Achievable Information Rates Estimation for 100-nm Raman-Amplified Optical Transmission System

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Abstract  The achievable information rates of optical communication systems using ultra-wide bandwidth 100-nm distributed Raman amplification have been investigated for each individual sub-channels, based on the first-order perturbative analysis of nonlinear distortions.

Introduction
To date, optical fibre communications have achieved unprecedented growth and success over the past three decades and now stand alone as the enabling technology that underpins the global information infrastructure. The data rates of optical communication systems have been raised from 100-Mbit/s per fibre in the 1970s to 10-Tbit/s in current commercial systems, an astonishing 100,000-fold increase. The key technologies that fueled this surge in capacity were wavelength division multiplexing (WDM), improved fibre design and fabrication, optical amplification and coherent detection. The use of Erbium-doped and Raman fibre amplifiers negated the need for electronic regenerators and enabled dense WDM transmission, although the success and performance of these amplifier technologies is seen as limiting the usable fibre bandwidth to approximately 10-15 THz, ultimately limiting the maximum throughput of optical systems.

Within the usable optical bandwidth, the increase of the spectral efficiency in Nyquist-spaced WDM systems is determined by the densest constellation that can be used. Denser constellations, however, typically operate well only at high signal-to-noise ratios (SNRs), where high optical launch power is required. In such schemes, the achievable information rate (AIR) of optical fibre communication systems is essentially limited by nonlinear (NL) distortions due to the optical Kerr effect manifesting itself as self-phase modulation, cross-phase modulation and four-wave mixing (FWM).

The AIRs have been evaluated for the total bandwidth of approximately the optical C-band (~4.3-THz) under the key assumption of ideally distributed Raman amplification schemes, while the Raman pump power and the distribution of the optical field-gain along the amplifier span have not been taken into account. In this paper, for the first time to our knowledge, the AIR of optical communication system using ultra-wideband optical Raman amplification extended to ~100-nm (12.51-THz) optical bandwidth in a standard single mode fiber (SSMF) has been numerically estimated by using a large-bandwidth first-order perturbative analysis of NL distortions. These estimations have been carried out separately for each sub-channel in Nyquist-spaced WDM transmission system. The AIR of the Raman-amplified transmission system with 1251-Channel × 10-GHz using linear electronic dispersion compensation (EDC) and full-field (FF) nonlinear compensation (NLC) has been analysed. A first-order perturbative analysis was implemented to numerically evaluate the NL distortions for each individual sub-channel in Nyquist-spaced WDM transmission system, by removing the assumption of the "whiteness" of the NL distortion spectra.

Raman amplified optical system

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Values</th>
</tr>
</thead>
<tbody>
<tr>
<td>Num. of Channels (N_o)</td>
<td>1251</td>
</tr>
<tr>
<td>Symbol Rate (f_o)</td>
<td>10-Gbaud</td>
</tr>
<tr>
<td>Channel spacing (Δν)</td>
<td>10-GHz</td>
</tr>
<tr>
<td>Roll-off factor</td>
<td>0%</td>
</tr>
<tr>
<td>Total Raman pump power (P_{p,RF})</td>
<td>5×680 mW</td>
</tr>
<tr>
<td>Span length (L_s)</td>
<td>80 km</td>
</tr>
<tr>
<td>Fiber loss (α)</td>
<td>0.20 dB/km</td>
</tr>
<tr>
<td>Fiber loss for Raman pump (α_{p})</td>
<td>0.25 dB/km</td>
</tr>
<tr>
<td>Dispersion (Γ)</td>
<td>17.0 ps/nm/km</td>
</tr>
<tr>
<td>Dispersion slope (Γ')</td>
<td>0.067 ps/nm²/km</td>
</tr>
<tr>
<td>NL coefficient (γ)</td>
<td>1.20 1/W/km</td>
</tr>
</tbody>
</table>

We examine the backward-pumped geometry of optical Raman amplification. In this model, the Raman power gain is independent of the laser wavelength, and the pump depletion effect is neglected. The AIRs are evaluated in the optical communication system with distributed Raman amplification over ~100-nm (12.51-THz). We consider the transmission consisting of 1251 sub-channels with a symbol rate of 10-Gbaud and a channel spacing of 10-GHz. The detailed
parameters of the optical communication system are indicated in Tab. 1.

