) using multiple instruments and their data interoperability. The calibrated intensity values allow us to relate these uncalibrated intensities to quantitative properties, namely, the reflectance of the target materials.

II. MATERIAL AND METHODS

A. TLS Instruments

In this paper, we compare three RIEGL VZ-400 terrestrial laser scanners (RIEGL Laser Measurement Systems GmbH, Horn, Austria). The individual scanner information prior to the comparison experiment is summarized in Table I, illustrating their different manufacturing dates and time in use.

The VZ-400 is a time-of-flight waveform recording scanner and has a range (R) up to 350 m. The arrival time of the return echo (ti) is used to calculate the range of individual targets [17]

\[ R_i = \frac{v_g}{2} \times (t_i - t_{ref}) \]  

(1)

where \( v_g \) is the group velocity speed of light (about \( 3 \times 10^8 \) m/s) and \( t_{ref} \) is the timestamp of laser pulse emission. \( v_g \) is defined as \( \cos n_g \), where \( c_0 \) is the speed of light in vacuum and the factor \( n_g \) addresses the group velocity index of refraction, which is influenced by air temperature, air pressure, and humidity [18]. All RIEGL instruments are calibrated at well-defined atmospheric conditions at the manufacturer’s facilities, and a detailed description of atmospheric parameter effects on \( v_g \) is given in [18]. The evaluation of atmospheric effects on \( v_g \) is outside the scope of this paper, but the influence is expected to be minimal at the relatively short range values recorded in forests [19].

The line scanning mechanism uses a rotating multifacet polygonal mirror, which leads to fully linear, unidirectional, and parallel scan lines. A collimated beam is used [20] and the beam divergence is nominally 0.35 mrad. The scanner operates at a wavelength of 1550 nm (near infrared) [21]. The RIEGL VZ-400 scanner measures up to four returns per emitted pulse, with returns being derived from onboard waveform processing. The advantage of having multiple returns in vegetation studies has been discussed in [11] and [22]. These studies revealed a weaker response at greater canopy height from single return instruments, concluding that multiple returns will lead to an improved vertical sampling of vegetation. The onboard waveform processing of the RIEGL VZ-400 instrument allows for echo digitization: the system samples signals every 2 ns and converts them into a digital representation before target detection. This is an advantage compared with analog discrete return systems, which have to accomplish target detection in real time using analog electronics. The latter can be problematic when return energy from different targets overlaps and ranging errors occur for second and higher order returns [20]. The RIEGL VZ-400 only records samples (i.e., sampling blocks) of the returned waveform and [20] refers to the VZ-400 data as “tightly coupled echo signal samples.”

RIEGL VZ-400 instruments provide range, scan angles, calibrated amplitude, apparent reflectance, and pulse deviation for each triggered return. The calibrated amplitude is proportional to a fixed device-specific echo signal power level (e.g., the detection limit of the device). The calibrated amplitude for each measurement is \( A_{DB} \)

\[ A_{DB} = 10 \log \left( \frac{P_{echo}}{P_{DL}} \right) \]  

(2)

Here, \( P_{echo} \) is the optical input power for a specific measurement and \( P_{DL} \) is the minimum detectable input power [16]. RIEGL performs amplitude calibration by varying the \( P_{echo} \) over its dynamic range using different calibration targets and storing the device’s internal uncalibrated amplitude. \( A_{DB} \) is range dependent, and therefore, the apparent reflectance \( \rho_{app} \) is a more useful measure when combining scan data from different instruments or scan locations. \( \rho_{app} \) is defined as the reflectance of a diffuse Lambertian target filling the laser beam that would return the same amount of intensity as the actual target at the same distance [8]. RIEGL derives \( \rho_{app, DB} \) values from subtracting the reference-range-dependent \( A_{DB, ref} (R) \) from the target’s actual \( A_{DB} \). The reference is the received reflectance from a nearly 100% reflective extended Lambertian target orthogonal to the direction of the laser beam. The peak \( A_{DB} \) of the VZ-400 is observed around 7 m, and the laser range \( 1/R^2 \) law is applicable to \( A_{DB} \) from distances further than 20 m [16].
Fig. 1. Density plot illustrating a typical dynamic range of deviation and $\rho_{\text{app}}$ values recorded in a deciduous woodland, Wytham Woods, U.K. [14]. Data were captured with the UCL RIEGL VZ-400 in 2015 from the same scan location in (a) summer (leaf-on) and (b) winter (leaf-off).

