Search for resonances in the mass distribution of jet pairs with one or two jets identified as $b$-jets with the ATLAS detector with 2015 and 2016 data

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Abstract

Searches for resonances in the dijet invariant mass spectrum with one or two jets identified as $b$-jets are presented. The dataset consists of an integrated luminosity of 13.3 $fb^{-1}$ of proton–proton collisions with a centre-of-mass energy of $\sqrt{s} = 13$ TeV recorded in 2015 and 2016 by the ATLAS detector at the Large Hadron Collider. No evidence of new phenomena is found in the data. Excited $b^*$ quarks with masses up to 2.3 TeV at leading-order in QCD are excluded at 95% credibility level. Contributions of a Gaussian signal shape with effective cross sections ranging from approximately 0.2 to 0.001 pb are also excluded in the mass range 1.4–5.5 TeV.

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1. Introduction

Many extensions to the Standard Model (SM) predict the existence of new massive particles that couple to quarks ($q$) or gluons ($g$). If produced in proton–proton ($pp$) collisions at the Large Hadron Collider (LHC), these new beyond-the-SM (BSM) particles would decay, creating resonant excesses in the two-jet (dijet) invariant mass distributions [1–6]. If the new particle couples to the $b$-quark it would decay into $b\bar{b}$, $bq$ or $bg$ pairs. A dedicated search for dijet resonances with one or both jets identified as originating from a $b$-quark (“$b$-jet”) increases the signal sensitivity in this scenario.

Resonance searches using events containing two $b$-jets have been performed by the CDF [7] and CMS [8, 9] experiments, probing the mass ranges of 200–750 GeV and 1–4 TeV respectively. The increase in the centre-of-mass energy $\sqrt{s}$ to 13 TeV of the $pp$ collisions at the LHC provides a new energy regime. Heavy resonances in the mass range 1.1–5.0 TeV decaying to $b$-jets have been probed by the ATLAS experiment using 3.2 fb$^{-1}$ of $pp$ collisions at $\sqrt{s} = 13$ TeV [10]. Searches not requiring $b$-tagging have also been performed. Using 8 TeV $pp$ collision data, CMS excluded excited quarks and $Z'$ in the mass range 500–1600 GeV using data scouting [11]. ATLAS used a trigger-level analysis to perform a search for narrow resonances in the dijet mass spectrum [12] and set limits on Gaussian cross sections ranging from 3 pb at 450 GeV to 0.7 pb at 850 GeV. A new strategy for inspecting dijet masses as low as 0.6 TeV based on identifying $b$-jets at the trigger level was recently reported by the ATLAS experiment [13].

In this note a search for new narrow resonances decaying to $b$-quarks, using 13.3 fb$^{-1}$ integrated luminosity of $pp$ collisions at $\sqrt{s} = 13$ TeV collected with the ATLAS detector, is reported. The search is based on the strategy outlined in Ref. [10] and profits from an enlarged dataset and an improved algorithm for the identification of $b$-jets [14].

![Feynman Diagrams](image_url)

Figure 1: Leading-order Feynman diagrams for the two processes considered: $gb \rightarrow b^* \rightarrow bg$ and $q\bar{q} \rightarrow Z' \rightarrow bb$.

The results are interpreted in the context of two benchmark processes shown in Figure 1: an excited heavy-flavour quark $b^*$ and a new gauge boson $Z'$. Excited quarks are a consequence of quark compositeness models that were proposed to explain the generational structure and mass hierarchy of quarks [15, 16]. The $Z'$ boson arises in many extensions to the SM as an additional $U(1)$ symmetry group. Two $Z'$ models, the Sequential Standard Model (SSM) with SM-like fermion couplings and the leptophobic $Z'$ model [17, 18], are considered with a production cross-section computed at the next-to-leading (NLO) order in perturbative QCD (pQCD). The benchmark models are expected to result in a narrow resonance superimposed on a smoothly falling dijet invariant mass distribution.

