Prevalence and mechanisms of cortical superficial siderosis in cerebral amyloid angiopathy

ABSTRACT

Objective: We investigated the prevalence and clinical-radiologic associations of cortical superficial siderosis (cSS) in patients with probable cerebral amyloid angiopathy (CAA) compared to those with intracerebral hemorrhage (ICH) not attributed to CAA.

Methods: We conducted a retrospective multicenter cohort study of 120 patients with probable CAA and 2 comparison groups: 67 patients with either single lobar ICH or mixed (deep and lobar) hemorrhages; and 22 patients with strictly deep hemorrhages. We rated cSS, ICH, white matter changes, and cerebral microbleeds.

Results: cSS was detected in 48 of 120 (40%; 95% confidence interval [CI]: 31.2%–49.3%) patients with probable CAA, 10 of 67 (14.9%; 95% CI: 7.4%–25.7%) with single lobar ICH or mixed hemorrhages, and 1 of 22 (4.6%; 95% CI: 0.1%–22.8%) patients with probable CAA, but none of the other patients with ICH (p < 0.001 for trend). Disseminated cSS was present in 29 of 120 (24%; 95% CI: 16.8%–32.8%) patients with probable CAA, but none of the other patients with ICH (p < 0.001). In probable CAA, age (odds ratio [OR]: 1.09; 95% CI: 1.03–1.15; p = 0.002), chronic lobar ICH (OR: 3.94; 95% CI: 1.54–10.08; p = 0.004), and a history of transient focal neurologic episodes (OR: 11.08; 95% CI: 3.49–35.19; p < 0.001) were independently associated with cSS. However, cSS occurred in 17 of 48 patients with probable CAA (35.4%; 95% CI: 22.2%–50.5%) without chronic lobar ICH.

Conclusions: cSS (particularly if disseminated) is a common and characteristic feature of CAA. Chronic lobar ICH is an independent risk factor for cSS, but the causal direction and mechanism of association are uncertain. Hemorrhage into the subarachnoid space, independent of previous (chronic) lobar ICH, must also contribute to cSS in CAA. Transient focal neurologic episodes are the strongest clinical marker of cSS.

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GLOSSARY

CAA = cerebral amyloid angiopathy; CI = confidence interval; CMB = cerebral microbleed; cSAH = convexity subarachnoid hemorrhage; cSS = cortical superficial siderosis; FLAIR = fluid-attenuated inversion recovery; ICH = intracerebral hemorrhage; OR = odds ratio; T2*-GRE = T2*-weighted gradient recalled echo.

Sporadic cerebral amyloid angiopathy (CAA) is a common age-related small-vessel disease caused by progressive deposition of amyloid-β in the walls of small arteries, arterioles, and capillaries in the cerebral cortex and overlying leptomeninges.1 CAA is most often recognized in life by symptomatic lobar intracerebral hemorrhage (ICH) in elderly patients.1–3 CAA is also associated with characteristic MRI findings including lobar cerebral microbleeds (CMBs) and white matter hyperintensities (leukoaraiosis).1,4 Recent studies have identified cortical superficial siderosis (cSS) as another manifestation of CAA.5–8 In CAA, cSS has a characteristic predilection for the cerebral convexities, reflecting linear blood residues in the superficial layers of the cerebral cortex or in the subarachnoid space.8–10 cSS may
have clinical relevance as an important cause of transient focal neurologic episodes (sometimes called “amyloid spells”), and a potential “warning sign” for future symptomatic ICH.

Although cSS is a promising diagnostic neuroimaging marker of CAA, the strength of the association and underlying mechanisms have not been systematically studied. In the present study, we therefore sought to determine the prevalence and extent of cSS in a European multicenter cohort of patients with clinical-radiologic probable CAA, and to investigate its associations with other imaging findings including CMBs, white matter changes, and ICH. We hypothesized that cSS 1) is common in subjects with probable CAA, and more prevalent compared to comparison subjects with other spontaneous ICH not attributed to probable CAA, and 2) is associated with other hemorrhagic manifestations of CAA (lobar ICH and lobar CMBs).

