

# Fluid forces acting on a cylinder undergoing streamwise vortex-induced vibrations

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## Abstract

This brief communication examines the fluid forces acting on a cylinder free to move in the streamwise direction throughout its response regime. The amplitude and phase of the unsteady drag coefficient are estimated from the displacement signals and a simple harmonic oscillator model. We examine the counter-intuitive reduction in vibration amplitude observed in streamwise vortex-induced vibrations (VIV) at resonance, which has remained one of the most poorly understood aspects of VIV. Our results show that it is not caused by a change in the phase of the fluid forcing with respect to the cylinder displacement, as suggested by previous researchers; instead, we show that there is a sudden decrease in the amplitude of the unsteady drag coefficient in this region. The possible cause of this result, relating to three-dimensionality in the wake, is briefly discussed.

*Keywords:* Vortex-induced vibrations, Fluid forces, Fluid-structure interaction

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## 1. Introduction

1     The problem of Vortex-Induced Vibration (VIV) of circular cylinders in  
2     crossflow is relevant to a wide range of industrial structures, such as tall chim-  
3     neys, bridges, heat exchangers, off-shore platforms and oil risers. It is a classical  
4     fluid-structure interaction problem; the vortices shed from the cylinder induce  
5     unsteady fluid forces, which cause the structure to vibrate; this motion in turn  
6     affects the wake and the vortex-induced forces. This results in a complex feed-  
7     back loop between the flow field and the structure that is controlled by the fluid  
8     forces. When the predicted vortex-shedding frequency (the Strouhal frequency),  
9      $f_{St} = StU_0/D$  (where  $St$  is the Strouhal number,  $U_0$  is the freestream veloc-  
10    ity and  $D$  is the cylinder diameter) is close to the vibration frequency of the  
11    cylinder,  $f_x$ , the cylinder motion can cause the vortex-shedding to occur at  $f_x$   
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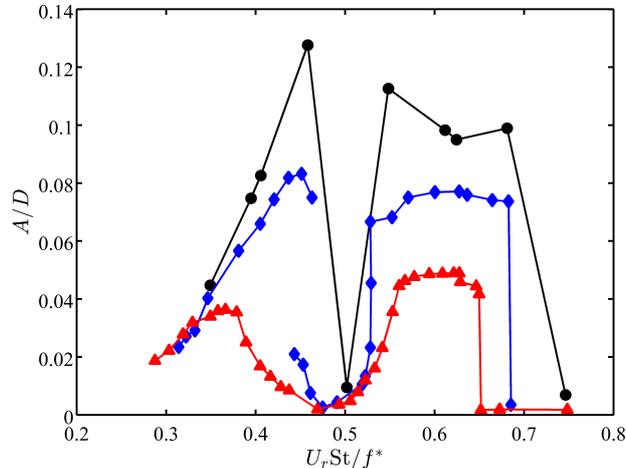


Figure 1: Amplitude response of a cylinder undergoing streamwise VIV; Jauvtis and Williamson (2003) (pivoted cylinder,  $m^* = 6.9$ ,  $\zeta = 0.0014$ , closed black circles); Aguirre (1977) ( $m^* = 1.23$ ,  $\zeta = 0.0018$ , blue diamonds); Okajima et al. (2004) ( $m^*\zeta = 0.195$ , red triangles). These studies did not provide information on  $f^*$ , which is here assumed to remain equal to 1. The characteristic reduction in amplitude at  $U_r St \approx 0.5$  is clear.

13 or a sub-harmonic instead of the Strouhal frequency, a phenomenon known as  
 14 ‘lock-in’.

15 The structural response, wake mode and the presence of lock-in are controlled by the so-called ‘true’ reduced velocity (Cagney and Balabani, 2013c;  
 16 Govardhan and Williamson, 2000; Aguirre, 1977),  $U_r St / f^*$ , where  $U_r = U_0 / f_n D$   
 17 is the conventional reduced velocity,  $f_n$  is the natural frequency measured in a  
 18 still fluid, and  $f^* = f_x / f_n$  is the frequency ratio. The ‘true’ reduced velocity  
 19 (henceforth referred to simply as the reduced velocity) is equal to the ratio of  
 20 the predicted shedding frequency to the actual response frequency,  $f_{St} / f_x$ . As  
 21 the fluctuating drag occurs at twice the shedding frequency, lock-in is expected  
 22 to occur in the streamwise direction (i.e. parallel to the flow) at  $U_r St / f^* = 0.5$ ,  
 23 and at  $U_r St / f^* = 1$  in the transverse direction (i.e. normal to the flow). This  
 24 is typically associated with a change in the arrangement of vortices in the wake  
 25 (the ‘wake mode’) and an increase in the vibration amplitude,  $A$  (Williamson  
 26 and Roshko, 1988; Morse and Williamson, 2009). However, when the cylinder  
 27 is free to move in the streamwise direction, the synchronisation between the  
 28 unsteady drag force and the cylinder vibration coincides with a sudden reduction  
 29 in amplitude (Aguirre, 1977; Jauvtis and Williamson, 2003; Okajima et al.,  
 30 2004). This paradoxical feature of VIV can be seen in Figure 1, which shows  
 31 the results of three previous studies; the reduction in vibration amplitude at  
 32 resonance is in contrast to almost all other forms of fluid-structure interaction  
 33 and remains poorly understood (Konstantinidis, 2014).

