A Preliminary Approach to Intelligent X-ray Imaging for Baggage Inspection at Airports

Application to the detection of threat materials and objects

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Abstract

Identifying explosives in baggage at airports relies on being able to characterize the materials that make up an X-ray image. If a suspicion is generated during the imaging process (step 1), the image data could be enhanced by adapting the scanning parameters (step 2).

This paper addresses the first part of this problem and uses textural signatures to recognize and characterize materials and hence enabling system control. Directional Gabor-type filtering was applied to a series of different X-ray images. Images were processed in such a way as to simulate a line scanning geometry. Based on our experiments with images of industrial standards and our own samples it was found that different materials could be characterized in terms of the frequency range and orientation of the filters. It was also found that the signal strength generated by the filters could be used as an indicator of visibility and optimum imaging conditions predicted.

Keywords

X-ray Imaging and Vision; X-ray Image Based Material Discrimination; Gabor Filters; Intelligent Adaptive Imaging; Energetic Materials; Liquid and Plastic Explosives; Weapons; Material Discrimination

Introduction

Baggage carried on board aircraft or post check-in baggage is subject to X-ray inspection to identify illegal materials such as weapons or explosives. To enhance the detection of these threats it has been proposed that intelligent baggage scanning based on the I-ImaS concept (Griffiths et al. 2008) could be used. The outcome would be a personalized inspection, tailored to each piece of baggage, meaning an enhanced level of threat detection. The I-ImaS process requires a dual line scanning approach where the first line scan generates data that could be used to intelligently adapt the scanning parameters for the second line scan data collection. Such a concept has shown to enhance detection in medical imaging scenarios (Martin et al. 1999, Huda et al. 2003) and could be used to enhance the performance of current inspection techniques. Current levels of false positives/false negatives achieved in airport security systems is a closely guarded secret but in any X-ray imaging application optimization of imaging parameters will lead to image quality improvements. Unlike medical imaging systems where exposure factors can be modified on a patient by patient basis this has not been feasible in airport security systems as it would require operator intervention for every piece of scanned baggage. Using the I-ImaS concept this optimization process would be happen automatically ensuring that the highest quality, most informative information is presented to the operator irrespective of the type, size and density of the objects being scanned. Hence the X-ray baggage scanners of the future would provide ‘personalised’ inspection and hence mirror the aspirations of the medical profession.

Enhancement of baggage scanning images has been studied using image processing techniques post-acquisition of the image by several authors. The essential processing steps (Woodell G. et al. 2004) have been histogram modifications, high-pass filtering, sharp (or unsharp) masking or other auto-level methods on the gray scale images such as scene de-cluttering (Abidi B. at al. 2004). However, none of these techniques have been proposed as feedback signals to enhance the acquisition of image data.

Novel combined hardware and software methods such as software-filtering and (Zhiyu Chen et al. 2005) dual energy acquisition techniques have been
developed but these do not use any real-time adaptation to optimize detection. Shape based techniques were developed to detect concealed weapons (Franzel T., et al. 2012, Gesick R, et al. 2009) including multiple view x-ray techniques (Merry D et al. 2013) but, generally these are limited to specific threat shapes.

More success was demonstrated but with significant computational and hardware costs by using a combination of multi energy computed tomography and object recognition techniques (Willson P. D. et al. 1997). Here automated object detection is significantly dependent on predefined shape and material targets.

In medical work the use of adaptive imaging has generally, but not exclusively, been used to optimize the dose to the patient. Adaptive frame scanning with pulsed X-rays for control and minimizing the radiation dose was developed by Farhad Gheimsarai, Perkin Elmer Patent 2012 and the I-ImaS approach has been shown to reduce dose by up to 60% in comparison to conventional imaging systems.

It is a two-step process (see Longo et al. 2007) which uses adaptive analysis of the images to create maximum diagnostic information within given dose constraints as shown by Griffiths et al. 2008. Firstly a limited area is imaged and based upon analysis of that data the second step involves change or no-change to the imaging parameters for the second ‘look’ at that area.

