

Results of the phase 3 ACT DMD trial of ataluren in patients with nonsense mutation Duchenne muscular dystrophy: a multicentre, randomised, double-blind, placebo-controlled trial

Craig M McDonald MD, Craig Campbell MD, Ricardo Erazo Torricelli MD, Richard S Finkel MD, Kevin M Flanigan MD, Nathalie Goemans MD, Peter Heydemann MD, Anna Kaminska MD, Janbernd Kirschner MD, Francesco Muntoni MD, Andrés Nascimento Osorio MD, Ulrike Schara MD, Thomas Sejersen MD, Perry B Shieh MD, H Lee Sweeney PhD, Haluk Topaloglu MD, Már Tulinius MD, Juan J Vilchez MD, Thomas Voit MD, Brenda Wong MD, Gary Elfring MS, Hans Kroger MS, Xiaohui Luo PhD, Joseph McIntosh MD, Tuyen Ong MD, Peter Riebling MS, Marcio Souza PharmD, Robert J Spiegel MD, Stuart W Peltz PhD, and Eugenio Mercuri MD and **the Clinical Evaluator Training Group**[†] on behalf of the ACT DMD Study Group.

University of California Davis School of Medicine, Davis, CA, USA (Prof C M McDonald MD); **Schulich School of Medicine and Dentistry, Western University, London, ON, Canada** (C Campbell MD); **Hospital Luis Calvo Mackenna, Santiago de Chile, Chile** (R Erazo Torricelli MD); **Children’s Hospital of Philadelphia, PA, USA; Nemours Children’s Hospital, Orlando, FL, USA** (Prof R S Finkel MD); **Nationwide Children’s Hospital, Columbus, OH, USA** (Kevin Flanigan MD); **University Hospitals Leuven, KU Leuven, Belgium** (Prof N Goemans MD); **Rush University Medical Center, Chicago, IL, USA** (P Heydemann MD); **Medical University of Warsaw, Warsaw, Poland** (Prof A Kaminska MD); **Medical Center – University of Freiburg, University of Freiburg, Freiberg, Germany** (Prof J Kirschner MD); **UCL Great Ormond Street Institute of Child Health, London, UK** (Prof F Muntoni MD); **Hospital Sant Joan de Déu, Universidad de Barcelona, CIBER, ISCIII, Barcelona, Spain** (A N Osorio MD); **University Children’s Hospital Essen, University of Duisburg-Essen, Essen, Germany** (Prof U Schara MD); **Karolinska University Hospital, Karolinska Institutet, Stockholm, Sweden** (Prof T Sejersen MD);

[†]The Clinical Evaluator Training Group authors are listed at the end of the manuscript

University of California, Los Angeles, CA, USA (P B Shieh MD); **University of Florida, Gainesville, FL, USA** (Prof H L Sweeney PhD); **Hacettepe Children's Hospital, Ankara, Turkey** (Prof H Topaloglu MD); **Gothenburg University, Queen Silvia Children's Hospital, Gothenburg, Sweden** (Prof M Tulinius MD); **Hospital Universitario y Politécnico La Fe, CIBERER, Valencia, Spain** (J J Vilchez MD); **NIHR Great Ormond Street Hospital University College London Biomedical Research Centre, London, UK** (Prof T Voit MD); **University College London Great Ormond Street Institute of Child Health, London, UK** (Prof T Voit MD); **Cincinnati Children's Hospital Medical Center, Cincinnati, OH, USA** (Prof B Wong MD); **PTC Therapeutics Inc., South Plainfield, NJ, USA** (G Elfring MS, H Kroger MS, X Luo PhD, J McIntosh MD, T Ong MD, P Riebling MS, M Souza PharmD, R J Spiegel MD, S W Peltz PhD); **Department of Pediatric Neurology, Catholic University, Rome, Italy** (Prof E Mercuri MD).

Correspondence to: Professor Craig M McDonald, University of California Davis School of Medicine, Davis, UC Davis Children's Hospital, Lawrence J. Ellison Ambulatory Care Center, Physical Medicine & Rehabilitation, 4860 Y St., Suite 1700, Sacramento, CA, 95817, USA
Telephone: +1 916 734 2923

E-mail: cmmcdonald@ucdavis.edu

1 **PANEL: RESEARCH IN CONTEXT**

2 **Evidence before this study**

3 Results from a phase 2a trial (NCT00264888) showed that ataluren improved dystrophin
4 expression in the skeletal muscle of patients with nonsense mutation Duchenne muscular
5 dystrophy (nmDMD) after 28 days of treatment. Results from the 6-minute walk test (6MWT)
6 and other timed function tests (TFTs) from a 48-week, phase 2b trial (NCT00592553)
7 showed a clinical benefit of ataluren (at a dose of 40 mg/kg/day) versus placebo in patients
8 with nmDMD. In this phase 2b trial, a post-hoc, subgroup analysis showed that the treatment
9 effect was more evident in patients predicted to be in the decline phase of disease (i.e. those
10 aged 7–16 years with a baseline 6-minute walk distance [6MWD] ≥ 150 m and $\leq 80\%$ -
11 predicted for age and height). Furthermore, recent natural history studies have shown that
12 patients with a baseline 6MWD >400 m show fewer declines across multiple measures of
13 physical function. In contrast, patients with a baseline 6MWD <300 m are at higher risk of
14 precipitous declines in 6MWD and loss of ambulation in the subsequent year.

