ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

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3. Relevant financial activities outside the submitted work.

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   This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
Jaime

2. Surname (Last Name)  
Blais

3. Date  
05-September-2017

4. Are you the corresponding author?  
Yes ☐  No ✗

Corresponding Author’s Name  
Vicente Torres

5. Manuscript Title  
Tolvaptan in Later-Stage Autosomal Dominant Polycystic Kidney Disease

6. Manuscript Identifying Number (if you know it)  
17-10030

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest?  
Yes ☐  No ✗

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Are there any relevant conflicts of interest?  
Yes ☐  No ✗

If yes, please fill out the appropriate information below.

<table>
<thead>
<tr>
<th>Name of Entity</th>
<th>Grant?</th>
<th>Personal Fees?</th>
<th>Non-Financial Support?</th>
<th>Other?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Otsuka Pharmaceuticals Development and Commercialization, Inc.</td>
<td>☐</td>
<td>✗</td>
<td>☐</td>
<td>☐</td>
<td>I am an employee and I receive salary and benefits</td>
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
Yes ☐  No ✗
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Dr. Blais reports personal fees from Otsuka Pharmaceuticals Development and Commercialization, Inc. outside the submitted work.

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# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. Given Name (First Name)  
   Arlene

2. Surname (Last Name)  
   Chapman

3. Date  
   27-August-2017

4. Are you the corresponding author?  
   [ ] Yes  
   ☑ No  
   Corresponding Author’s Name  
   Vicente Torres

5. Manuscript Title  
   Tolvaptan in Later-Stage Autosomal Dominant Polycystic Kidney Disease

6. Manuscript Identifying Number (if you know it)  
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## Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest?  
   [ ] Yes  
   ☑ No

## Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest?  
   ☑ Yes  
   [ ] No

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<tr>
<td>Otsuka Pharmaceuticals</td>
<td>☑</td>
<td>☑</td>
<td>☑</td>
<td>☑</td>
<td>Steering Committee Member Otsuka</td>
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## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   [ ] Yes  
   ☑ No

Chapman
ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Chapman reports other from Otsuka Pharmaceuticals outside the submitted work.

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Czerwiec
Section 1. Identifying Information

1. Given Name (First Name)  
   Frank

2. Surname (Last Name)  
   Czerwiec

3. Date  
   05-September-2017

4. Are you the corresponding author?  
   Yes ☐  No ☑

   Corresponding Author’s Name  
   Vicente E. Torres

5. Manuscript Title  
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Are there any relevant conflicts of interest?  
   Yes ☐  No ☑

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<td>☐</td>
<td>☑</td>
<td>☐</td>
<td>☑</td>
<td></td>
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Frank is an employee of the sponsor and has limited stock in the sponsor's holding company.

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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   Yes ☐  No ☑
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Dr. Czerwiec reports personal fees and other from Otsuka Pharmaceuticals/ Otsuka Holding Company outside the submitted work.

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### Section 1. Identifying Information

1. Given Name (First Name)  
   Olivier  

2. Surname (Last Name)  
   Devuyst  

3. Date  
   05-September-2017  

4. Are you the corresponding author?  
   [ ] Yes  
   ✔ No  
   Corresponding Author’s Name  
   Vincente Torres

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Are there any relevant conflicts of interest?  
[ ] Yes  
[ ] No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

<table>
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<tr>
<td>Otsuka Pharmaceutical</td>
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<td>✔</td>
<td></td>
<td>✔</td>
<td>Honorarium; Participation in Steering Committee Activities</td>
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Dr. Devuyst reports personal fees and other from Otsuka Pharmaceutical during the conduct of the study.

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1. Given Name (First Name) 
Ron
2. Surname (Last Name) 
Gansevoort
3. Date 
28-August-2017
4. Are you the corresponding author? 
☐ Yes  ✔ No
Corresponding Author’s Name 
Dr. Vicente Torres
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  ☐ Yes  ✔ No
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Dr. Gansevoort reports grants from Otsuka, grants from Ipsen Farmaceutica, and other from Sanofi-Genzyme outside the submitted work.

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**Royalties:** Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Gary
2. Surname (Last Name)  Koch
3. Date  29-August-2017
4. Are you the corresponding author?  Yes  ✔  No
Corresponding Author’s Name  Vicente Torres
5. Manuscript Title  Tolvaptan Slows eGFR Decline in Later-Stage ADPKD
6. Manuscript Identifying Number (if you know it)  17-10030

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?
Are there any relevant conflicts of interest?  Yes  ✔  No
If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the ”X” button.

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Dr. Koch reports grants from Otsuka Pharmaceutical Development & Commercialization, Inc. during the conduct of the study.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
   Robert

2. Surname (Last Name)  
   McQuade

3. Date  
   05-September-2017

4. Are you the corresponding author?  
   ✔ Yes  
   No

Corresponding Author’s Name  
Vicente Torres

5. Manuscript Title  
   Tolvaptan in Later-Stage Autosomal Dominant Polycystic Kidney Disease

6. Manuscript Identifying Number (if you know it)  
   17-10030

**Section 2. The Work Under Consideration for Publication**

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Are there any relevant conflicts of interest?  
✔ Yes  
No

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✔ Yes  
No

If yes, please fill out the appropriate information below.