This search divides the events into categories with at least one or two jets identified as $b$-jets to enhance the signal sensitivity to the two $b^*$ and $Z'$ benchmark models. Results are also interpreted in the context
of generic Gaussian-shaped signals with widths of up to 15% of the resonance mass.

2. The ATLAS detector

The ATLAS experiment [19] at the LHC is a multi-purpose particle detector with a forward-backward symmetric cylindrical geometry and a near 4\pi coverage in solid angle.\(^1\) It consists of an inner tracking detector surrounded by a thin superconducting solenoid providing a 2 T axial magnetic field, electromagnetic and hadronic calorimeters, and a muon spectrometer. The inner tracking detector covers the pseudorapidity range |\(\eta\)| < 2.5. It consists of, in ascending order of radius from the beam-line, silicon pixel, silicon microstrip, and transition radiation tracking detectors. The pixel detectors are crucial for \(b\)-jet identification. For the second LHC data-taking period, at \(\sqrt{s} = 13\) TeV, a new inner pixel layer, the Insertable B-Layer (IBL) [20, 21], was added at a mean sensor radius of 3.2 cm from the beam-line. Lead/liquid-argon (LAr) sampling calorimeters provide electromagnetic (EM) energy measurements with high granularity. A hadronic (steel/scintillator-tile) calorimeter covers the central pseudorapidity range (|\(\eta\)| < 1.7). The end-cap and forward regions are instrumented with LAr calorimeters for EM and hadronic energy measurements up to |\(\eta\)| = 4.9. A two-level trigger system, using custom hardware followed by a software-based level, is used to reduce the event rate to a maximum of around 1 kHz for offline storage.

3. Data and simulated event samples

The data were collected by the ATLAS detector in \(pp\) collisions at the LHC with a centre-of-mass energy of 13 TeV during 2015 and 2016. Events were recorded using a single-jet trigger with a threshold on the transverse momentum \(p_T\) of 360 GeV in 2015 data and of 380 GeV in 2016 data. The full data-set corresponds to an integrated luminosity of 3.2 fb\(^{-1}\) in 2015 data and 10.1 fb\(^{-1}\) in 2016 data after applying good quality criteria.

Monte Carlo (MC) simulated event samples are used to model the expected signals and study the composition of SM background processes. The QCD dijet process is simulated with Pythia 8\(^{22}\) using the A14 parameter set [23] for the modelling of the parton shower, hadronization and underlying event. The leading-order parton distribution function (PDF) set NNPDF2.3 [24] is used for the generation of events. The renormalisation and factorisation scales are set to the average \(p_T\) of the two leading jets. TheEvtGen decay package [25] is used for bottom and charm hadron decays.

All the signal models are generated with the same generator, parameter set and PDF set as the QCD dijet samples. \(Z'\) boson signal events are simulated with masses in steps of 250 GeV between 1.5 and 2 TeV, steps of 500 GeV between 2 and 3 TeV and steps of 1 TeV for signal points above 3 TeV. The intrinsic \(Z'\) width is set to 3% of the resonance mass; only decays to \(b\)-quark pairs are simulated. In the SSM \(Z'\) model, the same couplings to SM fermions as the SM \(Z\) boson are assumed for the interpretation.

\(^1\) ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the z-axis along the beam pipe. The x-axis points from the IP to the centre of the LHC ring, and the y-axis points upwards. Cylindrical coordinates \((r, \phi)\) are used in the transverse plane, \(\phi\) being the azimuthal angle around the z-axis. The pseudorapidity is defined in terms of the polar angle \(\theta\) as \(\eta = -\ln \tan(\theta/2)\). Angular distance is measured in units of \(\Delta R = \sqrt{(\Delta \eta)^2 + (\Delta \phi)^2}\).
resulting in a decay branching ratio (BR) to bottom quarks of 13.8%. The leptophobic $Z'$ model differs by having vanishing couplings to leptons. This results in a decay branching ratio to bottom quarks of 18.9% (assuming the coupling of $Z' \rightarrow WW$ is 0). The $Z'$ signals are normalised to NLO pQCD predictions [26–28]. For the $b^*$ model the same mass points are simulated. The compositeness scale is set to the excited-quark mass. The dominant decay mode is to $bg$ with a BR of 85% while the remaining decay modes are to $Wt$, $bZ$ and $by$ with BRs of 10%, 4.5% and 0.5% respectively. The intrinsic $b^*$ width is 0.6% of the resonance mass.