15 **Added value of this study**

16 In the present phase 3 trial (NCT01826487), ataluren-treated boys (aged 7–16 years) in the
17 intent-to-treat (ITT) population showed a 13.0-m least square (LS) mean difference,
18 (standard error of the mean, SEM=10.4); $p=0.213$ (observed difference, 15.4 m),
19 numerically favouring ataluren, in 6MWD after 48 weeks of treatment compared with
20 placebo-treated boys. In addition, treatment with ataluren led to a statistically significant
21 42.9-m LS mean difference (15.9); $p=0.007$ (observed difference, 47.2 m) in 6MWD versus
22 placebo in a pre-specified subgroup of patients with nmDMD in the mid-range (declining)
23 stage of disease who had a baseline 6MWD ≥ 300 m to <400 m; a subgroup of patients in
24 whom a treatment response, as measured by the 6MWT, is more likely to be observed over
25 48 weeks. This is owing to the limited sensitivity of the 6MWT (over a 48-week study) in
26 patients with higher baseline function (defined as stable, baseline 6MWD ≥ 400 m), and
27 because of the increased interpatient variability seen in patients with lower baseline
28 ambulatory function (those at risk of loss of ambulation, baseline 6MWD <300 m). Ataluren-

29 treated patients in the ITT population showed less deterioration numerically, as measured by
30 the TFTs, versus placebo; this treatment effect was more evident in patients with a baseline
31 6MWD ≥ 300 to < 400 m. Ataluren-treated patients in the ≥ 300 to < 400 m subgroup also
32 experienced benefits in function versus placebo, as measured by the North Star Ambulatory
33 Assessment (NSAA). Furthermore, a post-hoc analysis using data from the NSAA showed
34 that patients in the ITT population and in the subgroup with baseline 6MWD ≥ 300 to < 400 m
35 experienced statistically significant reductions in the relative risk of loss of clinically
36 meaningful milestones versus placebo (31% and 46% reduction, respectively; both
37 $p=0.010$).

38 **Implications of all the available evidence**

39 These results demonstrate the clinical benefit of ataluren in a subgroup of patients with
40 nmDMD with a baseline 6MWD ≥ 300 to < 400 m, in whom the 6MWT is most likely to show a
41 treatment benefit over a 48-week trial, owing to the increased sensitivity of this outcome
42 measure in this subgroup.

43 **SUMMARY**

44 **Background** This trial examined the efficacy and safety of ataluren in ambulatory boys with
45 nonsense mutation Duchenne muscular dystrophy (nmDMD).

46 **Methods** This 48-week, phase 3, multicentre, randomised, double-blind, placebo-controlled
47 trial was conducted across 54 sites (18 countries). Key inclusion criteria: nmDMD; boys aged
48 7–16 years; and baseline 6-minute walk distance (6MWD) ≥ 150 m and $\leq 80\%$ -predicted for
49 age and height. Patients were randomised (1:1) to receive ataluren orally thrice daily (40
50 mg/kg/day) or placebo. The primary endpoint was change in 6MWD from baseline in the
51 intent-to-treat (ITT) population. A pre-specified subgroup of patients with a baseline 6MWD
52 ≥ 300 to < 400 m was also assessed. Secondary endpoints included timed function tests
53 (TFTs). ClinicalTrials.gov: NCT01826487 (completed).

54 **Findings** Patients were recruited (Mar 26, 2013–Aug 26, 2014), and randomised to receive
55 ataluren (n=115) or placebo (n=115). The decrease in 6MWD after 48 weeks was less with
56 ataluren (n=114) than with placebo (n=114): least square (LS) mean (standard error of
57 mean, SEM) Δ ataluren vs placebo, ITT: 13.0 (10.4) m; $p=0.213$; ≥ 300 to < 400 m subgroup:
58 42.9 (15.9) m; $p=0.007$. Ataluren-treated patients experienced less of a decline versus
59 placebo for TFTs (LS mean Δ ataluren vs placebo, ITT: 10-m run/walk: -1.1 s, $p=0.117$; 4-
60 stair climb: -1.4 s, $p=0.058$, 4-stair descend: -2.0 s, $p=0.012$); this was more evident in the
61 ≥ 300 to < 400 m subgroup. Ataluren was generally well tolerated (treatment-related adverse
62 events: ataluren, 33.9% [39/115]; placebo, 20.9% [24/115]); most were mild to moderate in
63 severity.

64 **Interpretation** Ataluren-treated ITT patients did not experience a statistically-significant
65 change in 6MWD versus placebo over 48 weeks, although significant effects on other
66 measures were observed. Change in 6MWD was statistically significant in the pre-specified
67 ≥ 300 to < 400 m subgroup; in whom a consistent treatment response is more likely to be
68 observed over a 48-week period using this measure.

69 **Funding** PTC Therapeutics, Inc.

70 **INTRODUCTION**

71 Duchenne muscular dystrophy (DMD) is a severe, progressive and rare neuromuscular, X-
72 linked recessive disease.¹ Corticosteroids and better coordinated care have improved
73 outcomes in DMD in the past several decades,^{2,3} but these approaches do not specifically
74 target dystrophin deficiency, which is the underlying cause of DMD.⁴ Mutation-specific
75 therapies, aimed at restoring dystrophin protein production, are therefore being explored.
76 Ataluren promotes readthrough of nonsense mutations to produce full-length functional
77 dystrophin protein.⁴⁻⁷ Approximately 10–15% of patients with DMD have a nonsense
78 mutation,⁸ which introduces a premature stop codon into the dystrophin mRNA, leading to
79 the translation of a truncated, non-functional protein. The readthrough mechanism of
80 ataluren targets this mutation to treat the underlying cause of disease.⁴

81

82 Results from a phase 2a, open-label, dose-ranging, 28-day trial (NCT00264888)
83 demonstrated an increase from baseline in the dystrophin/spectrin expression ratio in 61%
84 (23/38) of patients with nonsense mutation DMD (nmDMD) after 28 days of treatment with
85 ataluren (16, 40, or 80 mg/kg/day).⁶ A phase 2b, randomised, double-blind, placebo-
86 controlled trial (NCT00592553) showed a slowing of disease progression in patients
87 receiving ataluren (40 mg/kg/day) versus placebo, as measured by a change in their 6-
88 minute walk distance (6MWD) after 48 weeks (corrected intent-to-treat[†]: observed mean
89 difference=31.3 m;⁷ least square [LS] mean difference=31.7 m; nominal p=0.0197; adjusted
90 p=0.0367);⁹ but failed to achieve its primary endpoint. However, secondary outcome
91 measures, including timed function tests (TFTs), supported these results and consistently
92 favoured ataluren over placebo.^{7,9} In a subgroup of patients who were in ambulatory decline
93 (7–16 years old, with a baseline 6MWD \geq 150 m and \leq 80%-predicted for age and height), the
94 observed mean difference in 6MWD between ataluren- and

[†]Baseline 6MWD values for two patients (placebo, n=1; ataluren 80 mg/kg/day, n=1) were lower than their screening values, owing to lower limb injuries that occurred before the baseline visit. These values were therefore replaced with the patients' screening values; this population is therefore referred to as the corrected intent-to-treat population.

