

Randomized Feasibility Trial of the Scleroderma Patient-centered Intervention Network Hand Exercise Program (SPIN-HAND): Study Protocol

Short Title: Protocol for SPIN-HAND Feasibility Trial

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ABSTRACT

Background: Significant functional impairment of the hands is nearly universal in systemic sclerosis (SSc, scleroderma). Hand exercises may improve hand function, but developing, testing and disseminating rehabilitation interventions in SSc is challenging. The Scleroderma Patient-centered Intervention Network (SPIN) was established to address this issue, and has developed an online hand exercise program to improve hand function for SSc patients (SPIN-HAND). The aim of the proposed feasibility trial is to evaluate the feasibility of conducting a full-scale randomized controlled trial (RCT) of the SPIN-HAND intervention.

Design and Methods: The SPIN-HAND feasibility trial will be conducted via the SPIN Cohort. The SPIN Cohort was developed as a framework for embedded pragmatic trials using the cohort multiple RCT design. In total, 40 English-speaking SPIN Cohort participants with at least mild hand function limitations (Cochin Hand Function Scale ≥ 3) and an indicated interest in using an online hand-exercise intervention will be randomized with a 1:1 ratio to be offered to use the SPIN-HAND program or usual care for 3 months. The primary aim is to evaluate the trial implementation processes, required resources and management, scientific aspects, and participant acceptability and usage of the SPIN-HAND program.

Discussion: The SPIN-HAND exercise program is a self-help tool that may improve hand function in patients with SSc. The SPIN-HAND feasibility trial will ensure that trial methodology is robust, feasible, and consistent with trial participant expectations. The results will guide adjustments that need to be implemented before undertaking a full-scale RCT of the SPIN-HAND program.

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KEY WORDS: feasibility studies; scleroderma, systemic; tele-rehabilitation; trial protocols

INTRODUCTION

Systemic sclerosis (SSc, or scleroderma) is a rare autoimmune disease that affects the skin and internal organs including lungs, gastrointestinal tract and cardiovascular system.^{1,2} Patient-reported problems include limitations in physical mobility and hand function, pain, fatigue, sleep disturbance, depression, sexual dysfunction, and appearance changes.³⁻⁶ Despite significant advances in symptom management, there is still no disease-modifying agent; thus, a primary goal of care is to reduce disability and improve health-related quality of life (HRQL).⁷

Psychosocial, educational and rehabilitation interventions can improve disease management and function, and these interventions are important components of patient-centered care in more common diseases. There are barriers to developing, testing and disseminating these interventions in rare diseases,⁸ and many rare disease patients, including people living with SSc, face unique challenges that are not addressed by interventions developed for more common conditions.⁹⁻¹²

The Scleroderma Patient-centered Intervention Network (SPIN) was established to address this issue.⁸ SPIN is a collaboration of clinicians, patients and investigators from Canada, the USA, Europe and Latin America (www.spinsclero.com). Over 1900 SSc patients from over 40 centres have been enrolled in SPIN's web-based cohort. SPIN investigators are developing a series of online interventions to be tested with SPIN Cohort participants in pragmatic randomized controlled trials (RCTs).

The first SPIN intervention to be tested is an online program of hand exercises designed to improve hand function (SPIN-HAND). Contractures and deformities of the hand, consisting of decreased flexion and limited extension as well as reduced thumb

abduction, are present in approximately 90% of SSc patients.^{3,13} Impaired hand function is a major contributor to overall disability and reduced HRQL,^{13,14} and SSc patients rank impaired hand function at the top of their list of problems that impact their daily lives.^{3,13}

Three RCTs have evaluated physical or occupational therapy interventions to improve hand function in SSc, but all were limited by small samples (N < 20 per arm) and significant methodological shortcomings.¹⁵ Additionally, Schouffoer et al.¹⁶ reported that a 12-week multidisciplinary day treatment program, which included hand exercises, improved grip strength and reduced disability compared to usual care 24 weeks post-randomization (N = 53). Recently, another RCT tested a one-month general SSc home-based exercise therapy program, which included hand exercises (N = 218).¹⁷ The program improved hand function significantly at 6-months follow-up, but gains were no longer statistically significant at 12-months post-randomization. Possible reasons why the impact of the intervention on hand function was not greater and longer lasting include that it was a general exercise program that did not focus specifically on hand function and that the one-month program did not provide resources to support ongoing patient adherence. In post-trial interviews, patients encouraged the development of an internet-based tool to increase ongoing adherence and motivation (manuscript under review).