The generated samples are processed with the ATLAS detector simulation [29], which is based on the GEANT4 package [30]. To account for additional $pp$ interactions from the same or close-by bunch crossings, a number of minimum-bias interactions generated using Pythia 8 and the MSTW2008LO PDF set [31] are superimposed onto the hard scattering events.

4. Object reconstruction and event selection

Jets are reconstructed from noise-suppressed topological clusters [32] of energy deposited in the calorimeters using the anti-$k_t$ algorithm [33] with a radius parameter of 0.4. Jet calibrations are used to correct the jet energies and directions to those of the particles from the hard-scatter interaction. This calibration procedure is improved by a data-derived correction to the relative calibration of jets in the forward regions compared to central jets [34]. The analysis is then performed in the dijet mass range of $m_{jj} > 1.38$ TeV.

Charged-particle tracks are reconstructed with a $p_T$ threshold of 400 MeV. Event vertices are formed from these tracks and are required to have at least two tracks. The primary vertex is chosen as the vertex with the largest $\Sigma p_T^2$ of the associated tracks.

The $b$-jets are identified ($b$-tagged) by exploiting the relatively long lifetime and large mass of $b$-hadrons. The $b$-tagging methods are based on the presence of tracks with large impact parameters, the presence and properties of displaced secondary vertices, and the reconstructed flight paths of $b$- and $c$-hadrons associated with the jet [35]. This information is combined into a single discriminant by employing a multivariate algorithm. The $b$-tagging algorithm has been optimised for the 2016 LHC run as described in Ref. [14]. The $b$-tagging working point with 85% efficiency, as determined when integrating over all jets in a simulated sample of $t\bar{t}$ events, is chosen. As the average jet energies in this analysis are larger than in $t\bar{t}$ events, the efficiency is below 85% and is roughly 60% for jets with a $p_T$ of 1 TeV. The light-flavour jet rejection at the same $p_T$ is approximately 20.

Events where any of the three leading jets with $p_T > 60$ GeV is compatible with non-collision background or calorimeter noise are removed. The $p_T$ of the leading and sub-leading jets is required to be greater than 430 GeV and 60 GeV respectively. A requirement on the jet pseudorapidity, $|\eta| < 2.4$, is made on the two leading jets to ensure full tracker coverage for $b$-jet identification purposes. The rapidity difference $y^* = (y_1 - y_2)/2$ between the two leading jets is required to be $|y^*| < 0.6$. Here $y_1$ and $y_2$ are the rapidities of the leading and sub-leading jet respectively. This requirement favours $s$-channel production and reduces the large background contribution from $t$-channel multijet processes. The $b$-jet identification algorithm is applied to the two leading jets, and events are categorised as “1b”, if at least one jet is $b$-tagged, or “2b”, if both jets are $b$-tagged. The “1b” category, being defined inclusively, includes events from the “2b” category. The same event selection is applied to both 2015 and 2016 data.
The per-event $b$-tagging efficiencies as functions of the reconstructed invariant mass are shown in Figure 2. Efficiencies are for benchmark models with different $b^*$ and $Z'$ resonance masses, after the event selection is applied. The per-event $b$-tagging efficiency for $Z'$ events in the inclusive “1b” category is higher than for $b^*$ events because this process has more $b$-quarks in the final state. At high mass, the gluon from the decay of the $b^*$ has a higher probability to produce a $b\bar{b}$-pair, which causes the event tagging efficiency to be comparable for the $Z'$ and $b^*$.

