The identification of the mechanism of electrocatalytic ozone generation on Ni/Sb-SnO₂

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Abstract
This paper reports a systematic study of the co-doping of SnO₂ with Sb and Ni in order to identify the mechanism responsible for the electrocatalytic generation of ozone on Ni/Sb-SnO₂. Based on interpretation of a combination of X-ray diffraction, BET surface area measurements (N₂) and thermal analysis, the formation of ozone appears to take place on particle surfaces of composite Sb-SnO₂ grains, and is controlled by diffusion of OH along internal crystallite surfaces within the grain. Sb-doped SnO₂ is inactive with respect to ozone evolution in the absence of Ni, demonstrating a synergic interaction between nickel and antimony. From XPS investigations, Sb(V) ions substitute for Sn(IV) in the lattice, with a preference for centrosymmetric coordination sites whilst the Sb(III) ions occur at grain surfaces or boundaries. Ni was not detected by XPS being located in the subsurface region at concentrations below the detection limit of the instrument. In addition to identifying a possible mechanism for ozone formation, the study resulted in the production of active nanopowders which will allow the fabrication of high surface-area anodes with the potential to exceed the space-time yields of β-PbO₂ anodes, permitting the application the Ni/Sb-SnO₂ anodes in the treatment of real waters.

Introduction
Ozone is generally regarded as an environmentally friendly oxidant that has been employed in water treatment since the start of the 20th century.¹ In recent years, the areas of application of ozone have increased significantly to include domestic goods such as washing machines and icemakers, and to the chemical-free cleaning and sterilization of surfaces in hospitals and fertility clinics. With respect to the latter, there is increasing evidence that the volatile organic compounds released by conventional cleaning agents can be harmful to embryos and have a detrimental effect upon pregnancy rates.² The most common method of generating ozone, particularly in industrial quantities, is using cold corona discharge (CCD), first reported by Siemens in 1857.³ However, such methods have a number of disadvantages including: (i) low concentration of O₃ in the output, in the range of 2% to 12% by volume⁴, (ii) CCD generators require cold, dry and pure O₂ (if air is employed the O₃ concentration and production efficiency are significantly reduced and nitrous oxides are produced⁵), (iii) CCD generates only gas phase O₃, which is difficult to dissolve for aqueous applications⁵ and (iv) CCD requires high voltage (kV range) power supplies.

In contrast, the electrochemical generation of ozone offers a number of advantages that include: the possibility of generating high concentrations of ozone in the gas and liquid phases, no need for gas feeds of any description, and robust, proven cell and system technology through the established chlor-alkali industry.⁴ The major challenges to the general application of electrochemical ozone generation, and the reasons why the technology is yet to see major commercial application, are: (1) low ozone current efficiencies and/or current densities and (2) the poisoning of cationic polymer
electrolyte membranes (PEMs) by common ions found in real water, especially Na$^+$, Ca$^{2+}$ and Mg$^{2+}$: PEM operation is essential if the water to be treated is not to have significant quantities of ions added to render it ionically conducting. $\beta$-PbO$_2$ is the most common anode employed to generate ozone and, whilst particulate electrodes of this type can be employed to generate current densities of ca. 1.0 – 1.5 A cm$^{-2}$, they do so with current efficiencies only up to ca. 10%. There are two materials capable of current efficiencies of $\geq$ 20%: boron doped diamond (BDD) and Ni and Sb-co-doped SnO$_2$.$^4$

BDD anodes have been employed to generate O$_3$ in PEM-based, electrolyte-free water at current efficiencies up to 47% and current densities up to 530 mA cm$^{-2}$. However, the cell voltages required with such systems are high, and it is not at all clear that BDD anodes can be expected to produce ozone routinely as they are more usually associated with the direct oxidation of organics.

The first report of the oxidation of water to ozone using an electrode comprising a ceramic Ni/Sb-SnO$_2$ coating on a Ti substrate in aqueous acidic electrolyte was by Cheng and Chan in 2004: initially, the authors were unaware of Ni contamination and reported the ozone activity of Sb-SnO$_2$$^{10}$: this was corrected in a later paper$^{11}$ where a current efficiency up to 36% was reported, albeit at a low current densities (typically < 40 mA cm$^{-2}$). Later, Christensen et al.$^{12-13}$ achieved current efficiencies up to 50% at current densities up to 100 mA cm$^{-2}$. Chan has reported the operation of Ni/Sb-SnO$_2$ anodes in electrolyte-free water.$^{14-15}$ Thus, Ni/Sb-SnO$_2$ anodes are capable of operating with high current efficiencies, but, to date, the yields of ozone have been low as it has not yet proven possible to produce Ni/Sb-SnO$_2$ as high surface area powders, unlike $\beta$-PbO$_2$ anodes. If it were possible incorporate high area powder into working electrodes, not only would such anodes be able to exceed $\beta$–PbO$_2$ anodes in space-time yield$^{6}$, but the poisoning of PEMs such as Nafion when using real waters could be avoided due to the high proton fluxes through the membranes competing efficiently with Na$^+$ etc.

We have recently obtained lifetimes of up to 600 hours when using Ni/Sb-SnO$_2$ anodes to electrolyse acid electrolyte with constant current efficiencies and current densities.$^{13,16}$ This strongly suggests that the Ni responsible for the ozone activity is not exposed to electrolyte as it would be expected to dissolve under such acidic and highly oxidising conditions. This also highlights another problem with this material: despite its discovery over 10 years ago, the mechanism of ozone generation at Ni/Sb-SnO$_2$ remains unclear. Various authors have speculated on this issue.$^{17-19}$ For example, the involvement of Ni(III) has been suggested, but this speculation is not based on specific evidence.

The fact that the addition of very small amounts of Ni to Sb-doped SnO$_2$ changes the material from being inactive to the electrochemical production of ozone to a highly active and highly selective ozone anode is remarkable, and could not have been predicted. However, the mechanism by which O$_3$ evolution takes place at Ni/Sb-SnO$_2$ anodes remains obscure, primarily as a result of the lack of quantitative experimental data. Until there is definitive and quantitative analytical evidence on the composition of Ni/Sb-SnO$_2$ anodes to allow structure/activity correlations to be made, attempts to understand the mechanism of ozone generation at these materials will remain speculative.

