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<th>Journal:</th>
<th>Child Neuropsychology</th>
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<td>Manuscript ID</td>
<td>CNY-OA 16-50.R1</td>
</tr>
<tr>
<td>Manuscript Type:</td>
<td>Original Article</td>
</tr>
<tr>
<td>Date Submitted by the Author:</td>
<td>08-Sep-2016</td>
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<tr>
<td>Complete List of Authors:</td>
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Executive abilities in children with congenital visual impairment in mid-childhood

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Abstract

The role of vision and vision deprivation in the development of executive function (EF) abilities in childhood is little understood; aspects of executive function such as initiative, attention orienting, inhibition, planning and performance monitoring are often measured through visual tasks. Studying the development and integrity of EF abilities in children with congenital visual impairment (VI) may provide important insight into the development of EF and also its possible relationship with vision or non-visual senses. The current study investigated non-visual EF abilities in 18 school-age children of average verbal intelligence with VI of differing levels of severity arising from congenital disorders affecting the eye, retina, or anterior optic nerve. Standard auditory neuropsychological assessments of sustained and divided attention, phonemic, semantic and switching verbal fluency, verbal working memory, and ratings of everyday executive abilities by parents were undertaken. Executive skills were compared to typically-sighted typically-developing children (TS) of the same age and according to levels of vision (mild to moderate (MVI) or severe to profound visual impairment (S/PVI). The results did not indicate significant differences or deficits on direct assessments of verbal or auditory EFs between the groups. However, parent ratings suggested difficulties with everyday executive abilities, with greatest difficulty in those with S/PVI. The findings are discussed as possibly reflecting increased demands of behavioral executive skills for children with VI in everyday situations despite auditory and verbal EF abilities in the typical range for their age. These findings have potential implications for clinical and educational practices.
Keywords: visual impairment, mid-childhood, executive function, attention, working memory, cognitive development
Executive functions (EF) are highly important for educational attainment and academic success in childhood and adolescence (de Haan, 2014; McDermott, Westerlund, Zeannah, & Fox, 2012; Stevens, Lauinger, & Neville, 2009). EF is used as an umbrella term for a set of inter-related cognitive abilities, including goal planning, control of attention, working memory, inhibition, and cognitive flexibility (Anderson, 2002; Diamond, 2013). Current theoretical models about the early development of executive function are largely based on observations of visual behaviors though the importance of early vision for early and later EF development is unknown (Colombo, 2001; Johnson & de Haan, 2011; Richards, Reynolds, & Courage, 2010). A link between vision and executive function is possibly suggested by the close connection between visual processing streams with prefrontal regions and the fronto-parietal attention network (Kravitz, Saleem, Baker, & Mishkin, 2001; Ptak, 2011) and also the close relationship between visuo-spatial working memory, spatial abilities, and EF (Mikaye et al., 2001). However, it is currently not clear if early or later visual behaviors are necessary for the development of executive abilities and the integration of executive function networks in the brain or if experiences in other non-visual modalities (auditory, haptic) are sufficient for the development of executive functions in the absence of vision. Consequently, studying the development of children with congenital visual impairment (VI) may shed light on the relationship between EF and vision, and visual experience and potential vulnerabilities or compensatory factors in the development of EF abilities in this clinical population. In addition, this is of high clinical and educational importance as children with VI may have to rely more on their ability to plan, organize, and hold information in working memory when visual cues are inacessible.

Congenital visual impairment is associated with differences of large-scale structural and functional brain network organization (Liu, Yu, Li, Tian, Zhou, Qi, Li, & Jiang 2007, Shu, Li, Li, Yu, & Jiang 2009, Noppene, 2007), which may affect the distributed networks involved in executive function (Cavezian, Vilayphonh, Vasseur, Caputo, Laloum, & Chokron, 2013). Of relevance, evidence from an observational study indicated differences in potential precursors of executive behaviors, specifically attention shifting, in preschoolers with VI compared to matched typically-sighted (TS) peers (Tadic, Pring, & Dale, 2009). The authors reported reductions in the frequency at which preschoolers with severe to profound VI responded to adult attempts to elicit or maintain their attention and in particular to shift their attention from one object to another through auditory, haptic or visual cues, with greatest difficulty in those with profound VI (light perception at best). Interestingly, individual weaker response to attention shifting was significantly related to more problems in everyday behaviors requiring EF on the Behavior Rating of Executive Function (BRIEF) questionnaire, in particular Shifting, when the same children were seen at school age (Tadic, 2009). Neurodevelopmental differences that are potentially related to EF have also been reported in mid-childhood to adolescence in other samples of children with VI. A comprehensive survey in a sample of 264 children (aged 4-17 years) attending specialist clinics found a substantially higher prevalence of Attention Deficit Hyperactivity Disorder (ADHD) diagnoses in children with VI (22.9% compared to 14.3% in the TS population in the same geographical area) (Decarlo, Bowman, Monroe, Kline, McGwin, & Owsley, 2014); EF has been shown to be a significant component in ADHD in sighted samples (Willcutt, Doyle, Nigg, Faraone, & Pennington, 2005). Further, a recent study by Greenaway and colleagues indicated higher parental ratings of behavioral executive functioning deficits, compared with normative population expectations, in a small sample of high-functioning adolescents with con-
genital VI and age-appropriate verbal IQ (Greenaway, Pring, Schepers, Isaacs, & Dale, 2016).

