Magnetic coupling constants for MnO as calculated using hybrid density functional theory

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1. Introduction

Transition metal oxides (TMOs) are popular materials for studying fundamental physical properties because of their relative structural simplicity and intriguing magnetic behaviour, where a transition from paramagnetic to antiferromagnetic (AFM) ordering occurs below their respective Néel temperature \( T_N \)\([1]\). MnO is a classic representation of the family of first row TMOs: at room temperature, it is paramagnetic (PM) and adopts a cubic structure with a lattice constant \( a \) of 2.223 Å\([2–4]\); short-range magnetic ordering occurs below the Curie–Weiss temperature \( \theta \) of \( \sim 550 \) K\([5]\) and then, below the \( T_N \) of 118 K\([6]\), MnO adopts a long-range ordered AFM spin configuration\([7]\). The AFM configuration is with the magnetic moments of the \( d^5 \) valence electrons on the Mn\(^{2+} \) cations aligned in parallel along the diagonal \( 111 \) plane\([7]\), with small modulated out-of-plane components recently identified using total neutron scattering\([8]\). The AFM spin configuration results in a small rhombohedral-type distortion: the unit cell angle, \( \alpha \), is reported as being 90.60–90.62°, with an accompanying \( a \) of 2.215–2.216 Å\([9–11]\), though Goodwin et al. highlight that the distortion cannot be truly rhombohedral due to symmetry constraints\([8]\).

Insight into the fundamental properties of TMOs, such as spin configuration and associated coupling constants, is aided by computational simulations; however, MnO has a long-standing reputation of being a challenge to simulate using modern density functional theory (DFT) due to strong on-site Coulomb repulsion by the \( 3d \) states\([12–14]\). At the local and semi-local level of DFT, such as the local density approximation (LDA) and generalised gradient approximation (GGA), problems exist due to the inadequacy of being a challenge to simulate using modern density functional theory (DFT) due to strong on-site Coulomb repulsion by the \( 3d \) states\([12–14]\). At the local and semi-local level of DFT, such as the local density approximation (LDA) and generalised gradient approximation (GGA), problems exist due to the inadequacy of the exchange–correlation (XC) functionals at localising the intra-atomic Coulomb repulsion.\([15–17]\), partly due to inherent self-interaction errors\([18–20]\), which leads to underestimation of the electronic band gap. MnO is considered a prototypical Mott–Hubbard insulator with a key \( d-d \) intra-atomic Coulomb repulsion. Attempts to use on-site Coulomb-repulsion corrected DFT, namely DFT + \( U \), have shown promise in simultaneously reproducing experimental structures, electronic band gaps and, for MnO, spin coupling constants\([21–24]\), though the empirical parameterisation of this approach leaves scope for technical improvements. A more
robust *ab initio* solution is the use of hybrid-DFT (h-DFT), where a fraction of the exchange energy in the density functional is replaced with Hartree–Fock (HF) exact exchange [25,26]. In h-DFT, the explicit two-electron consideration of electron–electron interactions, rather than the mean-field approach of DFT, means that the localisation of electrons is improved, which typically leads to better agreement with experiment, compared to GGA approaches, for a range of materials and properties [22,26,27]. However, the accuracy of h-DFT comes with an additional computational overhead that increases computation time by an order of magnitude, relative to traditional DFT, and so justification for using h-DFT must be made carefully.

To compare systematically different levels of DFT theory when studying the properties of MnO, one can consider the energetics of the several competing low-temperature magnetic configurations: the relative energy differences between spin configurations can be used to derive observables such as \( T_N \) and \( \theta \). The AFM configuration of MnO, where neighbouring cations have some of their spins anti-aligned as shown in Fig. 1, can take one of two forms: AFM(I), as shown in Fig. 1A, where the unpaired electrons on each cation are aligned ferromagnetically along one primary crystallographic plane, e.g. (1 0 0), but anti-parallel between adjacent planes; or AFM(II), as shown in Fig. 1B, where the unpaired electrons on each cation are aligned ferromagnetically along a diagonal plane, e.g. (1 1 1), and anti-parallel again between adjacent planes [13]. Alternatively, in a ferromagnetic (FM) spin configuration, the unpaired electrons are aligned across all cation centres. Experiment has only identified the AFM(II) phase at low-temperature, whilst calculations have confirmed the hierarchy of energetic orderings for these different spin configurations, placing the AFM (II) and AFM(I) phases lower in energy than the FM or PM arrangements [12,22,24,27,28].