METHODS Study population. We included consecutive patients diagnosed with probable CAA (according to the original Boston criteria, i.e., not including cSS as a criterion; see below) at 4 stroke centers over defined time periods (figure e-1 on the Neurology® Web site at www.neurology.org). At participating centers, MRI scanning is a routine investigation for cases of suspected CAA, unless there are contraindications. Essential inclusion criteria for the main CAA case group were 1) probable or pathologically verified CAA defined according to the Boston criteria, including lobar CMBs, but not cSS; and 2) available MRI sequences of adequate quality including T2*-weighted gradient recalled echo (T2*-GRE) sequences. Cases were reviewed blinded to clinical data. Hemorrhagic lesions, ischemic lesions (chronic or acute), and white matter hyperintensities (leukoaraiosis) were recorded according to predefined standardized criteria. CMBs were evaluated on T2*-GRE images.

Prior symptomatic ICH was defined as a symptomatic stroke syndrome associated with imaging evidence of a corresponding “macro” ICH (>5 mm in diameter on T2*-GRE), Asymptomatic prior ICH (>5 mm in diameter on T2*-GRE MRI) was also noted: chronic ICH was defined on neuroimaging as ICH with no acute bleeding identified on either CT or MRI scans. Acute convexity subarachnoid hemorrhage (cSAH) was defined as linear hypointensity in the subarachnoid space affecting one or more cortical sulci of the cerebral convexities on T2*-GRE sequences with corresponding hyperintensity in the subarachnoid space on T1-weighted or FLAIR images. cSS was defined as linear residues of chronic blood products in the superficial layers of the cerebral cortex showing a characteristic “griform” pattern of low signal on T2*-GRE images, without corresponding hypointensity signal on T1-weighted or FLAIR images. We did not include cSS if it was contiguous with any ICH. The distribution of cSS and acute cSAH was classified as focal (restricted to ≤3 sulci) or disseminated (≥4 sulci). We also noted whether cSS was contralateral or ipsilateral to any chronic ICH. Using a sample of MRI scans from patients with probable CAA (n = 48) and control, non-CAA cases (n = 27), the interrater agreement for the presence or absence of cSS was 93.3% (Cohen κ = 0.85) and for cSS categories was 95.1% (weighted Cohen κ = 0.86). White matter hyperintensities (leukoaraiosis) were assessed with the 4-step simplified Fazekas Rating Scale, from 0 to 3 (0 = no lesions; 1 = focal lesions; 2 = early confluent; 3 = confluent).

Statistical analysis. We compared demographic, clinical, and imaging characteristics of patients with probable CAA, with vs without cSS. Both binary and ordinal logistic regression analyses were used to identify predictors of cSS (cSS presence and cSS extent, respectively). Multivariable analysis was adjusted for age, lobar CMBs (used as a categorical variable: 0, 1, 2–4, and >4 CMBs), presence of chronic lobar ICH, and history of transient focal neurologic episodes, based on the results of univariable analysis, plus other biologically plausible confounders. As a sensitivity analysis, we repeated these analyses with the addition of hypertension in our multivariable regression models. Because the results of binary and ordinal logistic regression analyses were consistent, we present only the results of the binary logistic regression analysis. A p value ≤0.05 was considered to be statistically significant. Data were missing in less than 5% of participants; these cases were excluded from univariable and multivariable analyses that included a missing variable of interest. For the main multivariable model presented, there were no missing data. All statistical

Data collection. Demographic and clinical information was obtained from prospective databases and by medical records review using standardized data collection forms. Hypertension was defined as a history of hypertension, taking antihypertensive treatment, or documented elevated blood pressure (systolic >150 or diastolic >95 mm Hg) before admission; diabetes as ongoing use of a hypoglycemic agent; and smoking as history of tobacco use before admission. In patients with probable CAA, a clearly documented history of transient (<24 hours), fully resolving, focal neurologic episodes with no known alternative explanation other than CAA (e.g., structural brain lesion, atrial fibrillation, or extracranial or intracranial stenosis) was ascertained by review of medical records.