Prior to a full-scale RCT, a feasibility trial of SPIN-HAND will be conducted to ensure the feasibility of the planned trial methodology and that the online intervention is user-friendly and acceptable to trial participants.¹⁸⁻²¹ Feasibility study outcomes will inform and guide adjustments to the online intervention and the trial protocol that might

need to be implemented before undertaking the full-scale SPIN-HAND RCT to assess effectiveness.

The aim of the proposed feasibility trial is to evaluate the feasibility of conducting a full-scale RCT of the SPIN-HAND intervention by obtaining data related to the study's *process, required resources and management, scientific aspects, and participant acceptability*. The SPIN-HAND feasibility study is not meant for hypothesis testing or effect size estimation, as the sample size is not appropriate to do so.

METHODS

Design and setting

The SPIN-HAND feasibility trial will be conducted via the SPIN Cohort. The SPIN Cohort was developed as a framework for embedded pragmatic trials using the cohort multiple RCT (cmRCT) design. In the cmRCT design,²² participants enrol in an observational cohort with regular outcome measurement. Participants consent to allow their data to be used for observational studies. They consent to allow their data to be used to assess intervention trial eligibility and, if eligible, to be randomized to the intervention or control arm of the trial. They also consent that if they are eligible and randomized to the intervention arm, they will be contacted and offered access to the intervention; Finally, they consent that if eligible and randomized to usual care, they will not be notified that they are involved in the trial usual care group, but their regularly collected cohort data will be used to evaluate trial outcomes. Trial eligibility will be assessed during regular SPIN Cohort assessments, which occur every 3 months, and trial outcomes will be obtained at the subsequent SPIN Cohort assessment 3 months later.

Participants

To be eligible for the SPIN Cohort, patients must be classified as having SSc based on 2013²³ ACR/EULAR criteria confirmed by a SPIN physician, be ≥ 18 years old, be able to give informed consent, be fluent in English, French or Spanish, and be able to respond to questionnaires via the internet. Since this is a pragmatic trial that intends to determine if providing the SPIN-HAND Program, in addition to usual care, improves hand outcomes, patients will not be excluded if they are engaged in hand exercises as part of their usual care. The SPIN Cohort is a convenience sample. Eligible SPIN Cohort patients are recruited at SPIN sites (www.spinsclero.com/en/sites) during regular medical visits, and written informed consent is obtained. A medical data form is submitted online by the site to enrol participants. Cohort participants complete outcome measures via the internet upon enrolment and subsequently every 3 months.⁸

For the SPIN-HAND feasibility trial, 40 English-speaking SPIN Cohort participants will be randomized with a 1:1 ratio to be offered to use the SPIN-HAND program or usual care, defined as the standard care that they receive from their regular healthcare providers. Cohort participants will be eligible for the feasibility trial if they complete their SPIN Cohort measures in English, have at least mild hand function limitations (Cochin Hand Function Scale²⁴ (CHFS) ≥ 3), and have indicated high interest in using an online hand exercise intervention (≥ 7 on 0-10 scale). Assessment of hand function limitations and interest will occur as part of participants' regular SPIN Cohort assessments.