Adaptive or intelligent baggage scanning is also a two step process that must be undertaken on a strip by strip process as the bag passes through the baggage scanner. If the beam from the X-ray source is divided into two separate line scans then as the bag passes through the system it will encounter two fan beams of radiation. The encounter with the first beam allows step one in the two step process to take place followed by step two when the bag reaches the second beam. In the first step enough information needs to be gathered about a strip within the bag for a decision to be made about the contents. If the contents are suspicious then the imaging conditions at step two need to be adjusted to allow a more informed decision to be made. Unlike the medical case where changes are made to optimize dose in the security example changes would be made to enhance the detection of suspicious regions. The changes made to the imaging conditions could include altering the kV or mA on the X-ray tube, using different X-ray filters, increasing or decreasing the pixel size of the detector by binning or unbinning pixels, or slowing down the belt speed to allow more X-ray photons to be detected.

The work reported in this paper deals with step one and develops a novel technique for deciding whether or not a region can be classified as suspicious and hence invoke some form of change to the imaging parameters. Understanding what form that change should take is currently being researched and will be reported at a later date.

Making decisions on local regions is central to the operation of such a system and the decision based on textural analysis of the acquired image has shown successful results in the medical field (A.R. Al-Hinnawi and M. Daear, 2012). The current paper concentrates on a novel technique, based on textural information to aid in that decision in the context of security scanning. In particular the technique simulates how a human observer would respond to the image data to carry out the optimization of imaging parameters.

**Human Factors in Image Interpretation**

Texture is an important characteristic of X-ray imaged materials in passenger’s luggage. Here we implement textural analysis of materials based upon the spatial variation of the gray-levels that make up the image.

Figure 1 illustrates examples of texture from a real baggage scan. The examples are from various materials ranging from textiles, metals organic and

![Image of texture examples from a real baggage scan including objects from textiles, metals, organic and combination of them.](image_url)
combinations of them. Magnified areas (red lines) from various objects illustrate gray level variation in the region of the object, which forms the texture. Texture is widely employed for feature analysis and, for example, has been implemented in Synthetic Aperture Radar (SAR) analysis on ice (Ulaby F. et al. 1986.), signal classification in radar target recognition (Yu Shi and Xian-Da Zhang 2001) and in malignancy detection (Mohamed S. S et al. 2003). Gray level variation (i.e. texture) is widely used for various applications such as biometrical applications in fingerprint and iris analysis, as well as a combination of them as shown in Yunhong Wang et al., 2003, where textural features are fundamental in generating a map of image features.

The research history on textural analysis in imaging fields (Clausi D.A. and Jernigan M.E., 2000) suggests that simple cells in mammals and the human vision system (HVS) may be modeled by means of textural perception shown by Julesz (Julesz B. 1962, Julesz B.1981, Julesz B.2011). In this work we do not reflect on color and stereopsis perception. The HVS has been found to be sensitive to the frequency, direction and orientation of the image elements. Psychophysical visual experiments by by Rao A.R. and Lohse G.L., 1993, showed high-level features for texture discrimination, such as repetition, directionality and complexity are used by participating human observers. Directionality and repetition are represented by the orientation and frequency whilst complexity is related to texture consistency.

Furthermore, Marcelia S. (1980) and Daugman J. (1985) modeled receptive fields of simple cells in the primary visual cortex of mammals with 2D Gabor functions, yielding indistinguishable residual error with experimental data similar to Jones and Palmer (1987). Other computational models of periodic and aperiodic pattern selective cells, called grating and bar-cells (found in areas V1 and V2 of monkey’s visual cortex) were presented by Petkov et al. (1997). A model of a simple cell has been presented by Azzopardi et al 2012 where the authors use Gaussian in favor of Gabor filters. These cells respond strongly to images of bar gratings of a given orientation and frequency but very weakly or not at all to single bars. Campbell and Kulikowski (1966), found that humans have orientation and spatial frequency sensitivity, which can be modeled as a number of independent detector mechanisms; each represented by a narrow band filter tuned to a different frequency. Gabor filters have both frequency and orientation selective properties. They possess optimal joint resolution in the spatial and frequency domain (Daugman J. (1985), Jain A.K. (1991)) and are therefore suited for modeling the receptive field of simple cells in the HVS.

Physical Factors in Image Formation

An important factor is to relate the object thickness and absorption to its textural content. X-ray transmission of the detected signal depends upon the thickness and type of material, i.e., the radiation pathlength. However, in most baggage scanning examples the total thickness of the object, i.e., the suitcase and its contents, does not change significantly and hence changes in the recorded X-ray transmission, i.e., grey levels in the image, relates primarily to the attenuation coefficient of the materials that make up the suitcase contents.