Gaussian decomposition, the reconstruction of a waveform pulse using one or more Gaussian pulses is common practice in LiDAR analysis [23]. The problem with this method is that the Gaussian model generally does not fit the entire dynamic range of the system equally well and this can lead to a ranging error of several millimetres, especially for high-amplitude signals. Therefore, the RIEGL VZ-400 onboard waveform processing uses stored device-specific pulse shapes that cover the entire dynamic behavior of the system and a 2-D optimization algorithm [16]. The comparison of the recorded waveform with the stored reference shapes is quantified by the optimization algorithm. Fig. 1 gives an example of a typical deviation and $\rho_{\text{app}}$ dynamic range of a deciduous woodland in the leaf-on (summer) and leaf-off (winter) conditions.

B. Range Accuracy

RIEGL defines accuracy as the degree of conformity of a measured quantity to its actual (true) value [21]. Range accuracy is derived from the calibration certificates provided by RIEGL for a number of different ranges and targets. These certificates show nominal and measured range values to fixed targets. The nominal values are measured at certain time intervals using a total station. The nominal values on the certificates are slightly different between different instruments. This is because the calibration measurements are done in a fixed setup that allows multiple scanners to be mounted at once. Therefore, depending on which position the scanner was mounted, the nominal values on the calibration certificate can differ slightly. The nominal values of the targets in the fixed setup are measured at certain time intervals with a total station (RIEGL, personal communication).

C. Experimental Setup for Evaluating the Radiometric Calibration

Radiometric calibration is described as the process of deriving physically well-defined radiometric quantities from an instrument’s raw measurements [24]. A radiometric calibration for terrestrial laser scanners is typically based on a set of measurements using multiple calibrated reflectance panels at different distances [25], [26], and Hartzell et al. [27] used this approach to generate an empirical radiometric VZ-400 calibration model.

We used five different SphereOptics (SphereOptics GmbH, Germany) reflectance panels of dimensions $20 \, \text{cm} \times 20 \, \text{cm}$ with the nominal reflectance values 0.05, 0.2, 0.5, 0.9, and 0.95. The calibration report of each panel (dated March 10, 2015) shows that the total diffuse hemispherical reflectances of these panels are 0.047, 0.242, 0.418, 0.902, and 0.947 at wavelength 1550 nm, calibrated traceable to a Physikalisch-Technische Bundesanstalt reference standard with a total calibration uncertainty of ±0.35% (95% confidence).

The same reflectance panels were scanned in May 2015 (UCL, WUR, London, U.K.) and August 2015 (RSC, Brisbane, Australia). All experiments were conducted indoors and all panels were scanned with a 0.04$^\circ$ angular resolution. The scanners and panels were mounted on a tripod and leveled using a spirit level. Each panel was scanned from six nominal distances: 1 m, 2 m, 5 m, 10 m, 20 m, and 30 m (RSC) or 37.5 m (UCL, WUR). The nominal distances were measured using a measurement tape, but the VZ-400 range recordings are used in the further analysis. The RIEGL VZ-400 supported minimum range is 1.5 m [21], but it is possible to reduce this to 0.5 m. The maximum range of this experiment is sufficient for application in a range of forest types as the bulk of the LiDAR returns in these ecosystems are generally close to the scanner. The previous work [11] demonstrated that for five different forest types in Australia (ranging from woodland to rainforest), the 90th percentile of the return frequency distribution ranges between 9 and 46 m.