Procedure: randomization, allocation concealment, consent and blinding

Randomization to be offered versus not offered the SPIN-HAND intervention will occur at the time of Cohort participants' regular SPIN Cohort assessments. Eligible Cohort participants, based on questionnaire responses, will be randomized automatically as they complete their regular SPIN Cohort assessments using a feature in the SPIN Cohort platform, which provides immediate centralized randomization and, thus, complete allocation sequence concealment. Participants randomized to be offered the intervention will receive an automated email invitation including a link to the SPIN-HAND program site and the SPIN-HAND feasibility study consent form. At initial login, they will be prompted to provide written consent to participate in the SPIN-HAND feasibility study by verifying agreement with consent elements and providing their email address as the signature. Participants who consent will be automatically re-directed to the introduction page of the SPIN-HAND program. Patients who log out before agreeing to the terms of the consent form will return to the consent page upon subsequent logins. SPIN personnel will also contact participants by phone, usually within 48 hours of sending the invitation email, to describe the study, review the consent form, and answer questions. Participants who accept the offer to use the SPIN-HAND intervention can use the web link to enter the secure intervention site. Email and phone technical support will be available to help participants with the consent process and to access and use the intervention site. See figure 1 for SPIN Cohort participants flow through the SPIN-HAND feasibility trial.

In pragmatic trials, participants are typically not blinded to intervention status and possible biases are accepted as part of the response to being offered an intervention, as may occur in practice.^{25,26} Disappointment bias, however, can occur in conventional

trial designs when a participant enrolls in a trial to receive an intervention, but is allocated to usual care.^{22,25} For this reason, in the cmRCT design,²² participants who are not offered an intervention are not notified that they have not been offered the intervention. This replicates actual practice, where patients are not typically advised about treatments that are not options, and reduces risk of disappointment bias.^{22,26,27} All participants in the SPIN Cohort are aware that SPIN will conduct intervention trials and are routinely asked about potential interest in 9 possible interventions, but are not informed that any particular intervention may be available unless they are offered to try the intervention. Thus, participants who are offered the intervention are not blind to their status, whereas participants assigned to usual care are blind to their participation in the trial and trial arm.

Intervention

Home-based exercise rehabilitation programs have been shown to improve hand function in SSc¹⁷ and rheumatoid arthritis.^{28,29} SPIN's hand exercise program is based on these programs and integrates key components of successful disease self-management programs, including goal-setting and feedback, social modeling, and mastery experiences.³⁰⁻³³ The SPIN-HAND exercise program was designed by SPIN experts in physical medicine, rehabilitation, physical and occupational therapy, and behavioural therapies, together with patient representatives. The core of the program consists of 4 modules that address specific aspects of hand function, including (1) Thumb Flexibility and Strength (3 exercises); (2) Finger Bending (3 exercises); (3) Finger Extension (3 exercises); and (4) Wrist Flexibility and Strength (2 exercises). Participants can select the modules in the order that they prefer, based on a description

of the type of function that the module is targeting to maintain or improve. The program includes sections on developing a personalized program, goal-setting strategies and examples, progress tracking, sharing goals and progress with friends and family, and patient stories of experiences with hand disability and hand exercises. The program utilizes an engaging and easy to navigate web interface. Instructional videos with SSc patients demonstrate and explain how to perform each exercise properly, and additional pictures illustrate common mistakes. Separate versions of each exercise are available for participants with mild to moderate and more severe hand involvement. In the SPIN-HAND program, participants can identify their level by reviewing photos of hands of patients with mild to moderate versus more severe involvement. Some exercise videos include pictures to illustrate alternate versions on how to perform the exercise when there is very severe hand involvement. Participants are provided guidance on selecting intervention intensity levels. For the first 4 weeks of the program, it is suggested that they focus on exercises in one module per week and are encouraged to do the exercises 3-5 times per week. Time per day graduates from 3-4 minutes in week 1 to 5-15 minutes in week 4. Starting with week 5, participants are asked to select from a menu of program options to fit their needs and schedule. Options range from 5-10 minutes per day to 30-35 minutes per day. Participants offered the program will be able to access it for the entire 3-month trial period.

Outcomes and measurement

The primary aim of the SPIN-HAND feasibility study is to collect data related to the study's *process* to assess the feasibility of the steps that need to take place as part of the main study; *required resources and management* (e.g., personnel and data

management issues); *scientific aspects* (e.g., outcome assessments), and *participant acceptability* (e.g., ease of use). Data will be used to determine whether it is feasible to carry on the main study or whether changes need to be made before undertaking a full-scale RCT. The feasibility trial outcomes related to the process and resources will be assessed throughout the duration of the feasibility trial, and participant feedback will be obtained 3 months post-randomization.

