All-in–all-Out Magnetic Order and Propagating Spin Waves in Sm$_2$Ir$_2$O$_7$

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Using resonant magnetic x-ray scattering we address the unresolved nature of the magnetic ground state and the low-energy effective Hamiltonian of Sm$_2$Ir$_2$O$_7$, a prototypical pyrochlore iridate with a finite temperature metal-insulator transition. Through a combination of elastic and inelastic measurements, we show that the magnetic ground state is an all-in–all-out (AIAO) antiferromagnet. The magnon dispersion indicates significant electronic correlations and can be well described by a minimal Hamiltonian that includes Heisenberg exchange [$J = 27.3(6) \text{ meV}$] and Dzyaloshinskii-Moriya interactions [$D = 4.9(3) \text{ meV}$], which provides a consistent description of the magnetic order and excitations. In establishing that Sm$_2$Ir$_2$O$_7$ has the requisite inversion symmetry preserving AIAO magnetic ground state, our results support the notion that pyrochlore iridates may host correlated Weyl semimetals.

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The search for novel electronic and magnetic phenomena has recently been fruitful in the correlated, strong spin-orbit coupling regime [1–4]. The family of pyrochlore iridates, $R_2$Ir$_2$O$_7$ (where $R$ is a rare-earth element), has received much attention since the prediction of topologically nontrivial states, most prominently the Weyl semimetal [5–8]. This is motivated by the observation of metal-insulator transitions as a function of temperature and rare-earth ion radius that occur concomitantly with the onset of magnetic order [9–12]. As magnetic order breaks time-reversal symmetry, the Weyl semimetal state in these correlated materials requires the preservation of inversion symmetry, a scenario distinct from the weakly correlated limit where the opposite is true. Theoretical proposals for the magnetic order with the required symmetries in pyrochlore iridates have focused on the antiferromagnetic all-in–all-out (AIAO) structure, where the moments all point either towards or away from the center of the corner shared tetrahedra which form the iridium sublattice. The $R_2$Ir$_2$O$_7$ system thus offers an outstanding opportunity to study novel topological phases in the presence of electronic correlations.

Despite substantial experimental effort, however, the nature of the magnetic order of the Ir ions and the effective spin Hamiltonian have remained elusive in pyrochlore iridates [13–20]. Resonant elastic x-ray scattering at the Ir $L_3$ edge of Eu$_2$Ir$_2$O$_7$ has found $k = 0$ magnetic order of undetermined type [17]. Because of the small magnetic moment of the Ir ion and its high neutron absorption, neutron diffraction has only been successful in studying the rare-earth sublattice. For $R =$ Tb and Nd rare earths, AIAO magnetic order was found on the $R$ sublattice, which has been argued to provide indirect evidence for identical ordering on the Ir sublattice [14,19]. An upper limit on the size of the ordered Ir moment was placed at 0.2 $\mu_B$ (Tb) [19] and 0.5 $\mu_B$ (Y) [15].

Here, we use resonant elastic and inelastic x-ray scattering (REXS and RIXS) at the Ir $L_3$ edge to reveal the nature of the magnetic order and excitations of the pyrochlore iridate Sm$_2$Ir$_2$O$_7$. We observe the onset of long-range, $k = 0$ magnetic order at $\sim$108 K, close to the metal-insulator transition at 114 K. Analysis of the REXS cross sections constrains the magnetic ground state to be either an AIAO or $XY$ antiferromagnet. Out of these two possibilities, the AIAO structure is the only one consistent with the absence of Goldstone-like modes in the magnon spectrum measured by RIXS. Therefore, the combined REXS and RIXS results show conclusively that the magnetic ground state is AIAO. The magnon dispersion and intensity can be well reproduced by linear spin-wave theory, using a minimal Hamiltonian that includes Heisenberg exchange [$J = 27.3(6) \text{ meV}$] and Dzyaloshinskii-Moriya interactions (DMI) [$D = 4.9(3) \text{ meV}$]. The observation of well-defined spin waves indicates significant electronic correlations in Sm$_2$Ir$_2$O$_7$.

