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Approaching threatening stimuli cause an expansion of defensive peripersonal space

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Bisio A, Garbarini F, Biggio M, Fossataro C, Ruggeri P, Bove M (2017) Dynamic shaping of the defensive peripersonal space through predictive motor mechanisms: when the “near” becomes “far.” J Neurosci 2017 37:2415-2424.

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30 **Abstract**

31 When sudden environmental stimuli signalling threat occur in the portion of space surrounding the
32 body (defensive peripersonal space), defensive responses are enhanced. Recently Bisio et al. (2017)
33 showed that a marker of defensive peripersonal space, the defensive hand-blink reflex (HBR), is
34 modulated by the motion of the eliciting threatening stimulus. These results can be parsimoniously
35 explained by the continuous monitoring of environmental threats, resulting in an expansion of DPPS
36 when threatening stimuli approach.

37 The closer a threatening stimulus occurs to a body part, the more likely it is to cause damage, and the
38 stronger the elicited defensive responses become. The region of space surrounding the body in which
39 this increase in defensive response occurs is termed defensive peripersonal space (DPPS; Graziano
40 and Cooke, 2006). Its neural substrates in non-human primates likely consist of a parieto-premotor
41 network. This network involves multisensory neurons in the ventral intraparietal area (VIP) and in the
42 polysensory zone of area F4 (Cooke and Graziano, 2004; Cléry et al., 2014). In humans, the DPPS
43 surrounding the face has been described by recording the enhancement of the defensive blink reflex
44 elicited by electrical stimulation of the hand (hand-blink reflex; HBR) when the hand is nearer to the
45 face compared to when it is far (Sambo et al., 2012). The DPPS has been suggested to have the shape
46 of a bubble elongated asymmetrically along the rostro-caudal axis, extending further above eye-level
47 (Bufacchi et al., 2016).

48 In a recent paper, Bisio et al. (Bisio et al., 2017) delivered the stimuli to elicit the HBR while
49 participants moved their hand. They instructed participants to make a single hand movement towards
50 and then away from their face (or vice-versa) roughly every 30 seconds, and stimulated the hand at
51 one of six time-points during this motion. They reported that HBR magnitude was affected by this
52 hand movement when the hand was *near* the face: in that position, when the hand was moving *away*
53 from the face, the HBR magnitude was *decreased* compared to when the hand was moving towards
54 the face (Figure 1, panel A, left). In contrast, HBR magnitude was not dependent on movement
55 direction when the hand was in the other two positions farther from the face. Remarkably, they
56 showed a similar effect when participants imagined moving their hand, but did not actually perform
57 the movement. They provided a convincing directional interpretation: the HBR magnitude in the near
58 positions can be reduced by a movement of the threat away from the body part that needs to be
59 defended. The dependence of HBR magnitude on movement was also suggested by the results of
60 Wallwork et al. (2106), which, however, consisted in a seemingly opposite pattern: where Bisio et al.
61 reported an HBR decrease at the near position when the hand was moving away from the face,
62 Wallwork et al reported no difference between movement directions at the near position. Furthermore,
63 Wallwork et al reported an HBR increase at the far position when the hand was moving towards the
64 face, while Bisio et al. reported no difference between movement conditions at that position (Figure 1,
65 panel A, left). Both articles suggest that the cause for the observed effects might be the ability of the
66 nervous system to predict the future location of the hand. However, their two explanations are
67 opposite: in Bisio et al. the prediction of where the hand is going to be is assumed to cause a down-
68 regulation of HBR magnitude but not an up-regulation, while in Wallwork et al. it is assumed to cause
69 an up-regulation of HBR magnitude, but not a down-regulation.

70 A simple explanation could reconcile these two seemingly opposite observations, which could in fact
71 be instances of the same physiological phenomenon. This explanation is that the DPPS size is not
72 stationary, but changes depending on the context: it increases when threatening stimuli move toward

73 an endangered body area. Interestingly, this notion is present in the title of the article by Bisio et al.,
74 but not further elaborated on. Considering the wider body of work on peripersonal space (not only in
75 relation to defence) provides support for this explanation. It has been shown that the size of
76 peripersonal space is malleable within subject (Farnè et al., 2016). For example, tool use reshapes
77 action space around the tool (Longo and Lourenco, 2007), walking expands peripersonal space
78 forward (Noel et al., 2014), and gravitational cues warp the size of defensive peripersonal space
79 (Bufacchi and Iannetti, 2016). Even more interestingly, the firing rate of the cells thought to underlie
80 the DPPS specifically is not only dependent on the stimulus position, but also on its movement
81 direction and its speed: these cells generally fire more when (1) the stimulus moves towards the body,
82 and (2) it moves faster (Graziano and Cooke, 2006).