Correction factors are applied to the simulated event samples to compensate for differences between data and simulation in $b$-tagging efficiencies and mis-identification rates. The correction for the $b$-tagging efficiency on $b$-jets is derived from a calibration analysis in a $b$-quark enriched sample of $t\bar{t}$.

Figure 2: The per-event $b$-tagging efficiencies after the event selection as a function of the reconstructed invariant mass, $m_{jj}$, for simulated event samples with six different $b^*$ and $Z'$ resonance masses.

Figure 3: Signal acceptance times trigger efficiency without applying $b$-tagging (inclusive) and for $\geq 1$ $b$-tag and 2 $b$-tag categories as a function of the simulated $b^*$ and the $Z'$ masses.
events in the dilepton channel.

The signal acceptance times trigger efficiency is shown in Figure 3 for the $b^*$ and $Z'$ benchmarks. The maximum combined acceptance and efficiency is 7% at 2 TeV for the $Z'$ signal in the “2b” category and 24% at 2.5 TeV for the $b^*$ signal in the “1b” category.

5. **Dijet mass spectrum**

The $m_{jj}$ spectrum is predominantly composed of jets arising from QCD interactions. The multijet background estimation does not rely on the simulation as it is obtained directly from a fit to the $m_{jj}$ distribution. The following parametrization ansatz is adopted to fit the $m_{jj}$ distribution:

$$f(x) = p_1(1 - x) x^{p_3},$$

where $p_i$ are free parameters, and $x = m_{jj}/ \sqrt{s}$. This ansatz was used in various previous searches [5, 10, 13]. Employing Wilks’ theorem [36], a log-likelihood statistic is used to confirm that no additional parameters are needed to describe the shape of the distribution. A significant improvement when adopting an additional degree of freedom in the fit function is delineated as a Wilk’s $p$-value less than 0.05. Eq. (1) is found to be adequate for the dataset being considered.

The considered $m_{jj}$ range is from 1.38 TeV up to the last data point of the inclusive “1b” and “2b” mass distributions. The lower bound of the mass range is chosen considering both trigger turn-on effects and fit stability. The fit stability is addressed by employing the Wilks’ theorem as in the fit function choice.

The fits are overlaid on the data in Figure 4. The bin widths, which range from 3% at 1.5 TeV to 2% at 5.0 TeV, are chosen to approximate the $m_{jj}$ resolution as derived from simulated QCD processes. The lower panels of Figure 4 show the significances of bin-by-bin differences between the data and the fit. These equivalent Gaussian significances are calculated from the Poisson probability, considering only statistical uncertainties. The statistical significance of localised excesses in the dijet mass distribution is quantified using the BumpHunter algorithm [37]. The algorithm compares the binned $m_{jj}$ distribution of the data to the fitted background estimate, considering contiguous mass intervals in all possible locations, from a width of two bins to one-half of the distribution. For each interval in the scan, it computes the significance of any excess found. The most discrepant intervals found by the BumpHunter algorithm are indicated by the vertical lines in Figure 4. Without considering systematic uncertainties, $p$-values of 0.75 and 0.62 are found for the “1b” and “2b” categories respectively. The $p$-value is calculated as a goodness-of-fit measure using a $\chi^2$ test statistic determined from pseudo-experiments. Thus, there is no evidence of localised contributions to the mass distribution from BSM phenomena.

6. **Systematic uncertainties**

The systematic uncertainties on the background estimate take into account the uncertainty in the choice of the fit function in Eq. (1) and the uncertainty in the parameters of the fit. The former is estimated by adding a multiplicative factor to the fit function, $x^{p_4 \log(x)}$, with $p_4$ as fourth floating parameter. The latter is estimated by fitting pseudo-experiments generated with Poisson statistics around the background predicted by the fit function.
Figure 4: Dijet mass spectra overlaid with the fits to the background function together with the results from BumpHunter and benchmark signals. The most discrepant region is indicated by the two blue lines. The lower panels show the significances per bin of the data with respect to the background fit, in terms of the number of standard deviations, considering only the statistical uncertainties. The distributions are shown for the (a) “1b” and (b) “2b” categories.