To compare the instrument’s apparent reflectance measurements with the calibrated panel reflectance values, we converted the $\rho_{\text{app,db}}$ readings from the scanner into a bidirectional reflectance factor $\rho_{\text{app}}$ using

$$\rho_{\text{app}} = 10^{\rho_{\text{app,db}}/10}.$$ 

III. RESULTS AND DISCUSSION

The number of returns per panel varied from more than 69 000 at a 1-m distance (Fig. 2) to only 21 to 33 returns for the panels at 37.5 m. The angular range was largest for the panel at 1 m (approximately $10^\circ$–$11^\circ$, which equates to a maximum angle of incidence of approximately $5^\circ$–$5.5^\circ$) and reduced with increasing panel–instrument distance (e.g., less than $0.5^\circ$ at 20 m). The attributes of returned laser pulses are determined by the distance, beam divergence, and angle of incidence. A nonperpendicular angle of incidence leads to the broadening of the return pulse. Pfennigbauer et al. [28] demonstrated the weak effect of pulse broadening for the RIEGL VZ-instrument, mainly due to the small beam divergence of the instrument (nominally 0.35 mrad). They stated that it was questionable whether an increase in pulse width was measurable for incidence angles below $5^\circ$. These findings were confirmed in [29], which reported no real differences for incidence angles smaller than 20$^\circ$ at distances smaller...
than 32 m. Therefore, we average point attributes per panel similar to [25] and [26]. Fig. 2 shows the distribution of the returns on the 0.047 and 0.947 reflectance panels for the distances of 1, 2, 10, and 20 m and their corresponding $\rho_{app}$ and deviation values. The residuals around the mean value are shown in Fig. 3. All panels show a homogeneous distribution of pulse attributes across the panel. Fig. 3(a) suggests that the lowest reflectance panel (0.047) at close range has a weak spatial relation of the $\rho_{app}$ residuals. However, the panel at 2 m and all panels at further distances do not show similar patterns. We suggest that this is due to the low signal-to-noise at short distances and this might be part of the reason why the RIEGL VZ-400 supported minimum range is 1.5 m [21].

A. Raw Waveform Analysis

Fig. 4(a) shows typical raw waveforms recorded by the VZ-400. Similar to [27], an offset between the peak in waveform intensity and the registered range is observed. This range offset has previously been observed in the full waveform airborne RIEGL LMS-Q680I sensor and was attributed to the transimpedance amplifier that is used in the receiver electronics to exhibit sufficient nonlinearity to introduce a significant delay that depends on the recorded intensity samples [30]. RIEGL [17] confirms that this is also the case for the VZ-400 sensor and a calibration table (proprietary information) is used to compensate for the range deviation.

Stronger returns, i.e., higher panel reflectance, cause the typical VZ-400 long trailing edge to be more prominent than when the return energy is lower [Fig. 4(a)] due to higher energy returns causing nonlinearity in the detector electronics. This is observed for all three instruments and consistent with [27] and [23].

The waveforms clearly show the different dynamic ranges of the digitizer intensity values of the three scanners. For similar
Fig. 3. Examples of the distribution of VZ-400 ρ_{app} and deviation residuals (around the mean value) on the 0.047 and 0.947 reflectance panels for the distances of 1, 2, 10, and 20 m. \( \Delta \phi \) and \( \Delta \Theta \) give the true angular azimuth and zenith angle intervals, respectively, that cover the panel.

measurements (i.e., the same reflectance panel and distance), the RSC instrument records the highest absolute intensity samples. The differences in waveform length [Fig. 4(a)] are due to the constant development of the production procedure and the manufacturer has reduced the storage time of the waveform in newer (see Table I) instruments (RIEGL, personal communication). The relationship between maximum intensity sample (i.e., the waveform peak) and the panel reflectance is nonlinear. Fig. 4(b) shows that all instruments have the highest maximum intensity sample at 10 m for all the spectral panels and the lowest maximum intensity sample for the furthest panel distance. These observations agree with [16] evaluating this instrument and the nonlinear characteristics for distances up to about 20 m are due to vignetting or central obscuration.