The aim of the work described in this paper was to elucidate the nature of the active site for ozone generation at Ni/Sb-SnO$_2$ anodes. In order to achieve this, it was decided to synthesize Ni/Sb-SnO$_2$ powders using the same precursor solutions employed previously to make the ceramic anodes$^{12-20}$ and to adopt a step-by-step approach by carrying out full characterization of these using surface
area determination by gas adsorption, powder X-ray diffraction (XRD) and thermogravimetric analysis coupled with evolved gas analysis by mass spectrometry (TGA) to investigate the structural and other changes induced by doping SnO$_2$ first with Sb then with varying amounts of nickel, and to correlate the latter with ozone activity and selectivity. The preliminary study on SnO$_2$ has been reported in a previous paper.$^{21}$ As well as identifying the active site for O$_3$, it is believed that producing active, high surface area Ni/Sb-SnO$_2$ nanopowders will allow electrochemical cells to be produced capable of high current densities, and hence remove the problem of the cation fouling of PEMs. Importantly, interpretation of the experimental results recognises that crystallinity determined by XRD and particle size calculated from BET data give different information about the material, a fact usually overlooked in other reports.

Experimental
The SnO$_2$ nanopowders were prepared via a hydrothermal route using a method adapted from that of Fujihara and co-workers,$^{22}$ as shown in Scheme 1.$^{21}$ The SnCl$_4$.5H$_2$O (98%, Sigma-Aldrich), SbCl$_3$ (99%, Sigma-Aldrich) and NiCl$_2$.6H$_2$O (99%, Sigma-Aldrich) were used as received. De-ionised (DI) water was obtained from a Millipore Milli-Q system, 18 MΩ cm. Two methods were employed to produce Ni co-doped Sb-SnO$_2$: the first involved mixing all the precursor solutions together prior to refluxing, as in Scheme 1. The Ni content of these samples is referred below in terms of the wt.% in the precursor solutions. The second method involved the production of Sb-SnO$_2$ nanopowders calcined at temperature T$_1$, which were then doped with Ni by forming a slurry of the appropriate wt.% in NiCl$_2$ solution and calcining at temperature T$_2$, as shown in Scheme 2. The two forms of Ni and Sb-doped SnO$_2$ are referred to as "x% Ni/Sb-SnO$_2$ T$^1$" and "T$_1$ Sb-SnO$_2$/x% Ni T$^2$", respectively.

Ti foil substrates of dimensions 0.8 cm × 0.8 cm were pressed in a Chauffante Elcometer press at room temperature and 1000 N for 5 minutes to flatten them. 0.5 mm diameter Ti wires were cut to ca. 10 cm, one end hammered flat and then spot-welded to the titanium foil along the middle of Ti foil or mesh to the edge in order to ensure contact with the entire length of the substrate. The mesh or foil substrates were degreased by immersion in a beaker containing acetone, followed by thorough washing with DI water; this procedure was repeated twice. The Ti substrates were then etched by boiling in 10 wt.% oxalic acid (10g in 100 cm$^3$ DI water) for at least 30 minutes until the solution changed to a brownish tinge. The solution was allowed to cool to room temperature after which the substrates were washed with DI water. They were then placed in fresh DI water in a beaker and sonicated for 15 minutes per time, repeating the procedure twice with fresh water until no grease was observed on the surface of the water. The meshes or foils were then dried in air for 1 hour by placing in a beaker with the substrates uppermost. Each Ti substrate was weighed and the mass recorded.

100 mg of the nanocrystalline powder was mixed with 10 cm$^3$ of ethanol solution. The powder + ethanol was stirred for ca. 4 hours until a homogeneous slurry was obtained. 0.1 cm$^3$ of the slurry was coated on the Ti foil and was allowed to dry in air. The electrodes were then placed in an oven at 110 °C for 5 min, removed and calcined at 460 or 700 °C for 1 hour in a Carbolite 12F 12/25/700 furnace.

Powder diffraction patterns were measured on a PANalytical X’Pert Pro MPD diffractometer, powered by a Philips PW3040/60 X-ray generator operated at 40 kV with 40 mA emission current and incorporating an X’Celerator detector, using Cu Kα radiation (λ = 1.54180 Å). Diffraction peaks were acquired between 2θ = 10 to 60° and were assigned using the ICSD crystallographic data base.
The peaks at 20 = 26.43°, 33.58° and 51.49° were employed to determine effective crystallite size via the Scherrer equation.

X-ray photoelectron spectroscopy (XPS) was carried out using a Thermo K-alpha spectrometer. The instrument used a 72 W monochromated Al Kα X-ray source (photon energy of 1486.6 eV) and a dual beam flood gun, using both electrons and argon cations to compensate for sample charging. The X-rays were microfocused at source to give a spot size on the sample of 400 μm. The analysis chamber had a base pressure of 10⁻⁹ mbar. Photoelectrons were measured using a 125 mm radius concentric hemispherical analyser and spectra were recorded in constant analyser energy mode. Pass energies of 50 eV and a binding energy step size of 0.1 eV were used to record high resolution spectra, whilst pass energy of 200 eV and a binding energy step size of 1.0 eV were used to record survey spectra. Photoelectrons were detected using a 128 channel position sensitive detector. The binding energy scale of the spectrometer is regularly calibrated using a three point calibration (Au, Ag and Cu).

TGA analyses were carried out in an atmosphere of flowing (40 cm³ min⁻¹) air, in a Netzsch STA 449C TG-DSC (thermogravimetry-differential scanning calorimetry, or TG-DSC) system, connected to a Netzsch Aeolos 403C quadrupole mass spectrometer (QMS; m/z range 10-300). Samples were loaded into alumina crucibles (approximately 30-40 mg sample mass). A heating rate of 5 °C min⁻¹ was used from 25 °C – 900 °C, held at 900 °C for 10 minutes then cooled at 5 °C min⁻¹ to room temperature. The only evolved species detected on heating the samples was water.