83 Unfortunately, Bisio et al. did not discuss this possibility, and instead assumed the opposite, namely
84 that the DPPS is of fixed size (Figure 1, panel A, right). This reasoning led to the conclusion that ‘...
85 *these findings might be explained as a down-regulation of the HBR response when planning to move*
86 *far from the face, albeit the hand was inside the defensive peripersonal space’.* In other words, they
87 claimed that when the hand is moving away from the face, the HBR is down-regulated to baseline
88 levels, *even though it is inside the DPPS of the face*. This reasoning seems inconsistent with the
89 definition of the DPPS as the zone within which the HBR magnitude exceeds a specific threshold.

90 That the DPPS is malleable is consistent with its survival advantage: the probability that a threat will
91 hit the face is higher when the source of that threat (the stimulation on the wrist) is moving towards
92 the face. This increased probability of hitting in turn increases the threat’s potential for harm, and
93 therefore necessitates a stronger HBR, to proportionally match the increased danger of the threat
94 (Bufacchi et al., 2016). Under this framework, the HBR increase that Bisio et al observed at the
95 nearest position when the hand is moving towards the face can be interpreted as an *expansion* of
96 DPPS. In other words, the DPPS expands from a size where it does not reach the nearest hand
97 position from the face, to a size where it encompasses that nearest position (Figure 1, panel B, right).
98 Similarly, the increase in HBR magnitude at the far position when the hand is moving toward the face
99 observed by Wallwork et al (2016) would then be an *expansion* of DPPS to encompass that furthest
100 position, from a size where the DPPS only encompassed the nearest position. Note that this line of
101 reasoning makes the assumption that in Wallwork et al (2016) there is a ceiling effect: the HBR
102 magnitude has a maximum value, and this value is reached at the near position. When the DDPS
103 expands to encompass the furthest position, the HBR elicited when the hand is at that position also
104 reaches the ceiling magnitude.

105 Even if this reasoning is correct, an important issue that remains to be solved is which DPPS measure
106 Bisio et al considered as a baseline; Figure 1 shows that they tested the HBR magnitude under 3
107 conditions: while the hand was moving toward the face, away from it, and not moving at all. They

108 took the *pattern* of HBR increase in the static condition as a baseline measure: in that condition, the
109 HBR magnitude at the near position is larger than the HBR magnitude in the other two positions.
110 They then reasoned that, because this pattern was present in the towards condition but not in the away
111 condition, in this latter condition the expected hand position must have been outside the DPPS.
112 Importantly, however, in the static condition, Bisio et al. measure an HBR *overall* much larger than in
113 the two movement conditions. Therefore, using the static condition as a baseline measure of DPPS
114 size is unlikely to be correct. In fact, the difference between static and moving conditions is most
115 likely caused by expectation; in the moving conditions, subjects spent most of their time with the hand
116 resting on a table, then moved it toward and away from their face once per trial when prompted by the
117 experimenter. During this movement the shock was delivered when the forearm was at a specific
118 angle. Therefore, as Bisio et al. also point out, participants knew that they could only possibly get a
119 shock once they started moving. This expectation effect most likely resulted in participants linking the
120 movement of their arm to the delivery of a shock, thereby decreasing the surprise and hence the
121 threatening value of the shock.

122 Therefore, in *any* conditions where the subject's hand is moved, the baseline *size* of the DPPS might
123 be decreased due to higher stimulus predictability. This would result in a smaller HBR magnitude
124 difference between the near and far conditions, or even no difference at all if the baseline DPPS size
125 were small enough. Therefore, a better baseline would have been a condition in which the participants
126 trigger the shock, and wherein the shock occurs within ~4 seconds from the trigger (i.e., a similar
127 temporal delay between when the participants began the movement, and when the shock occurred in
128 Bisio et al 2017). If in this condition the HBR is equal in the nearest and the furthest hand positions
129 (or at least is more similar in magnitude than when the hand is moving towards the face.), this would
130 demonstrate that, when the stimulus is expected, the DPPS is smaller than the distance between the
131 nearest hand position and the face. In this way, when the hand is moving toward the face, the DPPS
132 size would increase from baseline, resulting in an increase in HBR magnitude at the nearest hand
133 position. This explanation is also supported by the observation that baseline DPPS size (i.e. regardless
134 of hand movement) is not substantially different from the condition in which the hand moves away
135 from the face when the movement of the hand is not temporally linked to the stimulus onset
136 (Wallwork et al., 2016).