**B. Range Accuracy**

Table II summarizes 20 different range accuracy assessments ranging from approximately 11.5 to 138 m (eight for the RSC and WUR instrument and four for the UCL scanner; RIEGL provides new calibration certificates after every service). All the differences between the instrument and nominal ranges lie within the manufacturer’s specifications, which are the key for data interoperability. Most of the current studies and applications in TLS that use calibrated commercial instruments use only the spatial component of the data [6]. For example, vertical plant profiles describe the plant area volume density as a function of canopy height through estimates of the vertically resolved gap probability [11], [12], [31]. Other applications try to explicitly model the 3-D structure of vegetation, using the point clouds as input [13], [32]–[34]. These findings essentially mean that the spatial point cloud data (i.e., the XYZ data) of multiple VZ-400 instruments can be combined and used in one single data set. Using multiple instruments would significantly reduce the time spent in the field if using the same fieldwork protocol, allow larger areas to be scanned within the
C. Evaluation of the Radiometric Calibration

Fig. 5 compares the VZ-400 mean $\rho_{app}$ estimates with the reflectance values from the reference panels. Fig. 5 shows that $\rho_{app}$ estimates for higher reflectance targets generally have greater absolute bias and uncertainty. This is most prominent for the UCL scanner for the 0.902 and 0.947 reflectance panels at 20 m, with the average overestimations of 0.254 and 0.312, respectively (compared with 0.093 and 0.069 for the WUR instrument and 0.074 and 0.058 for the RSC instrument). In this scenario, the $\rho_{app}$ values are larger than 1, which generally indicates a target reflecting with a higher reflectivity than that of a Lambertian target [16]. The larger uncertainty in RIEGL $\rho_{app}$ for higher reflectance targets is possibly caused by the log scale (dB) recording of intensity data and the user should be extra careful about high reflectance values when carrying out a radiometric calibration. However, the majority of $\rho_{app}$ values measured in the field (see Fig. 1) are generally below 0.4 with a peak around approximately 0.05–0.1 in leaf-off conditions and a bimodal distribution in leaf-on conditions (peaks approximately around 0.05 and 0.2). The average absolute $\rho_{app}$ residual over the 30 different scenarios was 0.074 for the UCL instrument, 0.05 for the WUR instrument, and 0.049 for the RSC instrument. The maximum and minimum absolute $\rho_{app}$ residuals were 0.312 (0.947 reflectance panel at 20 m) and 0.001 (0.047 reflectance panel at 2 m) for the UCL scanner, 0.164 (0.947 reflectance panel at 5 m) and 0.001 (0.418 reflectance panel at 5 m) for the WUR scanner, and 0.132 (0.947 reflectance panel at 10 m) and 0 (0.947 reflectance panel at 30 m) for the RSC scanner.

Although many TLS applications currently use XYZ point cloud data only (see Section III-B), inclusion of reflectance information or other attributes derived from raw waveforms may improve existing methods. The vertical plant profiles generated in [11] and [12] use the multiple return VZ-400 data.
to estimate gap probability. Their method assumes that for a single outgoing pulse, each return equates to \(1/n\) of the intercepted beam area, where \(n\) is the total number of returns for that outgoing pulse. The use of additional \(\rho_{\text{app}}\) information may further improve the estimation of intercepted beam area for each return [35]. Separating woody and nonwoody components in the point cloud is important to separate plant area profiles into leaf area profiles and wood area profiles. The distinct wood and leaf area profiles will provide more valuable information about ecosystem dynamics than the integrated plant area profiles. A separation of the returns is also important for geometric modeling, i.e., extracting the size and shape of tree components from point clouds. Aboveground biomass (AGB) is an important indicator for carbon storage and sequestration of forests and their productivity [36]. Nondestructive estimates of AGB using TLS data show significant differences from AGB estimates using traditional allometric equations [13]. The TLS method could be further improved if leafy and woody returns could be reliably separated, because leaves generally do not resemble the geometric structure of a cylinder. It is important to note that the RIEGL conversion of the calibrated amplitude \(A_{\text{dB}}\) (2) into \(\rho_{\text{app}}\) applies only to targets that intercept the laser pulse completely (i.e., single returns) [16]. This complicates the use of intensity for multiple return LiDAR systems, because the intercepted laser energy from previous returns has to be accounted for.