Single crystals of Sm$_2$Ir$_2$O$_7$ were grown by the self-flux method, as described in Ref. [21]. They were characterized by x-ray diffraction and SQUID magnetometry. In agreement
with literature, Sm$_2$Ir$_2$O$_7$ shows a bifurcation in the field-cooled and zero-field-cooled magnetization at 114 K.

REXS experiments were performed at beam line P09, PETRA III [22]. The sample was mounted with the [100] direction as surface normal. Polarization analysis was performed with a Au (333) analyzer. RIXS experiments were performed at beam line ID20, European Synchrotron Radiation Facility (ESRF) [23]. The overall energy resolution was 25 meV (FWHM). The incident polarization was linear, parallel to the horizontal scattering plane. The incident energy was set to 11.214 keV, 3 eV below the Ir $L_3$ absorption edge maximum.

REXS was previously used to determine $k = 0$ magnetic order in the pyrochlores Eu$_2$Ir$_2$O$_7$ and Cd$_2$Os$_2$O$_7$ [17,24]. Based on the absence of a structural distortion at the magnetic transition, the AIAO magnetic structure was proposed for both pyrochlores. Here, we extend this approach by exploiting the polarization, momentum, and azimuthal dependence of the magnetic scattering to fully characterize the magnetic structure factor.

Figure 1 shows REXS data on magnetic order of Sm$_2$Ir$_2$O$_7$. At 20 K, additional charge-forbidden $(h00)$ reflections (where $h = 4n + 2$) were discovered. This indicates the formation of $k = 0$ magnetic order. However, in addition to magnetic scattering, anisotropic tensor susceptibility (ATS) scattering also contributes to charge-forbidden $(h00)$ reflections [25]. The ATS contribution in the magnetically relevant $\sigma\pi'$ channel can be minimized by bringing the (011) direction into the scattering plane (which here defines the azimuthal angle $\phi = 0^\circ$) [17,24]. In this scattering geometry, we could identify long-range magnetic order. Figure 1(a) shows the energy dependence of the $(100)$ reflection at $\phi = 4^\circ$ (corrected for self-absorption), which resonates 3 eV below the absorption maximum, as expected for resonant magnetic scattering in iridates [2,26]. Switching to $\sigma\sigma'$ polarization reveals the shape of the ATS resonance. Two features are seen in the ATS resonance, which were previously attributed to transitions to $t_{2g}$ and $e_g$ levels [17,27,28]. Figure 1(b) shows the temperature dependence of the $(1400)$ magnetic reflection together with a power law fit. The data were corrected for beam heating (see Supplemental Material [29]). The remaining intensity above the ordering temperature ($\sim 108$ K) can be attributed to weak remnant ATS scattering at $\phi = 4^\circ$, as observed in Ref. [27].

For the Ir 16c site in the $Fd\bar{3}m$ pyrochlore space group, symmetry-allowed $k = 0$ magnetic structures can be classified in four irreducible representations $\Gamma_{3,5,7,9}$ with twelve associated basis vectors $\psi_i$ [30]. The magnetic structure

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factor can be directly examined by exploiting the REXS cross sections [31]. Figure 2(a) shows the integrated intensity of the (14 0 0) reflection in \( \sigma \pi \) polarization \( (E_i = 11.214 \text{ keV}) \) as a function of azimuthal angle \( \phi \).