137 Interpreting the results of the discussed studies within the framework of an expansion of DPPS
138 emphasizes the proposed link between the activity of cortical areas underlying the spatial modulation
139 of defensive responses (such as VIP and F4; Cooke and Graziano, 2004) and the brainstem circuits
140 mediating the HBR (Sambo et al., 2012). Indeed, VIP neurons are highly selective to the direction and
141 velocity of stimuli (Colby et al., 1993) and receive dense proprioceptive inputs (Lewis and Van Essen,
142 2000), while the receptive field of many F4 neurons expands in depth when the stimulus speed
143 increases (Fogassi et al., 1996). Such response features would be necessary to cause an expansion of

144 DPPS in response to the movement of the forearm relative to the face. Accordingly, VIP has been
145 proposed to subserve impact prediction (Cléry et al., 2015). These areas receive input from the
146 superior colliculus and pulvinar (Makin et al., 2012), both of which respond to looming stimuli and are
147 involved in time-to-collision judgements (Billington et al., 2011). The superior colliculus is also
148 strongly involved in enacting defensive responses across species (Pereira and Moita, 2016) and has
149 multimodal response properties (Triplett et al., 2012). Interestingly therefore, it is possible that the
150 brainstem circuits mediating the HBR might also be influenced by midbrain areas. Regardless of the
151 exact anatomical origin of the HBR modulation, these observations also emphasise that even simple
152 subcortical reflexes can be modulated in sophisticated manners by other brain areas. This important
153 notion should be kept in mind when designing and interpreting experiments measuring even the most
154 basic behavioural responses.

155 In conclusion, a parsimonious explanation of the results of Bisio et al. is that the DPPS size increases
156 when the hand carrying the threatening stimulus is moving toward the face, following the estimated
157 increase in probability that the moving threat will harm the face.

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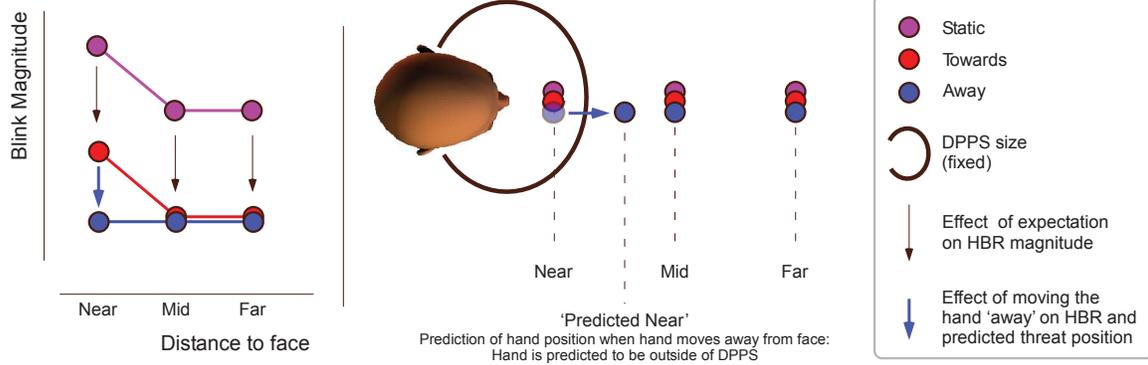
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199 to the face. *Cortex* 81:168–175.
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201 **Figure Legend**

202 **Figure 1.** Schematic of hand movement effects on Hand-Blink Reflex (HBR) magnitude. Panel A: in
203 the interpretation put forward by Bisio et al. (2017) the size of the Defensive Peripersonal Space
204 (DPPS) is fixed. Left sub-panel: sketch of HBR magnitude across different conditions. Right sub-
205 panel: assumed DPPS (black line) and predicted positions of the threat (coloured circles). In this
206 interpretation, when (1) the threat is near the face and (2) it moves away from the face, the position of
207 the threat is predicted to shift outside the DPPS (blue arrows in right sub-panel), with a consequent
208 decrease in HBR magnitude at the near position (blue arrow in left sub-panel). In both conditions
209 where the hand moves, the movement of the hand is linked to the stimulus onset, therefore causing an
210 overall HBR magnitude decrease. Importantly, Bisio et al assume this ‘expectation effect’ to be equal
211 at all hand positions (black arrows in left sub-panel). Panel B: an alternative interpretation is that the
212 DPPS size is malleable. Left sub-panel: sketch of HBR magnitudes across different conditions, also
213 including hypothetical real baseline magnitude when the eliciting shock is expected. Right sub-panel:
214 implied size of DPPS (coloured lines) and predicted positions of the threat (coloured circles). In this
215 alternative interpretation, when the threat moves towards the face, the DPPS expands (red arrow in
216 right sub-panel), causing an increase in HBR magnitude at the near position (red arrow in left sub-
217 panel). In this interpretation, the expectation effect results in both an overall HBR magnitude decrease
218 and in a DPPS shrinkage (black arrows in both sub-panels). While both interpretations are plausible,
219 only the alternative interpretation fits with prior empirical observations (e.g. Wallwork et al. 2016).

A: Interpretation by Bisio et al. 2017

Fixed DPPS size; the predicted position of threat changes (→) when the hand moves away from the face



B: Alternative interpretation

Size of DPPS expands (↗) when hand moves toward the face, and shrinks (↘) when stimulus is expected