Whilst these preliminary small scale studies suggest that behaviors related to EF may be negatively affected in children with VI, it is not clear how VI might impact on EF and whether specific aspects of EF are more vulnerable than others. Certain executive abilities might be more dependent on visual information during development, whereas other abilities may develop typically or are more amenable to compensatory mechanisms even when visual information is largely inaccessible or very degraded. The auditory and haptic modalities, and the mechanism of language, have been proposed to modulate developmental processes in the absence of vision (Warren, 1994, Perez-Pereira and Conti-Ramsden, 1999).

In this study, we therefore set out to investigate the development of EF in the context of congenital VI during mid-childhood. This period has been argued as important for EF development as rapid advances in executive ability have been observed in this age period (Xu et al., 2013). Further, executive abilities are believed to be more differentiated in mid-childhood compared to preschool years (Anderson, 2002; Diamond, 2013) allowing for a more fine-grained assessment of the possible impact of VI on EF development. To investigate this potential relationship, this study focused on children with congenital visual disorders. The subpopulation of interest was those with disorders affecting the anterior or peripheral part of the visual system with no known involvement of central brain structures according to the visual disorder diagnosis (i.e. ‘potentially simple’ congenital disorders of the peripheral visual system - CDPVS, Sonksen & Dale, 2002). In children with additional brain defects, as is common in cerebral VI (Rahi, Cable, BCVISG, 2003), the likelihood of comorbid learning difficulties is greatly increased. This would pose a significant confound as any differences in cognitive performance may be potentially linked to the learning disability rather than to the impact of vision reduction per se (Sonksen and Dale 2002). To further minimize the possibility of additional learning difficulties which can commonly occur in children with congenital VI (Alimovic, 2013), a sample of higher functioning children with VI and normal range verbal intelligence were selected for the study. Standard auditory and verbal assessments of EF were employed including assessments of working memory, auditory attention, and verbal fluency to cover a range of executive tasks that did not require vision for performance. In addition, parents filled in a standard questionnaire on everyday behaviors associated with EF. To test further the relationship between vision level and EF, children with differing degrees of VI (from profound/severe – P/SVI to moderate/mild - MVI) were included in the VI sample; this permitted comparison of a broad spectrum of children with congenital visual disorders with sighted controls and also comparison of different degrees of vision and vision reduction (P/SVI versus MVI versus TS). The study design was therefore selected to permit novel insight into the potential impact of congenital vision reduction on EF in middle childhood, including comparison of those who remained profoundly or severely visually impaired with those who had continued to develop significant functional visual acuity by middle childhood. Previous research of younger children had suggested that those with the most profound VI (especially light perception at best) had the greatest developmental impact with significant delays in cognition, language and social develop-
ment (Dale and Sonksen 2002, Dale et al 2013), leading us to predict that children with no or very low vision would also show negative impact in EF abilities.

Assuming visual input is necessary for the typical development of EF, it is hypothesized that children with VI have lower standard scores on auditory tests of EF compared with typically sighted matched controls, and that the scores would be even lower in children with the greatest severity of vision reduction (P/VI) compared with those with moderate vision reduction (MVI) or typically sighted. Standard neuropsychological assessment measures with good construct validity that make no demand on vision were selected for this study. In the absence of well validated tactile or haptic assessments, these measures were either auditory or verbal. The only available auditory tasks that were suitable for children with VI and were all arguably tapping into EF were those of working memory, auditory attention and verbal fluency (Delis, Lansing, Houston, Wetter, Han, Jacobson, 2001; Jurado & Rosselli, 2007; Manly, Nimmo-Smith, Turner, Watson, & Robertson, 2001). A parent rated standard questionnaire measure was also included to assess performance and any difficulties with everyday behaviours associated with executive abilities.

Methods

Participants

This project was approved by the NHS Paediatric Research Ethics Committee (Ref: 12/LO/0939). Written consent was obtained from all parents/guardians according to the Declaration of Helsinki.

A prospective cross-sectional study was undertaken with eighteen children with VI aged between 8 and 13 years. Congenital disorders of the peripheral visual system with severe VI are rare with an estimated prevalence of less than 2-3 per 10,000 children (UK) raising challenges for recruitment and sampling (Rahi, Cable, BCVISG, 2003). Children were therefore recruited through national specialist clinics at Great Ormond Street Hospital for Children NHS Foundation Trust and Moorfields Eye Hospital NHS Foundation Trust. The investigations reported here were part of a larger study to investigate neural, cognitive and behavioral correlates in this sample. Inclusion criteria: 1) ‘potentially simple’ congenital disorders of the peripheral visual system (CDPVS, see Sonksen and Dale 2002), i.e. any visual disorder affecting the globe of the eye, the retina, or the anterior optic nerve up to the optic chiasm and no other known central nervous system involvement or brain insult in the pediatric diagnosis; originally diagnosed by paediatric ophthalmology, 2) English as a first language or relatively fluent level of English to participate in assessments, 3) children within the normal range for verbal reasoning (>VIQ 79). Identification of children was initially through clinical databases and also self-recruitment where parents were asked if their child was attending school at the age appropriate level. One child who was consented was found subsequently to have a verbal
IQ slightly below the inclusion criterion. This participant did not act as an outlier on other assessments and was therefore retained in the analysis.

Exclusion criteria: 1) hearing impairment and severe motor impairment, 2) retinopathy of prematurity, 3) pediatric diagnoses of comorbid neurological disorders or indication of other brain involvement or endocrine abnormalities, e.g. hypopituitarism (Garcia-Filion & Borchert, 2013).

Control sample: Eighteen children with normal or corrected-to-normal vision were recruited through local advertisement to match according to age. Children in the control group had to attend mainstream school at age-appropriate level and have no known neurological or psychiatric conditions and have English as a first language.