In this work, we build on previous computational investigations by calculating and comparing the magnetic coupling constants and transition temperatures for MnO using several popular exchange–correlation (XC) density functionals of similar theoretical origins, specifically considering a recent reparameterisation for solid-state materials and contrasting this to the original derivation made using free atom assumptions. Our results are obtained by calculating the energy differences between the various spin configurations, as outlined in Section 2, and these data are used to parameterise a Heisenberg Hamiltonian with which coupling constants and transition temperatures can be derived. The results are presented in Section 3, and Section 4 concludes with comments as to the observed strengths and weaknesses of the methods presented.

### 2. Methodology

Calculations were performed using a periodic planewave methodology, as implemented in the VASP software package [29–31], with a planewave cutoff of 900 eV and the projector augmented wave (PAW) representation for the core electrons. A \( \Gamma \)-point-centred \( k \)-grid of \( 9 \times 9 \times 9 \) was used for the FM and AFM(I) calculations, which respectively contained 2 and 8 atoms (\( N \)) in the unit cell, and a \( k \)-grid of \( 5 \times 5 \times 5 \) was used for the larger AFM(II) calculation (\( N = 16 \)); these grids correspond to a well converged reciprocal-space sampling of one \( k \)-point per 0.04 Å\(^{-1} \) (or less). A convergence threshold of \( 10^{-8} \) eV was used for the self-consistent field cycle. Calculations were performed initially using the PBE and PBE0 XC functionals [32,33], before complementing these with studies using the solid-state reparameterised version of the PBE XC functional, namely PBESol [34], as well as its hybrid equivalent, PBES05, where 25% exact exchange is included in the place of the GGA exchange [25,33].

To calculate equations of state for each magnetic configuration, single point calculations were performed for fixed unit cells with internal bond angles set to 90° (i.e. cubic) and either FM, AFM(I) or AFM(II) spin configurations. The cohesive energy per atom, \( E_{\text{coh}} \), is calculated for each spin configuration as:

\[
E_{\text{coh}} = \frac{E_{\text{tot}}}{N} - \left( \frac{E_{\text{Mn}} + E_{\text{O}}}{2} \right) \tag{1}
\]

with \( E_{\text{tot}} \), \( E_{\text{Mn Atom}} \), and \( E_{\text{O Atom}} \) corresponding to either the total energy of the FM, AFM(I) or AFM(II) unit cells, the energy of the gas-phase Mn atom and the energy of the gas-phase O atom, respectively. In order to validate the accuracy of using differing unit cells for differing spin configurations, \( E_{\text{coh}} \) was calculated for FM and AFM(I) magnetic orderings using the PBE XC functional with the \( N = 16 \) unit cell and compared to the results for the reduced-size unit cells: differences of \(< 0.1 \) meV and \(< 0.6 \) meV were respectively calculated, justifying our approach. Internal geometry and stress tensor optimisation were used, where discussed, with a convergence criteria of 0.001 eV Å\(^{-1} \) for forces. Collinear spin was used throughout.

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Fig. 1. Magnetic ordering for antiferromagnetic spin configurations: (A) AFM(I), with spins aligned on the horizontal (1 0 0) axis and anti-parallel on the vertical axis; (B) AFM(II), with the spins aligned on the diagonal (1 1 1) axis and anti-parallel perpendicular to this. Purple and green spheres represent manganese cations with spin-up and spin-down configurations; red spheres are the anionic oxygen species. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)
3. Results