95 placebo-treated patients was 49.9 m^{7,9,10} (LS mean difference=45.6 m, nominal p=0.0096;
96 adjusted p=0.0182; PTC Therapeutics, data on file).

97

98 The 6-minute walk test (6MWT) and TFTs are recommended in guidelines by the European
99 Medicines Agency¹³ and the US Food and Drug Administration for use in clinical trials of
100 DMD.¹⁴ These guidelines recommend stratifying patients according to disease status,
101 functional status and/or developmental stage.^{13,14} Natural history data have shown that
102 patients with a baseline 6MWD >400 m show fewer declines in physical functioning than
103 those with a 6MWD ≤400 m.^{15,17,18} In addition, emerging magnetic resonance imaging data
104 have shown that, as DMD progresses, fibrotic tissue and fat replace muscle fibres,¹⁹
105 contributing to a patient's physical decline. Magnetic resonance spectroscopy data have
106 shown that patients with >80% fat fraction in the vastus lateralis muscle are likely to have a
107 6MWD <300 m and are at increased risk of losing ambulation compared with those with a
108 6MWD ≥300 m.²⁰ Treatment effects using the 6MWT are therefore more likely to be
109 observed in patients in the mid-range (declining) stage of disease (baseline 6MWD ≥300 to
110 <400 m). This is owing to the limited sensitivity of the 6MWT (over 48 weeks) for patients
111 with higher baseline function (defined as stable, 6MWD >400 m), and to the increased
112 interpatient variability seen in patients with lower baseline ambulatory function (those at risk
113 of loss of ambulation, 6MWD <300 m).

114

115 The aim of this phase 3 trial (ACT DMD, Ataluren Confirmatory Trial of Patients with
116 nmDMD) was to evaluate the ability of ataluren to stabilise ambulation, as measured by the
117 6MWT, in patients with nmDMD in ambulatory decline compared with placebo over 48
118 weeks, and to determine the effect of ataluren on other measures of physical function.
119 Based on an evolving understanding of the 6MWT,^{15,16} a pre-specified analysis of patients
120 with a baseline 6MWD ≥300 to <400 m was also performed.

121

122 **METHODS**

123 **Study design**

124 This was a phase 3, multicentre, randomised, double-blind, placebo-controlled trial
125 evaluating the efficacy and safety of ataluren orally three times daily in ambulatory boys with
126 nmDMD (NCT01826487). The trial comprised a 2-week screening period, followed by a 48-
127 week blinded treatment period, in which patients received either ataluren or placebo.
128 Subsequently, patients were eligible to enter an open-label extension (NCT02090959).
129 Assessments were performed during screening, at baseline, and then every 8 weeks until
130 the end of treatment. The study was conducted at 54 sites in 18 countries (Australia,
131 Belgium, Brazil, Canada, Chile, Czech Republic, France, Germany, Israel, Italy, Poland,
132 South Korea, Spain, Sweden, Switzerland, Turkey, UK, and the USA). The trial and any
133 changes to the protocol were approved by the local regulatory authorities and the
134 institutional review board of each site. The trial was conducted in accordance with the
135 Declaration of Helsinki (2000) and the ethical principles of Good Clinical Practice, according
136 to the International Conference on Harmonisation Harmonised Tripartite Guideline.

137

138 **Patients**

139 Patients who met the following inclusion criteria were eligible for enrolment: boys aged 7–16
140 years; phenotypic evidence of dystrophinopathy (onset of characteristic clinical symptoms or
141 signs by 6 years of age, elevated serum creatine kinase levels and difficulty with
142 ambulation); nmDMD, confirmed by gene sequencing; use of systemic corticosteroids for at
143 least 6 months before the start of treatment, with no significant change in dosage/dosing
144 regimen (not related to change in body weight) for at least 3 months before the start of
145 treatment and an expectation that this would not change during the study; and a 6MWD
146 ≥ 150 m and $\leq 80\%$ -predicted for age and height during screening. Subsequently, patients
147 were required to perform two valid 6MWTs on 2 separate days (with the second value $\pm 20\%$
148 of the first value). The mean of these two performances was taken as the baseline 6MWD,
149 and was to be within $\pm 20\%$ of the screening 6MWD. Patients' laboratory results during

150 screening were required to be within normal ranges (with the exception of tests indicative of
151 muscle breakdown). Key exclusion criteria included: treatment with systemic aminoglycoside
152 antibiotics within 3 months of the start of treatment; initiation of systemic corticosteroids in
153 the 6 months before the start of treatment; and change in systemic corticosteroid therapy
154 within 3 months before the start of treatment (not related to change in body weight). A full list
155 of exclusion criteria is provided in the **Supplementary Methods**. Written, informed consent
156 was obtained from each patient's parents or guardians and assent was provided by the
157 patient (where appropriate).

158

159 **Randomisation and masking**

160 Eligible patients were stratified based on age (<9 years and ≥9 years), duration of prior
161 corticosteroid use (6 to <12 months and ≥12 months), and baseline 6MWD (<350 m and
162 ≥350 m). Patients were randomised 1:1 to receive placebo or ataluren, using the permuted
163 block randomisation technique, which allowed for the treatment arms to be balanced with
164 respect to the stratification factors and patient numbers. A study site representative provided
165 patient information to the interactive voice response/interactive web response system, which
166 then assigned patients to their treatment arms. Patients, parents/caregivers, investigational
167 site personnel, PTC Therapeutics employees, and all other study personnel remained
168 blinded until every patient had completed the study and the database was locked. The
169 identity of the study treatment was concealed using a placebo that was identical to the active
170 drug in appearance, taste, odour, packaging and labelling.

171

172 **Procedures**

173 Patients received either placebo or ataluren (PTC Therapeutics International Limited,
174 Ireland) dosed orally three times daily (10, 10 and 20 mg/kg of body weight for morning,
175 midday, and evening doses) for 48 weeks. Doses were to be given 6 h apart on the same
176 day, with a 12-h interval between evening and morning doses on the next day. Patients'
177 clinical and medical histories were recorded during screening. Vital signs, height, and weight

178 measurements, and concomitant medications were recorded, and laboratory assessments
179 were performed during screening, at baseline, and every 8 weeks until the end of treatment.
180 A physical examination was performed during screening, at baseline, at 24 weeks, and at
181 the end of treatment. Additionally, patients' physical function was assessed using the
182 6MWT,^{16,23} TFTs,²³ and the North Star Ambulatory Assessment (NSAA)²⁴ during screening,
183 at baseline, and every 8 weeks until the end of treatment. A second 6MWT was performed at
184 baseline and at week 48, and the average of the two was used from these visits.