Sample characteristics are summarized in Table 1. The experimenter (J.B.) was trained by a neurodisability pediatrician specialized in VI (A.S.) to undertake the visual acuity assessments using the Sonksen logMAR test of Visual Acuity (Sonksen, Wade, Proffitt, Heavens, & Salt, 2008). For children, who were not able to see the largest items on the Sonksen logMAR test, the Near Detection Scale was used to assess their basic level of detection vision (Sonksen, Petrie, & Drew, 1991).

Severe/Profound VI (S/PVI) is defined as limited form vision with logMAR above 0.8 (Snellen worse than 6/36) to no or light perception only (Near Detection Scale). Mild/moderate VI (MVI) is defined as reduced visual acuity with logMAR between 0.6 and 0.8 (Snellen 6/24-6/36).

**Table 1 here**

**Table 2 here**

**Procedure and testing environment**

Participants were tested by an experimenter trained in the assessment of children with VI (J.B.) under the supervision of a clinical psychologist specialized in VI (N.D.). Assessments were carried out in a quiet testing room in the university hospital center. Children were given frequent breaks between assessments to maintain optimal performance and promote participant wellbeing.

**Verbal comprehension**

In order to exclude the possibility that any difference in EF may be due to underlying differences in intellectual ability, a standard test of verbal comprehension was administered. Verbal comprehension was assessed using verbal subtests of the Wechsler Intelligence Scale for Children 4th edition (WISC-IV) (Wechsler, 2004). Verbal subtests of previous and current editions of the WISC have also been used with children with VI (Greenaway et al 2016, Dekker, 1993; Tillman, 1973; Tillman & Bashaw, 1968; Witkin et al., 1968).
The administered subtests included all items of the Verbal Comprehension composite score (Vocabulary, Similarities, Comprehension). Two items were altered that required direct visual experience: The WISC-IV first practice item on the Similarities subtest which includes colour was not administered. The Comprehension question that asks about a situation in which 'you see thick smoke' was changed to 'you smell thick smoke'. These alterations were used for the whole sample, including the TS control group. All other items were administered verbatim according to the WISC-IV administration manual (Wechsler, 2004).

Analysis of verbal comprehension by vision group (S/PVI, MVI, TS) did not indicate significant differences between the groups (S/PVI: mean=100.78, SE=8.94, Range=75-148; MVI: mean=103.25, SE=3, Range=93-116; TS: mean=113.17, SE=3.87, Range=83-144; F(2,32)=1.665, p=0.205).

**EF tasks**

**Working memory**

Tasks comprising the Working Memory (WM) composite of the Wechsler Intelligence Scale for Children 4th edition (Wechsler, 2004) were administered to determine working memory performance. The WM composite was calculated from the Digit Span and Letter-Number Sequence scale scores.

**Sustained & Divided Auditory Attention**

Auditory attention was assessed through tests from the Test of Everyday Attention for Children (TEA-Ch) (Manly, Nimmo-Smith, Turner, Watson, & Robertson, 2001). In the Score! subtest, children had to count infrequently presented sounds in several trials over a 6 min period. Because of long pauses between tones and simple task demands, children have to actively sustain their attention to perform the task (Anderson, 2002). The Score Dual Task condition requires children to count the number of scoring sounds while listening out for an animal name in a simultaneously presented news broadcast (Manly, Nimmo-Smith, Turner, Watson, & Robertson, 2001).

**Verbal Fluency**

The Verbal Fluency task of the Delis-Kaplan Executive Function System (D-KEFS) (Delis, Lansing, Houston, Wetter, Han, Jacobson, 2001d) consists of three conditions. In the Letter Fluency (LF) condition, the participant has to name as many words as possible that start with a given letter within 60s. In the Category Fluency (CF) task, the participant has
to name words within 60s that belong to a semantic category. In the third condition, Category Switching (CS), participants have to switch between words that belong to different semantic categories. All tests were administered according to the test manual. The DKEFS Verbal Fluency subtest typically requires the assessor to talk through the rules as well as present them visually in print. As the participants were unable to access the print, the assessors ensured that the participants had understood the rules by talking through these carefully and clearly, providing repetition if required.

Two children did not complete the task. Seventeen children in the VI (7 male, 8.27-13.32y, WISC Verbal Comprehension: 75-148) and 17 children in the control group (10 male, 8.56-12.92y, WISC Verbal Comprehension: 83-144) completed the Verbal Fluency tasks.

### Everyday Executive Skills

The Behavioral Rating Inventory of Executive Function (BRIEF) is an 86 item questionnaire suitable for children aged 5 to 18 years (Gioia, Isquith, & Kenworthy, 2000). The questionnaire rates executive skills in domains of Inhibition, Shifting, Emotional Control, Initiation, Working Memory, Planning/Organizing, Organization of Materials and Monitoring. Only one of the items used to create these scores makes a reference to visual behavior (Item 31: “Has poor handwriting”), but does still apply to the majority of children in this study with mild to moderate VI. Two additional items may be indirectly related to vision, e.g. Item 67: “Cannot find things in room or school desk” and Item 68: “Leaves messes wherever he/she goes”, but also reflect executive contributions. These tasks may be harder for children with visual impairment, but do not necessarily depend on vision. For this reason, parents were given the full questionnaire without any modifications.

Inconsistency scores were below the 98th percentile and were therefore in the acceptable range according to the questionnaire manual. There were two cases of highly elevated Negativity scores in the VI group (above the 98th percentile). High negativity scores may indicate an excessively negative attitude of the rater, but may also suggest extreme executive dysfunction (Gioia, Isquith, & Kenworthy, 2000). Separate analysis showed no effect of the inclusion or exclusion of these cases for the group results. Therefore, the presented results include cases with high negativity ratings.