To address the variation in the content of the luggage and packaging a number of examples were used including:

- industry standards obtained from 3DX-RAY Ltd, Loughborough, UK
- packages constructed following discussion with U.K. Home Office, and
- liquids.

Other factors such as beam hardening will not be a problem as baggage scanners operate with a pre-hardened beam quality. Scattering is also minimal due to the use of narrow X-ray fan beams.

Thus in the current work we use a Gabor filter bank as a textural ‘analyzing core’ within an airport security scanning development based on the I-ImaS principle. In doing this we generate a novel feature space of material descriptors (‘Uroukov’s code’ ) based on the textures of imaged material. This space is used to generate a map of targeted materials that can be used for feedback to the scanning system to enhance the information, i.e., the image, presented to the operator.

Visibility Model and ‘Uroukov’s Code’

The textural analysis uses an X-ray grey scale image and is based on ‘seeing’ textures where textures are associated with object properties more strongly than the mean grey levels. The main difference between the mean grey level and texture metric is that the latter relies on the differences in the spatial arrangement of the grey levels. The intensity of X-rays transmitted through an object is related to $e^{-\mu x}$ where $\mu$ is the attenuation coefficient and $x$ is the thickness of
material. The grey level recorded by a detector is directly related to the transmitted intensity. However, many materials are undistinguishable even when dual energy techniques are used. Real images demonstrate advanced textural properties and hence, textural characterization is more robust at characterizing materials than just using absorption measures.

‘Seeing’ Textures and Gabor Filters.

Biologically inspired image processing (see Marcelja 1980, Daugman 1980, 1985) has shown that the human visual system performs in a multi-resolutional decomposition manner. Wavelets are intrinsically multi-resolutional and they have been used to model and detect textures (see Coggins J.M. and Jain A.K., 1985, Jain A. K. at al.1997). In this work the Gabor function (see Daugman 1980) has been used to design a multi-channel filter bank, i.e., a range of filters based upon the Gabor function that vary in frequency.

A Gabor function is a Gaussian modulated by a sinusoid. It is written as the product of an elliptical Gaussian (centered at \((x, y)\)) and a complex sinusoid:

\[
f(x, y) = \frac{1}{2\pi\sigma_x\sigma_y} e^{\left\{-\frac{1}{2}\left(\frac{(x-X_c)^2}{\sigma_x^2} + \frac{(y-Y_c)^2}{\sigma_y^2}\right)\right\}} e^{i2\pi[U(x-x_c) + V(y-y_c)]}
\]

where:

- \(\sigma_x, \sigma_y\) are spatial (space) constants of the Gaussian envelop along x and y axes respectively.
- \(X_c, Y_c\) - coordinates at which Gaussian is centered.
- \(U, V\) - define the harmonic spatial frequency in Cartesian coordinates such as
  \(F = \sqrt{U^2 + V^2}\) is the magnitude of spatial frequency in polar coordinates and direction \(\theta = \tan^{-1}(V/U)\), where \(U = F \cos \theta\), \(V = F \sin \theta\).

The complete filter is made up of even and odd components. In texture research (see Jain and Farroknia1991) it is widely accepted that a bank of real components of a Gabor filter is sufficient to represent the channels, hence:

\[
h(x, y) = \frac{1}{2\pi\sigma_x\sigma_y} e^{\left\{-\frac{1}{2}\left(\frac{x^2}{\sigma_x^2} + \frac{y^2}{\sigma_y^2}\right)\right\}} \cos(2\pi fx_\theta) e^{i2\pi fy_\theta}
\]

where

- \(x_\theta = x \cos \theta + y \sin \theta\), \(y_\theta = -x \sin \theta + y \cos \theta\)
- \(x, y\) denotes coordinates of pixels in the image

**FIG. 2** A FILTER KERNEL DESIGNED WITH A CIRCULAR GAUSSIAN \([\text{PIXELS}]\). THE SIZE OF THE MASK IS 51X51 PIXELS AND THE PERIOD IS 0.74[revol./img.width]. THE RADIAL FREQUENCY IS \(\sigma = 2\pi / 6\sqrt{2} = 0.74\) AND ORIENTATION IS \(\theta = 0^\circ\).