MRI acquisition and analysis. The MRI protocol was similar in each hospital. Imaging was at 1.5-tesla field strength for all patients and included T1-weighted, T2-weighted, FLAIR, T2*-GRE (slice thickness 5 mm, repetition time 500–1,000 milliseconds, echo time 40/26/15/50–70 milliseconds), and diffusion-weighted imaging sequences. Images were reviewed blinded to clinical data. Hemorrhagic lesions, ischemic lesions (chronic or acute), and white matter hyperintensities (leukoaraiosis) were recorded according to predefined standardized criteria. CMBs were evaluated on T2*-GRE images.

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analyses were performed using STATA software (version 11.2; StataCorp, College Station, TX).

RESULTS

The final cohort consisted of 209 patients: 120 patients with probable CAA (9 with supportive pathology) based on the Boston criteria and 89 patients with other ICH not fulfilling the Boston criteria for probable CAA, forming 2 comparison groups—67 patients with a single lobar ICH or mixed (lobar and deep) hemorrhages, and 22 patients with strictly deep hemorrhages (table 1). Cortical superficial siderosis (cSS) was detected in 48 of 120 (40%; 95% confidence interval [CI]: 31.2%–49.3%) patients with probable CAA, compared with 10 of 67 (14.9%; 95% CI: 7.4%–25.7%) of the patients with a single lobar ICH or mixed (lobar and deep) hemorrhages, and only 1 of 22 (4.6%) patients with strictly deep hemorrhages (p, 0.001 for trend) (table 1 and figure 1). Within the single lobar ICH or mixed hemorrhage group, cSS was found in 2 of 33 (6.1%) patients with single lobar ICH and no CMBs, 2 of 11 (18%) patients with lobar ICH and deep or mixed CMBs, and 3 of 17 (18%) patients with deep ICH and lobar or mixed CMBs (see table e-1 for the frequency of cSS in detailed patient subgroups). Disseminated cSS was observed in 29 of 120 (24.2%; 95% CI: 16.8%–32.8%) patients with probable CAA but in none of the other 89 patients not fulfilling the original Boston criteria for probable CAA (p < 0.001). In patients with single lobar ICH or mixed hemorrhages, cSS (10 of 67) was always focal; of these 10 patients with cSS, 7 (70%) had lobar CMBs (table e-2).

In 17 of the 48 patients with probable CAA and cSS, there was no evidence of chronic lobar ICH. Representative examples of cSS are shown in figure 2.

Table 1 Characteristics of patients with probable CAA and our comparison patient groups with spontaneous symptomatic ICH not fulfilling the Boston criteria for probable CAA: “Single lobar ICH and mixed hemorrhages” and “strictly deep hemorrhages”

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>Probable CAA (n = 120)</th>
<th>Single lobar ICH/mixed deep and lobar hemorrhages (n = 67)</th>
<th>Strictly deep hemorrhages (n = 22)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, y</td>
<td>71.8 (70–73.5)</td>
<td>72.6 (81.3–75.3)</td>
<td>61.2 (58.5–71.8)</td>
</tr>
<tr>
<td>Male</td>
<td>67 (55.8)</td>
<td>35 (52.3)</td>
<td>15 (68.2)</td>
</tr>
<tr>
<td>Hypertension</td>
<td>72 (64.3)</td>
<td>48 (71.6)</td>
<td>21 (99.5)</td>
</tr>
<tr>
<td>Taking antithrombotics</td>
<td>25 (22.1)</td>
<td>24 (35.8)</td>
<td>7 (31.8)</td>
</tr>
<tr>
<td>Any symptomatic ICH</td>
<td>104 (86.7)b</td>
<td>67 (100)</td>
<td>22 (100)</td>
</tr>
<tr>
<td>Cortical superficial siderosis</td>
<td>48 (40)</td>
<td>10 (14.9)</td>
<td>1 (4.6)</td>
</tr>
<tr>
<td>Focal, ≤3 sulci</td>
<td>19 (15.8)</td>
<td>10 (14.9)</td>
<td>1 (4.6)</td>
</tr>
<tr>
<td>Disseminated, &gt;4 sulci</td>
<td>29 (24.2)</td>
<td>0 (0)</td>
<td>0 (0)</td>
</tr>
<tr>
<td>Acute cSAH</td>
<td>15 (12.5)</td>
<td>3 (4)</td>
<td>0 (0)</td>
</tr>
</tbody>
</table>