34 Nishihara et al. (2005) measured the fluid forces acting on a cylinder forced  
 35

36 to oscillate in the streamwise direction at  $A/D = 0.05$  for a range of reduced  
37 velocities and found that near  $U_r St/f^* = 0.5$  the phase difference between the  
38 cylinder displacement and the drag force changed such that energy was trans-  
39 ferred from the cylinder (i.e. it was a damping force), which they proposed to  
40 be the cause of the counter-intuitive reduction in amplitude in this region. A  
41 similar argument was presented by Konstantinidis et al. (2005) and Konstan-  
42 tinidis and Liang (2011), who examined the wake of a cylinder in pulsating flow  
43 and observed a change in the phase of the vortex-shedding near  $U_r St/f^* = 0.5$ .  
44 However, Morse and Williamson (2009) showed that the fluid force will *always*  
45 provide negative excitation (i.e. a damping force) if the cylinder is forced to  
46 oscillate at an amplitude above which it would oscillate in the free-vibration  
47 case. Konstantinidis and Liang (2011) also note this issue, pointing out that  
48 the forced oscillation experiments do not take into account the fact that the  
49 phase of the drag force with respect to the cylinder displacement will depend on  
50 the vibration amplitude. In light of this, the findings of Nishihara et al. (2005)  
51 could be said to be known *a priori* and the cause of the reduction in  $A/D$  near  
52  $U_r St/f^* = 0.5$  remains unclear.

53 In order to fully understand the complex coupling between the wake in the  
54 structural motion, knowledge of the fluid forces acting on the cylinder is re-  
55 quired. However, it is often difficult in practice to accurately measure the forces  
56 acting on a freely oscillating body; for many experimental configurations it may  
57 not be possible to attach strain gauges to the body or its supports, and the  
58 measurements may be inaccurate when the amplitude of the forces is low (Noca  
59 et al., 1999). Khalak and Williamson (1999) showed that by manipulating the  
60 equations of motion of a single degree of freedom cylinder, the amplitude and  
61 phase of the fluid forces can be expressed in terms of the displacement and the  
62 structural properties of the cylinder. This approach also captures the depen-  
63 dence of the phase difference between the fluid forces and the cylinder motion  
64 on  $A/D$ , which is often neglected in forced oscillation experiments.

65 This brief communication presents estimates of the fluid forces acting on a  
66 cylinder free to move only in the streamwise direction, using a similar approach  
67 to that of Khalak and Williamson (1999), in order to provide insight into the  
68 fluid excitation in streamwise vortex-induced vibrations. In particular, we seek  
69 to address the question of what causes the paradoxical reduction in vibration  
70 amplitude at resonance.

## 71 2. Experimental Details

### 72 2.1. Test Facilities

73 The experiments were performed in a closed-loop water tunnel, which has  
74 been described in detail by Konstantinidis et al. (2003) and Cagney and Bala-  
75 bani (2013c). It contained a  $72 \text{ mm} \times 72 \text{ mm}$  test-section, which was made of  
76 Perspex, to allow optical access.

77 In order to support the cylinder within the flow such that it was free to move  
78 only by translation in the streamwise direction, it was suspended at either end

79 using fishing wires. The wires were aligned normal to the cylinder axis and the  
80 flow direction, as shown in Figure 2. The cylinder was held in place along the  
81 wires using silicon sealant in order to prevent any transverse motion. Great care  
82 was taken to ensure that the stiffness in both wires was approximately equal,  
83 such that the supports were balanced and any non-translational motion (i.e.  
84 pitching) was negligible (see Cagney and Balabani (2013c) for more details).  
85 The frequency spectra of the cylinder displacement signals showed that any  
86 energy occurring at sub- or super-harmonics of the primary response frequency  
87 was negligible, indicating that the stiffness of the supports was essentially linear.

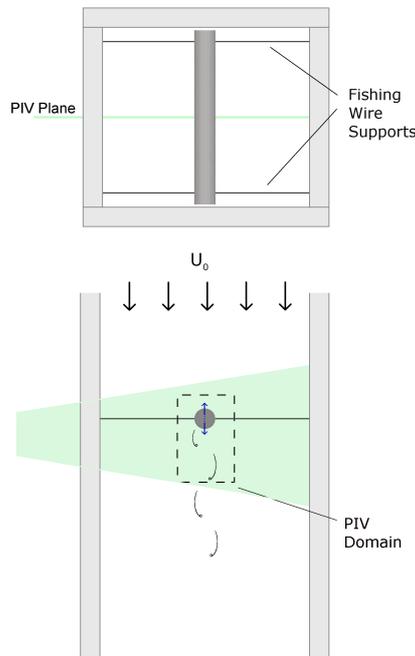


Figure 2: Plan and elevation view of the test section used, including fishing wire supports and PIV plane.