The surface areas of the SnO₂ powders were determined with a Thermo Scientific Surfer analyser using multipoint Brunauer Emmett and Teller (BET) adsorption isotherms. The sample of mass 75 mg was prepared by degassing at 250 °C for 3 hours with a ramp rate of 5 °C min⁻¹ to reach this temperature prior to N₂ adsorption.

The electrochemical cell employed in this work was a 1 cm pathlength quartz cuvette. 0.5M H₂SO₄ was employed as electrolyte. A 0.64 cm² Pt-Ti mesh cathode was held vertically against one of the opaque sides of the cuvette. The anode was held flat on the bottom of the cuvette which was sealed with a PTFE cover and placed in the cuvette holder in Shimadzu 1240 mini spectro-photometer. The anode was connected to the (+) terminal of a Thandar - TS30228 power supply and the cathode to the (-) terminal. A reference spectrum was collected at open circuit after which the potential was stepped to the working value (typically 2.7V) for 30s, after which the cell was switched to open circuit and further spectra collected for 300s (to avoid interference from gas bubbles). The steady state absorbance at 258 nm was used to calculate the ozone current efficiency, assuming an extinction coefficient of 3000 M⁻¹ cm⁻¹.

Results and discussion
Figures S1(a) - (c) show typical photographs of the powders prepared by the hydrothermal method. In general, both the uncalcined Sb-SnO₂ and Ni/Sb-SnO₂ powders and the samples calcined at 300 °C were yellowish-white; those calcined at 400 °C were grey; and the samples calcined at 700 °C were blue. The blue colouration is directly linked to activation of the Sb(V) dopant, which when incorporated into bulk Sn sites acts as a one electron donor. Carrier densities in the range around 10²⁰ cm⁻³ give rise to a plasmon in the near infrared region, typically around 0.5 eV. The reflectivity drops from a high value below this energy to a very low value just above the plasmon energy. The reflectivity then recovers across the visible region and is higher at the blue end of the spectrum than at the red end. The blue colour produced in this way is similar to that found for sodium tungsten bronzes NaₓWO₃ at low sodium doping levels. Sb(III) cannot be easily
accommodated in bulk sites as it has a propensity to occupy only sites lacking inversion symmetry. It can however occupy sites at free surfaces or grain boundary interfaces.

The SnO$_2$, Sb-SnO$_2$ and Ni/Sb-SnO$_2$ samples

XRD

Typical X-ray diffraction patterns for the SnO$_2$ samples are shown in Figure S2. The XRD patterns showed all the samples to be tetragonal cassiterite which has the rutile structure (Cassiterite, syn; Q: S; 00-041-1445). No other phases were observed suggesting that the Sb ions replace Sn(IV) in the lattice, in agreement with the literature, where such replacement is observed even at high doping levels.$^{24-25}$

Table ST1 summarises the XRD data. As can be seen from the table, addition of Sb results in a reduction in crystallite size and so inhibits crystal growth, in agreement with the work of Rockenberger et al.$^{25}$, whilst calcining, as would be expected, improves crystallinity and increases the crystallite size. Thus, as-prepared SnO$_2$ showed a crystallite size of 11 nm increasing to 22 nm after calcining at 700 °C, whilst the as-prepared Sb-SnO$_2$ crystals had an equivalent crystallite size of ca. 4.3 nm, which did not increase appreciably on calcining at 300 or 400 °C, but increased to 9.5 nm after calcining at 700 °C.

From Table ST1 it is clear that calcination temperature, Sb content and Ni content had little or no effect upon the unit cell dimensions. This is to be expected on the basis of Végards law in view of the low doping levels involved and the similarity of ionic sizes for Sn(IV), Ni(II) and Sb(V).

BET

Table ST2 shows the BET surface areas and the surface areas calculated from the crystallite sizes obtained from the XRD line broadening, assuming spherical crystallites for simplicity. Figure 1 shows plots of the ratio of the grain volumes calculated from the BET data and the crystallite volumes calculated from the XRD data. This is justified as cassiterite commonly has a bipyramidal habit, which is roughly equidimensional. As may be seen from Figure 1, the addition of Sb to SnO$_2$ causes a significant increase in the number of crystallites per particle. The first addition of Ni also has a significant effect, but results in a decrease in the number of crystallites per particle (the magnitude of this decrease being less than the initial increase observed on the addition of Sb), whilst further addition of Ni has little or no effect. These data suggest that both Sb and Ni occupy sites at or near grain boundary interfaces and are thus able to influence the agglomeration of crystallites. It is generally accepted in the literature (see below) that the doping of SnO$_2$ by Sb results in Sb(V) ions on bulk Sn(IV) lattice sites with Sb(III) ions confined to surface grain boundary interfaces.$^{24, 26-33}$

XPS

Widescan XPS showed core lines due to Sn, Sb, O and adventitious C contamination. There was pronounced Sb segregation, as is evident in Figure S3 which is typical of the XPS spectra obtained using the various Sb-containing samples. As can be seen from the spectrum, the ratio of the intensities of the Sb3d$_{5/2}$ to Sn3d$_{5/2}$ peaks (after correction for sensitivity factors) is 0.14 compared with a nominal bulk doping ratio of 0.06. Moreover the Sb3d$_{3/2}$ peak showed pronounced asymmetry and was fitted to two components at 540.6 eV and 541.5 eV associated with Sb(III) and Sb(V), respectively.

It was not possible to detect Ni in the Ni/Sb-SnO$_2$ nanopowder samples presumably because the concentration of Ni in the sampling depth, of ca. 10 nm, was less than the detection limit, the upper
bound of which was estimated to be about 0.02 at.%: The technique is not capable of probing the internal crystallite surfaces within the grains. Table ST3 summarizes the XPS data obtained using the various nanopowders including the total Sb content and the concentrations Sb(III) and Sb(V).