Abbreviations: CAA = cerebral amyloid angiopathy; cSAH = convexity subarachnoid hemorrhage; ICH = intracerebral hemorrhage.

aData are mean (95% confidence interval) or n (%).

bOne hundred four of the patients with probable CAA were admitted for spontaneous lobar ICH, 8 with transient focal neurologic episodes, 2 because of cognitive decline, 2 with acute cSAH, and 2 because of an ischemic stroke.

Figure 1 Prevalence (%; 95% CI) of cSS in different groups

The prevalence of cortical superficial siderosis (cSS) is significantly higher in patients with probable cerebral amyloid angiopathy (CAA) compared with the comparison groups (single lobar intracerebral hemorrhage [ICH] and mixed hemorrhages, and strictly deep hemorrhages). The p value for trend calculated using x² test. CI = confidence interval.
Patients with cSS more often had a history of transient focal neurologic episodes and chronic lobar ICH (table 2). However, we found no association between the presence of cSS and acute lobar ICH, lobar CMBs, or white matter hyperintensity severity.

In univariable logistic regression analysis, factors associated with cSS were age, history of transient focal neurologic episodes, and chronic lobar ICH (table e-3). Hypertension showed a negative association with cSS. In multivariable logistic regression analysis, age, presence of chronic lobar ICH, and history of transient focal neurologic episodes were independently associated with cSS, after adjusting for lobar CMBs (table 3). These results remained consistent after additional adjustment for hypertension and acute cSAH (data not shown). The results of cSS predictors in ordinal logistic regression (i.e., predictors of cSS extent: no cSS vs focal vs disseminated) were consistent and of similar effect size.

**DISCUSSION**

To our knowledge, this multicenter retrospective study is the first systematic survey of the prevalence and clinical-radiologic associations of cSS in patients with probable sporadic CAA (diagnosed by the original Boston criteria) compared to those with ICH not fulfilling these criteria. A previous study investigated the presence of cSS in patients diagnosed with CAA on histopathology: cSS was detected in 60.5% of patients with histopathologically proven CAA (n = 38; mean age 70 years) compared with none of the controls with histopathologically proven non-CAA ICH (n = 22; mean age 54 years). The authors suggested that cSS might be helpful for the clinical diagnosis of CAA. cSS has also been found in patients with hereditary cerebral hemorrhage with amyloidosis–Dutch type, a distinct genetic type of CAA, and always in the direct vicinity of a lobar ICH or a CMB.

Our findings strengthen the hypothesis that cSS (especially if disseminated) is a characteristic neuroimaging marker for CAA. We noted cSS in 40% of patients with probable CAA, disseminated cSS only in patients with probable CAA, and found that cSS is much rarer (prevalence less than 5%) in patients with a “strictly deep” pattern of ICH than with probable CAA. We also detected cSS in 15% of patients with a single lobar ICH or mixed (lobar and deep) hemorrhages; however, in most of these patients with mixed hemorrhages, lobar CMBs were also present, suggesting that they might in fact harbor some degree of CAA pathology.

Among patients with a single lobar ICH and no CMBs (i.e., “possible CAA” according to the original Boston criteria), the prevalence of cSS was also very low (6%). In a validation of these criteria, 16 of 26 patients (62%) classified as possible CAA had pathologically confirmed CAA, but only 11 of the pathologically diagnosed patients had T2*-GRE imaging, limiting the generalizability of the findings to current cohorts (including our study), in which such imaging is now routine. In another validation study of the Boston criteria, using T2*-weighted gradient echo MRI in a hereditary Dutch-type CAA population, all patients with lobar
ICH also had CMBs.19 Our data suggest that further pathologic correlation is required to determine the prevalence of pathologically confirmed CAA in patients with angiolytic ICH (and no CMBs or SS) on appropriate blood-sensitive MRI sequences. Further studies with histopathologic confirmation or in vivo amyloid imaging20 will also help to determine whether cSS is a useful diagnostic feature of CAA in patients with mixed patterns of hemorrhage.