88 The cylinder had a diameter,  $D$ , and length,  $L$ , of 7.1 mm and 71 mm, respec-  
89 tively. It was made of solid Perspex and had a mass ratio,  $m^* = (\text{vibrating mass})/(\text{displaced fluid mass})$ ,  
90 of 1.17.

91 A series of tap tests were performed in still water to identify the natural  
92 frequency and hydrodynamic damping ratio, and a further series of tests were  
93 performed in air to identify these values in the absence of significant added mass  
94 effects (Sarpkaya, 2004). The natural frequency in water and air were  $f_n = 23.7$   
95 Hz and  $f_{n,a} = 33.11$  Hz, respectively. In practice it is rarely possible to directly  
96 measure the structural damping (i.e. the damping caused by internal friction),  
97 which can only be found by performing tap tests in a vacuum (Sarpkaya, 2004).  
98 While the damping ratio measured in air,  $\zeta_a$ , is often taken to represent the

99 structural damping, the true value may be as much as an order of magnitude  
100 smaller (Sarpkaya, 2004). We therefore limit our discussion to noting that we  
101 found  $\zeta_a = 0.0037$ , and the tap tests in water indicated that  $\zeta_w = 0.02$ . Both  
102 values include the influence of the structural damping.

## 103 2.2. PIV Measurements

104 The flow field surrounding the cylinder was measured using Particle-Image  
105 Velocimetry in order to estimate the vortex-shedding frequency and the freestream  
106 velocity. The PIV system and experimental procedure is the same as that de-  
107 scribed in Cagney and Balabani (2013b). An Nd:Yag laser was used to illumi-  
108 nate the plane normal to the cylinder axis at its midspan, as shown in Figure 2.  
109 The flow was seeded using silver-coated hollow glass spheres that had a mean  
110 diameter of  $10\ \mu\text{m}$ , and image-pairs were acquired using a high-speed CMOS  
111 camera (IDT X-3) and the Dynamic Studio software package (Dantec Dynam-  
112 ics). For each reduced velocity examined, 1000 image-pairs were acquired at  
113 200 Hz, which corresponded to approximately 120 cylinder vibration cycles.

114 The streamwise and transverse spans of the PIV fields were  $x/D = -1.4$  to  
115  $4.2$  and  $y/D = -1.65$  to  $1.55$ , respectively, where the origin is defined as the  
116 mean cylinder position.

117 The cylinder position and displacement signals were estimated directly from  
118 the PIV images, using a template-matching algorithm, which has been described  
119 elsewhere (Cagney and Balabani, 2013c). The method was applied to images of  
120 a cylinder undergoing known static displacements and to images which had been  
121 binned (compressed). Based on these tests, the method was found to be accurate  
122 to within 0.4 pixels, which corresponds to 0.2% of the cylinder diameter.

123 The cylinder response frequency at each reduced velocity was estimated from  
124 the power-spectral-density of the displacement signal. The amplitude response  
125 was estimated from the displacement signals, which were band-pass filtered,  
126 with cut-off frequencies of 10 Hz and 40 Hz, in order to reduce the effects of  
127 noise and any low frequency oscillations that were not associated with VIV. The  
128 vibration amplitude was taken as the mean peak height of the filtered signal.

129 The vortex-shedding frequency,  $f_v$ , was estimated from the dominant fre-  
130 quency of the transverse velocity signal extracted directly from the PIV fields  
131 at  $(x/D, y/D) = (3, 0)$ . The values of  $f_v$  measured before the onset of lock-  
132 in ( $U_r \text{St}/f^* < 0.37$ ) were used to estimate the Strouhal number,  $\text{St} = 0.2$ .  
133 PIV measurements were acquired in the reduced velocity range  $U_r \text{St}/f^* =$   
134  $0.19 - 0.62$ , which corresponded to a Reynolds number range ( $\text{Re} = U_0 D/\nu$ ,  
135 where  $\nu$  is the kinematic viscosity) of 1150 - 5400.

## 136 3. Force Estimation

137 It is common to model a cylinder undergoing VIV in one direction as a simple  
138 harmonic oscillator in order to show the dependence of the vibration amplitude  
139 on various structural properties and the fluid forces (Bearman, 1984; Sarpkaya,  
140 2004; Williamson and Govardhan, 2004). Khalak and Williamson (1999) showed

141 that this approach can also be used to find information on the fluid forces acting  
 142 on a freely oscillating cylinder if the cylinder displacement is measured.