As can be seen from Table ST3, there was little or no variation in the total Sb content between the Sb-SnO₂ and the Ni/Sb-SnO₂ samples, ruling out a role for Sb in explaining the data shown in Figure 1. Further, as would be expected, the Ni content also did not appear to influence the incorporation of Sb. However, it is clear from the table that the calcination temperature had a significant effect on the concentration of Sb, and that this was due to Sb(III) enrichment, in agreement with the literature, where it is generally accepted that 24, 26-33: (i) Sb ions replace Sn ions in the cassiterite lattice without strong modification of the lattice; (ii) both Sb(III) and Sb(V) ions are produced following calcining, irrespective of whether SbCl₃ or SbCl₅ is employed as the precursor; (iii) Sb(V) ions are accommodated in the bulk of the lattice due to their similar size to Sn(IV) (ionic radius = 0.60 Å, cf. 0.69 Å for Sn(IV)) and preference for centrosymmetric coordination sites whilst the Sb(III) ions (0.76 Å) are accommodated at the surface or at grain boundaries. It has been suggested 27, 33 that the Sb(III) ions replace the topmost layer of Sn(IV) ions, with essentially the bulk composition of SnO₂ immediately below, and that the Sb(III) ions do not inject electrons into the conduction band. Instead Sb(III) ions trap a pair of electrons, giving rise to a lone pair surface state where the electron density sits in a sp hybrid state projecting from the surface. The lone pairs can act as two electron donors, allowing bonding to single oxygen atoms which are two electron acceptors. The chemisorption of oxygen atoms on these surface sites is analogous to the transformation between molecular P₄O₁₀ and P₄O₆, where in the latter each P atom of the P₄O₆ tetrahedron accommodates an extra oxygen atom. Increasing the calcination temperature favours this segregation of Sb(III) and (V) with an increasing fraction of Sb(III) 31.

The data in Table ST3 support the latter assertion, with more Sb(III) segregating to the surface and hence being detected by XPS when calcined at 700 ºC compared to 400 ºC.

Figure 2 shows plots of the rate of ozone evolution vs the Sb(III) content of the samples: as may be seen from the figure and Table ST3, the Ni/Sb-SnO₂ samples calcined at 400 ºC were inactive with respect to the electrochemical generation of O₃, whereas the samples calcined at 700 ºC were all active. This, then, begs the question as to whether the content of Sb, and of Sb(III) in particular given its location at the surface, influences ozone activity. Further, Sun and co-workers 31 postulate that oxygen adsorbs at oxygen vacancies associated with Sb(III).

Given the key steps in the generally-accepted mechanism for ozone generation 1, 4, 6, 20 are of the form:

\[
\begin{align*}
    & H_2O \rightarrow OH_{ads} + H^+ + e^- \quad (1) \\
    & OH_{ads} \rightarrow O_{ads} + H^+ + e^- \quad (2) \\
    & O_{ads} + O_{ads} \rightarrow O_{2ads} \rightarrow O_2 \quad (3) \\
    & O_{ads} + O_{2ads} \rightarrow O_{3ads} \rightarrow O_3 \quad (4)
\end{align*}
\]

clearly the ability to adsorb molecular oxygen, and for this to compete effectively with the adsorption of water, is key to the production of ozone. However formation of ozone must clearly compete with desorption of oxygen formed in step 3. Sun et al. 31 state that the binding energy of water to Sb-SnO₂ is less than at SnO₂ itself, and that lattice oxygen becomes more available. The trend observed with the nanoparticulate samples supports the postulate that Sb(III) in some way enhances or facilitates ozone activity.
It was possible to detect Ni in the ceramic anodes (c- 1.0% Ni/Sb-SnO₂ 460 °C, prepared by dip-coating) by XPS. Typical spectra are shown in Figure 3 showing the Ni 2p region for an untested ceramic anode, and an identical anode after being employed to electrolyze 0.5M H₂SO₄ for 30 seconds in three separate experiments in the cuvette cell at a cell voltage of 2.7V. In contrast to Grosvenor and co-workers, the observed peak shapes cannot be synthesised as a superposition of Ni(II) and Ni(III) but the prevalence of spectral weight within the data towards higher binding energy is suggestive of the dominance of Ni(III). As can be seen from the figure, most of the Ni was lost from the surface following electrolysis (0.7% Ni in untested sample compared to 0.1% after testing), whilst ca. 11.7 ± 0.5% Sb was lost, suggesting dissolution as well as physical loss of Ni. The possibility that Ni(III) is the active site for ozone evolution at Ni/Sb-SnO₂ anodes has been postulated, but not proven as this species has not, hitherto, been detected at anode surfaces. Thus, for example, Wang et al. presented XPS data on Ni/Sb-SnO₂ anodes and claimed that a peak at 855.6 eV was due to the Ni 2p₃/₂ peak of Ni(III). However, no peak was apparent in the published spectrum. Yang and co-workers postulated that a peak at 856.3 eV was due to Ni(OH)₂ or NiO.

The fact that Ni could not be detected in the Ni/Sb-SnO₂ anodes, yet those calcined at 700 °C were all active for ozone generation, is interesting, as is the fact that the anodes are reasonably durable when immersed in acid electrolytes under oxidising conditions. As an example of the durability of the ceramic anodes, Figure 4 shows the results of an experiment in which a ceramic anode, prepared by exactly the same method as those in Figure 3, was employed to electrolyze 0.5M H₂SO₄ for 30 seconds in three separate experiments at 9 am and 5 pm each day (apart from the weekend) for 11 days. Between each set of experiments, the electrode was left immersed in 0.5M H₂SO₄. As can be seen from the figure, the current density remained at 137 ± 16 mA cm⁻² and the current efficiency at 10.3 ± 0.7 % over the testing period. In fact, we have previously reported that anodes prepared using an identical method except using NiO and Sb₂O₃ instead of the chloride salts remained highly active for up to 600 hours during the continuous electrolysis of 1M HClO₄ at 100 mA cm⁻². In addition, when the anodes failed, they did so because of the catastrophic spallation of the Ni/Sb-SnO₂ coating from the Ti substrate. It is clear from the literature on the dissolution of Ni oxides in acidic electrolytes and on the basis of the potentials of the various Ni species that Ni(III) will dissolve at open circuit or low potentials during extended immersion in acid electrolytes, whilst Ni(II) will dissolve under all conditions in such electrolyte. The fact that, during the test in Figure 4 and those reported in references, the anodes remained active strongly suggests that the nickel is present below the surface, with its (strong) influence on ozone activity taking place via the ligand effect. Any nickel present on the surface simply dissolves away and is not responsible for ozone activity.