The uncertainty on the combined 2015 and 2016 integrated luminosity is 2.9%. It is derived, following a methodology similar to that detailed in Ref. [38], from a preliminary calibration of the luminosity scale using x–y beam-separation scans performed in August 2015 and May 2016.

The uncertainty in the jet energy scale and resolution is estimated using untagged jets in 13 TeV data and simulation by following the methods described in Ref. [34]. The total uncertainty is found to be approximately 1% for both the jet energy scale and the jet energy resolution across the investigated mass range.

The additional uncertainty in the energy scale of b-tagged jets is estimated using the MC samples and verified with data following the method described in Ref. [39]. The ratio $r_{\text{trk}}$ of the sum of track transverse momenta inside the jet to the total jet transverse momentum measured in the calorimeter is used for this estimate. The double ratio of $r_{\text{trk}}$ from data and simulation is formed and compared for inclusive jets and b-jets. The estimated relative additional uncertainty is found to be at most 2.6% in the jet $p_T$ spectrum of interest. This relative uncertainty is applied in addition to the nominal jet energy scale uncertainty.

Uncertainties on the correction factors for the b-tagging identification response are applied to the simulated event samples [35, 40] and are the largest uncertainties in this analysis. The uncertainty in the measured tagging efficiency of b-jets is estimated by studying $t\bar{t}$ events in 13 TeV data. An additional term is included to extrapolate the measured uncertainties to the high-$p_T$ region of interest. This term is calculated from simulated events by considering variations on the quantities affecting the b-tagging performance such as the impact parameter resolution, percentage of poorly measured tracks, description of the detector material, and track multiplicity per jet. The dominant effect on the uncertainty when
extrapolating to high-$p_T$ is related to the different tagging efficiency when smearing the track impact parameters based on the resolution measured in data and simulation. The impact of the $b$-tagging efficiency uncertainty increases with jet $p_T$ and reaches 50% above 2 TeV. The corresponding uncertainty on the $m_{jj}$ spectrum varies between 20% and 50% for the $b^*$ benchmark and between 10% and 70% for the $Z'$ benchmark.

7. Results

In the absence of a signal, 95% credibility-level upper limits are set on the cross sections of the benchmark models being considered. The limits are obtained using a Bayesian method [41]. The Bayesian credibility intervals are calculated using a posterior probability density from the likelihood function for the observed mass spectrum obtained by the fit to the background in Eq. (1), while the signal shape is taken as provided by the simulated samples. The limits are interpolated between discrete values of the mass to create a continuous curve. The systematic uncertainties associated with the integrated luminosity, jet energy scale, jet energy resolution, $b$-tagging, and alternative fit functions are included in the limit setting. The dominant contribution of the systematic uncertainties to the limit setting is the jet energy scale. The jet energy resolution effect is minimized by the binning.

The limits on $b^*$ and $Z'$ cross sections are shown in Figures 5 and 6. The $b^*$ model is excluded for $b^*$ masses from 1.38 TeV up to 2.3 TeV at leading-order in QCD. The $b^*$ model is simulated to decay to $b\gamma$ with a branching ratio of 85%. The other decays are also taken into account when computing the limits.

![Graph](image_url)

Figure 5: Observed (filled circles) and expected (dotted line) 95% credibility-level upper limits on the cross section ($\sigma$) times acceptance ($A$) times $b$-tagging efficiency ($\epsilon$) for the $b^*$ model with BR($b^* \rightarrow b\gamma$) = 85%. The dashed line shows the cross section calculated from theory.
The SSM \(Z'\) model with \(\text{BR}(Z' \rightarrow b \bar{b})=13.8\%\) cannot be excluded in this analysis at next-to-leading-order in QCD. The observed (expected) limit of the leptophobic \(Z'\) model with \(\text{BR}(Z' \rightarrow b \bar{b})=18.9\%\), assuming the coupling of \(Z' \rightarrow WW\) is 0, is 1.5 (1.6) TeV at next-to-leading-order in QCD.