143 The cylinder is assumed to have the characteristic equation of motion:

$$m\ddot{x} + c\dot{x} + kx = \widetilde{F}_x(t), \quad (1)$$

144 where  $m$  is the mass of the cylinder,  $c$  is the damping coefficient,  $k$  is the stiffness  
 145 of the system, and  $\widetilde{F}_x(t)$  is the fluctuating drag force. This equation can be  
 146 expressed in terms of the known structural properties by dividing both sides by  
 147  $m$  (and recalling that the natural frequency in air is given by  $f_{n,a} = \sqrt{k/m}/2\pi$   
 148 and the damping ratio is equal to  $\zeta = c/2\sqrt{km}$ );

$$\ddot{x} + 2\zeta(2\pi f_{n,a})\dot{x} + (2\pi f_{n,a})^2 x = \frac{2U_0^2}{Dm^*} \widetilde{C}_D(t), \quad (2)$$

149 where  $\widetilde{C}_D = \widetilde{F}_x/0.5\rho U_0^2 DL$  is the unsteady drag coefficient,  $\rho$  is the fluid density.

150 This approach requires a choice of damping ratio and coefficient. Khalak  
 151 and Williamson (1997, 1999) used the damping measured in air, referring to it  
 152 as the ‘structural damping’. However, as noted in Section 2.1,  $\zeta_a$  may be larger  
 153 than the true structural damping (which is not known), and neglects the role of  
 154 viscous dissipation as the cylinder vibrates in water. The damping coefficient  
 155 which includes these viscous effects can be found from the tap tests performed  
 156 in water (which measure  $\zeta_w$  and  $f_n$ ) as

$$c_w = \frac{\zeta_w k}{\pi f_n}. \quad (3)$$

157 The damping ratio in equation 2 is therefore given by

$$\zeta = \frac{c_w}{2\sqrt{mk}}. \quad (4)$$

158 Combining these expressions we get:

$$\zeta = \left( \frac{f_{n,a}}{f_n} \right) \zeta_w = 0.0277. \quad (5)$$

159 The cylinder motion and unsteady drag coefficient signals are assumed to be  
 160 sinusoidal, separated by a phase lag,  $\phi$ :

$$x(t) = A \sin(2\pi f_x t), \quad (6)$$

$$\widetilde{C}_D(t) = |\widetilde{C}_D| \sin(2\pi f_x t + \phi). \quad (7)$$

161 Only the component of the fluid forcing which occurs at the cylinder re-  
 162 sponse frequency will affect the steady-state response amplitude. Therefore, the

163 assumption in equation 7 that the forcing occurs at  $f_x$  is less restrictive than  
 164 it may at first appear; the forcing signal may contain components occurring at  
 165 a range of frequencies, but  $|\widetilde{C}_D|$  relates only to the amplitude of the compo-  
 166 nent occurring at  $f_x$ . Therefore, the analysis presented here is not restricted to  
 167 cases in which the fluid forcing is locked-in to the cylinder motion, but is ap-  
 168 plicable throughout the response regime. However, outside of the lock-in range,  
 169 the estimates of the fluctuating drag will relate to the fluid forces caused by  
 170 turbulent buffeting and the cylinder motion, rather than those caused by the  
 171 vortex-shedding.

172 Equation 6 can be differentiated to find expressions for the cylinder velocity  
 173 and acceleration. Inserting these expressions and the relations for  $x(t)$  and  $\widetilde{C}_D(t)$   
 174 into equation 2, and utilising various non-dimensional groups, the components of  
 175 the unsteady drag coefficient which are in phase with the cylinder displacement  
 176 and velocity can be expressed as:

$$|\widetilde{C}_D| \cos \phi = 2\pi^3 \frac{A}{D} \frac{m^*}{U_r^2} \left( \frac{f_{n,a}}{f_n} \right)^2 (1 - f_a^{*2}), \quad (8)$$

177 and

$$|\widetilde{C}_D| \sin \phi = 2\pi^3 \frac{A}{D} \frac{m^*}{U_r^2} \left( \frac{f_{n,a}}{f_n} \right)^2 (2\zeta f_a^*), \quad (9)$$

178 respectively, where  $f_a^* = f_x/f_{n,a}$ .

179 Equations 8 and 9 can be combined to produce expressions for the amplitude  
 180 and phase of the fluid force:

$$|\widetilde{C}_D| = 2\pi^3 \frac{A}{D} \frac{m^*}{U_r^2} \left( \frac{f_{n,a}}{f_n} \right)^2 \sqrt{(2\zeta f_a^*)^2 + (1 - f_a^{*2})^2}, \quad (10)$$

$$\phi = \tan^{-1} \left( \frac{2\zeta f_a^*}{1 - f_a^{*2}} \right). \quad (11)$$

181 Khalak and Williamson (1999) compared the estimates of the lift force acting  
 182 on a transversely oscillating cylinder found from the cylinder displacement sig-  
 183 nals to those directly measured using strain gauges, for two cylinders with mass  
 184 ratios of 3.3 and 10.1, respectively. They found the method to be reasonably  
 185 accurate for the low mass ratio cylinder, but the errors were quite large for the  
 186 high  $m^*$  case; the errors in the maximum root-mean-square (rms) values of the  
 187 lift force were approximately 6% and 33%, respectively (see Figure 12 in Khalak  
 188 and Williamson (1999)). They attributed this dependence of the accuracy on  
 189  $m^*$  to the difficulty in accurately measuring the frequency ratio of structures  
 190 with high mass ratios, which are only weakly affected by the added-mass. In  
 191 such cases  $f^*$  remains close to unity; small absolute errors in the measurement

192 of  $f_x$  will therefore correspond to large relative errors if the formulations con-  
 193 tain terms such as  $(1 - f^*)^2$  in the denominator. The formulations presented  
 194 in equations 10 and 11 are dependent on  $f_a^*$  (rather than  $f^*$ ), which does not  
 195 tend to unity at low reduced velocities. This provides a further motivation for  
 196 our use of the current formulations of these equations.