Equations of state ($E_{\text{coh}}$ vs. $a$) for the FM, AFM(I) and AFM(II) spin configurations in the cubic MnO phase are presented in Figs. 2 and 3 for GGA and hybrid-DFT methods, respectively. The lowest energy spin configuration is AFM(II) in all cases, with a parabolic fit of the data points giving an optimal $a$ of 2.219, 2.186, 2.215 and 2.193 Å when using the PBE, PBESol, PBE0 and PBESol0 XC functionals. The observations for PBE and PBE0 are comparable with previous reports of Schrön et al. (2.221 Å) [22,24]. The structural differences are observed as varying marginally between XC functional choices, but the PBE XC functionals clearly result in larger $a$ than PBESol. The PBE results are closer to experiment, which is reported as being 2.216, 2.178, 2.213 and 2.191 Å for the same respective XC functionals in the stress-tensor optimised rhombohedral unit cell, as measured by the bond distance between an Mn and a nearest neighbour O atom, and an internal angle ($\alpha$) of 91.63, 92.36, 90.91 and 91.02°, as measured between an Mn, a nearest neighbour O and a next nearest neighbour same-spin Mn atom; the optimised unit cells are provided in the Supporting Information (SI). In all cases, there is a very small contraction of $a$, relative to the cubic models, with a biggest change of 0.008 Å for PBESol. Overall, h-DFT gives the smallest rhombohedral distortions and the PBE (PBE0) result is comparable with previous computational reports of $\alpha = 91.66$ and 91.75° ($\alpha = 90.88°$) [22,24]. Furthermore, $a$ is closest to experiment ($a = 2.2155 \pm 0.0005$ Å) when using PBE, whilst $\alpha$ is closest for PBE0 ($\alpha = 90.60 – 90.62°$) [9–11], with the remaining difference of 0.2° perhaps attributable to low-temperature thermal effects neglected in our simulations. We associate the size of the rhombohedral distortions to the interaction between neighbouring Mn cations with the spins aligned and anti-aligned: for PBESol, the distance between same-spin cations is 3.141 Å and then 3.021 Å between spin anti-aligned cations; in comparison, for PBESol0 the respective values are 3.125 and 3.07 Å i.e. the repulsion (attraction) between parallel (anti-parallel) spin is exaggerated by GGA methods. Despite the structural differences discussed for cubic and rhombohedral unit cells, the difference in $E_{\text{coh}}$ between these two structures is $< 7$ meV for AFM(II) spin configurations using the PBESol XC functional, and lower still for all other XC functionals, which shows the importance of high numerical accuracy when modelling these systems.

The coupling constants $J_1$ and $J_2$, which are respectively associated with nearest neighbour (NN) and next-nearest neighbour (NNN) spin coupling as shown in Fig. 4, can be calculated by comparing the relative energies of the different magnetic configurations. Taking the Heisenberg Hamiltonian and neglecting the longitudinal and transverse spin fluctuations, one gets a generalised Ising model with matrix components [24]:

$$H_i = \sum_{l=1,2} (z_l^{(i)} - z_l^{(i)}) J_l S_i S_l$$  \hspace{1cm} (2)

where $H_i$ is the matrix element for atom $i$, index $l$ runs over all the shells of NN (1) and NNN (2) atoms, $S_i$ and $S_l$ are the corresponding spins of the indexed atoms, $J_l$ is the coupling constant between atom $i$ and each atom in shell $l$, and $z_l^{(i)}$ and $z_l^{(i)}$ denote the number