185

186 **Outcomes**

187 The primary efficacy endpoint was to determine the ability of ataluren to slow disease
188 progression in patients in ambulatory decline, as assessed by the 6MWT. The secondary
189 efficacy endpoint was to determine the effect of ataluren on proximal muscle function, as
190 assessed by TFTs (10-m run/walk, 4-stair climb, 4-stair descend). The following exploratory
191 efficacy endpoints were also examined: change in physical function, as assessed by the
192 percentage of patients who lost ambulation, and by the NSAA (total score);²⁴ parent-reported
193 health-related quality of life (HRQoL), as assessed by the Pediatric Outcomes Data
194 Collection Instrument (PODCI); and the activities of daily living (ADL)/disease status survey.
195 Endpoints were also evaluated in a pre-specified subgroup of patients who had a baseline
196 6MWD ≥ 300 to < 400 m. Post-hoc analyses included the following: a sensitivity analysis for
197 the 6MWT, including intervals of baseline distance, a composite TFT endpoint (linear
198 combination of 10-m run/walk, 4-stair climb and 4-stair descend), the time to loss of ability to
199 perform the 4-stair climb and 4-stair descend, and the percentage of patients who lost
200 function across each of the individual 17 items in the NSAA. Lastly, a pre-specified meta-
201 analysis was performed using data from the intent-to-treat (ITT) population of this trial and a
202 subgroup of patients from the ITT population of the phase 2b trial⁷ (who met the ACT DMD
203 entry criteria). Full details for PODCI, ADL and post-hoc analyses are presented in the

204 **Supplementary Methods.**

205

206 Adverse events (AEs) were captured throughout the 48-week treatment period.

207

208 **Statistical analyses**

209 *Patient populations*

210 The as-treated population comprised all randomised patients who received any study
211 treatment, with treatment assignments designated according to actual study treatment
212 received. This population was used to analyse safety and treatment administration. The ITT
213 population comprised all patients who were randomised, with study drug assignment
214 designated according to initial randomisation. Patients in this population were required to
215 have a valid baseline 6MWD value and at least one valid post-baseline 6MWD value. This
216 population was used to analyse all efficacy parameters. Both the ITT population and the
217 ≥ 300 to < 400 m subgroup were pre-specified in the statistical analysis plan.

218

219 *Hypothesis and statistical power*

220 The study hypothesis was that there would be a difference of at least 30 m in change from
221 baseline to week 48 between ataluren- and placebo-treated patients in the decline phase of
222 disease. In the phase 2b study, the standard deviation (SD) of the change in observed
223 6MWD from baseline to week 48 was 72 m in patients receiving ataluren 40 mg/kg/day.⁷
224 With 1:1 randomisation, 210 patients would be required (ataluren, n=105; placebo, n=105) to
225 detect a difference of 30 m in 6MWD with at least 85% power ($\alpha=0.05$). Assuming that ~5%
226 of patients discontinue prematurely, a total of 220 patients (ataluren, n=110; placebo, n=110)
227 would need to be enrolled.

228

229 *Statistical analysis of primary and secondary endpoints*

230 The primary analysis of this study evaluated change in 6MWD from baseline to week 48 in
231 the ITT population using an analysis of covariance (ANCOVA) model. This model included
232 treatment group and the stratification factors for age, duration of corticosteroid use at
233 baseline, and baseline 6MWD category, as well as baseline 6MWD as a covariate. If

234 patients were unable to perform the 6MWT due to disease progression, a value of zero was
235 used. Within-treatment group multiple imputations on the actual scale were applied to handle
236 missing values via the Markov chain Monte Carlo method; 100 imputations were conducted,
237 which was expected to be adequate given the anticipated amount of missing data. The
238 MIANALYZE procedure (SAS® software, Version 9.3, [2011], SAS Institute Inc., Cary, NC,
239 USA) combined the results from the respective invocations of multiple imputations,
240 producing a final estimate of treatment effect and corresponding standard error.

241

242 The secondary efficacy endpoints were evaluated in a similar manner to the primary
243 endpoint; however, if the time taken to perform a TFT exceeded 30 s or if a patient could not
244 perform the test owing to disease progression, a value of 30 s was used. A post-hoc
245 composite TFT endpoint and the time to loss of ability to perform the 4-stair climb and 4-stair
246 descend were also analysed (**Supplementary Methods**). The change in a range of
247 functions was also measured using the NSAA; a validated, internationally-used tool for
248 examining treatment effect in patients with DMD.²⁵ For the NSAA,²⁶ patients were rated on a
249 scale of 0–2 for each of the 17 items by the study investigator. A score of 0 indicated that the
250 patient was unable to perform the function, a score of 1 indicated that the patient performed
251 the function with difficulty (independent of physical assistance from another person using a
252 modified method), and a score of 2 indicated that the patient performed the function (without
253 modification/assistance). The sum of the 17 activity scores was used to form an ordinal total
254 score (max score=34). If 13–16 functions were performed, the total score was calculated as
255 follows: $([\text{sum of the scores}] \times [17/\text{number of activities completed}])$. If fewer than 13 activities
256 were performed, the total score was considered missing. Ordinal scores were transformed to
257 a linear total score (0–100) for further analysis.²⁷ A post-hoc analysis of loss of individual
258 functions on the NSAA was also carried out by examining the percentage of patients who
259 shifted from a score of 1–2 at baseline to a score of 0 after 48 weeks of treatment. A p value
260 was obtained using a permutation test with 1000 permutations of treatment assignments
261 within the original eight strata combinations to account for the correlation between the 17

262 items on the NSAA. Details for the PODCI and ADL/disease status survey are included in
263 the **Supplementary Methods**. No adjustment for multiple comparisons with respect to
264 subgroups was made;²⁸ all p values for this study can be considered nominal.