197 The mass ratio of the present system is low ( $m^* = 1.17$ ) and the changes  
 198 in  $f_a^*$  were found to be relatively large ( $f_a^* = 0.73$  and  $0.93$  at the lowest and  
 199 highest reduced velocities examined, respectively); therefore this method can  
 200 be expected to perform reasonably well with an uncertainty comparable to that  
 201 found by Khalak and Williamson for  $m^* = 3.3$ . However, the uncertainty may  
 202 be slightly larger when  $f_a^*$  is close to unity (i.e. at high reduced velocities).

#### 203 4. Results

204 The variation in the amplitude of the cylinder vibrations throughout the  
 205 streamwise response regime is shown in Figure 3(a). The closed symbols indicate  
 206 the reduced velocities at which the vortex-shedding was found to be locked-in  
 207 to the cylinder motion (i.e. the velocity fluctuations at  $(x/D, y/D) = (3, 0)$   
 208 occurred at  $f_x/2$ ). The cylinder response is characterised by two branches,  
 209 separated by a low amplitude region slightly below  $U_r St/f^* = 0.5$ , which is  
 210 consistent with previous studies examining the response of cylinders with single  
 211 and multiple degrees of freedom (Aguirre, 1977; Cagney and Balabani, 2013c;  
 212 Okajima et al., 2004; Jauvtis and Williamson, 2004; Blevins and Coughran,  
 213 2009). The lock-in range is  $U_r St/f^* \approx 0.37 - 0.6$ , which corresponds to the  
 214 peak of the first branch, the low amplitude region and the entirety of the second  
 215 branch. The first branch occurs over the range  $U_r St/f^* \approx 0.25 - 0.45$ , and has  
 216 a peak amplitude of  $A/D = 0.087$ . The second branch has a slightly lower peak  
 217 amplitude ( $A/D = 0.55$ ), and occurs over the range  $U_r St/f^* \approx 0.5 - 0.6$ .

218 The peak of the first branch is characterised by both symmetric and alternate  
 219 vortex-shedding, with the wake switching intermittently between the two modes.  
 220 Instantaneous vorticity fields showing these modes at the peak of the first branch  
 221 are presented in Figures 4(a) and 4(b). In the second branch, the vortices  
 222 are shed alternately, with no switching between modes, and the vortices forming  
 223 close to the cylinder base (Figure 4(c)). See Cagney and Balabani (2013c,a,b) for  
 224 a complete discussion of mode-switching and the variation in shedding patterns  
 225 throughout the response regime.

226 The variations in the estimated amplitude and phase of the fluctuating drag  
 227 coefficient found using equations 10 and 11 are shown in Figures 3(b) and 3(c),  
 228 respectively. The amplitude of the fluctuating drag is large at low reduced ve-  
 229 locities ( $U_r St/f^* \lesssim 0.44$ ). A local maximum occurs at  $U_r St/f^* = 0.39$ , which  
 230 approximately coincides with the peak of the first response branch and the  
 231 onset of lock-in. Nishihara et al. (2005) also observed large amplitude fluctu-  
 232 ating drag forces acting on a cylinder undergoing forced streamwise vibrations  
 233 ( $A/D = 0.05$ ) at low values of  $U_r St/f^*$ . This was also observed in the numeri-  
 234 cal simulations of Marzouk and Nayfeh (2009). By decomposing the signal into  
 235 components in phase with the cylinder displacement and velocity, they showed

