Large-Scale Community Echocardiographic Screening Reveals a Major Burden of Undiagnosed Valvular Heart Disease in Older People: The OxVALVE Population Cohort Study

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Short title: OxVALVE Population Cohort Study
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ABSTRACT

Background

Valvular heart disease (VHD) is expected to become more common as the population ages. However, current estimates of its natural history and prevalence are based on historical studies with potential sources of bias. We conducted a cross-sectional analysis of the clinical and epidemiological characteristics of VHD identified at recruitment of a large cohort of older people.

Methods and Results

We enrolled 2500 individuals aged ≥65 years from a primary care population and screened for undiagnosed VHD using transthoracic echocardiography. Newly identified (predominantly mild) VHD was detected in 51% of participants. The most common abnormalities were aortic sclerosis (34%), mitral regurgitation (22%), and aortic regurgitation (15%). Aortic stenosis was present in 1.3%. The likelihood of undiagnosed VHD was 2-fold higher in the two most deprived socioeconomic quintiles than in the most affluent quintile, and 3-fold higher in individuals with atrial fibrillation.

Clinically significant (moderate or severe) undiagnosed VHD was identified in 6.4%. In addition, 4.9% of the cohort had pre-existing VHD (a total prevalence of 11.3%). Projecting these findings using population data, we estimate that the prevalence of clinically significant VHD will double before 2050.

Conclusions

Previously undetected VHD affects 1 in 2 of the elderly population and is more common in lower socioeconomic classes. These unique data demonstrate the contemporary clinical and epidemiological characteristics of VHD in a large population-based cohort of older people and confirm the scale of the emerging
epidemic of VHD, with widespread implications for clinicians and healthcare resources.

**Keywords:**

Epidemiology

Valvular heart disease

Echocardiography

Health policy and outcome research
INTRODUCTION

Valvular heart disease (VHD) is an important cause of reduced functional capacity, heart failure, arrhythmia, recurrent hospital admission, and early mortality. The combination of an ageing population, earlier diagnosis and greater availability of surgical and percutaneous interventions heralds a major increase in the healthcare resources required for its optimal management.(1,2)

There is therefore a pressing need to better understand the contemporary clinical and epidemiological characteristics of VHD, particularly in the elderly population. Previous studies have either been hospital based (3) or retrospective in design (4,5) and thus subject to significant selection biases. Broader epidemiological studies provide limited data and there are no contemporary prospective large-scale population-based studies specific to VHD in developed countries. Available retrospective data demonstrate an increasing prevalence with age, predominantly as a result of degenerative pathophysiology.(3–5) A major increase in the prevalence of VHD would therefore seem an inevitable consequence of the anticipated increase in the number of older people in the population. Reliable contemporary data demonstrating the prevalence of VHD are an essential requirement for researchers and clinicians to improve understanding of its underlying pathophysiology, risk factors, and natural history; and for policy makers and economists to plan the provision of health services for both surgical and percutaneous interventions and long term medical care.
Accordingly, we established a large prospective study to provide a unique population-based evaluation of the contemporary clinical and epidemiological characteristics of VHD and create well defined and carefully phenotyped cohorts with individual valve lesions for future study. Herein, we present a cross-sectional analysis of the population prevalence of undiagnosed and known VHD in the first 2500 participants and quantify the community prevalence of milder forms of VHD for the first time.

METHODS
The OxVALVE Population Cohort Study (OxVALVE-PCS) is an ongoing prospective cohort study conducted in Oxfordshire, United Kingdom. Methodological details have been previously reported elsewhere.(6) In brief, subjects aged 65 years and older without known VHD who were registered with one of five primary care medical centres were invited to participate. Younger subjects were excluded since (i) previous retrospective studies have demonstrated that the prevalence of VHD is low in those aged less than 65 years,(4) and (ii) uptake of community screening was likely to be low in those with work or family commitments. The participating medical centres were representative of the local population demographics and selected for the availability of accurate patient databases with comprehensive search facilities. Subjects with a previous diagnosis of VHD (identified using relevant National Health Service diagnostic Read codes) were not included in the echocardiographic study but their diagnostic data were collected to derive total prevalence. Exclusion criteria included terminal illness and immobility or general frailty precluding attendance (as judged by the general practitioner/family physician). Eligible subjects received an initial study invitation letter with a single follow-up reminder to non-responders - further contact with potential study participants was not permitted by the local
research ethics committee which approved the study. All participants provided written informed consent.