The sinusoidal variation observed originates from ATS scattering \( (\propto \sin^2 2\phi) \). Additionally, a temperature-dependent intensity offset of similar magnitude to the ATS scattering is present, originating from magnetic scattering. Figure 2(b) shows that the additional spectral weight at \( \phi = 45^\circ \) in \( \sigma \pi' \) (ATS + magnetic resonance) shares the same energy dependence as the pure ATS resonance in \( \sigma \pi' \), confirming that the magnetic contribution to the resonance remains constant as a function of azimuth. The absence of intensity variation with \( \phi \) suggests that the magnetic structure factor lies parallel to the scattering vector. This is also reflected in the intensities of magnetic \((h00)\) reflections which increase with \( \sim \sin^2 \theta \propto h^2 \) [Fig. 2(c)]. It is only for basis vectors belonging to irreducible representations \( \Gamma_3 (\psi_1) \) and \( \Gamma_5 (\psi_2, \psi_3) \) that the magnetic structure factor lies parallel to \( Q = (h00) \). We therefore conclude that the magnetic structure must be either AIAO \((\Gamma_3, \text{moments along local } z \text{ axis})\) or an \( XY \) antiferromagnet \((\Gamma_5, \text{moments within local } xy \text{ planes})\). We also note that studying other families of charge-forbidden reflections cannot yield further information to distinguish between these two magnetic structures.

We now consider the magnetic excitations of \( \text{Sm}_2\text{Ir}_2\text{O}_7 \) probed by RIXS. Figures 3(a)–3(c) show constant wave vector energy scans along high-symmetry directions at 20 K. A dispersive feature is observed that reaches a maximum energy of 45 meV at the magnetic (\( \equiv \)chemical) Brillouin zone boundaries. Towards the \( \Gamma \) point \([\{7 7 7\}]\), charge and magnetic Bragg peak, the intensity of this excitation increases, while the energy decreases to 25 meV. This dispersive feature is temperature dependent, its Lorentzian spectral weight disappears above 90 K at the zone boundaries [Fig. 3(d)]. An additional broad feature, however, persists at high temperature, extending from a quasielastic response to \( \sim 200 \text{ meV} \). This broad feature appears independent of momentum transfer and temperature: Subtracting the energy scan at the zone boundary \((L)\) from energy scans towards the zone center \((\Gamma \rightarrow L)\) at 20 K reveals that only the inelastic Lorentzian feature disperses [Fig. 3(e)]. Similarly, subtracting energy scans at 160 K from energy scans at 20 K reveals that the additional spectral weight at low temperature consists predominantly of a low-energy Lorentzian component [Fig. 3(f)]. This suggests the low-energy RIXS response of \( \text{Sm}_2\text{Ir}_2\text{O}_7 \) comprises three features: an elastic signal (at 0 meV, feature A), a gapped, dispersive, temperature-dependent Lorentzian feature (at 25–45 meV, feature B), and a nondispersive, temperature-independent continuum of excitations (at 0–200 meV, feature C).

The temperature dependence of feature B suggests a magnetic origin. The magnetic excitations of AIAO order in pyrochlore iridates are predicted to be gapped \((\sim 100 \text{ meV})\) with a dispersion bandwidth of 15 meV [32]. While the spin gap we observe is smaller \((\sim 25 \text{ meV})\), the dispersion bandwidth is roughly consistent \((\sim 20 \text{ meV})\). The origin of feature C is unclear. A similar feature has
been observed in the metallic Pr$_2$Ir$_2$O$_7$ and was interpreted as paramagnetic fluctuations [20]. An alternative interpretation of feature C is lattice vibrations. Raman scattering of Sm$_2$Ir$_2$O$_7$ [33] has revealed multiple single-phonon modes that, when including their higher harmonics, would appear as a broad continuum of excitations within the RIXS energy resolution. This scenario was also proposed to occur in Na$_2$IrO$_3$ [34]. Based on these considerations, the low-energy RIXS response of Sm$_2$Ir$_2$O$_7$ was fitted with a delta function at zero energy loss for elastic scattering (feature A), a Lorentzian function for the single magnon excitation (feature B), and an antisymmetrized Lorentzian for feature C (see Supplemental Material [29]). All functions were convoluted with the instrumental resolution. The functional form of feature C was chosen on phenomenological grounds; its width and center were determined by a global refinement and then fixed for individual Q points.