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**Fig. 2.** Energetics of different magnetic configurations as a function of lattice constant, as calculated for MnO using the GGA density functionals: PBE and PBESol are coloured green and aqua, respectively. The top graph gives the cohesive energy ($E_{\text{coh}}$) per atom when using the FM, AFM(I) and AFM(II) magnetic configurations, as represented by triangles, circles and squares, respectively, and the bottom graph displays the energy difference between the AFM(II) and FM phases (diamond symbols). Energies are in eV, with the lattice constant given in Å. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)
of neighbours in each shell \( l \) with spins aligned parallel and anti-
parallel to atom \( i \), respectively. It is noted that our definition of \( H_i \)
does not contain a double summation, hence removing a factor of
1/2 seen elsewhere in the literature [24]. There is a high-spin \( d^5 \)
electron configuration on each Mn\(^{2+} \) cation, thus the formal defini-
tion of \( S \) is 5/2; one can alternatively derive \( S \) from the DFT calcula-
tions, where the spin densities are summed within the defined
Wigner Seitz radii (\( r_s \)). Both definitions have been considered here,
with the DFT-calculated \( S \) taken from the results using the lowest-
energy AFM(II) unit cell with \( r_s = 1.164 \) Å, as defined by the chosen
PAW configuration. The calculated magnetic moments, \( \mu (= 2S) \) are
4.17, 4.11, 4.37 and 4.35 \( \mu_B \) for the rhombohedral unit cells when
using PBE, PBESol, PBE0 and PBESol0 XC functionals, respectively;
identical values of \( \mu \) are calculated for the cubic unit cell. Table 1
shows \( \mu \) is 0.2 \( \mu_B \) compared to previous calculations, which could
be attributable to our choice of \( r_s \). Illustrations showing variation
in \( \mu \) with respect to unit cell volume and spin ordering are provided
in the SI.

By considering the differing quantities of spin-equivalent and
spin-inequivalent NN and NNN in FM, AFM(I) and AFM(II) spin
configurations, \( J_1 \) and \( J_2 \) can be derived from Eq. (2) as [13,28]:

\[
J_1 = \frac{E_{\text{FM}} - E_{\text{AFM(I)}}}{8S^2 k_B} \\
J_2 = \frac{E_{\text{FM}} - E_{\text{AFM(II)}}}{6S^2 k_B} - J_1
\]

where the energy per formula unit (eV) of the FM, AFM(I) and AFM
(II) phases is denoted as \( E_{\text{FM}}, E_{\text{AFM(I)}}, \) and \( E_{\text{AFM(II)}} \), respectively, and
division by the Boltzmann constant \( (k_B = 0.086173 \text{ meV K}^{-1}) \) con-
verts to the traditional units of K. With this choice of Hamiltonian,
positive and negative values of \( J \) correspond to energy gain for anti-
parallel and parallel spin configurations, respectively.

Fig. 3. Energetics of different magnetic configurations as a function of lattice constant, as calculated for MnO using the hybrid density functionals: PBE0 and PBESol0 are coloured blue and purple, respectively. The top graph gives the cohesive energy (\( E_{\text{coh}} \)) per atom when using the FM, AFM(I) and AFM(II) magnetic configurations, as represented by triangles, circles and squares, respectively, and the bottom graph displays the energy difference between the AFM(II) and FM phases (diamond symbols). Energies are in eV, with the lattice constant given in Å. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Fig. 4. Illustration of the interactions represented by coupling constants between Mn cations (purple circles). \( J_1 \) (black dashed arrow), relates to the strength of interaction between nearest neighbour cation species, with coupling through an adjoining oxygen (red circles) at a bond angle of ~90° (blue dashed line); \( J_2 \) (black solid arrow), relates to the strength of interaction between next-nearest neighbour cation species, with coupling through an adjoining oxygen at a bond angle of ~180° (blue solid line). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)
The calculated coupling constants are presented in Table 1: for PBE, we obtain $J_1 = 14.32$ and $J_2 = 15.89$ K, whereas for PBE0, $J_1 = 20.69$ and $J_2 = 16.66$ K. The results for $J_1$ are similar to previous PBE calculations (15.09–19.73 K), whereas $J_2$ is noticeably smaller (27.67–38.29 K). Improvements in $J_2$ are made if $S = 5/2$ is replaced with $S = \mu_2/2$, although this also raises $J_1$. Testing of the planewave cutoff illustrates that this is not the cause of discrepancy (Table 1, SI). Thus, as $J_1$ is dependent on $E_{\text{FM}}$ and $E_{\text{AFM}}$, the discrepancy of $J_2$ with respect to previous results is most likely attributable to differences in $E_{\text{AFM}1/2}$: as an example, a decrease in $E_{\text{coh}}$ of 10 meV leads to an increase of 3.1 K for $J_2$ for the PBE XC functional. For h-DFT, $J_1$ and $J_2$ are calculated respectively as being 6.79 and 7.59 K for PBE0, and 7.38 and 8.35 K for PBE0: these results are much closer to experiment ($J_1 = 8.47 – 10$ K; $J_2 = 9.63 – 11$ K) than those of the GGA. However, the AG results are again lower than previously reported PBE0 calculations ($J_1 = 11.5$ K; $J_2 = 13.7$ K) though, in this instance, both $J_1$ and $J_2$ values are reduced compared to previous reports. This is different to the GGA results and applies an influence of $E_{\text{FM}}$ and/or $E_{\text{AFM}1/2}$: an increase of $E_{\text{coh}}$ by 10 meV for the FM configuration raises $J_1$ and $J_2$ by 2.32 and 0.77 K, respectively, when using our PBE0 results. It is noted also that the error on reported experimental results is not insignificant: $\pm 10\%$ from Lines et al. [36], $\pm 3.5\%$ from Pepy [37] and $\pm 3\%$ from Koghi et al. [38].