265

266 Professors C M McDonald and E Mercuri had full access to all study data and were
267 responsible for submission of the manuscript.

268

269

270

271 **RESULTS**

272 Patients were recruited between Mar 26, 2013 and Aug 26, 2014. Of 291 screened patients,
273 230 were enrolled and randomised to receive either ataluren (n=115) or placebo (n=115). A
274 total of 228 patients (ataluren, n=114; placebo, n=114) met the eligibility criteria for inclusion
275 in the ITT population (**Figure 1**). Overall, 4% of patients (n=9) discontinued the study
276 (ataluren, n=5; placebo, n=4). Two patients from the as-treated population (one from each
277 treatment arm) were prematurely discontinued from the study when dystrophin gene
278 sequencing did not confirm the presence of a nonsense mutation in the dystrophin gene. In
279 addition, two patients discontinued (one in each treatment arm) owing to AEs; these were
280 constipation, possibly related to the study drug (ataluren, n=1) and disease progression
281 (placebo, n=1). Patient demographics and type of concomitant corticosteroid usage are
282 shown in **Table 1**, and were similar at baseline for both treatment arms.

283

284 For the primary efficacy endpoint, the LS mean change (standard error of the mean, SEM) in
285 6MWD from baseline to 48 weeks in the ITT population was -47.7 (9.3) m for ataluren- and
286 -60.7 (9.3) m for placebo-treated patients. This resulted in a 13.0 (10.4) m difference
287 (p=0.213) favouring ataluren (**Figure 2A**). The observed difference was 15.4 m. This effect
288 was more evident in the pre-specified subgroup of patients with a baseline 6MWD ≥ 300 to
289 < 400 m; the LS mean change (SEM) in 6MWD from baseline to 48 weeks was -27.0 (12.6)
290 m in ataluren-treated patients and -69.9 (12.1) m in placebo-treated patients. This resulted
291 in a 42.9 (15.9) m difference (p=0.007), favouring ataluren (**Figure 2B**). The observed
292 difference was 47.2 m. A post-hoc sensitivity analysis was also performed to assess the
293 change in 6MWD from baseline in other patient subgroups. The largest change from
294 baseline in difference between ataluren- and placebo-treated patients was for the pre-
295 specified ≥ 300 to < 400 m subgroup (**Supplementary Table 1**). In addition, loss of
296 ambulation was reduced in ataluren- versus placebo-treated patients; overall, 8% (9/114) of
297 ataluren-treated patients lost ambulation (unable to perform the 6MWT) compared with 12%

298 (14/114) of placebo-treated patients. The majority of these patients had severely impaired
299 ambulation at baseline (6MWD <300 m). For those with a baseline 6MWD ≥300 to <400 m,
300 no ataluren-treated patient (0/47) lost ambulation versus 8% (4/52) of placebo-treated
301 patients after 48 weeks of treatment (**Supplementary Table 2**).

302

303 The TFTs were key secondary efficacy endpoints. In the ITT population, ataluren-treated
304 patients experienced less of a decline than placebo-treated patients, as measured by the
305 TFTs, after 48 weeks of treatment (LS mean difference, ataluren vs placebo [SEM], 10-m
306 run/walk: -1.1 [0.7] s, p=0.117; 4-stair climb: -1.4 [0.8] s, p=0.058; 4-stair descend: -2.0
307 [0.8] s, p=0.012; **Table 2**). However, only the 4-stair descend was statistically significant.
308 This treatment effect favouring ataluren was more evident in the subgroup of patients with a
309 baseline 6MWD ≥300 to <400 m (10-m run/walk: -1.8 [1.0] s, p=0.066; 4-stair climb: -3.5
310 [1.2] s, p=0.003; 4-stair descend: -4.4 [1.2] s, p<0.001; **Table 2**). A post-hoc composite TFT
311 analysis was performed (10-m run/walk, 4-stair climb and 4-stair descend), and showed that
312 patients receiving ataluren exhibited less deterioration than those receiving placebo. This
313 endpoint showed a statistically significant difference (SEM) of -1.6 (0.7) s between ataluren-
314 and placebo-treated patients, favouring ataluren (p=0.023; **Supplementary Figure 1A**). This
315 effect was more evident in patients with a baseline 6MWD ≥300 to <400 m (-3.5 [1.0] s,
316 p<0.001; **Supplementary Figure 1B**). The time to loss of ability to perform the 4-stair climb
317 and 4-stair descend also favoured ataluren- versus placebo-treated patients
318 (**Supplementary Figures 2A and 2B**).

319

320 In the ITT population, a positive LS mean treatment difference (SEM) of 0.8 (0.5) points
321 (p=0.128) (ordinal scale) was observed in the pre-specified total NSAA score numerically
322 favouring ataluren-treated patients. In the linear transformed score, there was a 1.5-point
323 advantage (1.4) for ataluren-treated patients versus placebo (p=0.268). This treatment effect

324 was more evident in individuals with a baseline 6MWD ≥ 300 to < 400 m, based on observed
325 total score (LS mean difference [SEM], 1.7 points [0.8]; $p=0.037$) and linear transformed
326 score (4.3-point advantage [2.1] favouring ataluren, $p=0.041$). A post-hoc analysis to assess
327 the loss of ability to perform each of the 17 individual items of the NSAA was also performed.
328 The proportion of ataluren- and placebo-treated patients able to perform each function at
329 baseline was balanced (**Supplementary Table 3**). Every patient (ataluren, $n=114$; placebo,
330 $n=114$) performed each of the 17 functions (totalling 1938 total functions per treatment arm).
331 Ataluren- and placebo-treated patients had 273/1938 and 282/1938 functions assessed as
332 "0" (inability to perform the activity) at baseline, respectively. In the ITT population, after 48
333 weeks of treatment, ataluren-treated patients lost 12% (203/1665) of functions compared
334 with 18% (294/1656) of functions lost by placebo-treated patients from baseline. This
335 equates to a 31% reduced risk of loss of function for ataluren-treated patients versus those
336 receiving placebo ($p=0.010$; **Figure 3**). This observation was more evident in patients with a
337 baseline 6MWD ≥ 300 to < 400 m (reduced risk=46%, $p=0.010$). Results from the PODCI and
338 ADL/disease status survey also favoured ataluren over placebo (**Supplementary Figure 3**
339 and **Supplementary Figure 4**, respectively).