### Statistical analysis

Statistical analysis was based on analysis of variance (ANOVA) models. Mauchly’s test was used to assess violations of the sphericity assumption (Mauchly, 1940). In the case of violated sphericity assumptions, the Greenhouse-Geisser correction was applied (Greenhouse & Geisser, 1959). All statistical tests were performed in R v2.15.3 (The R Development Core Team, 2008). Follow-up contrasts were based on Student’s t-tests. Welch correction was applied to account for difference in variance between the groups.
Visualization were based on ggplot2 algorithms (Wickham, 2009). A significance level of $p<0.05$ was used for all statistical analyses. Values between 0.05 and 0.1 are discussed as trend-level effects.
Results

Figure 1 about here

Table 3 about here

Working Memory

Statistical analysis did not indicate significant differences in the Working Memory composite score between the vision groups (S/PVI, MVI, TS) (F(2,31)=0.079, p=0.971, see Table 3 for descriptive statistics). There was also no significant effect of vision group on the Digit Span (F(2,32)=0.824, p=0.448) or Letter-Number Sequence score (F(2,32)=1.033, p=0.368).

Sustained & Divided Auditory Attention

Statistical analysis did not indicate significant differences in the Working Memory composite scores between vision groups (F(2,32)=0.515, p=0.602, see Figure 1a and Table 3 for descriptive statistics). There was also no significant effect of vision group in the divided attention condition (F(2,32)= 1.599, p=0.218). A high proportion of participants in both groups reached scores in the superior to highly superior range compared to the normative sample of the test (see Figure 1b). However, there was also considerable within group variability in the VI group including scores in the low range (n=2).

Verbal Fluency

Statistical analysis did not indicate significant differences in the Letter Fluency scores between vision groups (F(2,32)= 0.711, p=0.499, see Figure 1a and Table 3 for descriptive statistics). Category Fluency condition: There was no significant effect of vision group on category fluency scores (F(2,30)=0.737, p=0.487). There was also no significant effect of vision group on the number of responses in the switching condition (F(2,30)=0.128, p=0.88) or switching accuracy (F(2,30)=0.314, p=0.733).

Everyday executive skills

Half of the children with VI reached threshold for clinical concern regarding executive deficits on the BRIEF (9 children (50%, 4 MVI, 5 S/PVI) over GEC cut-off at 65,
>93%ile). Statistical comparison indicated a main effect of vision group (F(2,27)=4.444, p=0.022). Follow-up contrasts indicated significantly higher scores in severe/profound group compared to controls (t(10.58)=2.806, p=0.018). Other contrasts did not reach significance level.

Eight children with VI scored above the cut-off on the Behavioral Regulation Index (BRI) (45%, 4 MVI, 4 SVI, cut-off at 65, >93%ile). Scores on the BRI also showed a significant effect of vision group (F(2,27)=6.248, p=0.006). Post-hoc contrasts revealed a significantly higher score in the severe/profound compared to the control group (t(7.827)=2.339, p=0.048) and a trend-level difference between the mild/moderate and control group with higher scores in the MVI group (t(8.851)=-2.171, p=0.058). There was no significant difference between the two VI groups.

Seven children with VI reached scores above the cut-off on the Metacognitive Index (MI) (38%, 3 MVI, 4 S/PVI, cut-off at 65, >93%ile). Statistical analysis also indicated a significant difference between vision groups on the MI (F(2,27)=8.020, p=0.001). Follow-up contrasts indicated significantly higher scores in the S/PVI compared to controls (t(3.82)=8.127, p=0.005) as well as a trend-level difference between the MVI and control group with higher scores in the MVI group (t(8.134)=2.01, p=0.079). The difference between the VI groups (MVI vs S/PVI) was not statistically significant (t(13.683)=-0.405, p=0.692).
Discussion

The relationship between congenital VI and EF abilities in middle childhood has not been studied systematically before and the available theories and evidence suggest that lack of vision and deprivation of visual information from the environment might impact adversely on the developmental or behavioral aspects of EF. Nevertheless, alternative sensory functions of audition and touch might provide compensatory avenues for developing EF abilities. To investigate this further, this study focused on the performance of EF abilities in a sample of 18 children with congenital VI in middle childhood, compared with typically-sighted and typically developing controls (TS). The precautionary methodological approach to reduce potential confounding influences of comorbid learning disability (which is high in children with congenital visual disorders) or an inability to perform the EF task because of lack of vision to see the materials included adopting 1) children with VI in the ‘simple’ CDPVS subpopulation, 2) only higher functioning range of verbal intelligence and 3) no tasks requiring vision. Contrary to arguments leading to us hypothesizing that EF abilities might be adversely constrained in children with VI and particularly in the most severe VI (light perception or low levels of ‘form’ vision), we found no significant differences in standard scores of EF tasks of working memory, sustained and divided attention, phonemic, semantic, and switching verbal fluency between the VI and the age-matched TS groups. Moreover, the mean standard scores of the VI group were on average in line with age-appropriate population norms.