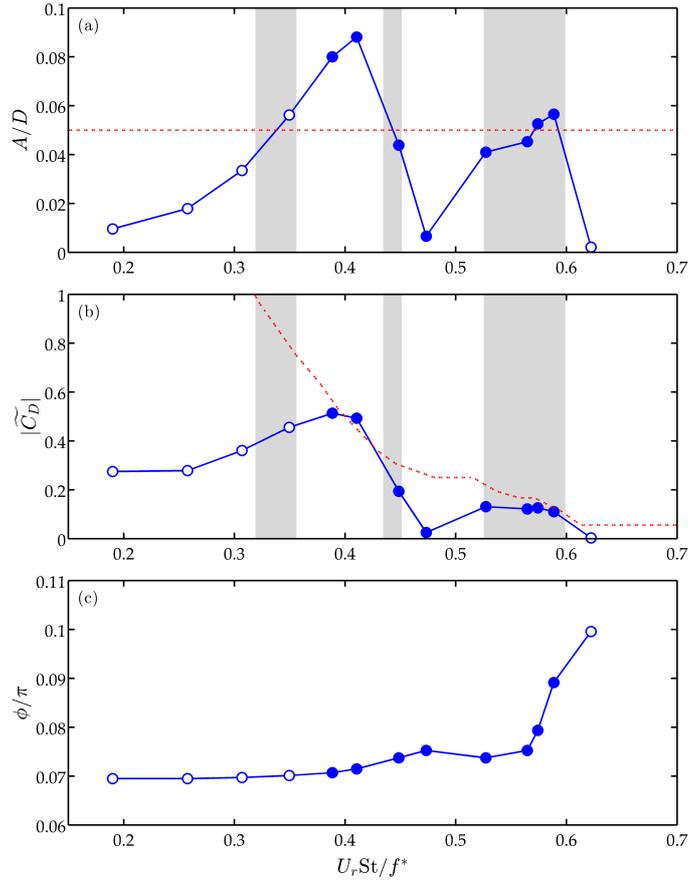


Figure 3: Amplitude response of the cylinder (a), amplitude of the fluctuating drag coefficient (b) and phase angle between the fluctuating drag and the cylinder displacement (c) throughout the streamwise response regime. The results in (b) and (c) were calculated using equations 10 and 11, respectively. The dashed red lines indicate the vibration amplitude and the magnitude of  $|\widetilde{C}_D|$  occurring at  $f_x$  measured by Nishihara et al. (2005) for the case of a cylinder undergoing forced oscillations at  $Re = 34,000$ .

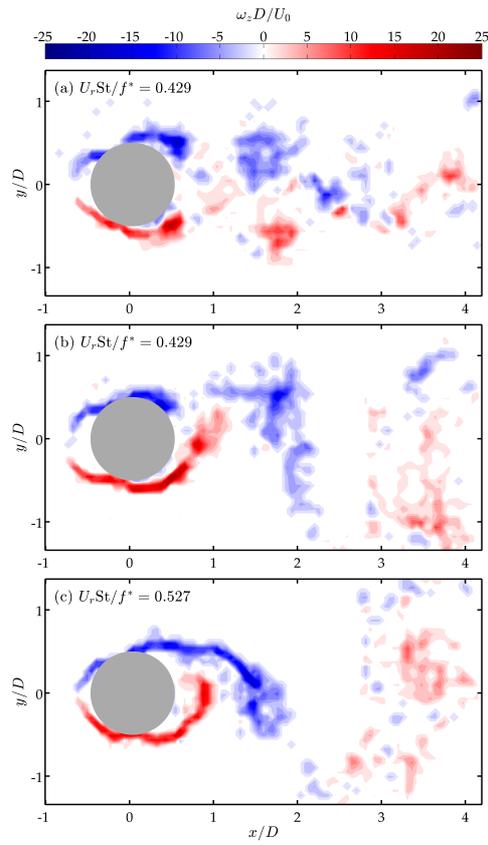


Figure 4: Instantaneous vorticity fields showing the symmetric (a) and alternate (b) modes of vortex shedding at the peak of the first response branch ( $U_r St/f^* = 0.429$ ), and the alternate shedding mode at the start of the second branch (c), at  $U_r St/f^* = 0.527$ .

236 that the large amplitude drag was caused by an increase in the inertial forces  
 237 associated with the cylinder motion. Figure 3(c) shows that the phase lag be-  
 238 tween the forcing and the displacement is low for  $U_r \text{St}/f^* \lesssim 0.25$ . This indicates  
 239 that the fluid force acts in phase with the cylinder displacement and the inertial  
 240 force acting on it (i.e. the d'Alembert force,  $-m\ddot{x}$ ), in agreement with the re-  
 241 sults of Nishihara et al. (2005) and Marzouk and Nayfeh (2009). The magnitude  
 242 of the energy transferred to the cylinder is proportional to  $|\widetilde{C}_D| \sin \phi$  (Khalak  
 243 and Williamson, 1999). Therefore, the low  $\phi$  value indicates that in spite of the  
 244 large amplitude fluctuating drag in the region  $U_r \text{St}/f^* \lesssim 0.44$ , the cylinder does  
 245 not experience significant levels of fluid excitation, and the response amplitude  
 246 remains low.

247 For  $U_r \text{St}/f^* \lesssim 0.3$  the vortices are shed at the Strouhal frequency, and the  
 248 cylinder does not exhibit significant vibrations. Despite the absence of lock-in,  
 249 the cylinder experiences some excitation due to turbulent buffeting; therefore  
 250 the cylinder response amplitude is non-zero, and Figure 3(c) indicates that the  
 251 fluid is transferring some energy to the structure (which corresponds to  $\phi > 0$ ).  
 252 Post-lock-in, when the amplitude response is negligible ( $U_r \text{St}/f^* > 0.6$ ), the  
 253 phase lag is larger, indicating a drop in the flow-induced inertial forces. As the  
 254 inertial forces are low, the total amplitude of the fluctuating drag also drops to  
 255 a very low value (Figure 3(b)).