**TGA**

Figure 5(a) shows the thermogravimetric response of the nanopowders produced by the hydrothermal method and calcined at 400 °C, and Figure 5(b) the corresponding differential plots. The SnO₂ data have been discussed previously. In brief, based on the differential TGA response and IR data, the differential plot for SnO₂ calcined at 400 °C in Figure 5(a) can be considered in terms of five temperature regions: (I) 0 – ca. 65 °C, (II) 65 – 150 °C, (III) 150 – 450 °C, (IV) 450 °C – 900 °C and (V) 900 – 25 °C, and these are employed to interpret the Sb-SnO₂ and Ni/Sb-SnO₂ data. The samples calcined at 700 °C showed very similar behaviour (see Figures S4(a) and (b)), except with smaller mass changes compared to those observed using the 400 °C samples.

It is clear from Figures 5(a) and S4(a) that doping with Sb has a significant effect upon the TGA response. Thus, whilst the general structures of the various plots in the figures are very similar in that all show the five regions discussed above, the total mass losses on heating to 900 °C were
higher for the Sb-containing samples than the undoped SnO$_2$ nanopowders. Thus, for the samples calcined at 400 ºC, the total mass loss observed for SnO$_2$ was ca. 1.5% compared to 3 – 3.8% for the Sb-containing samples; it does not appear that the Ni content had any significant effect on this mass loss. This is also seen clearly in the differential plots (Figures 5(b) and S4(b)), with a large increase in the first peak due to the loss of water and a shift of ca. 5 ºC to higher temperatures, along with the appearance of peaks near 266 ºC, 333 ºC and 515 ºC in addition to the peak near 450 ºC in the response of the undoped SnO$_2$. Given the simplicity of the system and based on the literature these peaks at temperatures > 150 ºC must be associated with the dehydroxylation of the surfaces of the samples (see below), with the additional features due to the presence of Sb.

Regions (I) and (II)
Mass spectroscopy showed only the evolution of water (m/z = 18) from SnO$_2$ and Sb-SnO$_2$ samples calcined at 400 ºC and 700 ºC with maxima corresponding to the minima in the corresponding differential plots (eg. Figures 5(b) and S4(b)). Based on the model of Morishige and co-workers and Morimoto et al., the mass loss in region (I) of the SnO$_2$ response was attributed to the loss of physisorbed water from Sn(100) facets, having little or no hydrogen bonding to the OH groups thereon due to the H atoms of the latter occupying the hollows between the O atoms, and mass loss in region (II) was due to the loss of water hydrogen-bonded to OH groups on the (111) and (110) facets of the SnO$_2$. Overall, assuming an area of ca. 15 x 10$^{-20}$ m$^2$ for the water molecule the total mass loss in regions (I) and (II) corresponded to the loss of 0.37 monolayers of water. Table ST4 summarizes the coverage of the nanopowders by water at 25 ºC using the same method and assumptions, and Figure 6 shows a plot of the mass of H$_2$O released from the nanopowder samples calcined at 400 ºC as a function of their total surface areas calculated from the crystallite sizes obtained from the XRD measurements; the corresponding data for the samples calcined at 700 ºC are shown in Figure S5. In both cases, the plots are fairly linear, supporting the postulate that water has access to the internal surface area within the SnO$_2$ particles.

As expected, the total surface areas of the samples calcined at 700 ºC are lower than the 400 ºC samples, and the amount of adsorbed water released is correspondingly lower. It is clear from Table ST4 that the addition of Sb significantly increases the coverage by water: the undoped samples show a coverage of 0.1 and 0.03 for the powders calcined at 400 ºC and 700 ºC, respectively: addition of Sb increases these to 0.21 and 0.13, respectively. Dusastre and Williams, following on from the work of Brown and Patterson postulate the surface enrichment of SnO$_2$ by Sb(III), and that water preferentially adsorbs at the substituted sites; the marked increase in adsorbed water in Table ST4 on doping the SnO$_2$ samples with Sb supports this theory. Further, the authors suggest that oxygen adsorbs on oxygen vacancies: in the absence of Sb, the active sites are Sn(II) ions associated with oxygen vacancies, whilst in the presence of Sb, the sites are Sb(III) ions associated with the vacancies; the adsorption of oxygen competes with that of water, with the binding energy of water on these vacancies being less on Sb(III) sites than Sn(II). Oxygen adsorption is discussed in further detail below. Figure 7 shows a plot of the water coverage as a function of the Ni content in the precursor solutions: clearly, addition of Ni has little effect upon water coverage for samples calcined at 700 ºC, but a marked effect upon those calcined at 400 ºC, with the coverage rising to a maximum at 1%. This suggests that one reason for the inactivity of the samples calcined at 400 ºC is that the coverage of water is too high, and prevents the adsorption of molecular oxygen: from the data in Table ST3 this links in to the lower amount of Sb(III) on the 400 ºC samples. It does not seem unreasonable to postulate that a minimum surface coverage by Sb(III) is required to ensure sufficient adsorbed molecular oxygen can compete with water for the active sites. A plot of Sb(III) content vs water coverage (Figure 8) supports the postulate that too high a water coverage is linked to low Sb(III) and zero ozone activity.
Regions (III) and (IV)
In our previous work, it was postulated\textsuperscript{21} that the process taking place in regions (III) and (IV) was the dehydration of SnOH groups on the surface according to:

\[ 2\text{SnOH} \rightarrow \text{Sn-O-Sn} + \text{H}_2\text{O} \]  