**Participant assessment**

An investigating physician or British Society of Echocardiography (BSE) accredited sonographer undertook clinical and transthoracic echocardiographic assessment in the participant’s local medical centre. Socioeconomic class (SEC) was determined using Index of Multiple Deprivation (IMD) scores based upon home address postcode. Each SEC corresponds to 20% of the national population (with SEC1/SEC5 denoting the least/most deprived quintiles, respectively).

**Diagnostic criteria**

The primary outcome was a finding of mild or more severe left sided VHD or moderate or more severe right sided VHD. Valve anatomy, physiology and severity of VHD were defined according to BSE criteria (7) and international guidelines.(8,9) Aortic sclerosis (AoScl) was defined according to 2009 European Association of Echocardiography/American Society for Echocardiography guidelines (9) - thickening and focal calcification of the aortic valve leaflets, with normal or near normal cusp mobility and maximum aortic transvalvular velocity ≤2.5 m/s. Aortic stenosis (AS) was defined as aortic valve thickening or calcification with a maximum aortic transvalvular velocity >2.5m/s.

**Statistical analysis**

Participants were stratified by age and gender to explore the prevalence of VHD and examine demographic and clinical characteristics. Descriptive statistics for the study
cohort are presented using means and standard deviations (SD) for continuous variables, and counts (percentages) for categorical variables. Student’s t-test and Chi-squared test or Fisher’s exact test were used to explore associations between VHD and quantitative and categorical variables, respectively. Logistic regression models were used to assess associations of newly diagnosed VHD. For multivariate regression, all variables with initial univariate regression p-value < 0.10 were included and subsequently removed if the Wald test p-value was >0.05. All results are expressed as odds ratios (ORs) with 95% confidence intervals (CIs) and a 2-tailed p-value ≤0.05 considered significant (χ² distribution p-value with 1 degree of freedom). Total prevalence of clinically significant (moderate or severe) VHD was obtained by combining data on newly diagnosed and pre-existing VHD (assuming that moderate or severe VHD would result in a clinical diagnosis). To derive population projections for significant VHD, we applied our age-specific prevalence data to Office of National Statistics projections (based upon the 2012 United Kingdom census) using gender-specific 5-year age-bands.

RESULTS

Demography

Initial screening of primary healthcare records in study centres demonstrated that 2.9% of potential participants aged 65 years and older were deceased, 3.1% were no longer registered with the practice, and 4.9% had a pre-existing diagnosis of VHD. A further 13.2% were excluded from the echocardiographic study for other reasons (e.g. cognitive decline, terminal non-cardiac disease or severe immobility). Study uptake amongst the remaining potential study population in the first practice to complete
recruitment was 53%, consistent with previous community-based echocardiographic screening studies for cardiovascular disease (Supplemental Figure 1).(10,11)

In the first 2500 enrolled participants, the mean age (SD) was 73 (6) years and 51.5% were female (Table 1), consistent with the demographics of the wider community population - UK 2011 Census data indicate that the mean age of the entire Oxfordshire population aged 65 years and older is 75.3 years (55.8% female). Almost all the study participants were of White ethnic background (99%) and relatively affluent, reflecting the demography of England and Wales, where 95% aged ≥65 years are of White ethnicity.(12) Similarly, the socio-economic status of the study cohort (assessed by mean Index of Multiple Deprivation (IMD) score) was comparable to that of Oxfordshire as a whole (OxVALVE cohort 11.68, Oxfordshire 12.26).(13)

The vast majority (97.4%) of the study cohort (excluding those with known VHD) had minimal or no symptoms with only 2.6% (65/2500) in New York Heart Association Class III/IV.