Clearly, the localisation of the $d$ electrons is key to decreasing the coupling constants, which is consistent with previous work: [12] the generalised gradient approximation (GGA), with 0% exact exchange, has previously given $J_1 = 15.9 – 17.6$ and $J_2 = 27.85 – 27.9$ K (PBE) [22,24], whilst HF calculations, with 100% exact exchange, gave $J_1 = 2.7$ and $J_2 = 4.3$ K [22]. The parameterised PBE + U and unparameterised h-DFT approaches, with the latter typically containing $\sim 25\%$ exact exchange, give intermediates to these extremes: $J_1 = 11.5$ and $J_2 = 13.7$ K for PBE0 [22]; $J_1 = 13.93$ and $J_2 = 12.76$ K for HSE03 [24]; and $J_1 = 13.00$ and $J_2 = 14.48$ K for HSE06 [14]. The h-DFT results closely match experiment; however, h-DFT is not universally accurate, with $J_1 = 9.80$ and $J_2 = 20.5$ K for 3LYP [28]. Previously, Franchini et al. observed a ratio of $J_2/J_1 = 1.1$ from experiment that is well replicated by PBE0 [22]: we obtain similar ratios of 1.12 and 1.13 for PBE0 and PBE0S0, respectively. PBE and PBE0S0, in comparison, give ratios of 1.11 and 0.81, respectively, with the distinctly lower ratio for PBE0S0 arising from an over-stabilisation of AFM(I) that affects $J_1$.

Using our calculated $J_1$ and $J_2$ values, the transition temperature between AFM(II) and PM phases, namely the Néel temperature ($T_N$), is obtained in the mean-field approximation (MFA) as [24,42,43]:

$$T_N = \frac{S(S+1)}{3} \sum_{\ell=1,2} (\langle z_\ell^2 \rangle - \langle z_\ell \rangle^2) J_\ell = 2S(S+1)J_2$$

and additionally the Curie constant (or Curie–Weiss Temperature), $\theta$, can be obtained as [24,36,43]:

$$\theta = \frac{S(S+1)}{3} \sum_{\ell=1,2} (\langle z_\ell^2 \rangle + \langle z_\ell \rangle) = S(S+1)(4J_1 + 2J_2).$$

The interpretation of these observables is aided by an understanding of the effect any variation in $J_1$ and $J_2$ has on the transition temperatures: an increase of 1 K in $J_1$, which also then reduces $J_2$ by 1 K (Eq. (4)), results in a decrease (increase) of 17.5 K for $T_N$.
an increase of $1 \text{ K}$ in $J_2$ decreases both $T_N$ and $\theta$ by $17.5 \text{ K}$, with the inverse also applying for decreases in either of the coupling constants.