Analysis of nonlinear distortions for Raman amplified system

In optical communications, the performance of the WDM transmission system can be described by an effective SNR including both amplified spontaneous emission (ASE) and nonlinear interference noise contributions. This SNR can be approximated as

\[ SNR \approx \frac{P}{P_N + P_{s-N} + P_{s-N}} \]  

(1)

where \( P \) denotes the average launched power per channel, \( P_N \) is the total ASE noise of the transmission system, \( P_{s-N} \) is the total noise due to the nonlinear signal-signal interactions, and \( P_{s-N} \) is the total noise due to NL signal-ASE noise interaction.

For multi-span Nyquist-spaced WDM transmission systems, the total power of the ASE noise due to optical counter-pumped fibre Raman amplification can be calculated as

\[ P_N = N_s N_p k_T N \cdot h \nu_o \cdot R_s \]  

(2)

where \( N_s \) is the total number of spans in the optical link, \( N_p \) is the number of polarisation states, \( k_T \approx 1.13 \) is the temperature dependent phonon occupancy factor, \( h \nu_o \) is the average photon energy at the optical carrier frequency, \( R_s \) is the symbol rate of the signal, \( N \) represents the spontaneous emission noise photon number.

For dual polarization (DP) Raman-amplified Nyquist-spaced WDM transmission system, the signal-signal NL interference power is defined as

\[ P_{s-N} = N_s^{\epsilon+1} \cdot \eta P^3 \]  

(3)

where \( \epsilon \) is the coherent factor which is between 0 and 1 depending on the decorrelation of NL distortions between each amplifier span. The NL distortion coefficient \( \eta \) [W\(^{-2}\)] can be effectively modelled as follows

\[ \eta(k) = \frac{1}{\Delta v} \int \left[ \frac{2k^{(k+1)}}{2k^{(k+1)}} \right] S(v) H(v) dv \]  

(4)

\[ S(v) \approx \frac{16\pi^2}{27R_s} \int \Theta_{\Delta v} \left[ \frac{1}{2} \right] \rho(v_1, v_2, v) dv_1 dv_2 \]  

(5)

where \( B = N_{ch} \cdot \Delta v \) represents the total WDM transmission bandwidth, \( \Delta v \) is the channel spacing, \( g \) is the fibre NL coefficient, and \( \Pi(x) \) denotes the rectangular function. Eq. (4) implies the filtering of the PSD \( S(v) \) in the coherent receiver by a matched filter with a baseband transfer function \( H(v) \). The WDM channel index is given by the following set

\[ k = \left\{ \frac{(N_{ch} - 1)}{2}, \ldots, \frac{(N_{ch} - 1)}{2} \right\}, \]  

where \( N_{ch} \) is the total number of WDM channels. \( \rho(v_1, v_2, v) \) is the appropriate FWM efficiency factor, taking into account the Raman amplification.

Finally, the signal-ASE noise interference term is given by

\[ P_{s-N} = 3 \xi \cdot \eta P^2 \]  

(6)

where the factor \( \xi \) depends on the number of spans and can be approximated as follows

\[ \xi \approx \frac{N_s^{\epsilon+1}}{2} + \frac{N_s^{\epsilon+2}}{\epsilon+2} \]  

(7)

Results and discussions

Due to the large bandwidth of the investigated signal, the Raman effect amplifies lower frequencies at the cost of higher frequencies during propagation. In order to prevent a large asymmetric power profile over the individual sub-channels, gain flattening filters will be employed at each span in practical applications. However, here we neglect the power redistribution and cross-talk resulting from intrapulse Raman scattering.