**Prevalence of valvular heart disease**

**OVERALL**

Newly detected (predominantly mild) VHD was identified in just over half (50.8%) of this large asymptomatic population (Table 2, Figure 1). The most common valve lesion was aortic sclerosis (AoScl, 34% of those with newly detected VHD), followed by mitral regurgitation (MR; mild 19.8%, moderate/severe 2.3%) and aortic regurgitation (AR; mild 13.6%, moderate/severe 1.6%). Aortic stenosis (AS), the most prognostically significant manifestation of VHD, was newly diagnosed in 1.3%
of participants at a mean age of 77.3 (7.0) years in men and 75.6 (7.2) years in women. A bicuspid aortic valve was found in only 8 (0.3%) participants, reflecting the age of the study cohort and the fact that clinical manifestations of this condition usually present in the fifth or sixth decades.

**CLINICALLY SIGNIFICANT VALVULAR HEART DISEASE**

Clinically significant (moderate or severe) VHD was newly diagnosed in 6.4% of participants. Addition of the further 4.9% of subjects with pre-existing VHD from the overall study cohort (assuming that moderate or severe VHD would result in a clinical diagnosis) created a derived total population prevalence of moderate or severe VHD of 11.3%.

**RIGHT-SIDED VALVULAR HEART DISEASE**

Significant right-sided VHD was less common. In addition to moderate/severe tricuspid regurgitation (2.7%), moderate pulmonary regurgitation was found in 7/2500 participants (0.3%). There were none with tricuspid or pulmonary stenosis.

**MULTIVALVE DISEASE**

Multiple valve lesions were identified in over one third (38.5%) of the study population, affecting 47% of those with AoScl, 58% with MR, and 81% with AR (Figure 2).

**Association with clinical variables and socioeconomic class**

Participants with newly detected VHD were on average 2.4 years older than those without (Table 1) and prevalence increased linearly with age, from 42.4% (379/894)
in those aged 65-69 years to 76.3% (103/135) in those aged 85-95 years (Figure 1). The proportion with moderate or severe VHD was 3.3% (54/1621) amongst those aged 65-74 years, rising to 11.9% (105/879) in those aged ≥75 years.

We explored associations with clinical characteristics using multiple regression (Figure 3A, Supplemental Table 1). After removal of non-significant variables, VHD was associated with older age, more deprived SEC, current or previous atrial fibrillation (AF), and higher systolic blood pressure. There was no association with gender. Conversely, current smoking, higher heart rate, diastolic blood pressure and body mass index were associated with a lower prevalence of VHD.

Restricting this analysis to subjects with newly diagnosed clinically significant (moderate or severe) VHD, there was an association with older age, current or previous AF, prior smoking and lower body mass index (Figure 3B). In this smaller subset there was no SEC association.

A history of current or previous AF was present in 109 participants (4.4% of the total study population) and independently associated with both a new diagnosis of VHD of any severity (OR 1.85, 95% CI 1.18-2.89) and clinically significant (moderate or severe) VHD (OR 3.25, 95% CI 1.91-5.52, p<0·001; Figure 3). Moreover, participants with AF had a much higher prevalence of VHD than those in sinus rhythm (newly diagnosed VHD 67.9% vs. 32.1%; clinically significant [moderate or severe] VHD 21.1% vs. 5.7%), principally related to increased frequency of MR (mild 33.9% vs. 19.1%; moderate or severe 5.5% vs. 2.2%) and AR (mild 22.0% vs. 13.3%; moderate or severe 3.7% vs. 1.6%). By comparison, there was little difference in the frequency of aortic sclerosis (38.8% vs. 34.7%) or aortic stenosis (1.9% vs. 1.2%)
between these groups. There were no participants with both AF and mitral stenosis in this Western European population.

**Population projections**

Combining our data concerning pre-existing and newly diagnosed VHD (and assuming no change in age and gender-specific prevalence), we predict a substantial rise in the clinical impact (and financial consequences) of clinically significant (moderate or severe) VHD within the rapidly expanding elderly population (Figure 4). The OxVALVE-PCS data suggest that the number of individuals in the United Kingdom (UK) aged 65 years or older with moderate or severe VHD will increase from 1.5 million in 2015 to 3.3 million in 2056 (122% increase), with a doubling in prevalence by 2046.