In the healthy population-based Rotterdam Scan Study, cSS was found in 0.7% (7/1,062) of elderly individuals (mean age 69.6 years), all of whom had lobar CMBs (6 had strictly lobar CMBs, 5 of whom had multiple CMBs) in close vicinity to the cSS.10 In agreement with our findings, the mean age of persons with cSS was higher than in those without cSS (mean age 79.9 vs 69.6 years; \( p < 0.001 \)), and also compared with persons who had CMBs but no cSS (mean age 71.8 years).10 Because the severity of CAA is age-related,1,3 these findings, together with our data showing an association of cSS with chronic ICH, suggest that cSS might be a marker for other pathologic correlates.

### Table 2 Characteristics and comparison of CAA patients with and without cSS

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>All probable CAA (n = 120)</th>
<th>cSS (+) (n = 48)</th>
<th>cSS (-) (n = 72)</th>
<th>p Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, y, mean (95% CI)</td>
<td>71.8 (70.7–73.5)</td>
<td>74.8 (72.3–77.3)</td>
<td>69.7 (67.4–72.1)</td>
<td>0.005</td>
</tr>
<tr>
<td>Male, n (%)</td>
<td>67 (55.8)</td>
<td>25 (52.1)</td>
<td>42 (58.3)</td>
<td>0.499</td>
</tr>
<tr>
<td>Hypertension, n (%)</td>
<td>72 (64.3)</td>
<td>20 (41.7)</td>
<td>52 (75.4)</td>
<td>0.002</td>
</tr>
<tr>
<td>Taking antithrombotics, n (%)</td>
<td>25 (22.1)</td>
<td>9 (20.9)</td>
<td>16 (22.9)</td>
<td>0.811</td>
</tr>
<tr>
<td>History of symptomatic ICH, n (%)</td>
<td>46 (40.7)</td>
<td>18 (41.9)</td>
<td>28 (40)</td>
<td>0.845</td>
</tr>
<tr>
<td>History of TFNE, n (%)</td>
<td>26 (21.7)</td>
<td>19 (39.6)</td>
<td>7 (9.7)</td>
<td>&lt;0.001</td>
</tr>
</tbody>
</table>

### Neuroimaging characteristics

| Chronic lobar ICH, n (%)                  | 61 (51.3)                  | 31 (64.6)       | 30 (42.3)       | 0.017   |
| Acute lobar ICH, n (%)                    | 57 (48.3)                  | 26 (54.2)       | 31 (44.3)       | 0.291   |
| Acute ischemic lesions, n (%)             | 20 (18.7)                  | 10 (23.3)       | 10 (15.6)       | 0.321   |
| No. of CMBs, median (IQR)                 | 4 (2–13.5)                 | 4.5 (1–10.5)    | 4 (2–15.5)      | 0.147   |
| Lobar CMBs, n (%)                         |                            |                 |                 |
| 0                                        | 13 (10.8)                  | 9 (18.8)        | 4 (5.6)         |         |
| 1                                        | 16 (13.3)                  | 7 (14.6)        | 9 (12.5)        |         |
| 2–4                                      | 34 (28.3)                  | 8 (16.7)        | 26 (36.1)       |         |
| ≥5                                       | 57 (47.5)                  | 24 (50)         | 33 (45.8)       |         |
| Acute cSAH, n (%)                         | 15 (12.5)                  | 9 (18.8)        | 6 (8.3)         | 0.091   |

### Leukoaraiosis

| Fazekas category, n (%)                   | 19 (16.1)                  | 8 (17)          | 11 (15.5)       | 0.652   |
| Absent                                   |                            |                 |                 |
| Mild                                     | 36 (30.5)                  | 17 (36.2)       | 19 (26.8)       |         |
| Moderate                                 | 41 (34.8)                  | 15 (31.9)       | 26 (35.6)       |         |
| Severe                                   | 22 (18.6)                  | 7 (14.9)        | 15 (21.1)       |         |
| Moderate to severe leukoaraiosis, n (%)   | 63 (53.4)                  | 22 (46.8)       | 41 (57.6)       | 0.244   |

Abbreviations: CAA = cerebral amyloid angiopathy; CI = confidence interval; CMB = cerebral microbleed; cSS = cortical superficial siderosis; cSAH = convexity subarachnoid hemorrhage; ICH = intracerebral hemorrhage; IQR = interquartile range; TFNE = transient focal neurologic episodes.