340

341 To assess the totality of data collected from this phase 3 trial and the earlier phase 2b trial,⁷
342 a pre-specified meta-analysis was performed. This analysis showed that when 6MWD data
343 for the ITT populations from both trials were combined, a 20.0-m (SEM, 8.2) treatment
344 benefit was observed for ataluren- versus placebo-treated patients over 48 weeks
345 (**Supplementary Figure 5A**). Similarly, when TFT data for the ITT population from both
346 trials were combined, ataluren-treated patients experienced less of a decline than placebo-
347 treated patients (Δ ataluren vs placebo [SEM], -1.3 to -1.9 [0.6–0.7] s) (**Supplementary**
348 **Figure 5B**). Further detail provided in the **Supplementary Material**.

349

350 The mean (SD) duration of drug exposure for ataluren- and placebo-treated patients was
351 332.3 (39.6) and 333.3 (39.7) days, respectively. Ataluren was generally well tolerated, with
352 a high compliance with the dosing regimen. At least one treatment-emergent AE (TEAE) was
353 reported for most patients (ataluren vs placebo: 89.6% [103/115] vs 87.8% [101/115]) and
354 the majority of reported TEAEs were mild to moderate in severity. Treatment-related
355 (possible or probable) AEs were slightly higher in ataluren- versus placebo-treated patients
356 (33.9% [39/115] vs 20.9% [24/115]) (**Table 3**). Severe TEAEs are summarised in
357 **Supplementary Table 4**. Serious AEs (SAEs) were reported in eight patients (ataluren, n=4;
358 placebo, n=4); four of these patients reported more than one serious AE. All reported SAEs,
359 except one in the placebo group, were considered to be unrelated to treatment. The SAE
360 that occurred in a placebo-treated patient was abnormal hepatic function possibly related to
361 treatment. No new safety signals were identified during the course of this 48-week trial.
362 Additional safety information is reported in the **Supplementary Material**.

363

364 **DISCUSSION**

365 Dystrophin is a structural protein necessary for preserving the integrity of muscle fibres.⁴
366 Treatments focusing on dystrophin restoration, such as ataluren, are expected to preserve
367 existing muscle function, thereby stabilising or slowing disease progression in patients with
368 DMD. The slowing of disease progression and motor decline is viewed by the DMD
369 physician community as a realistic expectation for the effect of dystrophin restoration
370 therapies,²⁹ and patients and their caregivers consider this to be a highly valuable benefit of
371 therapy.³⁰ Data from this phase 3 trial show a positive safety profile for ataluren and
372 demonstrate the clinical efficacy of ataluren (40 mg/kg/day) versus placebo in ambulatory
373 patients with nmDMD in stabilising/slowing disease progression over 48 weeks. Together,
374 these findings show a favourable risk–benefit profile for ataluren, despite this trial not
375 meeting its primary endpoint. For the ITT population, the change in 6MWD between
376 ataluren- and placebo-treated patients was 13.0 m (15.4 m, observed), favouring ataluren.
377 While this primary endpoint did not reach statistical significance ($p=0.213$), the treatment
378 effect in patients with a baseline 6MWD ≥ 300 to <400 m was more evident (42.9 m,
379 $p=0.007$; 47.2 m, observed). Stratifying patients by baseline function is advisable, because
380 of the decreased sensitivity of the 6MWT in patients with higher baseline function and the
381 increased interpatient variability in patients with a baseline 6MWD <300 m (PTC
382 Therapeutics, data on file). It is important to note that a change in 6MWD of <30 m, may be
383 clinically meaningful from the view point of patients' self-reported abilities and HRQoL.³¹

384

385 Additionally, fewer ataluren-treated patients lost ambulation compared with those who
386 received placebo over 48 weeks, in both the ITT population and in patients with a baseline
387 6MWD ≥ 300 to <400 m. Furthermore, a pre-specified meta-analysis of 6MWD and TFT data
388 from the phase 2b trial⁷ and this phase 3 trial showed a statistically significant treatment
389 benefit of ataluren versus placebo.

390

391 The TFTs are key secondary endpoints that are predictive of loss of function, including
392 ambulation.¹⁶ Across the TFTs, a 1·1–2·0 s-benefit in ataluren-treated patients versus
393 placebo in the ITT population was observed after 48 weeks. This treatment effect was more
394 evident in patients with a baseline 6MWD \geq 300 to <400 m (1·8–4·4 s). These findings are
395 similar to those from a recent one-year DMD trial of prednisone, in which a 1·7-s benefit and
396 a 1·6-s benefit were observed for prednisone- versus placebo-treated patients when
397 performing the 10-m run/walk and the 4-stair climb, respectively.³² When examining the
398 composite TFT endpoint, a statistically significant treatment effect of ataluren versus placebo
399 was observed.

400

401 The clinical benefit of ataluren was also supported using the NSAA, a DMD-specific
402 exploratory efficacy endpoint that provides information on a wide spectrum of functions that
403 are important in everyday life.¹⁷ For the total observed and linear transformed NSAA scores,
404 ataluren-treated ITT patients with a baseline 6MWD \geq 300 to <400 m experienced a
405 statistically significant benefit versus placebo over 48 weeks. Furthermore, a post-hoc
406 analysis of data showed that ataluren-treated patients in both populations (ITT and the pre-
407 specified \geq 300 to <400 m subgroup) experienced a statistically significant reduction in loss of
408 clinically meaningful milestones across the 17 NSAA functions versus placebo (both
409 $p=0\cdot010$). This finding suggests a broader context of benefit in motor function experienced
410 by patients receiving ataluren versus those receiving placebo.

411

412 Ataluren was generally well tolerated and no new safety signals were identified. Overall,
413 efficacy and safety data from this trial demonstrate a favourable risk–benefit profile for
414 ataluren in patients with nmDMD, particularly when considering the serious, ultimately fatal
415 nature of this disorder and the high unmet medical need for disease-modifying therapies.