**DISCUSSION**

OxVALVE-PCS is the first population-based study of VHD worldwide and demonstrates both the prevalence of undiagnosed and known VHD in older people and the contemporary clinical and epidemiological characteristics of VHD. Previously undetected VHD was detected in just over half of 2500 participants and prevalence increased linearly with age. The total prevalence of clinically significant VHD was 11.3% when we included subjects with known VHD from the overall population cohort.

Previous studies addressing the prevalence of VHD have either been retrospective, (4,5) hospital-based, (3) or developed primarily to examine non-valvular heart disease. (14) Consistent with these, we demonstrated a significant increase in the
prevalence of VHD with age. (3–5, 15–17) A previous North American meta-analysis demonstrated that the prevalence of moderate or severe left-sided VHD was <1%, 9.9% and 13.2% in those aged 18–44 years, >65 years and >75 years, respectively. (4) Similarly, the prevalence of undiagnosed moderate/severe VHD in those aged ≥75 years in OxVALVE-PCS was 11.9%. Moreover, we excluded 4.9% of the overall potential community cohort (6.8% of those aged ≥75 years) from our echocardiographic study on account of a previous diagnosis of VHD. Although ethical constraints restricted access to more detailed information concerning this group, we can reasonably assume that most (if not all) of this VHD was clinically significant, providing an 11.3% total prevalence of significant VHD in the elderly population aged 65 years or older (18.7% of those aged ≥75 years). These figures are significantly higher than estimated in a previous meta-analysis. (4) A new diagnosis of moderate or severe VHD remains clinically important and should prompt specialist referral for evaluation, treatment and/or long-term surveillance.

Aortic sclerosis, defined as thickening and focal calcification of the aortic valve leaflets without obstruction to flow, is a frequent incidental echocardiographic finding. Prevalence increases linearly with age and is estimated at 20–40% in the population aged >65 years. (18) Consistent with this, AoScl was detected in one third of participants in the present study and was the most common manifestation of VHD. Although progression of AoScl to AS is slow and affects only a minority of individuals, (19, 20) it is now accepted that AoScl is associated with adverse outcome over long term follow up and an independent marker of cardiovascular risk. (21, 22) Whilst on-going research is addressing underlying mechanisms, vigorous vascular risk factor management combined with scheduled clinical and echocardiographic follow up to monitor potential progression to significant AS is appropriate for
individuals with AoScI identified in the context of cardiovascular screening or other echocardiographic assessment.

Aortic stenosis is associated with reduced life expectancy and carries a poor prognosis following the onset of symptoms. Aortic valve replacement and transcatheter aortic valve implantation are associated with significant reduction in mortality and morbidity, even in the very elderly.(23) Early identification of AS remains an important priority to avoid excess morbidity and mortality, even in the oldest population cohorts, and we were able to detect AS in 1.3% of our study population. Although bicuspid aortic valve (BAV) was historically reported in 1-2% of live births, more recent studies suggest the prevalence is lower at 0.5-0.8%, (24–27) consistent with the prevalence of 0.3% in OxVALVE-PCS (particularly since those with a known BAV would have been excluded from our echocardiographic study).

Multivariate analysis confirmed a number of expected findings, such as the association of VHD with increasing age, current or previous AF, and elevated systolic blood pressure. Whilst initially counterintuitive, lower BMI has previously been associated with higher prevalence of regurgitant valve lesions, perhaps due the increased ease of echocardiographic imaging in thin individuals.(17) Although current smoking was associated with less VHD, a concurrent history of coronary heart disease (CHD) may have been an important confounding variable. Both current and ex-smokers were more likely to have CHD (Supplemental Table 2) and previous echocardiography demonstrating associated VHD would have led to exclusion of this group from our echocardiographic study.
Variation in the prevalence and outcomes of coronary artery disease and stroke according to SEC is well described (28) but OxVALVE-PCS demonstrates an association between SEC and VHD for the first time. Whilst this finding should be interpreted with caution given the relatively small sample sizes, the rate of VHD in the two most deprived groups (SEC 4/5) was approximately double that in the least deprived group (SEC 1, Figure 3). This association is not readily explained but could reflect a higher burden of unmeasured risk factors, such as exposure to passive smoking, or the small but measureable association between SEC and markers of ageing.(29) Consistent with local demographics, SEC 1 accounted for 50% of our cohort – larger, more diverse studies to analyse inequalities in the prevalence of VHD are warranted. At a practical level, there is a need to devise strategies to enhance access to specialist care in less affluent communities to facilitate the earlier diagnosis of VHD.