A number of theoretical positions could explain this ‘typical’ performance in EF neuropsychological tasks in children with VI. Firstly, infancy and later experience in auditory and haptic sensory modalities, including possibly the mediating role of language and non-verbal physical and object experiences, has assisted the development of metacognitive thought processes and mental abstraction involved in executive skills. In terms of the possible origin of EF abilities in childhood, present theoretical models of possible precursors in infant behavior are largely based on the observation of visually-mediated behaviors, like saccades (Colombo, 2001; Richards, Reynolds, & Courage, 2010). For instance, the ability to shift visual fixation from an intrinsically attractive visual stimulus to a less intrinsically attractive, but task-relevant visual stimulus is seen as a precursor of top-down executive control in longitudinal studies (Nakagawa et al., 2013; Papageorgiou et al., 2014). To the authors’ knowledge, there are currently no theories of infancy EF development based on other auditory or haptic modalities, potentially due to methodological difficulties in assessing these functions in infants though auditory oddball paradigms may be revealing in the future (Gomes et al., 2000). Investigations of auditory or haptic (tactile) aspects of EF precursors in infants with congenital VI will need to be pursued, though the methodological challenges cannot be understated. Our finding in relation to auditory EF function raises the possibility that alternative non-visual modalities
may provide a compensatory route to the development of EF.

Secondly, there may be modality-specific executive skills that are tied to the availability of sensory information and, in this sense, verbal and auditory EF skills would be expected to develop smoothly to a relatively preserved level in children with VI. Others have also argued for the important role of verbal ability in EF function in childhood (Henry, Messer and Nash 2012). A related model to this is that if children with VI are restricted to modality-specific EF skills, then they might be expected to have much greater difficulty in areas of EF that are associated with vision, such as design fluency and spatial working memory. This dimension was not explored in this paper but is worthy of further investigation to see if effects are amodal or modality-specific.

A third possible model is that EF abilities are a unitary construct in middle childhood. If EF is unitary in middle childhood and our sample of children with VI scored in the age-appropriate range comparable to the TS sample, then one might deduce that EF is amodal in middle childhood and can be executed through visual or auditory/verbal means. Xu et al (2013) demonstrated that from 5-7 and 8-11 years children’s performance on different executive function tasks was found to be explained best by a single-factor model rather than the three factor model of working memory, inhibition, and shifting commonly described for adults (Miyake, Friedman, Emerson, Witzki, Howarter, & Wager, 2000). The similar performance on the different auditory neuropsychological tests in both the VI and control groups could reflect the early unitary nature of executive function. This might explain the finding that the children with VI did relatively well on all aspects of EF assessment tasks tested in this study. Executive abilities might diversify into discrete EF abilities in adolescence with differences between VI and TS participants emerging at this later developmental stage. The preliminary results presented by Greenaway et al. 2016 suggest that this may be the case. However, despite apparent similar abilities on the group level, some individual children with VI displayed highly uneven neuropsychological profiles with extreme weakness in certain tasks (see too Greenaway et al 2016 in higher functioning adolescents with VI). For reasons not yet understood, there was extreme variation between and within some of the individual children with scores ranging from extremely low to superior level. A more detailed investigation of the potentially multiple factors contributing to these individual differences (Sonksen and Dale 2002) will only be possible through assessment of larger samples in future studies.

In contrast to test performance, results of the behavioral ratings (BRIEF, parent rating) showed significant differences between the VI and TS groups and indicated around half of the children with VI reached clinical threshold for EF difficulties (>93%ile). According to expectations for typically-sighted children, these scores would indicate significant difficulties in the domains of behavioral regulation and metacognition. These findings replicate the results of an independent sample of 6-12-year-old children with severe to profound VI and typical intelligence (Tadic, Pring, 2009) and results based teacher reports in a wider sample of children with VI (Heyl and Hintermair 2015). Further, the current study provides evidence that behavioral executive function-
ing is also affected in some children with mild to moderate VI.

It has been argued that standardized neuropsychological tests of EF place reduced demand on executive skills by providing an adult directed environment with clear instructions, training items, and a problem-solving scaffold. These aids are rarely available in everyday dynamic situations requiring EF abilities such as taking initiative, generating new ideas, making plans, achieving goals and self-organization of materials (Isquith et al., 2013). The discrepancies found in this study between the assessment scores and the parent ratings might therefore indicate that 'core' cognitive skills in standard EF tasks are similar in both the VI and the control group, but that everyday demands on dynamic performance requiring executive skills are much higher for children with VI, e.g. lack of access to visual information from the environment may increase the cognitive load of a task (Bertone et al. 2007) and reduce the environmental supports for basic mobility and orientation required in executing any physical or goal-focussed activity (Warren 1994). This argument is further reinforced by the finding that more severe levels of VI (S/PVI vs. MVI group comparisons) were significantly associated with more everyday behavioral executive difficulties. Further, children with S/PVI who are likely to receive more assistance may have less opportunity to practice relevant behavior leading to less proficiency at performance level, despite intact 'core' skills.

Alternatively, the current findings could be explained by both higher vulnerabilities in the VI group in some EF skills, such as taking initiative or achieving goals, in addition to higher performance demands particularly in the children with the most severe VI. Moreover, further evidence of a highly similar discrepancy between test performance on similar neuropsychological EF tasks and the parent rated BRIEF in a small sample of 12-16 year olds with VI suggests that this may be a longstanding and continuous pattern across later childhood (Greenaway et al 2016) and further research is required to identify the specific constraints underlying this apparent behavioral vulnerability.

Limitations

The current investigation was constrained in several ways which potentially limits the generalizability of the findings. First, the sample size was limited to eighteen cases due to the recruitment challenges of the very rare 'simple' congenital disorders of the peripheral visual system (Rahi, Cable, BCVISG, 2003); other studies on VI are often of similar size for similar reasons (Tadic, Pring, & Dale, 2009; Absoud, Parr, Salt, & Dale, 2011). Because of this small sample size, only large effects between group means could be detected and investigation of subtler group differences may have been underpowered (Button, Ioannidis, Mokrysz, Flint, Robinson, & Munafo, 2013). Further, in order to recruit a sufficient number of individuals, a range of congenital visual disorders were included that shared common functional symptoms. Despite this heterogeneity, overall similarity
of the test scores across the VI sample suggests that common functional issues (VI and degree of severity of vision reduction) are of greater relevance than individual anatomical disorders of globe, retina or optic nerve (Sonksen and Dale 2002).