Outcomes and Measures

Process and resources

Information to be collected includes: (1) the proportion of SPIN Cohort participants who meet eligibility criteria; (2) proper functioning of automated eligibility and randomization procedures; (3) the proportion of eligible participants randomized to be offered the SPIN-HAND intervention who accept the offer and consent to participate; (4) completeness of online data collection for each trial arm at 3-month follow-up; (5) completeness of the intervention usage log data; (6) ability to successfully link data coming from the SPIN Cohort and SPIN-HAND platforms; (7) rate of completion of trial outcome variables; (8) personnel requirements to call enrolled participants and help them to consent and use the SPIN-HAND program; (9) other challenges for study personnel; and (10) technological performance of the online SPIN-HAND program.

Participant Use and Acceptability of SPIN-HAND Intervention, Implementation of Trial

Procedures

Usage of the SPIN-HAND program modules among participants in the intervention arm will be examined via intervention usage data. These data will provide detailed information on number of logins, number of modules accessed, goals set, as

well as time spent on each webpage. Additionally, at 3-months post-randomization, qualitative interviews will be conducted with participants in the intervention arm to assess user acceptability and satisfaction. The semi-structured interview will be guided by items of the Patient Education Materials Assessment Tool for Audiovisual Materials³⁴ and will address topics related to usability, understandability, organization, and clarity. Participant feedback from these interviews will inform any changes necessary to improve the SPIN-HAND program prior to conducting a full-scale RCT. See Appendix A.

Trial personnel time requirements will be logged, and trial personnel will be interviewed to understand any implementation challenges.

Trial Measures

The objectives of the full-scale SPIN-HAND RCT will be to evaluate the effect of being offered access to SPIN's online hand exercise program, compared to usual care alone, on hand function (primary), functional health outcomes, and HRQL. In both the present feasibility study and the full-scale RCT, outcome measures are routinely assessed as part of the SPIN Cohort assessments every 3-months. Thus, no additional assessments will be added for the trial that are not already done as part of the SPIN Cohort.

The 18-item Cochin Hand Function Scale (CHFS)²⁴ was developed to measure hand function limitations among patients with rheumatic diseases. The CHFS assesses ability to perform hand-related activities (e.g., kitchen, dressing oneself, hygiene, writing/typing). Items are scored on a 0-5 Likert scale (0=*without difficulty*; 5=*impossible*). Higher scores indicate less functionality. The total score is obtained by adding the scores of all items (range 0-90). The CHFS has good convergent validity

with general functional disability measures and good sensitivity to change.^{14,24,35,36} It has been validated in SSc.³⁶

Patient-reported health status will be measured using the 29-item Patient Reported Outcomes Measurement Information System (PROMIS-29) profile version 2.0. The PROMIS-29 measures 7 domains of health status with 4 items each (physical function, anxiety, depression, fatigue, sleep disturbance, social roles and activities, pain interference) plus a single item for pain intensity. Domain items are scored on a 5-point scale (range 1-5), with different response options for different domains, whereas the single pain intensity item is measured on an 11-point rating scale. Higher scores represent more of the domain being measured; that is, better physical function and ability to participate in social roles and activities, but higher levels of anxiety, depression, fatigue, sleep disturbance, pain interference, and pain intensity. Total raw scores are obtained by summing item scores for each domain, which are converted into T-scores standardized from the general US population (mean=50, SD=10). PROMIS-29 version 2.0 has been validated in SSc.^{37,38}

HRQL will be assessed with the EQ-5D,³⁹ a 5-item standardized questionnaire, measuring 5 dimensions (mobility, self-care, usual activities, pain/discomfort, and anxiety/depression). The items are rated from 1 (no problems) to 3 (extreme problems).

Sample size

Guidance on the appropriate sample size for feasibility trials varies substantially in the published literature, with rules-of-thumb varying from n=12 to n=30 or more per trial arm.^{40,41} To ensure that we collect sufficient quantitative and qualitative outcome data to meet our feasibility objectives and guide the next study phase, we will include a

total of 40 SPIN Cohort participants in this feasibility trial (approximately 20 per trial arm).