(5)

Table ST5 shows the ratio of the OH groups to surface Sn atoms for the SnO\textsubscript{2}, Sb-SnO\textsubscript{2} and Ni/Sb-SnO\textsubscript{2} nanopowders assuming 9.1 x 10\textsuperscript{14} Sn atoms per cm\textsuperscript{2} \textsuperscript{46}, calculated on the basis of equation (5), crystallite surface areas calculated from the XRD data and the mass losses between 150 °C and 900 °C in the TGA experiments. If the value for the Sb-SnO\textsubscript{2} calcined at 400 °C is taken as an outlier, then the data suggest that the addition of Sb and Ni to SnO\textsubscript{2} has very little effect upon the hydroxylation of the surface: however, the calcination temperature does have a marked effect, almost halving the number of OH species per Sn atom when increasing the calcination temperature from 400 to 700 °C. This is interesting to note given that the Ni/Sb-SnO\textsubscript{2} samples calcined at 700 °C are all active with respect to ozone generation and the corresponding samples calcined at 400 °C are inactive and, as reported in our previous paper, the presence of OH adsorbed on the (111) and (110) facets of Sn encourages water adsorption due to hydrogen bonding. Further, Sb-SnO\textsubscript{2} anodes tend to fail, sometimes after fairly short electrolysis times, failure being defined as the cell voltage rising to e.g. 5V during constant current electrolysis. This failure has been attributed to the passivation of the electrode surface\textsuperscript{32, 47-49}; for example, Montilla and co-workers\textsuperscript{32, 48-49} attribute the inactivation of Sb-SnO\textsubscript{2} electrodes to the formation of a passivating SnOH layer on the surface.

Region (V) and oxygen uptake
In our previous paper\textsuperscript{21} we attributed the mass increase in region (V), at least from 900 to 150 °C, to the uptake of molecular oxygen via adsorption, simply on the basis of the chemical simplicity of the system. Figure S6 shows plots of the mass gain in region (V) for the various samples. It is not clear from the figure that there is any difference between the mass gain in region (V) that relates to electrode composition.

Previously, we attributed the inflexion in the TGA response of SnO\textsubscript{2} in Figure 5(a) marked with *, and similarly marked on Figure 5(b), to the uptake of oxygen\textsuperscript{50} which was largely masked due to the processes associated with the dehydration and dehydroxylation of the surface. In order to explore this, we carried out sequential heating and cooling cycles, and Figure 9(a) shows the typical data so obtained using the SnO\textsubscript{2} sample calcined at 400 °C, whilst Figure 9(b) shows the 4\textsuperscript{th} heating and cooling cycle for the SnO\textsubscript{2}, Sb-SnO\textsubscript{2} and Ni/Sb-SnO\textsubscript{2} samples calcined at 400 °C. The analogous spectra to those in Figure 9(b) for the samples calcined at 700 °C are shown in Figure S7. As can be seen, all the responses are similar, and from cycle 2 onwards, all (eventually) return to 100% and hence the processes responsible for the behaviour in Figure 9(b) and S7 are reversible. The similarity between the Sb-containing samples is emphasised in Figures 10 and S8 which show the differential plots of the data in Figure 9(b) and S7. We attribute the increase in mass to the reversible uptake of oxygen at the crystallite boundaries\textsuperscript{50}; it is generally accepted that oxygen adsorbs on the surface of SnO\textsubscript{2}, and can then diffuse into the inner grain boundary (i.e. crystallite) surfaces of porous SnO\textsubscript{2}\textsuperscript{46, 51}. The adsorbed O\textsubscript{2} that was initially gained up to 350 – 500 °C is then lost on heating to 900 °C, with additional loss of oxygen – possibly due to oxygen desorbing from the crystallite surfaces within the grains. On cooling, the initial state is regained.

Table ST6 shows the number of oxygen molecules adsorbed per Sn atom during the initial stages of heating in Figures 9(b) and S7, and the nett loss of O\textsubscript{2} molecules per Sn atom on heating from 25 °C
to 900 °C, assuming 9.1 x 10^{14} \text{ Sn atoms cm}^{-2.46} \text{ and using the XRD crystallite surface areas. Clearly, the addition of Sb has a very significant effect upon O}_2 \text{ uptake, which drops by a factor of ca. 6 for both the samples calcined at 400 °C and those calcined at 700 °C. Addition of Ni then increases the uptake slightly in both cases. Considering only the Ni/Sb-SnO}_2 \text{ samples in Table ST6, the ozone-active samples calcined at 700 °C show a higher uptake of oxygen than those calcined at 400 °C, in agreement with our postulate that molecularly adsorbed O}_2 \text{ is key to ozone activity. Figure 11 shows a plot of the O}_2 \text{ uptake vs the Sb(III) content: as can be seen, it appears that there is, again, a correlation. Interestingly, and in contrast to the data in Figures 9(b) and S7, the fully hydrated samples do not show an initial increase in mass on heating, see Figures 5(a) and S4(a), suggesting that water inhibits O}_2 \text{ adsorption, in agreement with the discussion above.}

The Sb-SnO}_2/Ni samples
As can be seen from Figures S1(c) (i) and (ii), there is no change in the colour of the Sb-SnO}_2 700 °C sample on adding the Ni and heating again to 700 °C, as may be expected. The XRD data are very similar to those of the other samples, showing only cassiterite. The V\text{BET}/V\text{XRD} of the 700 °C Sb-SnO}_2/0.1% Ni 700 °C powder was 5.5, ca. 3x lower than those of the Ni/Sb-SnO}_2 700 °C samples. This implies that the elimination of internal grain boundaries is promoted by the second calcination. As can be seen from Table ST3, all three Sb-SnO}_2/Ni samples were active for O}_3, with the samples calcined at 700 °C after Ni addition both giving the same rate of O}_3 at ca. 15 – 16 nanomoles s^{-1}, whilst the sample calcined at 460 °C after Ni addition gives a rate ca. half those of the other two samples. The Ni content at the surface is ca. 2 -3 times less for 700 °C Sb-SnO}_2/0.01% Ni 700 °C compared to 700 °C Sb-SnO}_2/0.1% Ni 700 °C, and the latter is comparable to that of the 700 °C Sb-SnO}_2/0.1% Ni 460 °C sample. This is not surprising based on the model developed above: addition of a Ni solution of higher concentration yields more surface Ni, but this is not the species responsible for O}_3 activity, and simply dissolves away on immersion in acidic electrolyte. The lower O}_3 activity of the 700 °C Sb-SnO}_2/0.1% Ni 460 °C may be related to the lower Sb(III) content. To our knowledge, this is the first report of ozone generation by Ni/Sb-SnO}_2 nanopowder anodes.