We identified current or previous AF as the clinical variable most strongly associated with newly diagnosed clinically significant (moderate or severe) VHD. These participants had a more than three-fold increase in the likelihood of newly diagnosed clinically significant VHD. Although international guidelines indicate the need for initial echocardiography in all patients with AF,(30,31) recent recommendations from the UK National Institute for Health and Care Excellence (NICE) reaffirm previous guidance that routine echocardiography is unnecessary.(32) Our data indicate that AF is an important and easily identifiable marker of silent VHD in asymptomatic individuals in primary care and that auscultation and routine echocardiography are appropriate. Anticoagulation is also of potential importance in this cohort, although none of the OxVALVE-PCS participants had a mechanical valve or mitral stenosis.
with associated AF (the two conditions known to independently increase thromboembolic risk in the setting of AF, (33) and for which novel oral anticoagulants are unlicensed).

The unique OxVALVE-PCS cohort will provide a platform for future studies examining long-term outcomes and cross-sectional associations of VHD in its earliest stages, enabling accurate assessment of the rate of progression in the community, exploration of genetic and biomarker associations, and elucidation of factors involved in the pathogenesis of this increasingly common condition.

**Limitations**

The present study has inevitable limitations. Although uptake was over 50% (despite ethical constraints permitting mail only contact with potential participants) and compatible with similar community-based studies, (10,11) selection bias with over-representation of motivated healthy individuals is possible. (34) Thus, although it is likely that the pragmatic exclusion of the infirm or those with cognitive decline will have skewed the data to an indefinable extent, this will, if anything, have led to an underestimation of the considerable population burden of VHD. Indeed, previous studies amongst nursing home residents have demonstrated very high levels of VHD. (35,36)

The Oxfordshire population is relatively homogeneous and our study population lacks ethnic and socioeconomic diversity, which makes it difficult to generalise our findings to other communities. This is, of course, a problem faced by other similar clinical studies. For example, a recent US study demonstrated a far lower rate of aortic stenosis in African Americans compared with Caucasians but equal rates of severe
mitral regurgitation. However, other key epidemiological series (5, 17) have been similarly homogeneous despite being population-based, while other important studies (16) were limited to single ethnic groups. Although there have been numerous changes in European population dynamics in recent decades, a traditional ethnic structure prevails in older cohorts. For example, UK 2011 Census data demonstrate an equivalent or higher prevalence of White ethnicity compared with the OxVALVE cohort (98.8%) in 71/174 counties in England and Wales and prevalence of 95% or more in 120/174 counties.(12) Comparison with other European nations is difficult, although Dutch data indicate that 97% of those aged 65 and over in Holland are of Western origin,(38) suggesting that the Oxfordshire population is reasonably representative of other elderly Western European populations.

We also recognise that our study cohort (mean IMD score 11.68) and the overall Oxfordshire population (mean IMD score 12.26) is relatively affluent in comparison with the wider UK South East region (mean IMD score 14.75) or large cities such as London (mean IMD score 25.22) where multi-ethnicity and immigrant communities are likely to contribute to a greater prevalence of rheumatic valve disease. Comparison of our cohort with other UK populations would be of value and such collaborative studies are planned within our future research programme.

**Conclusions**

OxVALVE-PCS is the first population-based study worldwide aimed specifically at the community detection of VHD. In a large cohort of 2500 elderly subjects we demonstrate a high prevalence of previously unidentified VHD and infer that the number of individuals with clinically significant VHD will increase substantially over the next five decades. The novel finding of increased VHD in more deprived
socioeconomic groups (even in a high-income country such as the UK) places VHD amongst the group of diseases that disproportionately affects the poor. Furthermore, we demonstrate that clinically significant VHD is three times more common in individuals with current or previous AF, which provides an important and easily identifiable marker of silent VHD in asymptomatic individuals. These data are important for researchers and clinicians in enabling improved understanding of the pathophysiology, risk factors, and natural history of VHD; and for healthcare policy-makers and economists as the size of the elderly population and their health expectations increase, and expensive percutaneous treatment options emerge as an evidence-based alternative to conventional valve surgery.(39,40)
**Funding**

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**Disclosures**

None.