From our calculations, $T_N (0)$ is 278.06 (779.33), 291.63 (1015.63), 132.83 (370.54) and 146.24 (404.52) $\text{K}$ for PBE, PBEsol, PBE0 and PBEsol0; the respective experimental values are 116–120 and 424–610 $\text{K}$ [9,6,36,39], with error bars of $<1\%$ for $T_N$ and unquoted for $\theta$. Thus, $T_N$ is surprisingly well reproduced with h-DFT, with only a slight overestimate by $\sim 20 \text{ K}$; the same is not true for $\theta$, which the MFA clearly underestimates, but quantitative comparison with experiment is hindered by the range of reported values. The only previously reported calculations of $T_N$ using the MFA approach give $T_N = 520$ and $230 \text{ K}$ for the PBE and (PBE0-comparable) HSE03 XC functionals, respectively [24]; alternatively, tight-binding theory gives $T_N = 142 \text{ K}$ and $\theta = 643 \text{ K}$, which is in better agreement with the experimental results reported by Kittel [6] though the coupling constants, of $J_1 = 16.36 \text{ K}$ and $J_2 = 4.04 \text{ K}$, deviate significantly from experiment.

Given the numerous reports of coupling constants in the literature but the absence of estimates to $T_N$ and $\theta$, we have calculated missing values in Table 1 using an assumption of $S = 5/2$ unless otherwise stated. The LDA and GGA XC functionals consistently overestimate $T_N$ and $\theta$, with a notable exception being the parameterised DFT + U approach, which gives $T_N = 75.25 \rightarrow 210 \text{ K}$. In contrast, HF underestimates $T_N (75.25 \text{ K})$ and $\theta (169.75 \text{ K})$, which is due to its tendency for strong electron localisation that consequentialy reduces spin coupling. As with our own calculations, the h-DFT approach gives the best agreement with experiment. The use of $S = \mu/2$ in the calculations of Schrön et al. leads to an increase in the discrepancy between theory and experiment; to complement their analysis, the on-site spin density was extracted from our calculations for the Mn$^{2+}$ cation, in order to re-evaluate Eqs. (3)–(6). For us, this improves the match between computational and experimental values of $J_1$ and $J_2$, though the resulting $T_N$ and $\theta$ are increased by up to $10\%$ (Table 1), which illustrates somewhat the inherent limitations of the MFA approach. Therefore, whilst it is tempting to suggest improvements can be achieved by the use of non-collinear spin in future work, we assume that greater gains are to be made by replacing the MFA with more accurate methods of deriving $T_N$ and $\theta$. As noted in Table 1, Archer et al. report significant improvements in their predictions of $T_N$ when using Monte Carlo (MC) approaches [14], obtaining $T_N = 125 \text{ K}$ for HSE06 rather than 253 K as calculated using the MFA. A more thorough range of simulation techniques, both numerical and analytical, are presented by Fischer et al. [23]: MC and random-phase approximation (RPA) both give underestimates of $T_N$ by $>25 \text{ K}$, whereas the MFA overestimates by only $10 \text{ K}$. Furthermore, Fischer et al. show that including up to the 11th neighbour shell can reduce the difference between experiment and theory further, with $T_N$ reduced from 129 to 122 K. Such approaches are of merit to investigate further, though it is noted that parameterisation of a Heisenberg Hamiltonian for 11th neighbour interactions would perhaps require performing calculations with large supercell models that remain exhaustive on most current computing infrastructures.

4. Conclusions

In summary, the magnetic properties of MnO have been rigorously investigated using the popular PBE and PBEsol GGA-DFT methods, and also their respective h-DFT counterparts PBE0 and PBEsol0. The GGA approaches do not match experiment, but h-DFT reproduces experimental results for magnetic coupling constants and transition temperatures to a satisfactory degree of accuracy. Generally, it is noted that the solid-state reparameterisation, namely PBEsol, proves less accurate than its parent density functional when calculating the structural properties of MnO. The limitations observed when comparing computed coupling constants and transition temperatures to experiment are noted as being inherent to the mean-field approximation, rather than the levels of theory at which energetic quantities are obtained, though variance in the experimental data, especially for $\theta$, also hampers progression.

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Appendix A. Supplementary material

Supplementary data associated with this article can be found in the online version, at https://doi.org/10.1016/j.cplett.2017.10.027.

References