Data collection, storage and sharing

Outcome measures are completed through the participants' regular SPIN Cohort assessments. The SPIN Cohort uses a secure electronic data management platform designed and managed by the *Information Management Services (IMS) of the Centre for Clinical Epidemiology, Jewish General Hospital, Montreal*. Separate from the SPIN Cohort portal, an encrypted database has been created for the SPIN-HAND program, which include an identification number for intervention participants to link to SPIN Cohort data and their usage log information.

Data Analysis

The primary data analysis will present a description of feasibility outcomes, including participants' eligibility and recruitment and numbers and percentages of participants who respond to follow-up measures. Use of the internet intervention will be described by presenting the frequency of logins and time spent on the SPIN-HAND program. Analysis of outcome measures will include the completeness of data and presence of floor or ceiling effects. Descriptive statistics will be used to provide means and standard deviations for the measures. Qualitative information on participants' experience using the SPIN-HAND intervention will be used to interpret acceptability related to content, webpage visuals, and navigation. Information related to required resources and management of the program during feasibility will inform any necessary changes to intervention or trial procedures.

Adverse events

The risk of adverse events occurring as a consequence of the SPIN-HAND program is very low. All hand exercises recommended are explained in detail with an emphasis on choosing a level that is comfortable for the study participant. Nonetheless, adverse events will be assessed via interview and open-ended question. Any events reported will be discussed with clinical members of the team and referrals to local SPIN physicians will be made as necessary. Any serious adverse events that occur will also be reported to the ethics committee.

Ethics and trial registration

Ethics approval for the SPIN-HAND feasibility trial has been obtained from the Research Ethics Committee of the Jewish General Hospital, Montreal, Canada. The SPIN-HAND feasibility study was registered prior to participant enrolment (NCT03092024) and will be reported in accordance with standards articulated in the Consolidated Standards of Reporting Trials (CONSORT) extensions for randomised pilot and feasibility trials.²¹

Discussion

The SPIN-HAND exercise program may improve hand function in SSc patients. This feasibility study will ensure that trial methodology is robust, feasible, and consistent with participant expectations.¹⁸⁻²¹ Results will guide any changes that need to be implemented before conducting a full-scale RCT to test the effectiveness of the SPIN-HAND intervention. Based on current SPIN Cohort data, we anticipate that 45-50% of Cohort patients will be eligible for the full-scale SPIN-HAND trial. Thus, we anticipate being able to easily meet recruitment goals for that trial, and patients who are included in the intervention arm of the feasibility trial will not be eligible for the subsequent full-

scale trial. If effective, it will be made available through patient organizations around the world to support people in their efforts to cope with living with SSc.

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Figure 1 Legend. SPIN Cohort and Feasibility Trial Flow (numbers of SPIN Cohort patients as of July 24, 2017)

APPENDIX A

SPIN-HAND INTERVENTION PATIENT INTERVIEWS

Did you use a computer or tablet or both to access the SPIN-HAND Program?

Can you please tell us about your experience with the SPIN-HAND Program, including things that you liked about the program and things that could be improved?

PROCESS

1. Did the initial invitation email provide you with the information you needed to understand how to sign up for the study?

Yes. No.

If No: What information was missing?

2. Did you find the follow up telephone call you received within 48 hours of the invitation email to be helpful?

Yes. No.

If No: Why not?

PURPOSE

3. Did you understand the objective of the SPIN-HAND program?

Yes. No.

If No: How could the objective be clarified?

4. Did you find the information provided in the SPIN-HAND program relevant?

Yes. No.

If No: How could the information provided be made more relevant for you or other scleroderma patients?

WORDS AND LANGUAGE

5. Did you find that the intervention used common, everyday language that was easy to understand?

Yes. No.

If No: Can you give an example of something or some word(s) that you did not understand?

6. Did you understand all the medical terms or, if not, were they clearly explained in the SPIN-HAND program?

Yes. No.