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**Echocardiographers:** Linda Arnold, Cassandra Hammond, Claudio Eduardo Lima, Claire Mabbett, Nadia Pinheiro, Rebecca Reynolds
Administration: Hema Collappen, Sabrina Harris, Andrew Kennedy, Lee Potiphar, Jo Wilson
REFERENCES


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<th>VHD (SD or % of those with condition)</th>
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Table 1. Demographics of study participants with and without valvular heart disease. Results are presented as mean (SD) for continuous variables and numbers (% for categorical variables, with percentage by row for each category. P-value is for
t-test (continuous) and $X^2$ or Fisher’s Exact Test (categorical) to assess independence of VHD from each characteristic/condition. AF = current or previous atrial fibrillation. NYHA = New York Heart Association. TIA = transient ischaemic attack. VHD = valvular heart disease.
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<th>VHD Type</th>
<th>None/Trivial</th>
<th>Mild</th>
<th>Significant (moderate/severe)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Any VHD</td>
<td>1231 (49.2%)</td>
<td>1110 (44.4%)</td>
<td>159 (6.4%)</td>
</tr>
<tr>
<td><strong>Left-sided VHD</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mitral regurgitation</td>
<td>1948 (77.9%)</td>
<td>494 (19.8%)</td>
<td>58 (2.3%)</td>
</tr>
<tr>
<td>Mitral stenosis</td>
<td>2491 (99.6%)</td>
<td>7 (0.3%)</td>
<td>2 (0.1%)</td>
</tr>
<tr>
<td>Aortic regurgitation</td>
<td>2118 (84.7%)</td>
<td>341 (13.6%)</td>
<td>41 (1.6%)</td>
</tr>
<tr>
<td>Calcific aortic valve disease – aortic sclerosis and stenosis</td>
<td>1617 (64.7%)</td>
<td>866 (34.6%)*</td>
<td>17 (0.7%)</td>
</tr>
<tr>
<td><strong>Right sided VHD</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tricuspid regurgitation</td>
<td>2433 (97.3%)</td>
<td></td>
<td>67 (2.7%)</td>
</tr>
<tr>
<td>Pulmonary regurgitation</td>
<td>2493 (99.7%)</td>
<td></td>
<td>7 (0.3%)</td>
</tr>
</tbody>
</table>

**Table 2. New diagnosis of valvular heart disease.** Number of study participants (% of total cohort) with newly diagnosed valvular heart disease (there were no cases of tricuspid or pulmonary stenosis). VHD = valvular heart disease. *Mild calcific aortic valve disease refers to the combined number with aortic sclerosis and mild aortic stenosis.
Figure 1. Population prevalence of valvular heart disease (VHD) according to age.
Figure 2. Venn diagram demonstrating the distribution of single and multiple left sided valve abnormalities in OxVALVE participants with newly diagnosed valvular heart disease. The outer rectangle represents the full cohort (n=2500) and the area of each circle is proportionate to the number of participants with different manifestations of left sided valvular heart disease. Numbers denote the number of participants in each group.
Figure 3. Clinical variables associated with a new diagnosis of valvular heart disease. Forest plot of odds ratios from multiple regression analysis of clinical associations with (A) any valvular heart disease, and (B) significant (moderate/severe) valvular heart disease. Only statistically significant variables are shown. Dots represent the odds ratio and whiskers represent the 95% confidence interval. CI = confidence interval. OR = odds ratio. SEC = socioeconomic class, according to national quintile.
Figure 4. United Kingdom population projections of diagnosed and undiagnosed significant valvular heart disease. Diagnosed estimates are based on the number excluded from participation in the present study due to a prior diagnosis of valvular heart disease. Undiagnosed estimates are based on the number with newly diagnosed significant valvular heart disease in OxVALVE-PCS.