**B** FORMATION OF THE FILTER BANK RESPONSE IN URIKOV’S CODE. FOR RESEARCH PURPOSES A SET OF KNOWN MATERIALS MAY BE USED TO TRAIN THE SYSTEM TO IDENTIFY HAZARDOUS SUBSTANCES OF INTEREST, I.E. PLASTIC OR LIQUID ENERGETIC MATERIALS. PRACTICALLY, A SET OF LOCALIZED AREAS FROM THE IMAGE ARE SELECTED AND TAGGED BY THEIR COUNTING ORDER, SIGNIFYING THE REGIONS OF INTEREST WHERE THE MATERIALS ARE LOCALIZED. AFTER FILTERING, THE MEAN LEVELS OF PARTICULAR ROI'S FORM A VECTOR AT A PARTICULAR FREQUENCY AND ORIENTATION. THIS IS ILLUSTRATED AS MGR1, MGRn AND IS LABELLED THE MGR VECTOR.

**C** THE ARRANGEMENT OF THE MGR VECTORS OVER A RANGE OF FREQUENCIES AND ORIENTATIONS IN URIKOV’S CODE.
$\theta$ is the orientation parameter of the Gabor filter $f$ is the frequency parameter of a sinusoidal plain wave

An example of the kernel is shown in Figure 2A where the Gaussian is circular. Filtering is a convolution of the image with the mask, where the parameters $\sigma, \theta$ are set in advance. A decision on the circularity of the Gaussian has been influenced by other work where circular Gaussians were used (Lin Hong et al 1998) along with the selection of a value for $\sigma$ (the standard deviation) which involves a trade-off for a circular Gaussian, where $\sigma = \sigma_x = \sigma_y$ (Lin Hong et al 1998). The work cited deals with fingerprint analysis where a close link with a circular function is more obvious. In the mixed textures expected within images of suitcase contents it was decided not to give a bias to any preferred textural shapes and hence a circular function was chosen.

The algorithms were developed in the Matlab® (Release 2009), The MathWorks Inc. language and were run on a Dell Precision Workstation with 2 x Intel® Xeon® Quad Core processors with 12 GB of RAM. Typical run times were less than a second, which could be significantly influenced, if implemented on dedicated hardware platform. These algorithms have also been successfully used in a different application (Uroukov, et al. 2014).

Understanding the Textural Information.

To understand the efficiency of detecting different materials X-ray images were characterized by using metrics from regions of interest (ROIs) in the image, which were generated before and after filtering with the Gabor filter bank. In a real-life environment ROIs would be selected manually by the operator using a mouse to delineate the ROI, as shown to Figure 2B or automatically as a sliding sub-area moving over the image in a raster manner. In the current work the mean gray levels per ROI (MGR) were obtained from unfiltered and filtered images after they were normalized. MGR’s are characterized by the orientation and frequency of the filter that was used and Figure 2B shows an MGR descriptor for the entire ensemble of the regions of interest of a given image. We have called this space ‘Uroukov’s code’. Figure 2C is an example of this 3D space. When the MGRs are analyzed, it appears that different classes of substances are localized and hence they may be taken as an ensemble that possesses similar characteristics. Searching an image for specific substances can then be carried out on this data set.

Visibility

Using the I-ImaS concept (Griffiths et al. 2008) the optimal visibility/detection of an object can be obtained by applying feedback control to the X-ray source and/or the detectors. Visibility in a neural and visual context may be considered as the task of obtaining visual information and recognizing a specific portion of it from amongst the rest. In this context, there must be apriori information, i.e. we must know in advance what we are searching for in the image.

In our model, the information about quantity and quality of materials is presented as a ‘textural descriptor’. Using these descriptors we define visibility as the ratio, for a given ROI, between enhanced signal and the same ROI without enhancement, $\text{MGR}(f,\theta)_{\text{filtered}} / \text{MGR}_{\text{unfiltered}}$, with parameters frequency ($f$) and orientation($\theta$).

Instruments, Methods and Samples.