416

417 **LIMITATIONS AND STRENGTHS**

418 The entry criteria employed in this trial were selected to enrich for patients likely to be in
419 ambulatory decline (patients aged 7–16 years; with a baseline 6MWD ≥ 150 m and $\leq 80\%$ -
420 predicted for age and height, and with use of systemic corticosteroids for ≥ 6 months before
421 the start of treatment). However, these criteria allowed for inclusion of a broad subset of
422 study patients with a baseline 6MWD (142.5–526.0 m) and ultimately failed to enrich for
423 patients in ambulatory decline. Patients with a higher range of ambulatory ability (baseline
424 6MWD ≥ 400 m) accounted for 37% of patients in this study. These patients tend to remain
425 stable in natural history and placebo studies over a 48-week period; and the inclusion of
426 these patients in the ITT population may have attenuated the treatment effect of ataluren.
427 More stringent entry criteria with regard to baseline 6MWD subgroups would likely have
428 increased the overall effect observed, as seen for patients with a baseline 6MWD ≥ 300 to
429 < 400 m. Owing to the limited sensitivity of the 6MWT (over a 48-week study) in patients with
430 higher baseline function (6MWD ≥ 400 m), and because of the increased interpatient
431 variability seen in patients with lower baseline ambulatory function (6MWD < 300 m), an
432 effect was more likely to be observed in the mid-range subgroup (6MWD ≥ 300 m to < 400 m)
433 over 48 weeks. In addition, because of the slowly progressive nature of the disorder, a
434 longer treatment duration is recommended in current regulatory guidelines for DMD,^{13,14}
435 which were not available when this study was designed. Lastly, the clinical endpoints in this
436 trial were effort-dependent and/or susceptible to rater bias; efforts to develop objective, non-
437 invasive measures for DMD studies should continue.

438

439 Although the change in 6MWD in the ITT population was not statistically significant, the
440 benefit observed in patients with a baseline ≥ 300 to < 400 m supports the clinical benefit of
441 ataluren versus placebo in patients with nmDMD, especially when considering the totality of
442 supporting evidence. The 48-week data presented here confirm the clinical benefit of
443 ataluren in terms of preserving muscle function, and its favourable risk–benefit profile.

444

445 **FUTURE RESEARCH DIRECTIONS**

446 Future and ongoing trials should assess the long-term benefits of ataluren in patients with
447 nmDMD. The delay in loss of ambulation seen with ataluren will hopefully extrapolate to
448 longer-term benefits in both upper limb and pulmonary function in non-ambulatory patients
449 with DMD. Future research should therefore determine whether these and other outcome
450 measures not assessed here, but relevant to non-ambulatory patients, also respond to
451 treatment with ataluren. The treatment of younger boys (<5 years old) with ataluren would
452 also be of interest, as treatment initiated earlier is likely to result in the greatest long-term
453 benefit.¹⁴ An additional trial to examine the long-term efficacy and safety of ataluren in
454 patients with nmDMD is currently planned.

455 **Contributors**

456 CMM, CC, RET, RSF, KF, NG, PH, AK, JK, FM, ANO, US, TS, PBS, HLS, HT, MT, JJV, TV,
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464

465 **†The Clinical Evaluator Training Group authors**

466 **Lindsay Alfano** DPT, Center for Gene Therapy, Nationwide Children’s Hospital, Columbus,
467 Ohio, USA; **Michelle Eagle** PhD, Atom International Limited, Gateshead, UK; **Meredith**
468 **James XX**, The John Walton Muscular Dystrophy Research Centre, Newcastle, UK; **Linda**
469 **Lowes** PhD, Center for Gene Therapy, Nationwide Children’s Hospital, Columbus, USA;
470 **Anna Mayhew** PhD, MRC Centre for Neuromuscular Diseases, Newcastle University,
471 Newcastle, UK; **Elena Mazzone XX**, Department of Pediatric Neurology, Catholic University,
472 Rome, Italy; **Leslie Nelson** MPT, University of Texas Southwestern Medical Center, Texas,
473 USA; **Kristy Rose** PhD, School of Physiotherapy, University of Sydney, Australia.

474

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478

479

480 **Declaration of interests**

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494 **KMF** [please include your disclosures]

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557

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- 654
- 655

656 TABLES

657 **Table 1: Patient demographics at baseline (as-treated population)**

Characteristic	Ataluren (n=115)	Placebo (n=115)
Age, years	9.0 (7–10)	9.0 (8–10)
Male, n (%)	115 (100%)	115 (100%)
Race, n (%)		
Caucasian	89 (77%)	86 (75%)
Black	1 (1%)	1 (1%)
Asian	7 (6%)	6 (5%)
Hispanic	4 (4%)	8 (7%)
Other	7 (6%)	4 (4%)
Not reported	7 (6%)	10 (9%)
Height, cm	125.6 (118–132)	126.0 (118–133)
Weight, kg	29.3 (23–37)	27.0 (24–34)
Body mass index, kg/m ²	18.4 (16–22)	17.9 (16–20)
Age at diagnosis, years	4.0 (3.3–6.8)	4.0 (2.3–6.9)
Time from diagnosis to randomisation, years	4.8 (2.2–5.5)	4.7 (2.1–5.9)
Phenotype diagnosis, n (%)		
Waddling gait	83 (72%)	76 (66%)
Gowers' manoeuvre	83 (72%)	91 (79%)
Calf hypertrophy	91 (79%)	92 (80%)
6MWD, m	375.2 (314–421)	370.5 (314–422)
6MWD <300 m, n (%)	25 (22%)	22 (19%)
6MWD ≥300 to <400 m, n (%)	47 (41%)	52 (45%)
6MWD ≥400 m, n (%)	43 (37%)	41 (36%)
Concomitant corticosteroid use		
Deflazacort	50 (44%)	54 (47%)
Prednisone	38 (33%)	37 (32%)
Prednisolone	29 (25%)	28 (24%)

658 Data are median (25th and 75th percentiles) unless otherwise indicated. 6MWD=6-minute
659 walk distance

660

661 **Table 2: LS mean change from baseline to week 48 (SEM) in time to perform each TFT for ataluren- and placebo-treated patients (ITT**
 662 **population and a subgroup of patients with a baseline 6MWD \geq 300 to <400 m)**

663

Group	Endpoint	LS mean change at week 48 (SEM), s			p value	Combined mean at baseline, s*	Difference, %†
		Ataluren	Placebo	LS mean difference (SEM), s			
ITT	10-m run/walk	2.36 (0.60)	3.43 (0.60)	-1.1 (0.7)	0.117	6.71	15.9%
	4-stair climb	3.88 (0.66)	5.31 (0.66)	-1.4 (0.8)	0.058	6.14	23.3%
	4-stair descend	2.78 (0.69)	4.75 (0.69)	-2.0 (0.8)	0.012	4.90	40.2%
\geq 300 to <400 m subgroup	10-m run/walk	0.92 (0.79)	2.76 (0.76)	-1.8 (1.0)	0.066	6.52	28.2%
	4-stair climb	2.27 (0.91)	5.73 (0.88)	-3.5 (1.2)	0.003	5.65	61.2%
	4-stair descend	0.54 (0.92)	4.90 (0.89)	-4.4 (1.2)	<0.001	4.34	100.5%

664 P values obtained via ANCOVA with multiple imputation.

665 *Mean baseline values for time taken to perform each TFT for the total population (ataluren- and placebo-treated patients).