The NL distortion coefficient has been numerically computed for the central channel of WDM transmission system and its edges considering the slope of chromatic dispersion.

<table>
<thead>
<tr>
<th>Ch. Index, k</th>
<th>-625</th>
<th>0</th>
<th>625</th>
</tr>
</thead>
<tbody>
<tr>
<td>w/ ( \beta_3 )</td>
<td>0.0149</td>
<td>0.0275</td>
<td>0.0149</td>
</tr>
<tr>
<td>w/o ( \beta_3 )</td>
<td>0.0125</td>
<td>0.0276</td>
<td>0.0187</td>
</tr>
</tbody>
</table>

It can be seen according to the results of numerical calculations in Tab. 2 that the dispersion slope does not have a considerable impact on the NL distortions, e.g., setting \( \beta_3 \) to zero gives almost the same results, especially for the center channel. However, taking the slope into consideration substantially reduces the rate of convergence in the numerical integrations. Thus, we can fairly assume the negligibility of the dispersion slope throughout the paper without any significant loss in accuracy.

![Fig. 1: Nonlinear distortion coefficient and SNR in Raman-amplified optical communication system](image)

According to the above assumptions, the NL...
distortion coefficients in the ~100-nm Raman-amplified system have been numerically calculated for individual sub-channels. It can be seen in Fig. 1 that the nonlinear distortion coefficients in the outer channels are lower than those in the central sub-channels, physically due to less inter-channel NL distortion from neighboring channels. The differences become considerable when the channel index is outside the range -500 to +500. The SNR in each individual sub-channel has also been estimated based on the nonlinear distortion coefficients at 25 × 80 km using EDC, as shown in Fig. 1. In this case, the SNR difference between the central channel and the side channel is ~1 dB.

To obtain an indication of the AIR of the system, we compute soft-decision mutual information (MI) based on the Gaussian assumption on the channel. As detailed in [7], this MI is an important figure of merit as it is a transmission rate that can be achieved by a receiver based on this Gaussian assumption.

![Fig. 2: Mutual information of individual sub-channels at 25x80 km transmission distance](image)

The MI for each individual sub-channel was numerically calculated using Gauss-Hermite quadrature. We considered dual-polarization 64-QAM and 256-QAM, and both EDC and FF-NLC (the latter using ideal full-field digital back-propagation or optical phase conjugation). The obtained results for a transmission distance of 25 × 80 km are shown in Fig. 2. These results show that FF-NLC gives a significant improvement over EDC for 256-QAM. For 64-QAM the gains are smaller since the MIs for EDC are already close to their maximum value of 12 [bit/symbol].

![Fig. 3: Achievable information rates of 100-nm Raman amplified optical transmission system](image)

Finally, to estimate the AIR over the entire bandwidth, the sub-channels were assumed to be independent, and thus, the total AIR was calculated as the sum of the MIs of each channel. Results were obtained for DP-64QAM and DP-256QAM under different transmission distances. These results are presented in Fig. 3 and show a significant improvement of FF-NLC over EDC for both modulation formats. The AIR for DP-256QAM exceeds 150-Tbit/s with linear EDC and 200-Tbit/s with FF-NLC for transmission distances of up to 1600 km.

**Conclusions**

The achievable information rates of optical fibre communication systems based on standard SMF and using ~100-nm ultra-wideband optical Raman amplification has been numerically investigated using the first-order perturbative analysis of large-bandwidth NL distortions. The numerical estimations has been carried out for each individual sub-channel in Nyquist-spaced WDM transmission systems. It is estimated that ~100-nm distributed Raman amplification gives the possibility of achieving AIRs of 150-Tbit/s using linear EDC, and 200-Tbit/s using FF-NLC over a transmission distance of 1600 km using DP-256QAM.

**Acknowledgements**

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**References**