If No: Can you give an example of medical term(s) that you did not understand?

CONTENT, ORGANIZATION, NAVIGATION

7. Did you find that the SPIN-HAND program is broken down into manageable chunks or sections?

Yes. No.

If No: Which parts of the content weren't broken down into manageable chunks or sections and how could we improve them?

8. Did you find the different pages or sections of the program to be clearly indicated?

Yes. No.

If No: What section(s) could be more clearly labeled?

9. Did you find it easy to navigate through the intervention and to understand where to go next?

Yes. No.

If No: How could the different steps to navigate the intervention be more clearly explained?

10. Did you consult the “More info” tab (Scleroderma and your hands, FAQ, Patient stories)?

Yes. No.

If No: Why not?

11. Did you experience any technical difficulties while using the intervention?

Yes. No.

If Yes: What type of technical problems? Did you request assistance from the SPIN team? If you did, was the SPIN team able to help you resolve them?

12. Did you use the website tour?

Yes. No.

If Yes: Was it helpful to learn to navigate the website? Why or why not?

13. Did you use the “My bookmarks” feature?

Yes. No.

If Yes: Did you find it helpful for easily navigating to the pages you wanted? Why or why not?

VISUAL AIDS

14. Did the fact that the intervention was introduced by scleroderma experts and patients make the program more relatable?

Why or why not?

15. Did you understand how to correctly perform the exercises from watching the videos and listening to the audio instructions?

Yes. No.

If No: What would have helped you better understand how to correctly perform the exercises?

16. Did you take a look at the “Tips to avoid common mistakes” sections?

Yes. No.

If Yes: Did the pictures of common mistakes and written instructions help you to avoid performing wrong movements? Yes. No.

If No: Why didn't you use the section on common mistakes section?

17. Were you able to clearly understand the people speaking in the videos?

Yes. No.

If No: Why couldn't you understand the words in the videos? (e.g. too fast, too soft, mumbling, accent)?; Are there any videos in particular that were more difficult to understand than others? If yes, which one(s);

18. Did you look at the video transcripts?

Yes. No.

If Yes: Were the video transcripts helpful to you? Why or why not?

ACTIONABILITY (Routine, Goal-setting, motivation)

19. Did you set an exercise routine for yourself?

Yes. No.

If Yes: Did you find it easy to set an exercise routine for yourself using the materials in the SPIN-HAND program? Yes. No.

If No: How could the step-by-step approach be improved or better explained?

20. Did you find an exercise routine that fit your ability level and needs?

Yes. No.

If No: What made it hard for you to find an exercise routine that fit your ability level and needs? (e.g., levels not appropriate, time spent on exercises per day or per week not appropriate, other reason)

21. Did you set goals for yourself using the goal setting material?

Yes. No.

Why or why not?

22. Did you incorporate exercises into your planned routine and stick to it?

Yes. No.

If No: What were some obstacles you faced when trying to incorporate the exercises into your routine?

How could the SPIN-HAND program have helped you to overcome these obstacles?

23. Did you use the option to share your goals with friends and family via email?

Yes. No.

If Yes: Did the option to share your goals with friends and family via email help you stick to your goals?

Yes. No.

If No: What other motivational feature might have been more helpful?

24. Did you set email reminders for yourself?

Yes. No.

If Yes: Did having the option set email reminders for yourself help you incorporate the exercises into your routine? Yes. No.

If No: Did you use another type of reminder to do your exercises?

25. Did you use the feature to track your progress?

Yes. No.

If Yes: Did having the option to track your progress week after week encourage you to continue performing the exercises? Yes. No.

If No: Why not? Did you use any other way to track your progress? If so, what did you do?

OVERALL APPRECIATION

26. How user-friendly on a 0-10 scale (0, being the worst and 10 being the best possible score) would you rate the SPIN-HAND program?

27. Would you recommend this program to someone with scleroderma?

Yes. No.

If no, why?

28. What grade (on a 0-10 scale, 0 being the worst and 10 being the best possible score) would you give the program?

0 (worst) to 10 (best).

29. Is there anything you want to give us feedback about that was not included in this interview?