Second, a further limitation of the study is the absence of EF tests that have been designed for and validated on children with VI. However, the similar performance between the VI sample and the TS control group on most of the standard tasks implies that validity and reliability were unlikely to be seriously constrained. This also meant that some areas of EF such as set shifting, problem solving and design fluency could not be assessed due to lack of suitable tests; the current study can therefore not be viewed as a broadly comprehensive investigation of EF abilities in children with VI.

Particularly striking was the discrepancy of results between the neuropsychological tests and the behavioral questionnaire, which may reflect methodological issues. The questionnaire measure may tap different dimensions or constructs related to EF compared to standard assessment or lab-based measures (Eycke and Dewey, 2015; Toplak, Bucciarelli, & Jain, 2008; Chan et al 2008, Toplak & West, 2013). This is supported by similar discrepancies that have been reported in other clinical populations e.g. frontal lobe patients (Shallice and Burgess 1991, Chan et al., 2008). However, parent ratings may also be less accurate than direct standardized testing and might reflect unrealistic parental expectations of their child with VI’s performance.

**Conclusion**

The present study is the first study, to the authors’ knowledge, to report on EF abilities based on systematic neuropsychological assessments in a group of higher functioning children with congenital VI and to relate this to current precise levels of vision reduction. The study provides persuasive evidence that children with VI, including with severe to profound vision reduction, could succeed in auditory and verbal neuropsychological tests of working memory, attention and verbal fluency to the same level as matched controls with typical sight.

The results of the current investigation have potentially important implications for clinical and educational practice. The results of the parent behavioural questionnaire may indicate that even though a child may be doing relatively well at school on academic tasks, some of their behavioural EF abilities may not be developing as smoothly and any constraint in this area could impact on secondary school years where higher autonomy and independence is required. Further research would be useful in a larger sample of 11-15 year olds to investigate whether children can apply their cognitive or behavioural EF abilities in the secondary school environment. In middle childhood, parents may be the first to be concerned about their child’s difficulties at home, but educators or clinicians also need to be alerted to the child struggling in sustaining or dividing their attention in a busy classroom, or taking initiative, or shifting between mental sets or tasks, or generat-
ing new ideas to devise and follow goal-directed plans. Of further clinical concern, EF difficulties in older children with VI predict greater behaviour problems and socio-emotional difficulties (Heyl and Hintermair, 2015). In these circumstances, a specialised clinical neuropsychological assessment could be valuable in identifying needs and providing guidance or intervention for supporting EF abilities. Further research would be beneficial for developing and evaluating interventions to assist the more vulnerable school aged children with VI and weaker EF abilities. Greater severity of VI is a particular risk factor, but even in mild-moderate VI some children struggle in this area.

This study limited itself to higher functioning children with VI and there are many children with VI who also have additional neurological impairment (Rahi and Cable 2003); it is predicted that they will struggle to a greater extent with EF related abilities (Heyl and Hintermair, 2015). Autism related difficulties are present in a significant proportion of children with VI (Mukkades et al 2007, Parr et al 2010) and according to research on children with isolated autism (Ozonoff, Pennington and Rogers 1991) a higher level of EF related difficulties is predicted in this subgroup. Intellectual disabilities are also highly prevalent in children with congenital VI (Alimovic, 2013) that are likely to impact on executive abilities, but it not yet clear if this arises as a consequence of visual deprivation or as a comorbid disorder. Further research and clinical investigations and interventions are recommended for these vulnerable subgroups.

Acknowledgements

This research was funded by Great Ormond Street Hospital Children’s Charity, UCL Impact, UCL Grand Challenges, the Royal National Institute of Blind People, and supported by the National Institute for Health Research Biomedical Research Centre at Great Ormond Street Hospital for Children NHS Foundation Trust and University College London.
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Figure captions

Figure 1:

a) Results of the sustained and divided auditory attention task

The distribution of standardized tests scores in the sustained and divided auditory attention condition are shown for the VI group (black) and the control group (grey). The solid grey line indicates the mean of the normative sample. The dashed lines show one standard deviation variance of the mean of the normative sample (Robertson, Ward, Ridge-way, & Nimmo-Smith, 1994). There were no significant differences between groups in either condition.

b) Results of the semantic, phonemic, and switching verbal fluency assessment

The distribution of standardized scores is shown for the VI (black) and control group (grey) in the phonemic, semantic, and switching conditions. Number of response and switching accuracy are shown separately for the switching condition (Delis et al., 2001). The solid grey line indicates the mean of the normative sample and the dashed lines show one standard deviation variance from the norm mean.

c) Results of the everyday executive ability parent questionnaire

The mean score and standard error on each scale is shown for children in the VI (black) and control group (grey). The solid grey line indicates the mean scores of the normative sample, the dashed grey lines show one standard deviation of variance from the mean. Scales on the left of the vertical black line made up the Behavioral Regulation Index, while scales to the right were summarized in the Metacognitive Index (Gioia et al., 2000). There were significant differences on all scales, except for Organization of Materials.
Table captions

Table 1: Characteristics of participants in the VI group
Demographic information and results of verbal ability and visual acuity assessments are listed. Abbreviations: MVI: mild/moderate VI (degraded visual acuity); SVI: severe VI (basic form vision); PVI: profound VI (light perception at best); WISC: Wechsler Intelligence Scale for Children 4th edition;

Table 2: Characteristics of the typically-sighted (TS) control group

Table 3: Descriptive statistics of mean scores and standard errors of the mean (SE) across executive function measures
a) Results of the sustained and divided auditory attention task
The distribution of standardized tests scores in the sustained and divided auditory attention condition are shown for the VI group (black) and the control group (grey). The solid grey line indicates the mean of the normative sample. The dashed lines show one standard deviations variance of the mean of the normative sample (Robertson, Ward, Ridgeway, & Nimmo-Smith, 1994). There were no significant differences between groups in either condition.