X-ray Imaging System

The imaging system consisted of an X-ray source (X-Tek, SR125, X-tek Systems) which was located 80 cm from the detector. The sample was placed immediately in front of the imaging array, (Pax Scan 4030A). The imaging system was calibrated across the range of exposures to be used. These calibration fields enabled all images to be corrected for dark current noise and non-uniformity of response.

During imaging of test packages the incident X-ray flux was monitored using a Keithly ionisation chamber and electrometer (type 3050, Keithley). All images were originally created in `.viv` format and later converted to `.tif` with no compression and 16 bit resolution. The PaxScan4030A acquires images with a resolution of 2304 x 3200 pixels with a pixel pitch of 127µm. To comply with industry standards of airport baggage scanners, pixels were binned to a pitch of 800µm. Binning was performed so as to preserve the original image proportions without biasing the width or height of the image.

Computing Environment

The algorithms were developed in the Matlab® (Release 2009), The MathWorks Inc. language and were run on a Dell Precision Workstation with 2 x Intel® Xeon® Quad Core processors with 12 GB of RAM.
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**Sample Preparation**

We were interested in a wide range of low Z materials such as plastics and organics. Falcon test tubes of 15 and 50 ml were filled with various organic materials including food products, ethanol, and condensed and liquid oils. The tubes were packed with bubble wrap and tape and packed in a cardboard box.

**Results**

**Image Decomposition**

The test sample box was imaged using X-ray exposure conditions that might be encountered at an airport site. Depending upon the imaging modality available at the airport, multiple views may be taken but typically only one is used. To test the algorithms, images were acquired from four directions (labelled front, rear, left and right sides). Figure 3A is the ‘front’ image and presents the typical grey scale X-ray image with numbered ROIs outlined in blue. Subtle mixtures of grey levels and their spatial arrangement are difficult to see in X-ray images unless highly magnified. Small regions are shown in Figure 3A. Two image decompositions were performed, one through a range of frequencies and another through different orientations. The frequency decomposition is presented in Figure 3B (in colour map ‘jet’) where the different images are labelled with the frequency of reconstruction. The ability of the filter bank at certain frequencies (e.g., in the frequency band f=0.42 – 0.80) to select particular types of substances can be seen. Figure 3C shows the orientation decomposition at the frequency where the energy measure, i.e., the MGR, is a maximum. At this frequency, the orientation decomposition demonstrates an ability to select organics in the angular range of 60° - 80°.

**Minimal Resolution Conditions and Operational Limits**

Airport security scanners do not operate with large area detectors such as the PaxScan system used in these experiments. Instead baggage scanners use line scan technology to acquire data and these conditions were simulated using only strips of data taken from the full 2-D, PaxScan images. Using the large area detector in this way fully simulates the normal operation of a line scan system. In most baggage scanners the pixel pitch is of the order of 800µm thus enough columns of data were chosen to consider how the system would work with 10 to 30 strips of line scanning detectors. This allowed the effect of strip
width on the operation of the filter bank to be studied. Figure 3 shows the results of these simulations. A ‘standard suitcase’ was imaged and is shown in ‘dual energy’ colour-coding mode in Figure 4A. Figure 4B is shown in ‘jet’ color-coding after decomposition is performed over the entire image. Figures 4C, 4D and 4E show reconstructions with different sized widths for the line-scan detector simulations. It can be seen that the operation of the filter was still able to recognise material textures down to a strip width of 15 pixels, significantly smaller than current baggage scanners. Most baggage scanners operate the X-ray source at 120-140kV. In our laboratory system the source had a maximum potential of 80kV. This implies a less penetrating beam and hence a more noisy image for the same X-ray tube current but does provide better image contrast. These changes have opposing effects upon detectability and hence it is expected that the overall effect upon the ability to detect objects would not be significant.