Figures 12(a) and (b) show plots of current efficiency and current density, respectively, vs Ni the content of the 700 °C Sb-SnO}_2/Ni T_2 samples with T_2 = 460 °C and 700 °C. It is clear that the initial temperature employed to calcine the Sb-SnO}_2 nanopowders (T_1) essentially determines the current efficiency, with the subsequent calcination temperature (T_2) of the 700 °C Sb-SnO}_2/Ni T_2 having little effect. In contrast, T_2 had a marked effect upon the current density observed. These data suggest that, in agreement with the discussions above, Sb is not simply important to induce electronic conductivity, but also plays a significant role in the surface chemistry, and in particular, with respect to ozone generation. In addition, it is clear from the figures that, despite a hundredfold difference in the amount of Ni actually added to the surface, there is a much smaller difference in the observed current densities and efficiencies, supporting the postulate that the Ni at the surface simply dissolves away and is unimportant with respect to ozone generation: it is the subsurface Ni, which cannot be detected, that catalyses O}_3 evolution. The latter is supported by the data for the Ni-Sb/SnO}_2 samples calcined at 700 °C in Figure 2, all of which show comparable activities for O}_3, despite a fivefold difference in Ni concentration in the precursor solutions, the Ni undetected by XPS.

Figures S6 and S7 show that the TGA responses of the 700 °C Sb-SnO}_2/0.1% Ni 700 °C closely resemble those of the Sb-SnO}_2 and Ni/Sb-SnO}_2 samples calcined at 700 °C, differing only in magnitude.
Model

To integrate the observations reported above, we propose a model for the surface behaviour of Sb-SnO$_2$, based on the concept illustrated in Figure 13. BET surface area measurements give information about the particle surface area as nitrogen is unable to access internal grain boundary interfaces beneath the external particle surface at 77K. By way of contrast XRD gives an estimate of crystallite size, limited by the internal interfaces between domains of identical crystallographic orientation. To explain the experimental observations reported in this paper, we propose that water (in the form of OH) accesses internal crystallite surfaces through diffusion of OH, and this then controls the formation of O$_3$. However, given that N$_2$ cannot access the internal crystallite surfaces it is unlikely that O$_3$ can be generated on these and subsequently diffuse to the particle surface. Instead, we propose that O$_3$ is generated at particle surfaces from OH that is able to diffuse via crystallite surfaces. The diffusion of water in rutile TiO$_2$, which has the same crystal structure as SnO$_2$, is well known to take place (see, for example$^{52-53}$). That the mobile species is in fact the OH radical was shown by the detailed investigations of oxygen diffusion in rutile by Moore et al.$^{54}$. 

In addition to the morphological effects, there is clearly a synergic interaction between nickel and antimony because Sb-doped SnO$_2$ is inactive with respect to ozone evolution in the absence of Ni. On the other hand Ni doping by itself does not give the sort of conductive oxide required for use as an electrode. Formally Ni$^{2+}$ would act as a two-hole p-type dopant, but SnO$_2$ is not amenable to p-type doping. This leads to the following considerations. Ni(II) has almost exactly the same ionic radius as Sn(IV) but clearly has a lower charge. Ni(II) incorporation into subsurface region of SnO$_2$ can be compensated by oxygen vacancies to maintain charge neutrality. We suggest that these vacancies may promote dissociation of O$_{2\text{ads}}$ appearing in step (2) in the scheme discussed earlier: a single O atom remains chemisorbed to donor Sb(III) sites and the second O atom diffuses onto a bulk oxygen vacancy site in the subsurface region where it can trap two electrons from the conduction band to give O$_{2\text{sub}}$. This will inhibit desorption of oxygen. However we speculate that the process is reversible so that O may shuttle back to the surface. Instead of concerted addition of O$_2$ to OH we may envisage an alternative sequence of steps:

\[
\begin{align*}
O_{2\text{ads}} + 2e^-_{\text{CB}} & \rightarrow O_{\text{ads}} + O^{2-}_{\text{sub}} \\
OH_{\text{ads}} + O_{\text{ads}} & \rightarrow HO_{2\text{ads}} \\
HO_{2\text{ads}} + O^{2-}_{\text{sub}} & \rightarrow HO_{3\text{ads}} + 2e^-_{\text{CB}} \\
HO_{3\text{ads}} & \rightarrow O_3 + H^+ + e^- 
\end{align*}
\]