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Table 1: VI group characteristics

<table>
<thead>
<tr>
<th>ID</th>
<th>Gender</th>
<th>Age [y]</th>
<th>VerbComp logMar</th>
<th>Near Detection</th>
<th>Vision Group</th>
<th>Visual Disorder</th>
</tr>
</thead>
<tbody>
<tr>
<td>MVI 1</td>
<td>female</td>
<td>9.19</td>
<td>114</td>
<td>0.1</td>
<td>MVI</td>
<td>congenital nystagmus</td>
</tr>
<tr>
<td>MVI 2</td>
<td>female</td>
<td>13.32</td>
<td>95</td>
<td>0.4</td>
<td>MVI</td>
<td>Ocular fibrosis</td>
</tr>
<tr>
<td>MVI 3</td>
<td>female</td>
<td>11.91</td>
<td>104</td>
<td>0.5</td>
<td>MVI</td>
<td>bilateral optic nerve hypoplasia</td>
</tr>
<tr>
<td>MVI 4</td>
<td>male</td>
<td>12.34</td>
<td>-</td>
<td>0.54</td>
<td>MVI</td>
<td>rod-cone dystrophy</td>
</tr>
<tr>
<td>MVI 5</td>
<td>female</td>
<td>8.27</td>
<td>104</td>
<td>0.6</td>
<td>MVI</td>
<td>oculocutaneous albinism</td>
</tr>
<tr>
<td>MVI 6</td>
<td>male</td>
<td>12.06</td>
<td>104</td>
<td>0.6</td>
<td>MVI</td>
<td>congenital nystagmus</td>
</tr>
<tr>
<td>MVI 7</td>
<td>male</td>
<td>10.64</td>
<td>116</td>
<td>0.6</td>
<td>MVI</td>
<td>congenital albinism</td>
</tr>
<tr>
<td>MVI 8</td>
<td>male</td>
<td>9.82</td>
<td>93</td>
<td>0.7</td>
<td>MVI</td>
<td>unilateral optic nerve hypoplasia</td>
</tr>
<tr>
<td>MVI 9</td>
<td>female</td>
<td>12.26</td>
<td>96</td>
<td>-</td>
<td>PVI</td>
<td>light perception only</td>
</tr>
<tr>
<td>SVI 1</td>
<td>female</td>
<td>10.98</td>
<td>87</td>
<td>0.9</td>
<td>SVI</td>
<td>hereditary progressive cone dystrophy</td>
</tr>
<tr>
<td>SVI 2</td>
<td>male</td>
<td>11.69</td>
<td>148</td>
<td>0.9</td>
<td>SVI</td>
<td>oculocutaneous albinism</td>
</tr>
<tr>
<td>SVI 3</td>
<td>female</td>
<td>10.98</td>
<td>78</td>
<td>1.1</td>
<td>SVI</td>
<td>FEVR, LRP5 mutation</td>
</tr>
<tr>
<td>SVI 4</td>
<td>male</td>
<td>9.57</td>
<td>119</td>
<td>1.2</td>
<td>SVI</td>
<td>Leber's congenital amaurosis</td>
</tr>
<tr>
<td>SVI 5</td>
<td>male</td>
<td>9.01</td>
<td>-</td>
<td>1.225</td>
<td>SVI</td>
<td>ocular albinism, nystagmus</td>
</tr>
<tr>
<td>SVI 6</td>
<td>male</td>
<td>9.91</td>
<td>96</td>
<td>1.225</td>
<td>SVI</td>
<td>Norrie's disease</td>
</tr>
<tr>
<td>SVI 7</td>
<td>female</td>
<td>11.04</td>
<td>75</td>
<td>1.5 cm sweet from 20 cm</td>
<td>SVI</td>
<td>Leber's congenital amaurosis</td>
</tr>
<tr>
<td>SVI 8</td>
<td>female</td>
<td>9.86</td>
<td>95</td>
<td>-</td>
<td>SVI</td>
<td>bilateral micro-ophthalma, SOX6 mutation</td>
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<td>male</td>
<td>10.36</td>
<td>134</td>
<td>-</td>
<td>PVI</td>
<td>Leber's congenital amaurosis</td>
</tr>
</tbody>
</table>

9 female mean=10.73 mean=103.63
9 male SE=0.31 SE=4.41

Abbreviations: MVI: mild visual impairment, SVI: severe visual impairment, PVI: profound visual impairment, VerbComp: WISC-IV Verbal Comprehension age-normed score, FEVR: familial exudative vitreoretinopathy
Table 2: Control group characteristics