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<td>Otsuka Pharmaceuticals Development and</td>
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✔ Yes  
No
ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. McQuade reports personal fees from Otsuka Pharmaceuticals Development and Commercialization, Inc. outside the submitted work.

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Section 1. Identifying Information

1. Given Name (First Name)  
John

2. Surname (Last Name)  
Ouyang

3. Date  
05-September-2017

4. Are you the corresponding author?  
☑ Yes  ☐ No  
Corresponding Author’s Name  
Vicente Torres

5. Manuscript Title  
Tolvaptan in Later-Stage Autosomal Dominant Polycystic Kidney Disease

6. Manuscript Identifying Number (if you know it)  
17-10030

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Dr. Ouyang reports personal fees from Otsuka Pharmaceuticals Development and Commercialization, Inc. outside the submitted work.

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Section 1. Identifying Information

1. Given Name (First Name)  
   Ronald

2. Surname (Last Name)  
   Perrone

3. Date  
   29-August-2017

4. Are you the corresponding author?  
   Yes ❑ No ❑

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   Vicente Torres

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Dr. Perrone reports grants and personal fees from Otsuka during the conduct of the study; personal fees from Sanofi-Genzyme, personal fees from Regulus, personal fees from Mitsubishi-Tanabe, personal fees from Palladiobio, and personal fees from Vertex outside the submitted work.
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   This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking “No” means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check “Yes”.

3. **Relevant financial activities outside the submitted work.**
   
   This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

   Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work’s sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

   For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. **Intellectual Property.**
   
   This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. **Relationships not covered above.**

   Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

**Definitions.**

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally (but not always) paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Olga
2. Surname (Last Name)  Sergeyeva
3. Date  05-September-2017
4. Are you the corresponding author?  Yes  No  ✔
   Corresponding Author’s Name  Vicente Torres
5. Manuscript Title
   Tolvaptan in Later-Stage Autosomal Dominant Polycystic Kidney Disease
6. Manuscript Identifying Number (if you know it)
   17-10030

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  Yes  No  ✔

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?  Yes  No  ✔
If yes, please fill out the appropriate information below.

<table>
<thead>
<tr>
<th>Name of Entity</th>
<th>Grant?</th>
<th>Personal Fees?</th>
<th>Non-Financial Support?</th>
<th>Other?</th>
<th>Comments</th>
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<tr>
<td>Otsuka Pharmaceuticals Development and Commercialization, Inc.</td>
<td>☑</td>
<td>✔</td>
<td></td>
<td>☑</td>
<td>I am an employee of and receive salary and benefits</td>
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</table>

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  No  ✔
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

☐ Yes, the following relationships/conditions/circumstances are present (explain below):

☑ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Sergeyeva reports personal fees from Otsuka Pharmaceuticals Development and Commercialization, Inc. outside the submitted work.

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.
ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Vicente
2. Surname (Last Name) Torres
3. Date 29-August-2017
4. Are you the corresponding author? ✔ Yes ☐ No
5. Manuscript Title Tolvaptan in Later-Stage Autosomal Dominant Polycystic Kidney Disease
6. Manuscript Identifying Number (if you know it) 17-10030

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?
Are there any relevant conflicts of interest? ✔ Yes ☐ No
If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

<table>
<thead>
<tr>
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<tr>
<td>Otsuka</td>
<td>✔</td>
<td>☐</td>
<td>✔</td>
<td>✔</td>
<td>Support of investigator and study coordinator time and for patient related expenses, travel expenses to attend the meetings of the Steering Committee and the IDMC, and payments to Mayo Clinic for the time spent in meetings of the Steering Committee or IDMC, all related to the clinical trial.</td>
</tr>
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</table>

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.
Are there any relevant conflicts of interest? ✔ Yes ☐ No
If yes, please fill out the appropriate information below.
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<tr>
<td>Otsuka</td>
<td>✔</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>Support of investigator and study coordinator time and for patient related expenses for other clinical trials of tolvaptan in ADPKD (TEMPO 4:4 to evaluate long-term safety and efficacy) and grant to develop models to predict renal functional decline in ADPKD including advanced imaging analysis</td>
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<tr>
<td>Vertex</td>
<td>☐</td>
<td>☐</td>
<td>✔</td>
<td>✔</td>
<td>Payment to Mayo Clinic for time attending and presenting at a PKD Symposium organized by Vertex and travel expenses to attend this meeting.</td>
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<tr>
<td>Palladio</td>
<td>✔</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>Effect of lixivaptan in the PCK rat, an animal model of polycystic kidney disease</td>
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<tr>
<td>UptoDate</td>
<td>☐</td>
<td>✔</td>
<td>☐</td>
<td>☐</td>
<td>For contributions to the PKD and TSC sections</td>
</tr>
</tbody>
</table>

**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? [ ] Yes [ ] No

**Section 5. Relationships not covered above**

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

[ ] Yes, the following relationships/conditions/circumstances are present (explain below):

[ ] No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Torres reports grants, non-financial support and other from Otsuka during the conduct of the study; grants from Otsuka, non-financial support and other from Vertex, grants from Palladio, and personal fees from UptoDate outside the submitted work.

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.