666 †Difference, % = (LS mean difference/combined mean at baseline) x 100.

667 6MWD=6-minute walk distance, ANCOVA=analysis of covariance, ITT=intent-to-treat, LS=least square, SEM=standard error of the mean;

668 TFT=timed function test.

669

670 **Table 3: Reported TEAEs (as-treated population)**

Parameter, n (%)	Ataluren (n=115)	Placebo (n=115)
Patients with ≥1 TEAE	103 (89.6%)	101 (87.8%)
TEAE by severity [†]		
Mild [‡]	61 (53.0%)	54 (47.0%)
Moderate [‡]	35 (30.4%)	37 (32.2%)
Severe [‡]	7 (6.1%)	9 (7.8%)
TEAEs by relatedness		
Unrelated	44 (38.3%)	47 (40.9%)
Unlikely	20 (17.4%)	30 (26.1%)
Possible	27 (23.5%)	18 (15.7%)
Probable	12 (10.4%)	6 (5.2%)
MedDRA system organ class/preferred term,		
TEAEs reported for ≥5% of patients		
Gastrointestinal disorders	52 (45.2%)	48 (41.7%)
Vomiting	26 (22.6%)	21 (18.3%)
Diarrhoea	20 (17.4%)	10 (8.7%)
Abdominal pain upper	9 (7.8%)	13 (11.3%)
Nausea	7 (6.1%)	7 (6.1%)
Constipation	3 (2.6%)	10 (8.7%)
Abdominal pain	7 (6.1%)	5 (4.3%)
General disorders and administration site conditions	29 (25.2%)	32 (27.8%)
Pyrexia	16 (13.9%)	12 (10.4%)
Disease progression	9 (7.8%)	14 (12.2%)
Infections and infestations	63 (54.8%)	50 (43.5%)
Nasopharyngitis	24 (20.9%)	22 (19.1%)
Upper respiratory tract infection	11 (9.6%)	6 (5.2%)
Rhinitis	8 (7.0%)	4 (3.5%)
Injury, poisoning, and procedural complications	35 (30.4%)	34 (29.6%)
Falls	21 (18.3%)	20 (17.4%)
Musculoskeletal and connective tissue disorders	32 (27.8%)	32 (27.8%)
Pain in extremity	10 (8.7%)	14 (12.2%)

Back pain	11 (9.6%)	8 (7.0%)
Nervous system disorders	28 (24.3%)	23 (20.0%)
Headache	21 (18.3%)	21 (18.3%)
Respiratory, thoracic, and mediastinal disorders	34 (29.6%)	30 (26.1%)
Cough	19 (16.5%)	13 (11.3%)
Oropharyngeal pain	7 (6.1%)	6 (5.2%)

671 Patients who had the same adverse event more than once were counted only once for that
672 adverse event.

673 †No life-threatening or fatal TEAEs were reported.

674 ‡Mild: sign or symptom not easily tolerated, but not expected to have a clinically significant
675 effect on the patient's overall health and well-being, does not interfere with the patient's
676 usual functions and is not likely to require medical attention; moderate: sign or symptom
677 causes interference with usual activity or affects clinical status and may require medical
678 intervention; severe: sign or symptom is incapacitating or significantly affects clinical status
679 and likely requires medical intervention and/or close follow-up.

680 MedDRA=medical dictionary for regulatory activities, TEAE=treatment-emergent adverse
681 event.

682

683 **FIGURES LEGENDS**

684 ***Figure 1: Trial profile***

685 Note: Two patients from the as-treated population (one from each treatment arm) were
686 prematurely discontinued from the study when dystrophin gene sequencing did not confirm
687 the presence of a nonsense mutation in the dystrophin gene. This meant that they did not
688 have at least one valid post-baseline 6MWD value, a requirement for the ITT population.
689 6MWD=6-minute walk distance, ITT=intent-to-treat.

690

691 ***Figure 2: LS mean change (SEM) from baseline to week 48 in 6MWD for ataluren- and***
692 ***placebo-treated patients in (A) the ITT population and in (B) the subgroup of patients***
693 ***with a baseline 6MWD ≥ 300 to < 400 m (pre-specified, mid-range)***

694 ANCOVA model based on change from baseline as the dependent variable, and
695 independent variables included stratification for age (< 9 or ≥ 9 years old), duration of
696 previous corticosteroid use (6 to < 12 months or ≥ 12 months) and baseline 6MWD (< 350 m
697 or ≥ 350 m), treatment, and baseline 6MWD as a covariate. *P* values obtained via ANCOVA
698 via multiple imputations.

699 6MWD=6-minute walk distance, ANCOVA=analysis of covariance, ITT=intent-to-treat,
700 LS=least square, SEM=standard error of the mean.

701

702 ***Figure 3: Percentage of patients who lost the ability to perform each individual item in***
703 ***the NSAA over 48 weeks (ITT population)***

704 A score of 0 indicated that the patient was unable to perform the function, a score of 1
705 indicated that the patient performed the function with difficulty (ie, the patient completed the
706 activity independent of physical assistance from another person using a modified method),
707 and a score of 2 indicated that the patient performed the function (without modification or
708 assistance). *P* value obtained via resampling analysis methods. This was a post-hoc
709 analysis.

710 ITT=intent-to-treat, L=Left, NSAA=North Star Ambulatory Assessment, R=Right.

711