256 The dashed red line in Figure 3(b) indicates the force measurements of Nishi-  
 257 hara et al. (2005), obtained for a cylinder forced to oscillate in the streamwise  
 258 direction at a constant amplitude of  $A/D = 0.05$ . The shaded regions in Figure 3  
 259 indicate the reduced velocities at which the non-dimensional vibration amplitude  
 260 was within 0.01 of the value used by Nishihara et al. (i.e.  $0.04 \leq A/D \leq 0.06$ ).  
 261 Nishihara et al. used gauges to measure the overall force acting on the oscillat-  
 262 ing cylinder, and by cross-correlating the force and the cylinder displacement  
 263 signals, found the magnitude of the component on the force acting at  $f_x$  - i.e.  
 264 the same quantity predicted by equation 10. The vibration amplitude will have  
 265 a strong effect on the magnitude of the fluid forces, and the estimates of  $|\widetilde{C}_D|$   
 266 cannot be expected to match the measurements of Nishihara et al. when the  
 267 differences in  $A/D$  are large (i.e. outside the shaded regions). However, Figure  
 268 3(b) shows that during the lock-in range the estimates are reasonably consistent  
 269 with the measured values when  $A/D \approx 0.05$ , in spite of the differences between  
 270 the two studies (e.g. the use of forced/free oscillations, Re, aspect ratio etc.),  
 271 indicating that the displacement-based method is reasonably effective. The es-  
 272 timates of  $|\widetilde{C}_D|$  found using equation 10 are larger than the values measured  
 273 by Nishihara et al. when the response amplitude in the current study is also  
 274 larger ( $A/D > 0.05$ ), and *visa versa*. This is also consistent with the equations  
 275 of motion, which show that the unsteady drag coefficient is dependent on the  
 276 vibration amplitude,  $|\widetilde{C}_D| \propto A/D$  (equation 10).

277 The phase lag between the drag and the cylinder motion does not vary  
 278 significantly between the peak of the first branch and the low amplitude region  
 279 at  $U_r \text{St}/f^* \approx 0.5$ . This indicates that the sudden decrease in the amplitude  
 280 response in this region is not caused by a change in  $\phi$ , as has been previously

281 suggested (Nishihara et al., 2005; Konstantinidis et al., 2005). In fact,  $\phi$  has  
 282 a very small local maximum at  $U_r St/f^* = 0.47$ . However, there is a dramatic  
 283 change in  $|\widetilde{C}_D|$  over this range. At  $U_r St/f^* = 0.47$ ,  $|\widetilde{C}_D|$  has approximately  
 284 the same amplitude as observed post-lock-in, when  $A/D$  is also negligible. This  
 285 indicates that the low amplitude observed in this region is caused by a reduction  
 286 in the amplitude of the fluctuating drag force, rather than a change in its phase.  
 287 This is discussed further in the following section.

288 Within the second branch there is an increase in the amplitude of the un-  
 289 steady drag coefficient, although the peak amplitude observed,  $|\widetilde{C}_D| = 0.13$ , is  
 290 considerably lower than that observed in the first branch. However, Figure 3(c)  
 291 indicates that the phase angle is larger in the second branch, which is associ-  
 292 ated with increased levels of energy transfer to the cylinder and accounts for  
 293 the reasonably large levels of  $A/D$  observed in this region.

294 As noted in Section 3, we define the damping ratio in terms of the damping  
 295 coefficient measured in still water. In contrast, Khalak and Williamson (1997,  
 296 1999) and Govardhan and Williamson (2000) chose to use an approximation of  
 297 the structural damping, based on tests performed in air. In order to study the  
 298 effect of the choice of damping ratio on the estimates of the unsteady drag force,  
 299  $|\widetilde{C}_D|$  and  $\phi$  were calculated for three different values of  $\zeta$ ; the damping ratio  
 300 measured in air (as chosen by Khalak and Williamson (1999) and Govardhan  
 301 and Williamson (2000)), the damping ratio measured in water, and damping  
 302 ratio given by equation 5.

303 Figure 5(a) shows that the choice of  $\zeta$  has little effect on the estimates of  
 304 the amplitude of the unsteady force coefficient. This implies that the added  
 305 mass term in equation 10 (i.e.  $(1 - f_a^{*2})$  in the square root) is dominant and  
 306 the component due to damping (i.e.  $2\zeta f_a^*$ ) is relatively insignificant. However,  
 307 for high mass ratio cylinders, the added mass effects are weaker and the choice  
 308 of damping ratio is likely to have a significant effect on the accuracy of the  
 309 estimates.

310 A change in the assumed value of  $\zeta$  leads to a proportional increase in  $\tan \phi$   
 311 (equation 11), which in turn causes a corresponding increase or decrease in the  
 312 estimates shown in Figure 5(b). The increased values of  $\phi$  for  $\zeta = (f_{n,a}/f_n)\zeta_w$   
 313 (red triangles) relative to the  $\zeta_a$  case (black circles) corresponds to the increased  
 314 force that would be required to induce a cylinder to vibration in viscous water  
 315 compared to a cylinder in a vacuum. In spite of the changes in the mean values  
 316 of the phase angle for each of the cases shown in Figure 5(b), the choice of  
 317 the damping ratio results in a uniform change in  $\tan \phi$  throughout the response  
 318 regime, and therefore does not affect the general trend; i.e. the absence of a  
 319 reduction in  $\phi$  at  $U_r St/f^* \approx 0.5$ , as has been predicted in previous studies.