Narrow resonance contributions of various widths with cross sections \(\sigma \times \text{BR}\) ranging from approximately 0.2 to 0.001 pb are excluded in the mass range 1.4–5.5 TeV, as shown in Figure 7. The limits are calculated assuming that the width of the Gaussian signal is 15\%, 10\% and 7\% of the mass of the signal. In addition a width defined by the dijet mass resolution, which is roughly 2\% for the dijet mass near 4 TeV, is also considered. The limits include systematic uncertainties due to the luminosity uncertainty, the alternative fit function, and an additional 10\% uncertainty to incorporate sources of experimental systematic uncertainties related to signal modeling. These limits are applicable when low-mass off-shell tails and non-perturbative effects on the narrow resonance signal shape can be neglected and, after applying the selection performed in this search, the reconstructed mass distribution approximates a Gaussian distribution.

8. Summary

A search for new resonances decaying to one or two \(b\)-jets in \(pp\) collisions with the ATLAS detector at the LHC is presented. The dataset corresponds to an integrated luminosity of 13.3 fb\(^{-1}\) collected at \(\sqrt{s} = 13\) TeV in 2015 and 2016. The studies use the dijet mass range of \(m_{ij} > 1.38\) TeV with \(b\)-tagging applied to the leading and sub-leading jets and categorise the events according to their \(b\)-jet...
Figure 7: The 95% credibility-level upper limits on the cross section ($\sigma$) times acceptance ($A$) times $b$-tagging efficiency ($\epsilon$) times branching ratio (BR) for resonances exhibiting a generic Gaussian shape. The circles, squares and triangles correspond to the cases where the width of the Gaussian signal ($\sigma_x$) is 15%, 10% or 7% of the signal mass. The diamonds correspond to the case where the width is given by the dijet mass resolution. The plots show the limits obtained, applying (a) at least one $b$-tag and (b) double $b$-tag.
multiplicity.

No evidence of a significant excess of events is found compared to the expectations of the Standard Model. The expected contribution from the $b^*$ model is excluded in the mass range 1.38–2.3 TeV at leading-order in QCD using the inclusive single $b$-jet channel. The expected contribution from the SSM $Z'$ can not be excluded in this analysis at next-to-leading-order in QCD using the double $b$-jet channel. The observed (expected) limit of the leptophobic $Z'$ model is 1.5 (1.6) TeV at next-to-leading-order in QCD using the double $b$-jet channel.

This analysis excludes generic high-mass particles decaying to two jets, where one or two jets originate from $b$-quarks, with visible cross sections ranging from 0.2 to 0.001 pb in the mass range 1.4–5.5 TeV. The exclusion limits are applicable for resonances exhibiting a Gaussian shape with widths ranging from the dijet mass resolution, being roughly 2% near 4 TeV, to 15%.
References


A. Mass spectrum without a signal overlaid

Figure 8 shows the comparison between data and QCD MC.

Figure 8: The dijet mass ($m_{jj}$) in the “1b” (circles) and “2b” (square) categories in data compared with PyTHIA8 simulation (“1b” blue dashed line, “2b” blue dotted line). The PyTHIA8 distributions are normalised to the corresponding distributions in data. Ratios between data and simulation are shown in the lower panels. Error bars indicate statistical uncertainties.
B. Background flavour composition

Figure 9 shows the QCD background flavour composition as a function of the reconstructed mass.

Figure 9: The dijet flavour composition of the simulated dijet background as a function of dijet mass, shown without applying $b$-tagging (inclusive) and for $\geq 1$ $b$-tag and 2 $b$-tag categories.