The results in this paper indicate that the three VZ-400 sensors show different responses to the same reference panel at the same distance. This suggests that radiometric calibration models of one instrument cannot be used for another instrument. For example, Fig. 6 shows the spectral reflectance for bark and leaf in a deciduous woodland in the U.K. At 1550 nm, the wavelengths of the RIEGL VZ-400 with

![Fig. 5. Comparison of spectral reflectance from the reference panel with \(\rho_{\text{app}}\) values measured by the VZ-400 for different ranges. The vertical error bars indicate the 95% confidence interval around the mean \(\rho_{\text{app}}\) of the panel.](image)

![Fig. 6. Leaf and bark spectral reflectances of a deciduous woodland, Wytham Woods, U.K. The solid line indicates the weighted average spectral reflectance and the area around the mean represents the 95% coverage interval. The weights are allocated based on the number of trees per species, with *Acer pseudoplatanus* (55%), *Fraxinus excelsior* (20.6%), and *Corylus avellana* (14.3%) being the dominating species. Data were captured with an ASD spectrometer in the summer of 2015. The vertical blue line represents the wavelength of the RIEGL VZ-400 (1550 nm).](image)

the 95% coverage interval of bark and leaf reflectance are 0.391–0.546 and 0.298–0.344, respectively. This suggests that for this particular forest, only a maximum calibration uncertainty of 4.7% absolute reflectance is acceptable in applications that benefit from the separation between woody and nonwoody components in the LiDAR data. However, using intensity information from a single wavelength scanner limits this separation because the received intensity is a function of the spectral reflectance properties of the canopy constituents, the area of the beam that is intercepted, and the local angle of incidence [37]. The dual-wavelength scanners DWEL and SALCA try to overcome the limitations of a single-wavelength
scanner by taking the spectral ratio of the two laser wavelengths at approximately 1064 and 1550 nm [37], [38].

IV. CONCLUSION

One of the challenges of interoperability is the commercial confidentiality of instrument internal performance properties, making interoperability difficult. This implies that it is generally up to the user to carry out calibration measurements. Our findings demonstrated that the XYZ data of VZ-400 sensors is intercomparable and we suggest that data from multiple instruments can be merged into a single data set. This enables us to use TLS in large-scale (>5–10 ha) mapping and merge data from different instruments together. An evaluation of the radiometric calibration of three same make and model sensors showed that radiometric calibrations and therefore ρapp are instrument specific and that absolute bias is greater for higher reflectance returns. We therefore recommend a radiometric calibration of each instrument before including reflectance information in new or existing methods. To extend this paper to intercomparing instruments with different wavelengths and specifications, we would need to understand how the observed bias (and variance) might propagate through to derived quantities using a combination of measurement [39] and simulation [23].

3-D information plays an important role in the calibration and validation of many airborne and spaceborne scanners. This is particularly true of sensors that measure canopy structure near directly. A new large footprint spaceborne instrument, Global Ecosystem Dynamics Investigation (GEDI) LiDAR, is currently being developed and was scheduled for launch in 2018 [40]. The European Space Agency BIOMASS mission is expected to launch in 2020 and this spaceborne P-band RADAR system will acquire data at a spatial resolution of 200 m [41]. The combination of explicit 3-D forest stand reconstructions based on TLS data and radiative transfer models will provide a framework for testing the structural metrics derived from planned missions such as GEDI and BIOMASS.

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REFERENCES

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