## 320 5. Discussion and Conclusions

321 The estimates of the unsteady drag force presented in the previous section do  
 322 not support the arguments of Nishihara et al. (2005) and Konstantinidis et al.  
 323 (2005) that the low amplitude region at  $U_r St/f^* \approx 0.5$  is caused by a reduction

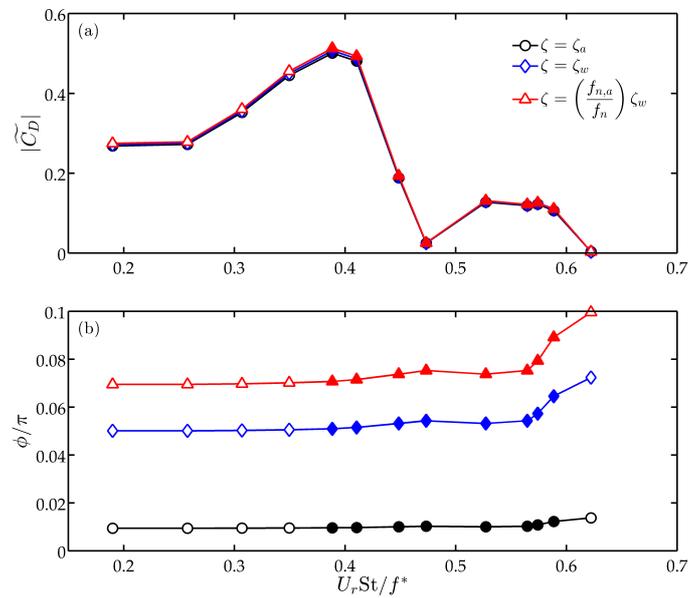


Figure 5: Amplitude (a) and phase (b) calculated throughout the response regime for three different choices of damping ratio. Khalak and Williamson (1999) used the damping ratio measured in air (black circles), while we take into account the effect of viscous drag (red triangles). The effect on  $|\widetilde{C}_D|$  is negligible, while the different damping ratios cause a shift in  $\phi$ , but do not alter its qualitative variation throughout the response regime.

324 in  $\phi$ , but instead show that this region coincides with a decrease in the forcing  
325 amplitude.

326 It is clear from the equations of motion that the reduction in the vibration  
327 amplitude must coincide with a reduction in the phase or amplitude of the  
328 unsteady forcing, or both. Therefore, it is not sufficient to simply explain the  
329 counter-intuitive low amplitude region as being ‘caused’ by a change in  $|\widetilde{C}_D|$   
330 or  $\phi$ , which is known *a priori*; rather the wake dynamics must be examined in  
331 order to explain what is causing the change in the fluid forcing.

332 One such explanation was argued by Aguirre (1977) and Okajima et al.  
333 (2004), who showed that when a splitter plate was installed behind the cylinder  
334 the low amplitude region did not occur and the first response branch continued  
335 to higher reduced velocities. At low reduced velocities in the first branch, the  
336 vortices are shed symmetrically, but the shedding becomes alternate at the peak  
337 of the first branch and throughout the low amplitude region and second branch  
338 (Figure 4). Aguirre (1977) and Okajima et al. (2004) argued that the splitter  
339 plate prevented the alternate vortex-shedding and therefore the low amplitude  
340 region was caused by the wake transitioning to the alternate shedding mode.

341 Cagney and Balabani (2013a, 2014) examined the vortex-shedding at the  
342 centre-span of cylinders with one and two degrees of freedom, respectively, and  
343 showed that at a constant reduced velocity the wake mode can switch intermit-  
344 tently between the symmetric and alternate shedding modes, but found that  
345 this does not cause any change in the streamwise or transverse vibration am-  
346 plitudes. The fact that the alternate mode does not produce a noticeable lift  
347 force is surprising given that the same mode is capable of inducing large VIV  
348 in the lift direction at other reduced velocities. Similarly, the experiments of  
349 Aguirre and Okajima et al. suggest that this change in wake mode should also  
350 result in a corresponding change in the streamwise response. These issues can  
351 be explained if the wake is highly three-dimensional in this reduced velocity  
352 range, and the shedding mode is not uniform along the cylinder span. If this is  
353 the case, the unsteady fluid forces induced by the vortex-shedding at different  
354 points along the span may destructively interfere, which may cause a reduction  
355 in  $|\widetilde{C}_D|$  (which is averaged over the length of the cylinder) and ultimately to  
356 a reduction in  $A/D$ . In order to test this would require measurements of the  
357 three-dimensionality in the wake of a cylinder which is undergoing free or forced  
358 vibrations in the region  $U_r St/f^* \approx 0.5$ .

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