This proposal is speculative and does not involve participation by Ni(III) as has been proposed elsewhere; on the other hand, it does explain the obvious synergy as the mechanism requires both subsurface oxygen vacancies and electrons in the conduction band. The proposed synergy in ozone generation between subsurface oxygen vacancies and surface lone pair cations in a metallic oxide also helps explain the activity of PbO$_2$ as an anode. Both SnO$_2$ and PbO$_2$ adopt the rutile structure, but intrinsically PbO$_2$ tolerates a much higher concentration of bulk oxygen vacancies: recent neutron diffraction experiments supported by first principle calculations of defect formation energies show that in commercial PbO$_2$ powder, up to 1.6% of the oxygen sites may be empty.$^{55}$ These vacancies act as two electron donors, so that PbO$_{2-x}$ is a metallic conductor. At the same time the facile reduction of PbO$_2$ at the surface will promote formation of surface Pb(II) cations which support an electron lone pair. Thus in PbO$_{2-x}$ oxygen vacancies provide all three ingredients required by our mechanism. In NATO anodes, bulk and segregated Sb and Ni are each required as the intrinsic O vacancy concentration in SnO$_2$ is much lower. Bulk Sb(V) acts as the electron donor resulting in metallic behaviour, surface Sb(III) acts as the surface lone pair cation and bulk Ni(II) is required to promote formation of oxygen vacancies below the surface.
Conclusions
In Ni and Sb co-doped SnO$_2$, the Ni and Sb ions replace Sn(IV) ions with no effect upon the unit cell dimensions. The Sb is present as Sb(V) in the crystal bulk where it acts as a one electron donor, with segregation of Sb(III) to the surface, whilst Ni occupies Sn(IV) sites in the subsurface region at concentrations below the level of detectability by XPS. Sb(V) confers electronic conductivity on SnO$_2$ whilst both Sb(III) and subsurface Ni are essential for ozone generation. Ni(II) incorporation is compensated by oxygen vacancies which promote the dissociation of adsorbed molecular oxygen to give single oxygen atoms adsorbed on Sb(III) sites. These atoms react with adsorbed hydroxyl molecules to produce O$_3$. The Ni/Sb-SnO$_2$ particles individually are composed of several crystallites, and ozone generation is controlled by the diffusion of OH radicals to the internal crystallite surfaces.

For the first time, Ni/Sb-SnO$_2$ nanopowders have been made that are highly active and selective towards the electrochemical generation of ozone. The synthesis of these nanopowders has not been optimised, but with such optimisation our approach should lead to the production of compact, zero gap and energy efficient electrochemical systems capable of treating real waters.

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References


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Figure captions

1. Plots of ratio of grain volume (calculated from the BET surface areas) to crystallite volume (calculated from the XRD data using Scherrer’s equation), for the SnO₂, Sb-SnO₂ and Ni/Sb-SnO₂ nanopowders calcined at (i) 400 °C and (ii) 700 °C, see text for details. “0.5%Ni” is 0.5%Ni/Sb-SnO₂, fabricated from a precursor solution containing 0.5 wt.% Ni.

2. Plots of the rate of O₂ evolution from 0.64 cm² nanopowder and ceramic anodes vs the Sb(III) content obtained from the XPS data. The ozone evolution rate was determined after 30 s electrolysis of 0.5M H₂SO₄ in a UV Vis cuvette cell at 2.7V using a 0.64 cm² Pt/Ti mesh cathode, see text for details.

3. XPS spectra of the Ni2p region of (i) untested and 9ii) tested ceramic 1% Ni/Sb-SnO₂ anodes. See text for details.

4. The current density and ozone current efficiency observed during the durability testing of a ceramic 1% Ni/Sb-SnO₂ anode. The anode was employed to electrolyse 0.5M H₂SO₄ twice a day for 30 s, the electrolysis repeated three times for both electrolyses. Between electrolyses the anode was left immersed in 0.5M H₂SO₄ at open circuit.

5. (a) The thermogravimetric responses of the SnO₂-containing nanopowders produced via the hydrothermal method and calcined at 400 °C. The samples were heated in 40 cm³ min⁻¹ flowing air at 5°C min⁻¹ from room temperature to 900 °C. The samples were then held at 900°C for 10 minutes and then cooled at 5°C min⁻¹ to room temperature. See Table ST5 for sample masses. (b) The differential plots of the curves in (a).

6. Plots of the mass of water released during heating up to 150 °C in TGA experiments conducted using all nanopowder samples calcined at 400 °C vs the surface area of the particles calculated from the crystallite sizes using the Scherrer equation and assuming spherical crystallites.

7. Plots of water coverage at 25 ºC on the Sb-containing nanoparticles calcined at (i) 400 ºC and (ii) 700 ºC calculated from TGA and XRD data vs the Sb(III) content of the samples, determined using XPS.

8. Plots of water coverage at 25 ºC on the Sb-containing nanoparticles as a function of Sb(III) content.

9. (a) The thermogravimetric response of 56.0 mg of the SnO₂ nanopowder produced via the hydrothermal method and calcined at 400 ºC. The sample was heated in 40 cm³ min⁻¹ flowing air at 5°C min⁻¹ from room temperature to 900 ºC. The sample was held at 900°C for 10 minutes and then cooled at 5°C min⁻¹ to room temperature. This cycle was repeated a further three times. (i) Cycle 1, (ii) cycle 2 and (iii) cycle 4. (b) The thermogravimetric responses of the SnO₂-containing nanopowders produced via the hydrothermal method and calcined at 400 °C. The samples were heated in 40 cm³ min⁻¹ flowing air at 5°C min⁻¹ from room temperature to 900 °C. The samples were held at 900°C for 10 minutes and then cooled at 5°C min⁻¹ to room temperature. This cycle was repeated a further three times. Only the fourth cycles are shown. See Table ST6 for sample masses.

10. The differential mass plots of the heating parts of the TGA responses in fig. 9(b).

11. A plot of the number of O₂ molecules gained per Sn atom for all the Sb-SnO₂ and Ni/Sb-SnO₂ nanopowders calcined at 400 °C vs the Sb(III) content, from the TGA data on the heating part of the 4th cycles up to ca. 350 °C, the BET surface areas of the samples and XPS data. See Table ST6 for sample masses.

12. (a) Plots of current efficiency vs Ni content of the 700 °C Sb-SnO₂/Ni T₂ samples with T₂ = 460 °C and 700 °C. (b) Plots of current density vs Ni content for the samples in fig. 12(a).

13. Conceptual model of the grains and crystallites of the Ni/Sb-SnO₂ nanopowders.
Scheme 1. The preparation of the SnO$_2$, Sb-SnO$_2$ and Ni/Sb-SnO$_2$ nanopowders.
Scheme 2. The preparation of the Sb-SnO$_2$/Ni nanopowders.
Crystallite surfaces (XRD)

Particle surfaces (BET)

- $O_3$
- OH

TOC graphic