### Table 3 Multivariable regression analysis showing the factors associated with cortical superficial siderosis in patients with probable cerebral amyloid angiopathy

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>OR (95% CI)</th>
<th>p Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td>1.09 (1.03–1.15)</td>
<td>0.002</td>
</tr>
<tr>
<td>Lobar CMBs (for each category increase)</td>
<td>0.77 (0.50–1.21)</td>
<td>0.260</td>
</tr>
<tr>
<td>Chronic lobar ICH</td>
<td>3.94 (1.54–10.08)</td>
<td>0.004</td>
</tr>
<tr>
<td>History of transient focal neurologic episodes</td>
<td>11.08 (3.49–35.19)</td>
<td>&lt;0.001</td>
</tr>
</tbody>
</table>

Abbreviations: CI = confidence interval; CMB = cerebral microbleed; ICH = intracerebral hemorrhage; OR = odds ratio.

*The model remains consistent when history of hypertension and acute convexity subarachnoid hemorrhage (%) are included.
of more advanced CAA, but this also requires pathologic confirmation, with standardized grading of CAA severity.

Experimental studies confirm that repeated bleeding into the subarachnoid space leads to subpial hemosiderin deposition. There are thus at least 2 possible pathophysiologic mechanisms that could lead to cSS deposition in CAA: a) repeated episodes of hemorrhage from brittle superficial cortical or leptomeningeal CAA-affected vessels into the subarachnoid space (independent of lobar ICH); and b) leakage from a previous lobar ICH (or superficial lobar CMBs) into the subarachnoid space. Our observation of cSS in 17 patients without chronic lobar ICH, and even in those with chronic lobar ICH mostly distant from the ICH (in nearly 90% of cases in the contralateral hemisphere or bilaterally), favors a contribution from direct hemorrhage into the subarachnoid space, independent of lobar ICH. This implies that cSS may arise independently of known characteristic imaging features of CAA (lobar ICH and CMBs), supporting its role as an independent diagnostic marker; further studies are needed to confirm the value of cSS in improving the sensitivity of in vivo CAA diagnosis.

Perhaps surprisingly, we did not find any association between lobar CMBs and cSS, which may reflect selection bias toward generally advanced disease with high lobar CMBs prevalence in our cohort. Nevertheless, in some cases, cSS was observed close to one or more lobar CMBs (see, e.g., figure 2), suggesting that leakage from very superficial CMBs may also be a mechanism of cSS.

Another interpretation of the association between cSS and lobar ICH is that cSS precedes lobar ICH. Although our cross-sectional data cannot confirm this hypothesis, some recent data support this explanation. A retrospective study of 51 patients with cSS attributable to possible or probable CAA found that after a median 35.3 months’ follow-up, 47.1% of the patients had new radiologic ICH or cSAH, often at the site of pre-existing siderosis, providing preliminary evidence that cSS heralds a high risk of future ICH. A small neuropathologic series of 6 autopsy cases of subcortical hematoma caused by CAA showed that at least in some cases the primary hemorrhage appeared to originate from the subarachnoid space. Further prospective studies are urgently required to determine the risk of future intracranial bleeding associated with cSS in CAA.

Our study confirms a strong independent association of cSS with transient focal neurologic episodes (sometimes called “amyloid spells”), which are increasingly recognized in CAA and can resemble TIAs, migraine auras, or focal seizures. Such attacks could plausibly be caused by disruption of cortical function due to cSS, for example by superficial cortical hemosiderin deposition inducing focal seizures or cortical spreading depression.

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REFERENCES


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