Visibility and use of ‘Uroukov’s Code’

As described, ‘Uroukov’s code’ space is the integrated signal strength from the ROIs, which include the response of a particular texture to the filter bank for a given set of parameters. To illustrate the response of

Model of Visibility for Computing Purposes

An X-ray image of sample at 80kV is shown on Figure 5A. Figure 5B demonstrates the result of applying the model for various ROI’s and shows the computed visibility per ROI. The sample was imaged over a range of X-ray tube currents in order to achieve a range of contrast to noise ratios. As an example, it can be seen that particular materials i.e. ROI 1, are ‘visualized’ better by the system at a current of 100µA when tube voltage is held at 35 kV. It can also be seen that the calculated visibility is not consistent for all ROIs and depends on the filter bank selectivity. The standard deviation is not shown on these results as it is insignificant compared to the mean values.
certain materials after passing through a filter bank, we show the integrated signal strength from organic materials with similar X-ray absorption characteristics (Figure 5C, ROI-20 and ROI-21) and different absorption characteristics (Figure 5D, ROI-14 and ROI-16) for a full set of parameters of the filter bank. These materials were: ROI 20 and ROI 21 cardboard; ROI 14 lard and ROI 16 melted chocolate. It can be seen that similar materials have similar descriptors and these are plotted for a range of frequencies (i.e. $f=0.05-0.98$) and labeled appropriately.

**An Example of Using the Model to Enhance Detection – Tobacco Detection**

A particularly challenging example in conventional X-ray scanning is the identification of tobacco. A test object was constructed of different layers:

- **Layer 1** Organic materials comprising various foods.
- **Layer 2** Organic materials comprising cosmetic and liquid-gel substances.
- **Layer 3** Tobacco products.

As it is very complicated to distinguish tobacco from paper and other dry products with high fibre and cellulose content based on their X-ray absorption, most of the current imaging systems fail to distinguish these products from drugs such as marihuana if they are not spectroscopically analysed. Figures 6A and 6C present grey scale X-ray images with numbered ROIs. Figure 6A shows an imaged bag with organic content without tobacco while Figure 6C shows a mixture of organics layers with a layer of tobacco in between.

Figure 6B shows the detection of the entire organic content and Figure 6D demonstrates detection of tobacco products hidden between foods layers. In Figure 6C, the tobacco (in the form of loose, cut tobacco and cigarettes in boxes) is hardly visible (highlighted with white punctured line) as the image is dominated by more the absorbing chocolate. However, in Figure 6D the processed image clearly shows the presence of tobacco in its different forms.

**Discussion and Conclusions**

From the work carried out in this preliminary study we have been able to show that there is a technological solution for the non-trivial security issue of characterising materials during X-ray scanning. Combining adaptable X-ray scanning technology (e.g., I-ImaS (Griffiths et al, 2008)) with signatures of materials obtained ‘on-the-fly’ would allow imaging parameters to be adjusted in real-time to optimise detection. To realise this we implemented a textural model for the characterisation of imaged materials.

Our work has been aimed at the detection of security related energetic materials. Generally, materials with similar X-ray absorption are recorded with similar grey levels in the image and hence current technology uses dual-energy X-ray scanning to help classify materials. However, many organic materials mimic the dual-energy appearance of explosives. Thus we have looked at the use of textural analysis on organic materials as a way to aid classification.

We imaged and analysed a range of materials that might mimic liquid and plastic energetic materials. Evaluated samples show that is possible to distinguish between materials due to their differences in the texture pattern despite having very similar absorption coefficients.
In order that this concept can be used to control an adaptive X-ray scanner it is necessary to make the preliminary regional characterisation on strips of data taken from line scanning technology. In this work it has been shown that strips of acquired data, consistent with current baggage scanner technology, are adequate to characterise materials and allow on-the-fly adaptation of the scanning system. In this proof of concept work we have used high level languages and a desk-top PC to run the algorithms. In a real-world scenario where the processing would be required to produce real-time decisions, the computing environment would need to be carefully considered. This would probably require embedded firmware to achieve the timescales required.

Adopting this real-time, adaptive approach to baggage scanning would mean changes to current systems. These changes are technological in nature (generation of feedback signals, X-ray generator controls, etc) and have not been the concern of this paper. However, it is clear that operated in the way described in this paper the opportunity exists to use ‘quick look’ data to make the appropriate decisions that would allow adaptation of exposure factors on a bag-by-bag basis to provide improved images.

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Robert Speller is the Joel Professor of Physics Applied to Medicine at University College London. He is Head of the Radiation Physics Group. A group of ~30 members with interests in scattered radiation fields, X-ray diffraction, phase contrast imaging, radioisotope mapping, heavy charged particle radiotherapy and tomographic techniques applied to a range of problems covering medicine, security and industry. He holds patents in a range of imaging techniques including phase contrast imaging and is a Fellow of the Royal College of Radiologists.