<table>
<thead>
<tr>
<th>ID</th>
<th>Gender</th>
<th>Age [y]</th>
<th>VerbComp</th>
<th>logMAR</th>
</tr>
</thead>
<tbody>
<tr>
<td>C 1</td>
<td>female</td>
<td>8.56</td>
<td>98</td>
<td>-0.3</td>
</tr>
<tr>
<td>C 2</td>
<td>female</td>
<td>8.73</td>
<td>110</td>
<td>0.1</td>
</tr>
<tr>
<td>C 3</td>
<td>male</td>
<td>8.90</td>
<td>116</td>
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<tr>
<td>C 4</td>
<td>male</td>
<td>9.08</td>
<td>102</td>
<td>0.1</td>
</tr>
<tr>
<td>C 5</td>
<td>female</td>
<td>9.12</td>
<td>98</td>
<td>-0.1</td>
</tr>
<tr>
<td>C 6</td>
<td>male</td>
<td>9.34</td>
<td>108</td>
<td>-0.2</td>
</tr>
<tr>
<td>C 7</td>
<td>male</td>
<td>10.07</td>
<td>96</td>
<td>0.1</td>
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<tr>
<td>C 8</td>
<td>male</td>
<td>10.16</td>
<td>134</td>
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<tr>
<td>C 9</td>
<td>male</td>
<td>10.37</td>
<td>106</td>
<td>0.0</td>
</tr>
<tr>
<td>C 10</td>
<td>male</td>
<td>10.74</td>
<td>102</td>
<td>-0.2</td>
</tr>
<tr>
<td>C 11</td>
<td>female</td>
<td>10.78</td>
<td>134</td>
<td>0.1</td>
</tr>
<tr>
<td>C 12</td>
<td>female</td>
<td>10.82</td>
<td>116</td>
<td>-0.2</td>
</tr>
<tr>
<td>C 13</td>
<td>female</td>
<td>10.89</td>
<td>83</td>
<td>0.0</td>
</tr>
<tr>
<td>C 14</td>
<td>female</td>
<td>11.09</td>
<td>130</td>
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<tr>
<td>C 15</td>
<td>female</td>
<td>11.78</td>
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<td>0.1</td>
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<tr>
<td>C 16</td>
<td>male</td>
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<tr>
<td>C 17</td>
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<td>12.77</td>
<td>130</td>
<td>-0.2</td>
</tr>
<tr>
<td>C 18</td>
<td>male</td>
<td>12.92</td>
<td>124</td>
<td>-0.3</td>
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</table>

8 female mean=10.49 mean=113.17
10 male SE=0.32 SE=3.87
Table 3: Results of executive function assessment

<table>
<thead>
<tr>
<th></th>
<th>S/PVI mean</th>
<th>SE</th>
<th>MVI mean</th>
<th>SE</th>
<th>control mean</th>
<th>SE</th>
</tr>
</thead>
<tbody>
<tr>
<td>WM Total</td>
<td>99.1</td>
<td>12.59</td>
<td>101.25</td>
<td>4.08</td>
<td>100.50</td>
<td>2.41</td>
</tr>
<tr>
<td>Digit Span</td>
<td>10.44</td>
<td>1.21</td>
<td>8.75</td>
<td>0.70</td>
<td>9.22</td>
<td>0.66</td>
</tr>
<tr>
<td>L-N Seq</td>
<td>9.56</td>
<td>1.59</td>
<td>10.12</td>
<td>0.97</td>
<td>11.17</td>
<td>0.32</td>
</tr>
<tr>
<td>Aud Att Sustained</td>
<td>10.11</td>
<td>1.02</td>
<td>10.38</td>
<td>0.84</td>
<td>10.94</td>
<td>0.70</td>
</tr>
<tr>
<td>Divided</td>
<td>10.78</td>
<td>1.39</td>
<td>11.00</td>
<td>1.10</td>
<td>12.89</td>
<td>0.64</td>
</tr>
<tr>
<td>Verb F1 Letter</td>
<td>12.75</td>
<td>1.95</td>
<td>13.00</td>
<td>1.30</td>
<td>12.63</td>
<td>0.99</td>
</tr>
<tr>
<td>Category</td>
<td>9.25</td>
<td>1.86</td>
<td>11.12</td>
<td>0.93</td>
<td>12.06</td>
<td>0.87</td>
</tr>
<tr>
<td>Swtch Resp</td>
<td>11.12</td>
<td>1.52</td>
<td>12.50</td>
<td>1.32</td>
<td>11.76</td>
<td>0.62</td>
</tr>
<tr>
<td>Swtch Acc</td>
<td>10.50</td>
<td>1.50</td>
<td>12.00</td>
<td>1.16</td>
<td>12.06</td>
<td>0.52</td>
</tr>
<tr>
<td>BRIEF GEC</td>
<td>62.86</td>
<td>5.43</td>
<td>58.12</td>
<td>7.33</td>
<td>45.11</td>
<td>3.25</td>
</tr>
<tr>
<td>BRI</td>
<td>59.14</td>
<td>6.51</td>
<td>58.88</td>
<td>6.96</td>
<td>42.83</td>
<td>4.10</td>
</tr>
<tr>
<td>MI</td>
<td>62.86</td>
<td>4.68</td>
<td>57.75</td>
<td>6.85</td>
<td>43.50</td>
<td>1.93</td>
</tr>
</tbody>
</table>

Abbreviations: Aud Att: Auditory Attention; BRI: Behavioral Regulation Index; BRIEF: Behavior Rating Inventory of Executive Function; EF: Executive Function; GEC: Global Executive Composite; L-N Seq: Letter-Number Sequence; MI: Metacognitive Index; MVI: mild-to-moderate visual impairment; S/Pl: severe-to-profound visual impairment; SE: standard error of the mean; Swtch Acc: Switching Accuracy; Swtch Resp: Switching Responses; Verb F1: Verbal Fluency; VI: visual impairment, WM: Working Memory