To describe the magnetic excitations, we employ the minimal Hamiltonian $H = \sum_{ij}(J_{ij} S_i \cdot S_j + D_{ij} (S_i \cdot S_j) \times (S_i \times S_j))$. Here we have included Heisenberg exchange and the Dzyaloshinskii-Moriya interaction. Previous literature has also included an anisotropic exchange term $[4,7,32]$. As this term is expected to be small, we exclude it to reduce the number of free parameters. The sign of the DMI determines which ground state is realized [35]: A direct (positive) DMI will favor the AIAO ground state, whereas the indirect (negative) DMI stabilizes the XY ground state (among others). We used SpinW [36] to calculate the spin-wave spectrum in the Holstein-Primakoff approximation.

Figure 4 shows the fitted dispersion of magnetic excitations. For the XY pyrochlore antiferromagnet and $D < 0$, pseudo-Goldstone modes are expected [37–39]. This can be understood from the continuous, classical degeneracy of ground states, characterized by the arbitrary angle of the moments within the local xy planes. In our data, the magnetic excitations are, however, gapped throughout the Brillouin zone. While we are limited by the experimental resolution, close to the Γ point a gapped mode that carries the majority of spectral weight is observed [Fig. 4(a)], indicating that Goldstone-like modes are absent. An XY antiferromagnetic ordering therefore appears incompatible with the excitations we observe.

Conversely, for AIAO order and $D > 0$, all four magnetic modes are gapped [32]. In our data, only one dispersive mode can be distinguished. This mode was fitted with $J = 27.3(6)$ meV and $D = |D_{ij}| = 4.9(3)$ meV. For these parameters, two quas degenerate modes disperse from 25 to 45 meV, carrying the majority of the spectral weight. While two additional modes are predicted in the calculation, their absence in the data can be explained by their small spectral weight and low energy (20–25 meV) that will make them indistinguishable from an elastic signal.

Linear spin-wave theory implicitly assumes a strong-coupling limit. In Ref. [32], the magnetic excitations have been explicitly calculated as a function of the Hubbard interaction $U$. This allows direct comparison with our data: We observe well-defined spin waves across the entire Brillouin zone that disperse towards the Γ point, which is only compatible with large Hubbard $U$ calculations. However, the fits to the data reveal an intrinsic Lorentzian FWHM of 22(2) meV near the Γ point, on the order of the dispersion bandwidth. Indeed, Ref. [32] predicts that the magnetic excitations become strongly damped as $U$ is decreased. We thus infer the presence of intermediate-to-strong correlations in Sm$_2$Ir$_2$O$_7$.

It is also interesting to consider the fitted exchange constants. For $J_{\text{eff}} = 1/2$ moments, the ratio of DMI to Heisenberg exchange has been predicted to be $D/J \approx 0.63$ [4], whereas we find $D/J \approx 0.18$. Analysis of the crystal-field excitations shows that trigonal distortions are as strong as the spin-orbit coupling in pyrochlore iridates (both ñ400 meV, data not shown; see Refs. [40,41]). This places the ground state halfway between a $J_{\text{eff}} = 1/2$ and a $S = 1/2$ doublet and may explain the smaller exchange anisotropy.

In summary, our study has found compelling evidence for AIAO magnetic order on the Ir sublattice of Sm$_2$Ir$_2$O$_7$. While this has been identified as a prerequisite for stabilizing Weyl semimetals, the magnetic excitation...
spectrum indicates significant electronic correlations. This may place Sm$_2$Ir$_2$O$_7$ in a topologically trivial Mott limit. Recent angle resolved photoemission experiments have shown that in the paramagnetic, metallic Pr$_2$Ir$_2$O$_7$ quadratic band touching occurs at the Fermi level [42]. It will therefore be of great interest to explore the physics in between these limits by studying magnetically ordered pyrochlore iridates closer to the quantum critical point [43].

Data presented in this Letter can be obtained from Ref. [44].

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